

# APPENDIX K: Emergency Preparedness and Response

## Background:

This standalone appendix may be utilized by the state during emergency situations to request amendment to its approved waiver. It includes actions that states can take under the existing Section 1915(c) home and community-based waiver authority in order to respond to an emergency. Other activities may require the use of various other authorities such as the Section 1115 demonstrations or the Section 1135 authorities.<sup>i</sup> This appendix may be completed retroactively as needed by the state.

## Appendix K-1: General Information

### General Information:

A. State: Indiana

B. Waiver Title: Family Supports Waiver (FSW)

C. Control Number:

IN.0387.R04.04

D. Type of Emergency (The state may check more than one box):

<input checked="" type="radio"/>	Pandemic or Epidemic
<input type="radio"/>	Natural Disaster
<input type="radio"/>	National Security Emergency
<input type="radio"/>	Environmental
<input type="radio"/>	Other (specify):

E. **Brief Description of Emergency.** *In no more than one paragraph each*, briefly describe the: 1) nature of emergency; 2) number of individuals affected and the state's mechanism to identify individuals at risk; 3) roles of state, local and other entities involved in approved waiver operations; and 4) expected changes needed to service delivery methods, if applicable. The state should provide this information for each emergency checked if those emergencies affect different geographic areas and require different changes to the waiver.

1. On March 6, 2020, Indiana Governor Eric Holcomb declared a public health emergency in all 92 Indiana counties. This statewide declaration from the Governor complements President Donald Trump's declaration of a national emergency on March, 13, 2020. In response to the emergence and spread of Coronavirus disease (COVID-19) and the serious health risk it poses to Indiana's intellectual and developmental disabilities population living in the community, Indiana Division of Disability and Rehabilitation Services (DDRS) anticipates providers will encounter difficulty delivering FSW waiver services according to current waiver requirements. DDRS seeks temporary amendments to its waiver authority to ensure the health and safety of both individuals receiving and providers delivering FSW waiver services.

2. This waiver amendment is applicable to participants in all 92 Indiana counties as part of the statewide public health emergency. Indiana's FSW waiver serves approximately 20,000 participants throughout the 92 counties. DDRS will rely on case managers and waiver providers as front-line contacts for addressing concerns of waiver participants (and family members). Additionally, case managers and waiver providers will assist waiver participants in developing a plan to continue services to the extent feasible during this public health emergency. DDRS will continue to communicate regularly with case managers and waiver providers with updates on the waiver. DDRS will continue to direct participant and provider general questions about COVID-19 to the ISDH Epidemiology Resource Center.

3. These roles are defined in Appendix A in section A-1 and 2.

4. This Appendix K amendment is additive to the previously approved Appendix K and includes the following:

Extends the end effective date from February 28, 2021 to six months after the conclusion of the federal public health emergency (PHE).

The state is requesting immediate implementation to avoid any adverse effect on participants' health and safety and providers' capacity to deliver services. Should a provider be unable to deliver services during this emergency, another enrolled BDDS approved HCBS Family Supports Waiver (FSW) service provider or providers will be authorized immediately, and the participant's service plan will be updated. Providers will be asked to submit monthly reports to case managers and BDDS of agency or facility temporary closure as well as ongoing efforts to re-start services for participants. Based on the provider reports and Indiana State Department of Health, Indiana BDDS will report on the state of the state monthly, as well. Indiana will report on the status of utilization of services outlined in Appendix K in a format acceptable to CMS.

**F. Proposed Effective Date: Start Date: 03/01/2020 Anticipated End Date: six months after the conclusion of the (PHE).**

**G. Description of Transition Plan.**

Indiana DDRS does not anticipate the temporary changes captured in this Appendix K to have any adverse impact on waiver participants either during the effective period of Appendix K or in the transition period back to the current approved FSW waiver. At the expiration of Appendix K, service limitations, service settings and provider qualifications will revert to the levels found in the currently approved FSW waiver.

#### H. Geographic Areas Affected:

92 of 92 counties.

[https://www.in.gov/fssa/files/Indiana\\_county\\_numbers\\_names.pdf](https://www.in.gov/fssa/files/Indiana_county_numbers_names.pdf)

#### I. Description of State Disaster Plan (if available) *Reference to external documents is acceptable:*

Indiana's State Emergency Operations Plan can be found at:

<https://www.in.gov/isdh/28470.htm>

## 8. Authorizing Signature

**Signature:**

**Date:** 01/21/2021

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State Medicaid Director or Designee

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