

## **Table of Contents**

**State/Territory Name: Pennsylvania**

**State Plan Amendment (SPA) #: 22-0011**

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
601 E. 12th St., Room 355  
Kansas City, Missouri 64106



Medicaid and CHIP Operations Group

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June 7, 2022

M. Snead  
Acting Secretary  
Pennsylvania Department of Human Services  
P.O. Box 2675  
Harrisburg, PA 17105

Re: Pennsylvania State Plan Amendment (SPA) 22-0011

Dear Acting Secretary Snead:

The Centers for Medicare & Medicaid Services (CMS) reviewed your Medicaid State Plan Amendment (SPA) submitted under transmittal number (TN) 22-0011. This amendment proposes add community-based care management services provided by opioid use disorder Centers of Excellence to the state plan.

We conducted our review of your submittal according to statutory requirements in Title XIX of the Social Security Act and implementing regulations at 42 CFR 440.130(d). This letter is to inform you that Pennsylvania Medicaid SPA 22-0011 was approved on June 6, 2022 with an effective date of January 1, 2022.

If you have any questions, please contact Dan Belnap at 215-861-4273 or via email at [Dan.Belnap@cms.hhs.gov](mailto:Dan.Belnap@cms.hhs.gov).

Sincerely,

A solid black rectangular box redacting the signature of James G. Scott.

James G. Scott, Director  
Division of Program Operations

cc: Eve Lickers  
Gwendolyn Zander  
Pam Machamer-Peechatka  
Lacey Gates

**TRANSMITTAL AND NOTICE OF APPROVAL OF  
STATE PLAN MATERIAL  
FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES**

1. TRANSMITTAL NUMBER

2 2 — 0 0 1 1

2. STATE

PA

3. PROGRAM IDENTIFICATION: TITLE OF THE SOCIAL SECURITY ACT

XIX  XXI

TO: CENTER DIRECTOR  
CENTERS FOR MEDICAID & CHIP SERVICES  
DEPARTMENT OF HEALTH AND HUMAN SERVICES

4. PROPOSED EFFECTIVE DATE

January 1, 2022

5. FEDERAL STATUTE/REGULATION CITATION

42 CFR 440.130(d)

6. FEDERAL BUDGET IMPACT (Amounts in WHOLE dollars)

a. FFY 2022 \$ 0  
b. FFY 2023 \$ 0

7. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT

Attachment 3.1A/3.1B - pages 6i and 6j  
Attachment 4.19B - page 11a

8. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If Applicable)

Attachment 3.1A/3.1B - New pages  
Attachment 4.19B - New page

9. SUBJECT OF AMENDMENT

Addition of community-based care management services provided by a COE to the Medicaid State Plan.

10. GOVERNOR'S REVIEW (Check One)

- GOVERNOR'S OFFICE REPORTED NO COMMENT  
 COMMENTS OF GOVERNOR'S OFFICE ENCLOSED  
 NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL

OTHER, AS SPECIFIED:

11. SIGNATURE OF STATE AGENCY OFFICIAL

12. TYPED NAME  
M. Snead

13. TITLE  
Acting Secretary of Human Services

14. DATE SUBMITTED  
March 18, 2022

15. RETURN TO

Commonwealth of Pennsylvania  
Department of Human Services  
Office of Medical Assistance Programs  
Bureau of Policy, Analysis and Planning  
P.O. Box 2675  
Harrisburg, Pennsylvania 17105-2675

**FOR CMS USE ONLY**

16. DATE RECEIVED  
March 18, 2022

17. DATE APPROVED  
June 6, 2022

**PLAN APPROVED - ONE COPY ATTACHED**

18. EFFECTIVE DATE OF APPROVED MATERIAL  
January 1, 2022

19. SIGNATURE OF APPROVING OFFICIAL

20. TYPED NAME OF APPROVING OFFICIAL  
James G. Scott

21. TITLE OF APPROVING OFFICIAL  
Director, Division of Program Operations

22. REMARKS

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SERVICES

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**13d. Rehabilitative Services (continued)**

**(v) Community-Based Care Management Services by Opioid Use Disorder Centers of Excellence (42 CFR 440.130(d))**

Community-Based Care Management (CBCM) Services by Opioid Use Disorder Centers of Excellence (COEs) are rehabilitative services specifically designed for individuals with an opioid use disorder (OUD). These services are designed to increase access to Medication Assisted Treatment (MAT), improve coordination between physical and behavioral healthcare providers, and keep individuals engaged in the recovery process along the full continuum of care. These services are recommended by a physician or other licensed practitioner of the healing arts within the scope of practice under state law.

COEs use CBCM teams to coordinate the care of individuals with an OUD. In addition to providing physical and/or behavioral healthcare services, including MAT, onsite, COEs use a blend of licensed and unlicensed, clinical, and non-clinical staff to coordinate the care needs of an individual to ensure that their clinical and non-clinical needs are met.

CBCM services include the provision of the following services: *screening and assessment, care planning, referrals, and monitoring*. The following is a description of these service components:

- (1) Screening and Assessment
  - a. Assessments to identify an individual's needs related to Social Determinants of Health, administered in home and community-based settings whenever practicable.
  - b. Level of Care Assessments, which may be completed either by the COE or through a referral. If a level of care assessment results in a recommendation of MAT, the COE must provide education related to MAT.
  - c. Screenings for clinical needs that require referrals or treatment.
- (2) Care Planning
  - a. Development of integrated, individualized care plans that include, at a minimum, an individual's treatment and non-treatment needs, the individual's preferred method of care management (face-to-face meetings, phone calls, or secure messaging application), and the identities of the individual's CBCM team members, as well as the members of the individual's support system.
  - b. Care coordination with an individual's primary care provider, mental health service provider, drug and alcohol treatment provider, pain management provider, obstetrician or gynecologist, and Managed Care Organization (MCO), as applicable.
  - c. Facilitating referrals to necessary and appropriate clinical services according to the individual's care plan.
  - d. Facilitating referrals to any ASAM Level of Care that is clinically appropriate according to a Level of Care Assessment.
  - e. Facilitating referrals to necessary and appropriate non-clinical services according to the results of the individual's needs identified through a Social Determinants of Health screening.

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TN No. 22-0011  
Supersedes \_\_\_\_\_  
TN No. New

Approval Date June 6, 2022

Effective Date January 1, 2022

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SERVICES

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**13d. (v) Opioid Use Disorder Centers of Excellence Services (42 CFR 440.130(d))(continued)**

(3) Monitoring

- a. Individualized follow-up with an individual and monitoring of an individual's progress per the individual's care plan, including referrals for clinical and non-clinical services.
- b. Continued and periodic re-assessment of an individual's Social Determinants of Health needs.
- c. Performing Urine Drug Screenings at least monthly or as identified in the care plan.

COE CBCM services can be provided by a certified recovery specialist, physicians, licensed nurses, licensed clinical social workers, licensed professional counselors, care managers and drug and alcohol counselors.

Certified recovery specialists are individuals with personal, lived experience of their own recovery who have completed 78 instructional hours of Recovery Specialist training and are certified as a recovery specialist.

Care managers are individuals with a degree in nursing, social work, or social services.

Drug and alcohol counselors are individuals certified by a certification body to provide alcohol, drug, or addiction counseling services.

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TN No. 22-0011

Supersedes

Approval Date June 6, 2022

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TN No.         New

METHODS AND STANDARDS FOR ESTABLISHING PAYMENT RATES – OTHER TYPES OF CARE

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27. Community Based care Management by Opioid Use Disorder Centers of Excellence (COEs) – Payment rates for Community Based care Management by Opioid Use Disorder COEs are listed in the Medical Assistance Program Fee Schedule, which is posted on the Department’s website at: <https://www.dhs.pa.gov/providers/Providers/Pages/Health%20Care%20for%20Providers/MA-Fee-Schedule.aspx>. Subsequent adjustments to the fee schedule are announced by public notice published in the Pennsylvania Bulletin.

Except as otherwise noted in the plan, state-developed fee schedule rates are the same for both governmental and private providers. The agency’s fee schedule rates were last updated on January 1, 2022 and are effective for dates of service on or after that date.

State agency fee schedule based on established criteria.

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TN No. 22-0011  
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TN No.       New      

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