

APPENDIX K: Emergency Preparedness and Response and COVID-19 Addendum

Background:

This standalone appendix may be utilized by the state during emergency situations to request amendments to its approved waiver, to multiple approved waivers in the state, and/or to all approved waivers in the state. It includes actions that states can take under the existing Section 1915(c) home and community-based waiver authority in order to respond to an emergency. Other activities may require the use of various other authorities such as the Section 1115 demonstrations or the Section 1135 authorities.¹ This appendix may be applied retroactively as needed by the state. Public notice requirements normally applicable under 1915(c) do not apply to information contained in this Appendix.

Appendix K-1: General Information

General Information:

A. State: Utah

B. Waiver Title(s):

Utah Community Supports Waiver;
Aging Waiver;
Acquired Brain Injury Waiver;
Physical Disabilities Waiver;
New Choices Waiver;
Medically Complex Children's Waiver;
Technology Dependent Waiver
Community Transitions Waiver

C. Control Number(s):

¹ Numerous changes that the state may want to make may necessitate authority outside of the scope of section 1915(c) authority. States interested in changes to administrative claiming or changes that require section 1115 or section 1135 authority should engage CMS in a discussion as soon as possible. Some examples may include: (a) changes to administrative activities, such as the establishment of a hotline; or (b) suspension of general Medicaid rules that are not addressed under section 1915(c) such as payment rules or eligibility rules or suspension of provisions of section 1902(a) to which 1915(c) is typically bound.

UT.0158.R07.02
 UT.0247.R06.03
 UT.0292.R05.03
 UT.0331.R04.04
 UT.0439.R03.02
 UT.1246.R01.05
 UT.40183.R05.04
 UT.1666.R00.02

D. Type of Emergency (The state may check more than one box):

<input checked="checked" type="checkbox"/>	Pandemic or Epidemic
<input type="checkbox"/>	Natural Disaster
<input type="checkbox"/>	National Security Emergency
<input type="checkbox"/>	Environmental
<input type="checkbox"/>	Other (specify):

E. Brief Description of Emergency. *In no more than one paragraph each, briefly describe the: 1) nature of emergency; 2) number of individuals affected and the state's mechanism to identify individuals at risk; 3) roles of state, local and other entities involved in approved waiver operations; and 4) expected changes needed to service delivery methods, if applicable. The state should provide this information for each emergency checked if those emergencies affect different geographic areas and require different changes to the waiver.*

This Appendix K is additive to the Appendix K submissions approved on April 17, 2020 and July 22, 2020. The amendment includes the following:

- Request to modify the end date to allow for the Appendix K provisions to continue for 6 months following the end date of the Public Health Emergency

F. Proposed Effective Date: Start Date: January 27, 2020 Anticipated End Date: 6 months following the conclusion of the public health emergency

8. Authorizing Signature

Signature: Tonya Hales Assistant Director, Division of Medicaid and Health Financing	Date: January 14, 2021
_____ State Medicaid Director or Designee	

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