

APPENDIX K: Emergency Preparedness and Response and COVID-19 Addendum

Background:

This standalone appendix may be utilized by the state during emergency situations to request amendments to its approved waiver, to multiple approved waivers in the state, and/or to all approved waivers in the state. It includes actions that states can take under the existing Section 1915(c) home and community-based waiver authority in order to respond to an emergency. Other activities may require the use of various other authorities such as the Section 1115 demonstrations or the Section 1135 authorities.¹ This appendix may be applied retroactively as needed by the state. Public notice requirements normally applicable under 1915(c) do not apply to information contained in this Appendix.

Appendix K-1: General Information

General Information:

A. State: Missouri

B. Waiver Title(s): Medically Fragile Adult Waiver

C. Control Number(s):

MO.40190.R05.05

D. Type of Emergency (The state may check more than one box):

<input checked="" type="radio"/>	Pandemic or Epidemic
<input type="radio"/>	Natural Disaster
<input type="radio"/>	National Security Emergency
<input type="radio"/>	Environmental
<input type="radio"/>	Other (specify):

E. **Brief Description of Emergency.** *In no more than one paragraph each*, briefly describe the: 1) nature of emergency; 2) number of individuals affected and the state's mechanism to identify individuals at risk; 3) roles of state, local and other entities involved in approved waiver operations; and 4) expected changes needed to service delivery methods, if applicable. The state should provide this information for each emergency checked if those emergencies affect different geographic areas and require different changes to the waiver.

This Appendix K is additive to the approved Appendix K for the Covid-19 pandemic. The purpose of this amendment is to reinstate oversight measures and programmatic practices intended to ensure the health, safety, and welfare of participants. This amendment rescinds the following effective 02/01/23: the flexibility to provide non-emergency medical transportation services (NEMT); and the flexibilities identified in Addendum 2.a.i, 2.a.ii, 2.a.iv, and 2.a.v. In addition, this amendment rescinds the following flexibilities effective 04/01/23: the flexibility to allow family members to provide services; and the flexibility found in Addendum 4.b. This amendment also rescinds the following effective at the end of the public health emergency: PDN on-site supervisory visits to be completed by telehealth, suspension of RN supervisory visits for individuals receiving services by an LPN, provider reassessments may be completed through telehealth, services not prior authorized by the state may be provided in order to ensure timely delivery of services, and the flexibility identified in Addendum 5.c.

F. Proposed Effective Date: Start Date: January 27, 2020 Anticipated End Date: Six (6) months after the expiration of the public health emergency.

G. Description of Transition Plan.

All activities will take place in response to the impact of COVID-19 as efficiently and effectively as possible based upon the complexity of the change.

H. Geographic Areas Affected:

These actions will apply across the waiver to all individuals impacted by the COVID-19 virus; all counties in the state of Missouri.

I. Description of State Disaster Plan (if available) *Reference to external documents is acceptable:*

N/A

Appendix K-2: Temporary or Emergency-Specific Amendment to Approved Waiver

Temporary or Emergency-Specific Amendment to Approved Waiver:

These are changes that, while directly related to the state's response to an emergency situation, require amendment to the approved waiver document. These changes are time limited and tied specifically to individuals impacted by the emergency. Permanent or long-ranging changes will need to be incorporated into the main appendices of the waiver, via an amendment request in the waiver management system (WMS) upon advice from CMS.

b. X Services

i. X Temporarily modify service scope or coverage.

[Complete Section A- Services to be Added/Modified During an Emergency.]

Rescind the following at the end of the public health emergency: “Flexibility in requiring the provision of waiver services at least monthly. Case management contacts will continue to be conducted monthly, at a minimum.”

ii. X Temporarily exceed service limitations (including limits on sets of services as described in Appendix C-4) or requirements for amount, duration, and prior authorization to address health and welfare issues presented by the emergency.

[Explanation of changes]

The following is a summary of flexibilities relative to the PHE, which impact services through the Medically Fragile Adult Waiver.

A. Waiver Attendant Care (WAC) – Rescind the following effective 02/01/23:

- “Deliver services not prior authorized by the state in order to ensure timely delivery of services.
- Deliver personal care services not in accordance with a service plan approved by the state on an emergent basis or under the constraints of availability of staff as impacted by the COVID-19 pandemic.
- Conduct RN Supervisory Visits via telehealth as appropriate.”

B. Private Duty Nursing (PDN) – Rescind the following at the end of the public health emergency:

- “On site supervisory visits for the purpose of evaluation will be conducted via telehealth as appropriate.
- RN Supervisory Visits for participants receiving LPN services are suspended.
- Provider re-assessments may be completed through telehealth.
- Services not prior authorized by the state may be provided in order to ensure timely delivery of services.)”

Rescind the following effective 02/01/23: “When NEMT is not available, providers may transport participants to medical appointments at the current service rate only if another caregiver is available in the vehicle to provide direct care.”

Participants will not lose waiver services or receive a reduction in waiver services as a result of this change. Participants will still be able to select their providers from a pool of any willing and qualified providers and continue to receive services in the same amount, duration, and scope.

c. X Temporarily permit payment for services rendered by family caregivers or legally responsible individuals if not already permitted under the waiver. Indicate the services to which this will apply and the safeguards to ensure that individuals receive necessary services as

authorized in the plan of care, and the procedures that are used to ensure that payments are made for services rendered.

Effective 04/01/23 rescind the following: “Family members must be employed by or contracted with a MHN contracted provider. For WAC, family members who do not live in the same residence and are not legally responsible individuals, spouses or legal guardians may provide services when no other caregiver is available. For PDN, family members residing with the participant, including legally responsible individuals, spouses and legal guardians are eligible to be paid caregivers. This will be extremely critical in situations where a participant may be diagnosed with COVID-19 and the family member is the only willing individual to provide services.

Family members must receive training on the participant’s needs and care plan for whom they are rendering these services.

When family members render a waiver service, the provider agency authorized to render the service is responsible for ensuring that services are provided and that billing occurs in accordance with billing and service documentation requirements.”

Participants will not lose waiver services or receive a reduction in waiver services as a result of this change. Participants will still be able to select their providers from a pool of any willing and qualified providers and continue to receive services in the same amount, duration, and scope.

Note: Providers have reported minimal use of this flexibility. In addition, with rate increases effective 7/1/22, provider associations report the volume of new direct service worker applications have increased.

g. X Temporarily modify person-centered service plan development process and individual(s) responsible for person-centered service plan development, including qualifications.

[Describe any modifications including qualifications of individuals responsible for service plan development, and address Participant Safeguards. Also include strategies to ensure that services are received as authorized.]

Rescind the following effective 02/01/23: “The state will ensure the person-centered service plan is modified to allow for additional supports/and or services to respond to the COVID-19 pandemic. The specificity of such services including amount, duration and scope will be appended as soon as possible to ensure that the specific service is delineated accordingly to the date it began to be received. The care coordinator must submit the request for additional supports/services, as well as the date the verbal consent was provided, no later than 30 days from the date the service begins. The state is allowing verbal consent by telephone for signatures as authorized by Section 1135 authority.”

Participants will not lose waiver services or receive a reduction in waiver services as a result of this change. Participants will still be able to select their providers from a pool of any willing and qualified providers and continue to receive services in the same amount, duration, and scope.

Appendix K Addendum: COVID-19 Pandemic Response

1. HCBS Regulations

- a. ☐ Not comply with the HCBS settings requirement at 42 CFR 441.301(c)(4)(vi)(D) that individuals are able to have visitors of their choosing at any time, for settings added after March 17, 2014, to minimize the spread of infection during the COVID-19 pandemic.

2. Services

- a. ☒ Add an electronic method of service delivery (e.g. telephonic) allowing services to continue to be provided remotely in the home setting for:
- i. ☒ Case management
 - ii. ☒ Personal care services that only require verbal cueing
 - iii. ☐ In-home habilitation
 - iv. ☒ Monthly monitoring (i.e., in order to meet the reasonable indication of need for services requirement in 1915(c) waivers).
 - v. ☒ Other *[Describe]*:

Rescind the following flexibility effective 02/01/23: Allow for the modification of the face-to-face requirements in all other waiver services, including PDN. Traditional face-to-face services may be delivered through non-face-to-face methods (i.e., telephone, video, text, email), if practical and necessary.

Participants will not lose waiver services or receive a reduction in waiver services as a result of this change. Participants will still be able to select their providers from a pool of any willing and qualified providers and continue to receive services in the same amount, duration, and scope.

- b. ☐ Add home-delivered meals
- c. ☐ Add medical supplies, equipment and appliances (over and above that which is in the state plan)
- d. ☐ Add Assistive Technology

3. Conflict of Interest: The state is responding to the COVID-19 pandemic personnel crisis by authorizing case management entities to provide direct services. Therefore, the case management entity qualifies under 42 CFR 441.301(c)(1)(vi) as the only willing and qualified entity.

- a. ☐ Current safeguards authorized in the approved waiver will apply to these entities.
- b. ☐ Additional safeguards listed below will apply to these entities.

N/A

4. Provider Qualifications

- a. ☐ Allow spouses and parents of minor children to provide personal care services
- b. ☒ Allow a family member to be paid to render services to an individual.

Rescind the following effective 04/01/23: Family members must be employed by or contracted with a MHN contracted provider. For WAC, family members who do not live in the same residence and are not legally responsible individuals, spouses, or legal guardians may provide services when no other caregiver is available.

Participants will not lose waiver services or receive a reduction in waiver services as a result of this change. Participants will still be able to select their providers from a pool of any willing and qualified providers and continue to receive services in the same amount, duration, and scope.

Note: Providers have reported minimal use of this flexibility. In addition, with rate increases effective 7/1/22, provider associations report the volume of new direct service worker applications have increased.

- c. ☐ Allow other practitioners in lieu of approved providers within the waiver. *[Indicate the providers and their qualifications]*

- d. ☐ Modify service providers for home-delivered meals to allow for additional providers, including non-traditional providers.

5. Processes

- a. ☐ Allow an extension for reassessments and reevaluations for up to one year past the due date.
- b. ☐ Allow the option to conduct evaluations, assessments, and person-centered service planning meetings virtually/remotely in lieu of face-to-face meetings.
- c. ☒ Adjust prior approval/authorization elements approved in waiver.
- d. ☐ Adjust assessment requirements
- e. ☐ Add an electronic method of signing off on required documents such as the person-centered service plan.

Contact Person(s)

A. The Medicaid agency representative with whom CMS should communicate regarding the request:

First Name: Glenda
Last Name Kremer
Title: Assistant Deputy Director, Program Operations
Agency: Missouri Department of Social Services, MO HealthNet Division
Address 1: 615 Howerton Court
Address 2: PO Box 6500
City Jefferson City
State Missouri
Zip Code 65102-6500
Telephone: (573) 751-6962
E-mail Glenda.a.kremer@dss.mo.gov
Fax Number (573) 526-4651

B. If applicable, the State operating agency representative with whom CMS should communicate regarding the waiver is:

First Name: Lisa
Last Name Crandall
Title: Bureau Chief
Agency: Missouri Department of Health & Senior Services, Division of Community and Public Health, Bureau of Special Health Care Needs
Address 1: 920 Wildwood Drive
Address 2: PO Box 570
City Jefferson City
State Missouri
Zip Code 65109
Telephone: (573) 751-6246
E-mail Lisa.crandall@health.mo.gov
Fax Number (573) 751-6237


8. Authorizing Signature

Signature: /S/

Date: 10/17/2022

State Medicaid Director or Designee

First Name: Todd
Last Name Richardson
Title: Director
Agency: MO HealthNet
Address 1: PO Box 6500
Address 2: Click or tap here to enter text.
City Jefferson City
State Missouri
Zip Code 65102
Telephone: (573) 751-6922
E-mail Leann.hager@dss.mo.gov
Fax Number (573) 751-6564



ⁱ Numerous changes that the state may want to make may necessitate authority outside of the scope of section 1915(c) authority. States interested in changes to administrative claiming or changes that require section 1115 or section 1135 authority should engage CMS in a discussion as soon as possible. Some examples may include: (a) changes to administrative activities, such as the establishment of a hotline; or (b) suspension of general Medicaid rules that are not addressed under section 1915(c) such as payment rules or eligibility rules or suspension of provisions of section 1902(a) to which 1915(c) is typically bound.