## **Table of Contents**

# State/Territory Name: California

# State Plan Amendment (SPA) #: 23-0022

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services 601 E. 12th St., Room 355 Kansas City, Missouri 64106



Medicaid and CHIP Operations Group

November 30, 2023

Michelle Baass Director and Interim State Medicaid Director California Department of Health Care Services P.O. Box 997413, MS 0000 Sacramento, CA 95899-7413

Re: California State Plan Amendment (SPA) 23-0022

Dear Director Baass:

The Centers for Medicare & Medicaid Services (CMS) reviewed your Medicaid State Plan Amendment (SPA) submitted under transmittal number (TN) 23-0022. This amendment will align services provided by Registered Dental Hygienists (RDHs), Registered Dental Hygienists in Extended Functions (RDHEFs), and Registered Dental Hygienists in Alternative Practice (RDHAPs) with the state's scope of practice laws.

We conducted our review of your submittal according to statutory requirements in Title XIX of the Social Security Act and implementing regulations at 42 Code of Federal Regulations (CFR) 440.60. This letter is to inform you that California Medicaid SPA 23-0022 was approved on November 29, 2023, with an effective date of December 1, 2023.

If you have any questions, please contact Cheryl Young at 415-744-3598 or via email at Cheryl.Young@cms.hhs.gov.

Sincerely,

Digitally signed by James G. Scott -S Date: 2023.11.30

09:02:15 -06'00' James G. Scott, Director Division of Program Operations

Enclosures

TRANSMITTAL AND NOTICE OF APPROVAL OF	1. TRANSMITTAL NUMBER         2. STATE           2         3         0         0         2         CA	
	3. PROGRAM IDENTIFICATION: TITLE OF THE SOCIAL	
FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES	SECURITY ACT O XIX O XXI	
TO: CENTER DIRECTOR	4. PROPOSED EFFECTIVE DATE	
CENTERS FOR MEDICAID & CHIP SERVICES DEPARTMENT OF HEALTH AND HUMAN SERVICES	December 1, 2023	
5. FEDERAL STATUTE/REGULATION CITATION 13 U.S.C. Section 1396a; 42 C.F.R. 447, Subpart 7	6. FEDERAL BUDGET IMPACT (Amounts in WHOLE dollars) a FFY 2024 \$ 0	
Sec. 1905(a)(6) of the Social Security Act & 42 CFR 440.60	b. FFY2025\$_0	
7. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT	8. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION	
Attachment 4.19 B, Page 20b-	OR ATTACHMENT (If Applicable)	
Limitations on Attachment 3.1-A, pages 12a.1-12a.6 Limitations on Attachment 3.1-B, pages 12a.1-12a.6	Attachment 4.19 B, Page 20b Limitations on Attachment 3.1-A, pages 12a.1-12a.6	
Linitations on Attachment 5.1-b, pages 12a.1-12a.0	Limitations on Attachment 3.1-B, pages 12a.1-12a.6	
	-,,	
9. SUBJECT OF AMENDMENT See Box 22 below for revised descript	ion.	
Updates Medi-Cal dental provider enrollment requirements for Re		
update the associated supervision levels and referral requiremen		
Hygienist in Extended Functions, and RDHAP as specified in Bus	ciness and Protessions Code 1900 1931 and Manual of Criteria.	
10. GOVERNOR'S REVIEW (Check One)	-	
${igodymbol{ extsf{Q}}}$ governor's office reported no comment	OTHER, AS SPECIFIED:	
COMMENTS OF GOVERNOR'S OFFICE ENCLOSED	Please note: The Governor's Office does not wish to review	
O NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL	the State Plan Amendment.	
11. SIGNATURE OF STATE AGENCY OFFICIAL	15. RETURN TO	
	Department of Health Care Services	
12. TYPED NAME	n: Director's Office D. Box 997413, MS 0000	
Jacey Cooper	Sacramento, CA 95899-7413	
13. TITLE		
State Medicaid Director		
14. DATE SUBMITTED		
September 15, 2023 FOR CMS	ISE ONLY	
16. DATE RECEIVED	17. DATE APPROVED	
September 15, 2023	November 29, 2023	
PLAN APPROVED - 0	NE COPY ATTACHED	
18. EFFECTIVE DATE OF APPROVED MATERIAL	OVING OFFICIAL Digitally signed by James G. Scott -S	
December 1, 2023	Digitally signed by James G. Scott - 3 Date: 2023.11.30 09:02:42 -06'00'	
20. TYPED NAME OF APPROVING OFFICIAL	21. TITLE OF APPROVING OFFICIAL	
James G. Scott	Director, Division of Program Operations	
22. REMARKS		
Box 5: CMS pen & ink change to update applicable citations based on e	mail with CA dated 11/16/23.	

Box 7-8: CMS made a pen & ink change to strikeout reimbursement page that the state withdrew per state's written response dated 11/16/23 to CMS's informal comments.

Box 9: CMS pen & ink change to revise description per email with CA dated 11/17/23 as "Aligns services provided by Registered Dental Hygienists (RDHs), Registered Dental Hygienists in Extended Functions (RDHEFs), and Registered Dental Hygienists in Alternative Practice (RDHAPs) with the state's scope of practice laws."

FORM CMS-179 (09/24)

(This chart is an overview only)		Limitations on Attachment 3.1-A
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d6 Licensed Registered Dental Hygienists' services       All services permitted under scope of practice o a licensed Registered Dental Hygienists (RDH) as medically necessary, subject to limitations. All licensed RDHs meet Federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6).	<ul> <li>A licensed RDH may provide services within the RDH's scope of practice as permitted by their state licensing board which include, but are not limited to, educational services, oral health training programs, oral health screenings, and scaling and root planing services.</li> <li>A licensed RDH is authorized to provide treatment performed in the following settings and under the following conditions:</li> <li>In a public health program, created by federal, state, or local law; or</li> </ul>	
		<ul> <li>In a public health program, administered by a federal, state, county, or local governmental entity; at a sponsored event by a sponsoring entity or at a nonprofit organization; and,</li> <li>The licensed RDH shall also be employed by said program and must provide documentation from the public health program attesting to the licensed RDH's employment upon program enrollment.</li> </ul>

\*Prior authorization is not required for emergency services.

\*\*Coverage is limited to medically necessary services.

TN Number <u>23-0022</u> Supersedes TN Number: <u>18-0025</u> Approval Date: November 29, 2023

Effective Date: <u>December 1, 2023</u>

(This chart is an overview only)		Limitations on Attachment 3.1-A
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d6 Licensed Registered Dental		All licensed RDHs shall refer any screened patients with possible
Hygienists' services (continued)		oral abnormalities to a dentist for a comprehensive examination,
		diagnosis, and treatment plan.
		Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDH that are a benefit of the Medi-Cal Dental program and are permitted by the state statutes and regulations are covered.
		Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). The Medi-Cal Dental Manual of Criteria identifies which services require prior authorization requirements for the above-mentioned services including RDHs. Prior authorization requirements are the same for EPSDT-eligible and other beneficiaries.

\*Prior authorization is not required for emergency services.

\*\*Coverage is limited to medically necessary services.

TN Number: <u>23-0022</u> Supersedes TN Number: <u>18-0025</u> Approval Date: November 29, 2023

Effective Date: December 1, 2023

(This chart is an overview only)		Limitations on Attachment 3.1-A
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d7 Licensed Registered Dental Hygienists in Extended Functions' services	All services permitted under scope of practice for a licensed Registered Dental Hygienists in Extended Functions (RDHEFs) as medically necessary, subject to limitations. All licensed RDHEFs meet Federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6).	A licensed RDHEF may provide services within the RDHEF's scope of practice as permitted by their state licensing board which include, but are not limited to, educational services, oral health training programs, oral health screenings, and scaling and root
		RDHEF's employment upon program enrollment.

\*Prior authorization is not required for emergency services. \*\*Coverage is limited to medically necessary services.

TN Number: <u>23-0022</u> Supersedes TN Number: <u>18-0025</u> Approval Date: November 29, 2023

Effective Date: December 1, 2023

(This chart is an overview only)		Limitations on Attachment 3.1-A
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d7 Licensed Registered Dental		All licensed RDHEFs shall refer any screened patients with possible
Hygienists in Extended Functions'		oral abnormalities to a dentist for a comprehensive examination,
services (continued)		diagnosis, and treatment plan.
		Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDHEF that are a benefit of the Medi-Cal Dental program and are permitted by state statutes and regulations are covered.
		Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). The Medi-Cal Dental Manual of Criteria identifies which services require prior authorization including RDHEFs. Prior authorization requirements are the same for EPSDT-eligible and other beneficiaries.

\*Prior authorization is not required for emergency services. \*\*Coverage is limited to medically necessary services.

TN Number: <u>23-0022</u> Supersedes TN Number: <u>18-0025</u> Approval Date: November 29, 2023

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(This chart is an overview only)		Limitations on Attachment 3.1-A
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d8 Licensed Registered Dental Hygienists in Alternative Practice's services	All services permitted under scope of practice for a licensed Registered Dental Hygienists in Alternative Practice (RDHAPs) as medically necessary, subject to limitations. All licensed RDHAPs meet Federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6).	A licensed RDHAP may provide services within the RDHAP's scope of practice as permitted by their state licensing board which include, but are not limited to, educational services, oral health training programs, oral health screenings, and scaling and root planing services. All licensed RDHAPs are authorized to provide treatment performed in the following settings: residences of the homebound, schools, residential facilities and other settings permissible under federal and state law.

\*Prior authorization is not required for emergency services. \*\*Coverage is limited to medically necessary services.

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(This chart is an overview only)		Limitations on Attachment 3.1-A
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d8 Licensed Registered Dental Hygienists in Alternative Practice's services (continued)		<ul> <li>All licensed RDHAPs shall refer any screened patients with possible oral abnormalities to a dentist for a comprehensive examination, diagnosis, and treatment plan. Upon enrollment, all RDHAPs shall provide documentation of an existing relationship with at least one dentist for referral, consultation, and emergency services.</li> <li>Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDHAP that are a benefit of the Medi-Cal Dental program and are permitted by state statutes and regulations are covered.</li> <li>Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). Prior authorization is required for Scaling and Root Planing. The Medi-Cal Dental Manual of Criteria identifies which services require prior</li> </ul>
		authorization including RDHAPs. Prior authorization requirements are the same for EPSDT-eligible and other beneficiaries.

\*Prior authorization is not required for emergency services. \*\*Coverage is limited to medically necessary services.

TN Number: <u>23-0022</u> Supersedes TN Number: <u>18-0025</u> Approval Date: November 29, 2023

Effective Date: December 1, 2023

(This chart is an overview only)		Limitations on Attachment 3.1-B
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d6 Licensed Registered Dental Hygienists' services All services permitted under scope of practice of a licensed Registered Dental Hygienists (RDH) as medically necessary, subject to limitations. All licensed RDHs meet Federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6).	A licensed RDH may provide services within the RDH's scope of practice as permitted by their state licensing board which include, but are not limited to, educational services, oral health training programs, oral health screenings, and scaling and root planing services. A licensed RDH is authorized to provide treatment performed in	
		<ul> <li>the following settings and under the following conditions:</li> <li>In a public health program, created by federal, state, or local law; or</li> <li>In a public health program, administered by a federal, state, county, or local governmental entity; at a sponsored event by a sponsoring entity or at a nonprofit organization; and,</li> <li>The licensed RDH shall also be employed by said program and must provide documentation from the public health program attesting to the licensed RDH's employment upon program enrollment.</li> </ul>

\*Prior authorization is not required for emergency services.

\*\*Coverage is limited to medically necessary services.

TN Number: <u>23-0022</u> Supersedes TN Number: <u>18-0025</u> Approval Date: November 29, 2023

Effective Date: <u>December 1, 2023</u>

(This chart is an overview only)		Limitations on Attachment 3.1-B
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d6 Licensed Registered Dental		All licensed RDHs shall refer any screened patients with possible
Hygienists' services (continued)		oral abnormalities to a dentist for a comprehensive examination,
		diagnosis, and treatment plan.
		Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDH that are a benefit of the Medi-Cal Dental program and are permitted by the state statutes and regulations are covered.
		Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). The Medi-Cal Dental Manual of Criteria identifies which services require prior authorization requirements for the above-mentioned services including RDHs. Prior authorization requirements are the same for EPSDT-eligible and other beneficiaries.

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(This chart is an overview only)		Limitations on Attachment 3.1-B
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d7 Licensed Registered Dental Hygienists in Extended Functions' services	All services permitted under scope of practice for a licensed Registered Dental Hygienists in Extended Functions (RDHEFs) as medically necessary, subject to limitations. All licensed RDHEFs meet Federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6).	<ul> <li>A licensed RDHEF may provide services within the RDHEF's scope of practice as permitted by their state licensing board which include, but are not limited to, educational services, oral health training programs, oral health screenings, and scaling and root planing services.</li> <li>A licensed RDHEF is authorized to provide treatment performed in the following settings and under the following conditions: <ul> <li>In a public health program, created by federal, state, or local law; or</li> <li>In a public health program, administered by a federal, state, county, or local governmental entity; and</li> <li>The licensed RDHEF shall also be employed by said program and must provide documentation from the public health program attesting to the licensed</li> </ul> </li> </ul>
		RDHEF's employment upon program enrollment.

\*Prior authorization is not required for emergency services. \*\*Coverage is limited to medically necessary services.

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	Limitations on Attachment 3.1-B
PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
	All licensed RDHEFs shall refer any screened patients with possible
	oral abnormalities to a dentist for a comprehensive examination,
	diagnosis, and treatment plan.
	Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDHEF that are a benefit of the Medi-Cal Dental program and are permitted by state statutes and regulations are covered.
	Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). The Medi-Cal Dental Manual of Criteria identifies which services require prior authorization including RDHEFs. Prior authorization requirements are the same for EPSDT-eligible and other beneficiaries.
	PROGRAM COVERAGE**

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(This chart is an overview only)		Limitations on Attachment 3.1-B
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d8 Licensed Registered Dental Hygienists in Alternative Practice's services	All services permitted under scope of practice for a licensed Registered Dental Hygienists in Alternative Practice (RDHAPs) as medically necessary, subject to limitations. All licensed RDHAPs meet Federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6).	A licensed RDHAP may provide services within the RDHAP's scope of practice as permitted by their state licensing board which include, but are not limited to, educational services, oral health training programs, oral health screenings, and scaling and root planing services. All licensed RDHAPs are authorized to provide treatment performed in the following settings: residences of the homebound, schools, residential facilities and other settings permissible under federal and state law.

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(This chart is an overview only)		Limitations on Attachment 3.1-B
TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d8 Licensed Registered Dental		All licensed RDHAPs shall refer any screened patients with possible
Hygienists in Alternative Practice's		oral abnormalities to a dentist for a comprehensive examination,
services (continued)		diagnosis, and treatment plan. Upon enrollment, all RDHAPs shall
		provide documentation of an existing relationship with at least one
		dentist for referral, consultation, and emergency services.
		Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDHAP that are a benefit of the Medi-Cal Dental program and are permitted by state statutes and regulations are covered.
		Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). Prior authorization is required for Scaling and Root Planing. The Medi-Cal Dental Manual of Criteria identifies which services require prior authorization including RDHAPs. Prior authorization requirements are the same for EPSDT-eligible and other beneficiaries.

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