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State/Territory Name: New York

State Plan Amendment (SPA) #: 22-0042

This file contains the following documents in the order listed:

1) Approval Letter
2) CMS 179 Form/Summary Form (with 179-like data)
3) Approved SPA Pages
09/12/2022

Amir Bassiri
Medicaid Director
Office of Health Insurance Programs
New York State Department of Health
One Commerce Plaza Rm. 1605
Albany, NY 12237

Re: State Plan Amendment (SPA) NY-22-0042

Dear Director Bassiri:

The Centers for Medicare & Medicaid Services (CMS) reviewed your Medicaid State Plan Amendment (SPA) submitted under transmittal number (TN) NY-22-0042. This amendment, in accordance with federal requirements, formalizes Alternative Benefit Plans (ABP) coverage of routine patient costs for items and services furnished in connection with participation by beneficiaries in qualifying clinical trials.

We conducted our review of your submittal according to statutory requirements in Title XIX of the Social Security Act. This letter is to inform you that NY Medicaid SPA 22-0042 was approved on September 9, 2022, with an effective date of April 1, 2022.

If you have any questions, please contact LCDR Frankeena McGuire via email at Frankeena.McGuire@cms.hhs.gov.

Sincerely,

James G. Scott, Director
Division of Program Operations

cc: Regina Deyette, NYDOH
State/Territory name: New York

Transmittal Number:
Please enter the Transmittal Number (TN) in the format ST-YY-0000 where ST= the state abbreviation, YY = the last two digits of the submission year, and 0000 = a four digit number with leading zeros. The dashes must also be entered.
NY-22-0042

Proposed Effective Date
04/01/2022

Federal Statute/Regulation Citation
Section 1902(a)(10)(A)(i)(VIII) of the Act

Federal Budget Impact

<table>
<thead>
<tr>
<th>Federal Fiscal Year</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>First Year</td>
<td>2022</td>
</tr>
<tr>
<td></td>
<td>$ 0.00</td>
</tr>
<tr>
<td>Second Year</td>
<td>2023</td>
</tr>
<tr>
<td></td>
<td>$ 0.00</td>
</tr>
</tbody>
</table>

Subject of Amendment
This amendment, in accordance with federal requirements, formalizes Alternative Benefit Plans (ABP) coverage of routine patient costs for items and services furnished in connection with participation by beneficiaries in qualifying Governor's Office Review

- Governor's office reported no comment
- Comments of Governor's office received
  Describe:

- No reply received within 45 days of submittal
- Other, as specified
  Describe:

Signature of State Agency Official

Submitted By: Michelle Levesque
Last Revision Date: Jun 29, 2022
Submit Date: Jun 29, 2022
Alternative Benefit Plan

State Name: New York
Transmittal Number: NY - 22 - 0042

Attachment 3.1-L- OMB Control Number: 09381148

Alternative Benefit Plan Populations

Identify and define the population that will participate in the Alternative Benefit Plan.

Alternative Benefit Plan Population Name: Adult Group

Identify eligibility groups that are included in the Alternative Benefit Plan's population, and which may contain individuals that meet any targeting criteria used to further define the population.

Eligibility Groups Included in the Alternative Benefit Plan Population:

<table>
<thead>
<tr>
<th>Add</th>
<th>Eligibility Group:</th>
<th>Enrollment is mandatory or voluntary?</th>
<th>Remove</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Remove</td>
</tr>
</tbody>
</table>

Enrollment is available for all individuals in these eligibility group(s). Yes

Geographic Area

The Alternative Benefit Plan population will include individuals from the entire state/territory. Yes

Any other information the state/territory wishes to provide about the population (optional)

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.
Voluntary Benefit Package Selection Assurances - Eligibility Group under Section 1902(a)(10)(A)(i)(VIII) of the Act

The state/territory has fully aligned its benefits in the Alternative Benefit Plan using Essential Health Benefits and subject to 1937 requirements with its Alternative Benefit Plan that is the state’s approved Medicaid state plan that is not subject to 1937 requirements. Therefore the state/territory is deemed to have met the requirements for voluntary choice of benefit package for individuals exempt from mandatory participation in a section 1937 Alternative Benefit Plan.

<table>
<thead>
<tr>
<th>ABP2a</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
</tbody>
</table>

Explain how the state has fully aligned its benefits in the Alternative Benefit Plan using Essential Health Benefits and subject to 1937 requirements with its Alternative Benefit Plan that is the state’s approved Medicaid state plan that is not subject to 1937 requirements.

The state first chose the Standard Blue Cross/Blue Shield Preferred Provider Option offered through the Federal Employee Health Benefit Program as the benchmark plan and compared it to the Essential Health Benefits and to the Medicaid State Plan. The Medicaid State Plan covers all the benefits in the benchmark plan except chiropractic services. The state is proposing to substitute personal care services from the Medicaid State Plan for this benchmark covered benefit. In addition to EHBs, the ABP includes the 1937 covered benefits in the Medicaid State Plan.

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

V.20160722
State Name: New York

Selection of Benchmark Benefit Package or Benchmark-Equivalent Benefit Package

Select one of the following:

- The state/territory is amending one existing benefit package for the population defined in Section 1.
- The state/territory is creating a single new benefit package for the population defined in Section 1.

Name of benefit package: Adult Group Benefit

Selection of the Section 1937 Coverage Option

The state/territory selects as its Section 1937 Coverage option the following type of Benchmark Benefit Package or Benchmark-Equivalent Benefit Package under this Alternative Benefit Plan (check one):

- Benchmark Benefit Package.
- Benchmark-Equivalent Benefit Package.

The state/territory will provide the following Benchmark Benefit Package (check one that applies):

- The Standard Blue Cross/Blue Shield Preferred Provider Option offered through the Federal Employee Health Benefit Program (FEHBP).
- State employee coverage that is offered and generally available to state employees (State Employee Coverage):
- A commercial HMO with the largest insured commercial, non-Medicaid enrollment in the state/territory (Commercial HMO):
- Secretary-Approved Coverage.

- The state/territory offers benefits based on the approved state plan.
- The state/territory offers an array of benefits from the section 1937 coverage option and/or base benchmark plan benefit packages, or the approved state plan, or from a combination of these benefit packages.
- The state/territory offers the benefits provided in the approved state plan.
- Benefits include all those provided in the approved state plan plus additional benefits.
- Benefits are the same as provided in the approved state plan but in a different amount, duration and/or scope.
- The state/territory offers only a partial list of benefits provided in the approved state plan.
- The state/territory offers a partial list of benefits provided in the approved state plan plus additional benefits.

Please briefly identify the benefits, the source of benefits and any limitations:

Medicaid State Plan section 3.1 A Categorically Needy

Selection of Base Benchmark Plan
The state/territory must select a Base Benchmark Plan as the basis for providing Essential Health Benefits in its Benchmark or Benchmark-Equivalent Package.

The Base Benchmark Plan is the same as the Section 1937 Coverage option. No

Indicate which Benchmark Plan described at 45 CFR 156.100(a) the state/territory will use as its Base Benchmark Plan:

- ☐ Largest plan by enrollment of the three largest small group insurance products in the state's small group market.
- ☐ Any of the largest three state employee health benefit plans by enrollment.
- ☐ Any of the largest three national FEHBP plan options open to Federal employees in all geographies by enrollment.
- ☐ Largest insured commercial non-Medicaid HMO.

Plan name: Standard Blue Cross Blue Shield Federal Employee

Other Information Related to Selection of the Section 1937 Coverage Option and the Base Benchmark Plan (optional):

The state assures that all services in the base benchmark have been accounted for throughout the benefit chart found in ABP5. The state assures the accuracy of all information in ABP5 depicting amount, duration and scope parameters of services authorized in the currently approved Medicaid state plan.

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.
Alternative Benefit Plan

State Name: New York

Transmittal Number: NY - 22 - 0042

Attachment 3.1-L- OMB Control Number: 09381148

Alternative Benefit Plan Cost-Sharing

<table>
<thead>
<tr>
<th>ABP4</th>
<th>Any cost sharing described in Attachment 4.18-A applies to the Alternative Benefit Plan.</th>
</tr>
</thead>
<tbody>
<tr>
<td>✓</td>
<td>Attachment 4.18-A may be revised to include cost sharing for ABP services that are not otherwise described in the state plan. Any such cost sharing must comply with Section 1916 of the Social Security Act.</td>
</tr>
<tr>
<td></td>
<td>The Alternative Benefit Plan for individuals with income over 100% FPL includes cost-sharing other than that described in Attachment 4.18-A.</td>
</tr>
<tr>
<td></td>
<td>Other Information Related to Cost Sharing Requirements (optional):</td>
</tr>
<tr>
<td></td>
<td>Existing state plan cost-sharing rules apply to the Adult Group the same as applied to all other Medicaid populations.</td>
</tr>
</tbody>
</table>

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

V.20160722
The state/territory proposes a “Benchmark-Equivalent” benefit package. No

Benefits Included in Alternative Benefit Plan

Enter the specific name of the base benchmark plan selected:

| Standard Blue Cross/Blue Shield Federal Employee Preferred Provider Option |

Enter the specific name of the section 1937 coverage option selected, if other than Secretary-Approved. Otherwise, enter “Secretary-Approved.”

<table>
<thead>
<tr>
<th>Secretary-Approved</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Alternative Benefit Plan will include all mandatory and optional benefits defined in the New York Medicaid State Plan under the categorically needy population designation (3.1A). Utilization thresholds and authorization requirements which apply to the fee-for-service delivery system do not apply to managed care service delivery.</td>
</tr>
</tbody>
</table>
### 1. Essential Health Benefit: Ambulatory patient services

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physician services</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:**
- Prior Authorization

**Provider Qualifications:**
- Medicaid State Plan

**Amount Limit:**
- No limitation

**Duration Limit:**
- None

**Scope Limit:**
- Services include acupuncture services provided by a licensed physician.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:
- Medicaid state plan attachment 3.1A, 5(a) physician services whether furnished in the office, the patient's home, a hospital or elsewhere. Includes services physician directed mental health and substance use disorder services.

### Benefit Provided: Outpatient hospital services

<table>
<thead>
<tr>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:**
- None

**Provider Qualifications:**
- Medicaid State Plan

**Amount Limit:**
- No Limitations

**Duration Limit:**
- None

**Scope Limit:**
- Includes ambulatory surgical centers, free standing clinic, health center and renal dialysis services.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:
- Medicaid state plan attachment 3.1A, 2(a)(d)

### Benefit Provided: Medical Services

<table>
<thead>
<tr>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:**
- None

**Provider Qualifications:**
- Medicaid State Plan

**Amount Limit:**
- No Limitations

**Duration Limit:**
- None

**Scope Limit:**
- Services provided by licensed practitioners within the scope of their practice as defined by state law. Includes Cognitive Rehabilitative Therapy (CRT) provided by licensed providers.
### Alternative Benefit Plan

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1A, 6(a,b,d) includes: nurse, podiatrist, psychologist, social worker, nutritionist, physician assistant, nurse practitioner and other licensed medical service providers.

<table>
<thead>
<tr>
<th>Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinic services</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

- **Authorization:** Other
- **Provider Qualifications:** Medicaid State Plan
- **Amount Limit:** no limitation if medically necessary
- **Duration Limit:** benefit year

Scope Limit:
Includes specialty clinic services.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1A, (9)

Clinic services provided to Medicaid recipients enrolled in managed care plans are exempt from the NYS Utilization Threshold program. Individuals in the new adult group will be enrolled in managed care plans. This population will not be subject to the service limits defined in the UT Program.

Medicaid enrollees who access their covered benefits via the Fee-For-Service delivery system are subject to service limits for non-exempt clinic services as defined in the NYS Medicaid Utilization Threshold (UT) Program. The UT Program places limits on the number of non-exempt clinic services a Medicaid member may receive in a benefit year. These service limits are established based on each member’s clinical information. This information includes diagnoses, procedures, prescription drugs, age and gender. As a result, most Medicaid members have clinically appropriate service limit levels and will not need additional services authorized through the Threshold Override Application (TOA) process. Medicaid enrollees may receive services in excess of the UT Program limits upon the request of the licensed provider for additional services and the submission of documentation supporting the need for continued medical care above the threshold limit. Non-exempt clinic services may be provided to an enrollee who has exceeded the threshold without a request for additional services submitted by the licensed provider (outside the TOA process) in the following instances: immediate/urgent need, services rendered in retroactive period, emergency care, member has temporary Medicaid, request from county for second opinion to determine if member can work, or a request for UT override is pending. These exemptions along with the TOA process ensures that no one receives less than the benchmark benefit or the Medicaid state plan benefit, whichever is greater.

Clinic services, by specialty code that are subject to the UT Program threshold (non-exempt) in the FFS delivery system are: 321, 901, 902, 903, 905, 909, 914 THRU 917, 919 THRU 921, 923 THRU 933, 935, 950 THRU 958, 965, 966, 999. For code definitions see: DATA DICTIONARY, NEW YORK STATE DEPARTMENT OF HEALTH Office of Health Insurance Programs, Provider Network Data System (PNDS), Version 6.7 revised (January 2014)

Clinic services exempt from the UT Program: pediatric general medicine and specialties, child teen health program (CTHP), school supportive health services program, dialysis, oncology, OPWDD clinic treatment and specialty programs, TB/Directly Observed Therapy, Prenatal Care.

<table>
<thead>
<tr>
<th>Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hospice Services</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

TN: NY-22-0042
Superseded TN: NY-20-0076
Approval Date: 09/09/2022
Effective Date: 04/01/2022
### Alternative Benefit Plan

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prior Authorization</td>
<td>Medicaid State Plan</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No limitation</td>
<td>None</td>
</tr>
</tbody>
</table>

**Scope Limit:**

Services are palliative in nature, include supportive medical, social, emotional and spiritual services to terminally ill persons as well as emotional support for family members. Services may be delivered at home, nursing home or hospice residence.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

| Medicaid state plan attachment 3.1A, (18) |

Hospice services are provided to an individual who has been certified (diagnosed) by a physician as being terminally ill, with a life expectancy of approximately twelve months or less. Services include curative treatment for children under age 21. Medicaid Managed Care Enrollees receive coverage for hospice services through the Medicaid fee-forservice program.

**Benefit Provided:**

Personal care services - provided in the home

**Source:**

State Plan 1905(a)

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prior Authorization</td>
<td>Medicaid State Plan</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No limitation</td>
<td>None</td>
</tr>
</tbody>
</table>

**Scope Limit:**

In-home and community services prescribed in accordance with a plan of treatment, provided by a qualified person under supervision of a registered nurse. Attendant services and supports to assist in accomplishing (ADLs) and health related tasks.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

| Medicaid state plan attachment 3.1A.(26) |

**Benefit Provided:**

Other laboratory and x-ray services

**Source:**

State Plan 1905(a)

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other</td>
<td>Medicaid State Plan</td>
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</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Limitations</td>
<td>None</td>
</tr>
</tbody>
</table>
## Alternative Benefit Plan

### Scope Limit:
Includes diagnostic radiology, diagnostic ultrasound, nuclear medicine, radiation oncology services and magnetic resonance imaging (MRI) performed upon the order of a physician or qualified licensed provider.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

- Medicaid state plan attachment 3.1A (3)
- 18 NYCRR 505.17(c)
- Certain radiology services require prior authorization.

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Abortion Services</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

- **Authorization:** None
- **Provider Qualifications:** Medicaid State Plan
- **Amount Limit:** No Limitations
- **Duration Limit:** None

### Scope Limit:
Services, drugs and supplies related to abortion when the life of the mother would be endangered if the fetus were carried to term or when pregnancy is a result of an act of rape or incest.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

- Medicaid State Plan 3.1A (20) Covered services for pregnant women

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
</table>

- **Authorization:** Yes
- **Provider Qualifications:**
- **Amount Limit:**
- **Duration Limit:**

### Scope Limit:

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
</table>

- **Authorization:**
- **Provider Qualifications:**
- **Amount Limit:**
- **Duration Limit:**

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**TN:** NY-22-0042  
**Approval Date:** 09/09/2022  
**Superseded TN:** NY-20-0076  
**Effective Date:** 04/01/2022
### Alternative Benefit Plan

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

Scope Limit:

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

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**TN:** NY-22-0042  
Superseded TN: NY-20-0076  
Approval Date: 09/09/2022  
Effective Date: 04/01/2022
### 2. Essential Health Benefit: Emergency services

**Benefit Provided:** Other medical services - emergency hospital  
**Source:** State Plan 1905(a)

**Authorization:** None  
**Provider Qualifications:** Medicaid State Plan

**Amount Limit:** No Limitations  
**Duration Limit:** None

**Scope Limit:** Procedures, treatments or services needed to evaluate or stabilize an emergency medical condition including psychiatric stabilization and medical detoxification from drugs or alcohol.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1A 24(e)

### Beneficiary Provided:

**Benefit Provided:** Other medical services - emergency transportation  
**Source:** State Plan 1905(a)

**Authorization:** None  
**Provider Qualifications:** Medicaid State Plan

**Amount Limit:** No Limitations  
**Duration Limit:** None

**Scope Limit:** Emergency ambulance transportation (incl. air ambulance) for the purpose of obtaining hospital services for a person suffering from a severe, life-threatening or potentially disabling condition which requires emergency services during transport.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1A 24(a)
### 3. Essential Health Benefit: Hospitalization

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inpatient hospital services</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:**
- None

**Provider Qualifications:**
- Medicaid State Plan

**Amount Limit:**
- No Limitations

**Duration Limit:**
- None

**Scope Limit:**
- None

*Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:*

Medicaid state plan attachment 3.1A (1) inpatient hospital services other than inpatient services provided in institutions for mental disease.

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Organ transplant services - inpatient hospital</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:**
- Concurrent Authorization

**Provider Qualifications:**
- Medicaid State Plan

**Amount Limit:**
- No Limitations

**Duration Limit:**
- None

**Scope Limit:**
- Organ transplant services include transplant of the pancreas, kidneys, heart, lung, small intestine, liver, blood or marrow cell, cornea, single or double lobar lung.

*Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:*

Medicaid state plan 3.1E

Organ transplant must be performed in a hospital approved by the Commissioner of Health and the hospital must be a member of the Organ Procurement and Transplantation Network approved by HHS.

Solid organ and cell transplant service covered in the New York Medicaid State Plan include the solid organ and cells covered in the BC/BS Federal Employee Standard Benefit Plan.

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hospice Care - Inpatient</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:**
- Prior Authorization

**Provider Qualifications:**
- Medicaid State Plan

**Amount Limit:**
- No Limitations

**Duration Limit:**
- No Limitations

**Scope Limit:**
- Services delivered in an inpatient setting that are palliative in nature, include supportive medical, social, emotional and spiritual services to terminally ill persons as well as emotional support for family...
Alternative Benefit Plan

members.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1A, (18)
Hospice services are provided to an individual who has been certified (diagnosed) by a physician as being terminally ill, with a life expectancy of approximately twelve months or less. Services include curative treatment for children under age 21.
Medicaid Managed Care Enrollees receive coverage for hospice services through the Medicaid fee-for-service program.

Add
### 4. Essential Health Benefit: Maternity and newborn care

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physician services - Obstetrical and Maternal</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:** None  
**Provider Qualifications:** Medicaid State Plan  
**Amount Limit:** None Limitations  
**Duration Limit:** None  
**Scope Limit:** None

**Other information regarding this benefit, including the specific name of the source plan if it is not the benchmark plan:**  
Medicaid state plan attachment 3.1A 5(a)

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inpatient hospital - Obstetrical and Maternal</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:** None  
**Provider Qualifications:** Medicaid State Plan  
**Amount Limit:** No Limitations  
**Duration Limit:** Nope  
**Scope Limit:** None

**Other information regarding this benefit, including the specific name of the source plan if it is not the benchmark plan:**  
Medicaid state plan attachment 3.1A 1

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nurse-midwife services</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:** None  
**Provider Qualifications:** Medicaid State Plan  
**Amount Limit:** No Limitations  
**Duration Limit:** None  
**Scope Limit:** Includes the management of normal pregnancy, childbirth and postpartum care as well as primary preventive reproductive health care to healthy women. Includes newborn evaluation, resuscitation and referral for infants.

**TN:** NY-22-0042  
**Superseded TN:** NY-20-0076  
**Approval Date:** 09/09/2022  
**Effective Date:** 04/01/2022
Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1A (17)

Care may be provided on an inpatient or outpatient basis including in a birthing center or in the patient's home.
5. Essential Health Benefit: Mental health and substance use disorder services including behavioral health treatment

The state/territory assures that it does not apply any financial requirement or treatment limitation to mental health or substance use disorder benefits in any classification that is more restrictive than the predominant financial requirement or treatment limitation of that type applied to substantially all medical/surgical benefits in the same classification.

<table>
<thead>
<tr>
<th>Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inpatient hospital services - MH and SUD</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td>Medicaid State Plan</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Limitations</td>
<td>None</td>
</tr>
</tbody>
</table>

Scope Limit: Medically supervised inpatient services to treat persons with mental illness and/or substance use disorders.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1A (1)
Services provided to persons other than those residing in New York State certified psychiatric centers and institutions for mental diseases.

<table>
<thead>
<tr>
<th>Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medical care provided by licensed providers</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td>Medicaid State Plan</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Limitations</td>
<td>None</td>
</tr>
</tbody>
</table>

Scope Limit: Includes the medically necessary services of licensed; clinical psychologists, social workers, pharmacists, nurse practitioners and other providers of medically necessary services. Includes Cognitive Rehabilitative Therapy by licensed providers.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan 3.1A 6(d)
Services provided to persons other than those residing in New York State certified psychiatric centers and institutions for mental diseases.

<table>
<thead>
<tr>
<th>Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinic services</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td>Medicaid State Plan</td>
</tr>
</tbody>
</table>
### Alternative Benefit Plan

**Benefit Provided:**
- **Physician Services - MH and SUD**

**Source:**
- State Plan 1905(a)

**Authorization:**
- None

**Provider Qualifications:**
- Medicaid State Plan

**Amount Limit:**
- No Limitations

**Duration Limit:**
- None

**Scope Limit:**
- None

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1A, 5(a) physician services whether furnished in the office, the patient's home, a hospital or elsewhere for treatment of mental health and substance use disorders. Services provided to persons other than those residing in New York State certified psychiatric centers and institutions for mental diseases.
6. Essential Health Benefit: Prescription drugs

The state/territory assures that the ABP prescription drug benefit plan is the same as under the approved Medicaid State Plan for prescribed drugs.

Benefit Provided:
Coverage is at least the greater of one drug in each U.S. Pharmacopeia (USP) category and class or the same number of prescription drugs in each category and class as the base benchmark.

Prescription Drug Limits (Check all that apply.):
- [ ] Limit on days supply
- [ ] Limit on number of prescriptions
- [x] Limit on brand drugs
- [x] Other coverage limits
- [x] Preferred drug list

Authorization: [ ] Yes

Provider Qualifications:
- State licensed

Coverage that exceeds the minimum requirements or other:

Medicaid state plan 3.1A (12)
The State of New York's ABP prescription drug benefit plan is the same as under the approved Medicaid state plan for prescribed drugs.
The state/territory assures that it is not imposing limits on habilitative services and devices that are more stringent than limits on rehabilitative services (45 CFR 156.115(a)(5)(ii)). Further, the state/territory understands that separate coverage limits must also be established for rehabilitative and habilitative services and devices. Combined rehabilitative and habilitative limits are allowed, if these limits can be exceeded based on medical necessity.

**Benefit Provided:** Physical therapy - rehabilitative/habilitative

**Source:** Secretary-Approved Other

**Authorization:** Prior Authorization

**Provider Qualifications:** Medicaid State Plan

**Amount Limit:** No Limitations

**Duration Limit:** None

**Scope Limit:** Services provided by a physical therapist for the maximum reduction of physical disability and restoration to the patient's best functional level. Habilitative services are provided to the patient to acquire a skill and avert the loss of functions.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1 A (11) (a)

---

**Benefit Provided:** Occupational therapy - rehabilitative/habilitative

**Source:** Secretary-Approved Other

**Authorization:** Prior Authorization

**Provider Qualifications:** Medicaid State Plan

**Amount Limit:** No Limitations

**Duration Limit:** None

**Scope Limit:** Services provided by an occupational therapist for the maximum reduction of physical disability and restoration to the patient's best functional level. Habilitative services are provided to the patient to acquire a skill and avert the loss of functions.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Medicaid state plan attachment 3.1A (11) (b)

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**Benefit Provided:** Speech and Language Services - rehab/hab

**Source:** Secretary-Approved Other

**Authorization:** Prior Authorization

**Provider Qualifications:** Medicaid State Plan

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TN: NY-22-0042
Superseeded TN: NY-20-0076
Approval Date: 09/09/2022
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### Alternative Benefit Plan

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source</th>
<th>Remove</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Home Health Services</strong></td>
<td>State Plan 1905(a)</td>
<td></td>
</tr>
<tr>
<td><strong>Home Health Services - Supplies and Equipment</strong></td>
<td>State Plan 1905(a)</td>
<td></td>
</tr>
</tbody>
</table>

**Scope Limit:**
- Services provided by a speech-language pathologist for the maximum reduction of physical disability and restoration to the best functional level. Habilitative services are provided to acquire a skill and avert the loss of functions.

- Includes nursing services, physical therapy, occupational therapy, or speech pathology, audiology and health aides services supervised by a registered nurse or therapist.

- Medical necessary supplies, equipment and appliances, suitable for use in the home prescribed by a physician, consistent with 440.70. Includes durable medical equipment.

**Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:**
- Medicaid state plan attachment 3.1A (11) (c)
- Medicaid state plan attachment 3.1A 7(a)
- Medicaid state plan attachment 3.1A 7(c)

**Amount Limit:** No Limitations

**Duration Limit:** None

**Provider Qualifications:** Medicaid State Plan

**Authorization:** None

**Amount Limit:** No Limitations

**Duration Limit:** None

**Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:**
- Medicaid state plan attachment 3.1A (11) (c)
### Hearing Aid Services and Products

**Benefit Provided:** Hearing aid services and products

**Source:** State Plan 1905(a)

**Authorization:** None

**Provider Qualifications:** Medicaid State Plan

**Amount Limit:** No Limitations

**Duration Limit:** None

**Scope Limit:** Audiology services include audiometric exam and testing, hearing aid evaluation and prescription. Hearing aid services include selecting, fitting and dispensing hearing aids, batteries and repair.

**Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:**

Medicaid state plan attachment 3.1A 13(d)

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### Hearing Services

**Benefit Provided:** Hearing Services

**Source:** State Plan 1905(a)

**Authorization:** None

**Provider Qualifications:** Medicaid State Plan

**Amount Limit:** No Limitations

**Duration Limit:** None

**Scope Limit:** Audiology services and hearing evaluations conducted by a licensed audiologist. Hearing tests are performed for diagnostic as well as rehabilitative purposes.

**Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:**

Medicaid state plan attachment 3.1A 13(d)
### 8. Essential Health Benefit: Laboratory services

<table>
<thead>
<tr>
<th>Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Laboratory services</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

**Authorization:** None  
**Provider Qualifications:** Medicaid State Plan  
**Amount Limit:** No Limitations  
**Duration Limit:** None  
**Scope Limit:** All laboratory examinations, which must be medically necessary and related to the specific needs, complaints, or symptoms of the patient, require written order of a physician or qualified practitioner.  

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:  
Medicaid state plan attachment 3.1A 3  
Utilization Thresholds do not apply to services otherwise subject to thresholds when provided as managed care services furnished by or through a managed care program qualified by the NYS Department of Health to persons enrolled in and receiving medical care from such program.

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<table>
<thead>
<tr>
<th>Benefit Provided:</th>
<th>Source:</th>
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<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Authorization:** Yes  
**Provider Qualifications:**  
**Amount Limit:**  
**Duration Limit:**  
**Scope Limit:**  

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

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TN: NY-22-0042  
Superseded TN: NY-20-0076  
Approval Date: 09/09/2022  
Effective Date: 04/01/2022
Alternative Benefit Plan

9. Essential Health Benefit: Preventive and wellness services and chronic disease management

The state/territory must provide, at a minimum, a broad range of preventive services including: “A” and “B” services recommended by the United States Preventive Services Task Force; Advisory Committee for Immunization Practices (ACIP) recommended vaccines; preventive care and screening for infants, children and adults recommended by HRSA’s Bright Futures program/project; and additional preventive services for women recommended by the Institute of Medicine (IOM).

<table>
<thead>
<tr>
<th>Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physician and licensed provider services</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

TN: NY-22-0042
Superseded TN: NY-20-0076
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# Alternative Benefit Plan

## 10. Essential Health Benefit: Pediatric services including oral and vision care

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medicaid State Plan EPSDT Benefits</td>
<td>State Plan 1905(a)</td>
</tr>
</tbody>
</table>

- **Authorization:** None
- **Provider Qualifications:** Medicaid State Plan
- **Amount Limit:** No Limitations
- **Duration Limit:** None

**Scope Limit:**
Early and periodic screening, diagnostic and treatment services for individuals under 21 years and treatment of conditions found. No limitation in scope of benefit.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

- Medicaid state plan attachment 3.1A (4) (b)
### 11. Other Covered Benefits from Base Benchmark

<table>
<thead>
<tr>
<th>Other Base Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

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TN: NY-22-0042  
Superseded TN: NY-20-0076  
Approval Date: 09/09/2022  
Effective Date: 04/01/2022
## Alternative Benefit Plan

### 12. Base Benchmark Benefits Not Covered due to Substitution or Duplication

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chiropractic services</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Personal care services will substitute for adult chiropractic services covered in the Standard BC/BS Federal Employee Benefit.

Personal care services are covered in the New York Medicaid state plan attachment 3.1A (26) EHB 1

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Outpatient Surgery &amp; diagnostics</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Outpatient surgery and related diagnostics is a duplication of outpatient hospital services covered in the New York Medicaid State Plan.

EHB 1 - Ambulatory Services

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Physician services</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Physician services is a duplication of physician services covered in the New York Medicaid State Plan.

EHB 1 - Ambulatory services

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Routine immunizations</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Routine immunizations available at participating retail pharmacy is a duplication of prescription drug services covered under the New York Medicaid State Plan.

EHB 6 - Prescription drugs

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Podiatry services</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Podiatry services is a duplication of medical care provided by licensed practitioners -podiatrist, covered in the New York Medicaid State Plan.

EHB 1 - Ambulatory services

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TN: NY-22-0042
Superseded TN: NY-20-0076
Approval Date: 09/09/2022
Effective Date: 04/01/2022
<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Hospice Services - ambulatory</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Hospice services is a duplication of Hospice Services covered in the New York Medicaid State Plan. Hospice Service may be delivered ambulatory or non-inpatient setting.

EHB 1 - Ambulatory services

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Acupuncture services</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Acupuncture services is a duplication of acupuncture services provided by a licensed physician covered in the New York Medicaid State Plan.

EHB 1 - Ambulatory Services

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Medical emergency facility svc</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Medical emergency facility services is a duplication of other medical services - emergency hospital services covered in the New York Medicaid State Plan.

EHB 2 - Emergency services

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit provided: Medical emergency professional</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Medical emergency professional services is a duplication of physician services and medical care provided by licensed practitioners covered in the NYS Medicaid State Plan.

EHB 1 - Ambulatory service

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Prescription drug benefit</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Prescription drug benefit is a duplication of drugs prescribed by a physician or licensed provider covered in the New York Medicaid State Plan.

EHB 6 - Prescription drugs

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Well child care to age 22</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Well child care to age 22

TN: NY-22-0042
Superseded TN: NY-20-0076
Approval Date: 09/09/2022
Effective Date: 04/01/2022
Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section
1937 benchmark benefit(s) included above under Essential Health Benefits:

Well child care to age 22, is a duplication of EPSDT services for persons < 21yrs and preventive services
services for persons age 21 -22 covered in the New York State Plan.
EHB 10 - Pediatric services
EHB 9 - Preventive and wellness services

Base Benchmark Benefit that was Substituted: Benefit Provided: Bright Futures preventive
Source: Base Benchmark

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section
1937 benchmark benefit(s) included above under Essential Health Benefits:

Bright futures preventive services are a duplication of preventive services covered in the New York
Medicaid State Plan.
EHB 9 - Preventive and wellness services

Base Benchmark Benefit that was Substituted: Benefit provided: Routine physical exam
Source: Base Benchmark

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section
1937 benchmark benefit(s) included above under Essential Health Benefits:

Routine physical exams is duplication of routine physical exam as a preventive services which is covered in
the New York Medicaid State Plan.
EHB 9 - Preventive services

Base Benchmark Benefit that was Substituted: Benefit Provided: Routine laboratory tests
Source: Base Benchmark

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section
1937 benchmark benefit(s) included above under Essential Health Benefits:

Routine laboratory tests is a duplication of laboratory services covered in the New York Medicaid State
Plan.
EHB 8 - Laboratory services

Base Benchmark Benefit that was Substituted: Benefit Provided: Routine hearing screening
Source: Base Benchmark

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section
1937 benchmark benefit(s) included above under Essential Health Benefits:

Routine hearing screening services is a duplication of hearing services covered in the New York Medicaid
State Plan.
EHB 7 - Rehabilitative and habilitative

Base Benchmark Benefit that was Substituted: Benefit Provided: Pediatric oral exam
Source: Base Benchmark

TN: NY-22-0042
Superseded TN: NY-20-0076
Approval Date: 09/09/2022
Effective Date: 04/01/2022
### Alternative Benefit Plan

**Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:**

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: <strong>Cognitive rehabilitative therapy</strong></td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

**Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:**

Cognitive rehabilitative therapy is a duplication of physician services, services provided by licensed practitioners and services provided by a physical therapist, occupational therapist or speech therapist in the Medicaid State Plan. CRT encompasses an array of services provided by physicians and licensed practitioners with different specialties in varied medical settings. The NY Medicaid State Plan provides a greater benefit for therapy services due to no limitations on amount, duration and scope of CRT coverage under both medical and behavioral therapy.

- EHB 1
- EHB 5
- EHB 7

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: <strong>Durable Medical Equipment</strong></td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

**Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:**

Durable Medical Equipment is a duplication of home health services - supplies and equipment covered in the NYS Medicaid State Plan.

- EHB 7 - Rehabilitation and Habilitation services

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: <strong>Hearing tests and hearing aids</strong></td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

**Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:**

Hearing tests and hearing aids is a duplication of audiology and hearing aid services covered in the New York Medicaid State Plan.

- EHB 7 - Rehabilitation and Habilitation services

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: <strong>Physician care delivery</strong></td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

**Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:**

Physician care including delivery, pre and post-natal and postpartum care are a duplication physician services covered in the New York Medicaid State Plan.

- EHB 4 - Maternity and newborn care

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**Approval Date:** 09/09/2022

**Effective Date:** 04/01/2022

**Superseded TN:** NY-20-0076

**TN:** NY-22-0042
<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Benefit Provided: Inpatient hospital maternity</th>
<th>Source: Base Benchmark</th>
</tr>
</thead>
<tbody>
<tr>
<td>Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:</td>
<td>Inpatient hospital maternity and physician care is a duplication of inpatient hospital services and physician services covered in the New York Medicaid State Plan. Includes newborn examination and screening prior to discharge from hospital or birthing center. EHB 4 - Maternity and newborn care</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Benefit Provided: Inpatient hospital room/board</th>
<th>Source: Base Benchmark</th>
</tr>
</thead>
<tbody>
<tr>
<td>Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:</td>
<td>Inpatient room and board and other inpatient services is a duplication of inpatient hospital services covered in the New York Medicaid State Plan. EHB 3 - Hospitalization</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Benefit Provided: Diagnostic, screening preventive</th>
<th>Source: Base Benchmark</th>
</tr>
</thead>
<tbody>
<tr>
<td>Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:</td>
<td>Diagnostic, screening and preventive services is a duplication of diagnostic, screening and preventive services covered in the New York Medicaid State Plan. EHB 9- Preventive and wellness services</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Benefit Provided: Outpatient services</th>
<th>Source: Base Benchmark</th>
</tr>
</thead>
<tbody>
<tr>
<td>Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:</td>
<td>Outpatient services including medical emergency care is a duplication of physician services, clinic services, outpatient hospital services covered in the New York Medicaid State Plan. EHB 1- Ambulatory Care</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Benefit Provided: Organ transplant- hospital</th>
<th>Source: Base Benchmark</th>
</tr>
</thead>
<tbody>
<tr>
<td>Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:</td>
<td>Organ transplant inpatient hospital services are a duplication of organ transplant-inpatient hospital services covered in the New York Medicaid State Plan. The solid organs, blood and cells covered for transplant in the BC/BS FEBP are covered in the Medicaid State Plan. EHB 3 - Hospitalization</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Benefit Provided: MH and SUD inpatient hospital</th>
<th>Source: Base Benchmark</th>
</tr>
</thead>
</table>

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**Superseded TN:** NY-20-0076  
**Effective Date:** 04/01/2022
### Alternative Benefit Plan

#### Mental Health and Substance Use Disorder Services

1. **1937 benchmark benefit(s) included above under Essential Health Benefits:**

   - Mental health and substance use disorder inpatient hospital services are a duplication of inpatient hospital services MH and SUD covered in the NYS Medicaid State Plan.
   - **EHB 5 - Mental Health and Substance Use Disorder Services**

2. **Base Benchmark Benefit that was Substituted:**
   - Benefit Provided: Outpatient MH/SUD facility care
   - **Source:** Base Benchmark

3. **Explanation:**
   - Outpatient MH/SUD facility care is a duplication of physician services, medical care provided by licensed practitioners and clinic services covered in the New York Medicaid State Plan.
   - **EHB 5 - Mental Health and Substance Use Disorder Services**

4. **Base Benchmark Benefit that was Substituted:**
   - Benefit Provided: Inpatient professional MH/SUD
   - **Source:** Base Benchmark

5. **Explanation:**
   - Inpatient professional MH/SUD care is a duplication of physician services and medical care provided by licensed practitioners covered in the New York Medicaid State Plan.
   - **EHB 5 - Mental Health and Substance Use Disorder Services**

6. **Base Benchmark Benefit that was Substituted:**
   - Benefit Provided: Professional outpatient MH/SUD
   - **Source:** Base Benchmark

7. **Explanation:**
   - Professional outpatient MH/SUD care is a duplication of physician services, medical care provided by licensed practitioners and clinic services covered in the New York Medicaid State Plan.
   - **EHB 5 - Mental Health and Substance Use Disorder Services**

8. **Base Benchmark Benefit that was Substituted:**
   - Benefit Provided: Routine dental for children
   - **Source:** Base Benchmark

9. **Explanation:**
   - Routine dental services for children is a duplication of EPSDT services covered in the New York Medicaid State Plan.
   - **EHB 10 - Pediatric Services**

10. **Base Benchmark Benefit that was Substituted:**
    - Benefit Provided: Diagnostic tests
    - **Source:** Base Benchmark

11. **Explanation:**
    - Diagnostic tests including radiology and laboratory services is a duplication of other laboratory and x-ray services covered in the New York Medicaid State Plan.
    - **EHB 10 - Pediatric Services**

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<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Emergency transportation</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Emergency transportation is a duplication of other medical services-emergency transportation, covered in the New York Medicaid state plan.

**EHB 2 - Emergency services**

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Licensed provider services</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Medical services provided by licensed providers is a duplication of medical care provided by licensed practitioners covered in the New York Medicaid State Plan.

**EHB 1 - Ambulatory Care**

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: IP professional care- maternity</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Maternity services provided by inpatient professionals is a duplication of Nurse-midwife services covered in the New York Medicaid State Plan.

**EHB 4 Maternity and Newborn Care**

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit: Freestanding Ambulatory Facility Services</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Freestanding Ambulatory Facility Services is a duplication of clinic services covered in the New York Medicaid State Plan.

**EHB 1 - Ambulatory Care**

<table>
<thead>
<tr>
<th>Base Benchmark Benefit that was Substituted:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit Provided: Hospice Care - Inpatient</td>
<td>Base Benchmark</td>
</tr>
</tbody>
</table>

Explain the substitution or duplication, including indicating the substituted benefit(s) or the duplicate section 1937 benchmark benefit(s) included above under Essential Health Benefits:

Hospice Care-Inpatient is a duplication of the Inpatient Hospice services covered in the New York Medicaid State Plan.

**EHB 3 - Hospitalization**
### Base Benchmark Benefit that was Substituted:

**Benefit Provided:** Abortion services  
**Source:** Base Benchmark

**Explanation:**

Abortion services is a duplication of abortion services covered in the New York State Plan. Abortion services, drugs and supplies related to abortion are covered in the New York State Plan when the life of the mother would be endangered if the fetus were carried to term or when pregnancy is a result of an act of rape or incest.  

**EHB 1 - Ambulatory services**

### Base Benchmark Benefit that was Substituted:

**Benefit:** Physical Therapy - rehab/habilitative  
**Source:** Base Benchmark

**Explanation:**

Physical therapy services in the BC/BS FEBP is a duplication of services covered in the secretary approved physical therapy benefit in the New York State Plan.  

**EHB 7 - Rehabilitative and Habilitative services**

### Base Benchmark Benefit that was Substituted:

**Benefit:** Occupational therapy-rehab/habilitative  
**Source:** Base Benchmark

**Explanation:**

Occupational therapy services in the BC/BS FEBP is a duplication of services covered in the secretary approved occupational therapy benefit in the New York State Plan.  

**EHB 7 - Rehabilitative and Habilitative services**

### Base Benchmark Benefit that was Substituted:

**Benefit:** Speech and Language therapy- rehab/hab  
**Source:** Base Benchmark

**Explanation:**

Speech and language therapy services in the BC/BS FEBP are a duplication of services covered in the secretary approved speech therapy benefit in the New York State Plan.  

**EHB 7 - Rehabilitative and Habilitative**

### Base Benchmark Benefit that was Substituted:

**Benefit Provided:** Home health care  
**Source:** Base Benchmark

**Explanation:**

Home health care covered in the BC/BS FEBP is a duplication of home health services covered in the New York Medicaid State Plan. The BC/BS FEBP Home Health Care benefit covers home nursing care for two (2) hours per day when a registered nurse (R.N.) or licensed practical nurse (L.P.N.) provides the services; and a physician orders the care. The BC/BS FEBP home nursing care benefit is limited to 50 visits per person, per calendar year. The New York State Plan Home Health Services benefit exceeds the BC/BS...
benefit in services covered and duration of care, as medically needed.
EHB 7 - Rehabilitative and Habilitative services
13. Other Base Benchmark Benefits Not Covered

Base Benchmark Benefit not Included in the Alternative Benefit Plan:
Wellness Incentives
Source: Base Benchmark

Explain why the state/territory chose not to include this benefit:
These features in the BC/BS FEHB plan are essentially monetary rewards and are not incentives that have a relationship to health/wellness.

Base Benchmark Benefit not Included in the Alternative Benefit Plan:
Adult routine dental services
Source: Base Benchmark

Explain why the state/territory chose not to include this benefit:
This is not an EHB for the new adult group as it is an excepted benefit.

Base Benchmark Benefit not Included in the Alternative Benefit Plan:
Routine Vision Services
Source: Base Benchmark

Explain why the state/territory chose not to include this benefit:
This is not an EHB for the new adult group as it is an excepted benefit.

Base Benchmark Benefit not Included in the Alternative Benefit Plan:
Healthy Newborn visits and screening
Source: Base Benchmark

Explain why the state/territory chose not to include this benefit:
This is not an EHB for the new adult group as it is an excepted benefit claimed under the child's eligibility.
### 14. Other 1937 Covered Benefits that are not Essential Health Benefits

<table>
<thead>
<tr>
<th>Other 1937 Benefit Provided</th>
<th>Source: Section 1937 Coverage Option Benchmark Benefit Package</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Non-emergency transportation</strong></td>
<td>Authorization: Prior Authorization</td>
</tr>
<tr>
<td></td>
<td>Provider Qualifications: Medicaid State Plan</td>
</tr>
<tr>
<td></td>
<td>Amount Limit: No Limitations</td>
</tr>
<tr>
<td></td>
<td>Duration Limit: None</td>
</tr>
<tr>
<td></td>
<td>Scope Limit: Transportation to medically necessary services</td>
</tr>
<tr>
<td></td>
<td>Other: Medicaid State Plan 3.1A (24)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Other 1937 Benefit Provided</th>
<th>Source: Section 1937 Coverage Option Benchmark Benefit Package</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Intermediate Care Facility services</strong></td>
<td>Authorization: Concurrent Authorization</td>
</tr>
<tr>
<td></td>
<td>Provider Qualifications: Medicaid State Plan</td>
</tr>
<tr>
<td></td>
<td>Amount Limit: No Limitations</td>
</tr>
<tr>
<td></td>
<td>Duration Limit: None</td>
</tr>
<tr>
<td></td>
<td>Scope Limit: Intermediate Care Facility services comprehensive and individualized health care and rehabilitation services to individuals with intellectual disabilities (IID) to promote functional status and independence.</td>
</tr>
<tr>
<td></td>
<td>Other: Medicaid State Plan 3.1A (15) (a)(b)</td>
</tr>
<tr>
<td></td>
<td>Including such services in a public institution (or district part thereof) for the developmentally disabled or persons with related conditions.</td>
</tr>
<tr>
<td></td>
<td>Other than such services provided in an institution for mental diseases.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Other 1937 Benefit Provided</th>
<th>Source: Section 1937 Coverage Option Benchmark Benefit Package</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Nursing Facility Services</strong></td>
<td>Authorization: Concurrent Authorization</td>
</tr>
<tr>
<td></td>
<td>Provider Qualifications: Medicaid State Plan</td>
</tr>
<tr>
<td></td>
<td>Amount Limit: No Limitations</td>
</tr>
<tr>
<td></td>
<td>Duration Limit: see other below</td>
</tr>
<tr>
<td></td>
<td>Scope Limit: Services which help meet both the medical and non-medical needs of people with a chronic illness or disability who cannot care for themselves for long periods of time. Other than services provided in an institution for mental diseases.</td>
</tr>
</tbody>
</table>

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### Other 1937 Benefit Provided:

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Extended Services for Pregnant Women</td>
<td>Section 1937 Coverage Option Benchmark Benefit Package</td>
</tr>
<tr>
<td>Authorization:</td>
<td>Other</td>
</tr>
<tr>
<td>Provider Qualifications:</td>
<td>Medicaid State Plan</td>
</tr>
<tr>
<td>Amount Limit:</td>
<td>No Limitations</td>
</tr>
<tr>
<td>Duration Limit:</td>
<td>During pregnancy + 60 days postpartum</td>
</tr>
<tr>
<td>Scope Limit:</td>
<td>Extended services to pregnant women includes all major categories of</td>
</tr>
<tr>
<td></td>
<td>services as long as the services are determined to be medically</td>
</tr>
<tr>
<td></td>
<td>necessary and related to pregnancy.</td>
</tr>
<tr>
<td>Other:</td>
<td>Medicaid State Plan 3.1A (4)(a)</td>
</tr>
</tbody>
</table>

### Other 1937 Benefit Provided:

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Private Duty Nursing services</td>
<td>Section 1937 Coverage Option Benchmark Benefit Package</td>
</tr>
<tr>
<td>Authorization:</td>
<td>Concurrent Authorization</td>
</tr>
<tr>
<td>Provider Qualifications:</td>
<td>Medicaid State Plan</td>
</tr>
<tr>
<td>Amount Limit:</td>
<td>No Limitations</td>
</tr>
<tr>
<td>Duration Limit:</td>
<td>None</td>
</tr>
<tr>
<td>Scope Limit:</td>
<td>Medically necessary nursing services, may be intermittent, part-time</td>
</tr>
<tr>
<td></td>
<td>or continuous and must be provided in the home under the direction of</td>
</tr>
<tr>
<td></td>
<td>a physician.</td>
</tr>
<tr>
<td>Other:</td>
<td>Medicaid State Plan 3.1A (20)</td>
</tr>
</tbody>
</table>

### Other 1937 Benefit Provided:

<table>
<thead>
<tr>
<th>Benefit Provided</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rural Health Clinic Services</td>
<td>Section 1937 Coverage Option Benchmark Benefit Package</td>
</tr>
<tr>
<td>Authorization:</td>
<td>Other</td>
</tr>
<tr>
<td>Provider Qualifications:</td>
<td>Medicaid State Plan</td>
</tr>
<tr>
<td>Amount Limit:</td>
<td>No Limitations</td>
</tr>
<tr>
<td>Duration Limit:</td>
<td>None</td>
</tr>
</tbody>
</table>
Alternative Benefit Plan

Scope Limit:
Services provided as defined by the Rural Health Clinic Services Act of 1977 (Public Law 95-210).

Other:

Other 1937 Benefit Provided:
Federally Qualified Health Clinic (FQHC)
Source: Section 1937 Coverage Option Benchmark Benefit Package
Authorization: Other
Provider Qualifications: Medicaid State Plan
Amount Limit: No Limitations
Duration Limit: None
Scope Limit:
Covered Federally Qualified Health Center (FQHC) Services as defined by Section 1861(aa) of the Social Security Act (the Act) was amended by Section 4161 of the Omnibus Budget Reconciliation Act of 1990.
Other:
Medicaid state plan attachment 3.1A, 2(c)
Includes both FQHCs receiving a grant under Section 330 of the Public Health Service (PHS) Act and FQHCs not grant funded under Section 330 of the PHS, known as FQHC (look-alike) clinics based on the recommendation of the Health Resources and Services Administration.

Other 1937 Benefit Provided:
Routine adult dental services
Source: Section 1937 Coverage Option Benchmark Benefit Package
Authorization: Other
Provider Qualifications: Medicaid State Plan
Amount Limit: No Limitations
Duration Limit: None
Scope Limit:
Preventive, prophylactic and other routine dental care, services, supplies and dental prosthetics required to alleviate a serious health condition.
Other:
Medicaid State plan 3.1A (10) Dental Services
Covered if included in the managed care contractor's benefit package or as a Medicaid FFS benefit. All orthodontia is covered as a Medicaid FFS benefit.

Other 1937 Benefit Provided:
Family Planning Services
Source: Section 1937 Coverage Option Benchmark Benefit Package

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### Alternative Benefit Plan

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
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<tbody>
<tr>
<td>Other</td>
<td>Medicaid State Plan</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Limitations</td>
<td>None</td>
</tr>
</tbody>
</table>

**Scope Limit:**
The offering, arranging and furnishing of those health services which enable enrollees, including minors who may be sexually active, to prevent or reduce the incidence of unwanted pregnancy. Fertility services are limited.

**Other:**
Covered if included in the managed care contractor's benefit package or as a Medicaid FFS benefit. Fertility services are limited to the provision of office visits, hysterosalpingogram services, pelvic ultrasounds, and blood testing for women in the process of ovulation enhancing drugs.

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**Other 1937 Benefit Provided:**

<table>
<thead>
<tr>
<th>Prosthetic/Orthotic devices, Orthopedic footwear</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Section 1937 Coverage Option Benchmark Benefit Package</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
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</thead>
<tbody>
<tr>
<td>Other</td>
<td>Medicaid State Plan</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Limitations</td>
<td>None</td>
</tr>
</tbody>
</table>

**Scope Limit:**
Prosthetic appliances or devices which replace or perform the function of any missing part of the body. Orthotic appliances or devices used to support a weak or deformed body part or to restrict or eliminate motion in a body part.

**Other:**
Orthopedic footwear includes shoes, shoe modifications or additions used to correct, accommodate or prevent a physical deformity or range of motion malfunction.

---

**Other 1937 Benefit Provided:**

<table>
<thead>
<tr>
<th>Personal Emergency Response Systems (PERS)</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Section 1937 Coverage Option Benchmark Benefit Package</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prior Authorization</td>
<td>Medicaid State Plan</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Limitations</td>
<td>None</td>
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</table>

**Scope Limit:**
An electronic device which enables high risk patients to secure help in the event of a physical, emotional or environmental emergency. Usually connected to the patient's phone, will signal a response center when help button is activated.

**Other:**
Medicaid State Plan 3.1A (7)(c)

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### Other 1937 Benefit Provided: Nurse Practitioner services

**Source:** Section 1937 Coverage Option Benchmark Benefit Package

**Authorization:** Other

**Provider Qualifications:** Medicaid State Plan

**Amount Limit:** No Limitations

**Duration Limit:** None

**Scope Limit:** All nurse practitioner specialties recognized under state law.

**Other:**

New York Medicaid State Plan 3.1A (23)

### Other 1937 Benefit Provided: Dentures

**Source:** Section 1937 Coverage Option Benchmark Benefit Package

**Authorization:** Prior Authorization

**Provider Qualifications:** Medicaid State Plan

**Amount Limit:** Replacement of missing teeth or dentures

**Duration Limit:** None

**Scope Limit:** Removable replacement for missing teeth and surrounding tissues. Two types of dentures; complete and partial dentures. Services include replacement of dentures.

**Other:**

New York Medicaid State Plan 3.1A (12)(b)

### Other 1937 Benefit Provided: Eyeglasses and corrective lens

**Source:** Section 1937 Coverage Option Benchmark Benefit Package

**Authorization:** Other

**Provider Qualifications:** Medicaid State Plan

**Amount Limit:** One pair or glasses or corrective lenses

**Duration Limit:** every 24 months

**Scope Limit:** Frames bearing lenses worn in front of the eyes or lenses worn on the eye normally used for vision correction.
Other: New York Medicaid State Plan 3.1A (12)(d)
Prior approval required for artificial eyes, certain special lenses and eye services.

<table>
<thead>
<tr>
<th>Other 1937 Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Optometrists’ services</td>
<td>Section 1937 Coverage Option Benchmark Benefit Package</td>
</tr>
</tbody>
</table>

- **Authorization:** Other
- **Provider Qualifications:** Medicaid State Plan
- **Amount Limit:** One examination including refraction
- **Duration Limit:** every 24 Months
- **Scope Limit:** Licensed practitioners trained in the health of the eyes and related structures, as well as vision, visual systems, and vision information processing.

Other: New York Medicaid State Plan 3.1A (6)(b)

<table>
<thead>
<tr>
<th>Other 1937 Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Directly Observed Therapy - rehabilitative</td>
<td>Section 1937 Coverage Option Benchmark Benefit Package</td>
</tr>
</tbody>
</table>

- **Authorization:** Other
- **Provider Qualifications:** Medicaid State Plan
- **Amount Limit:** No Limitations
- **Duration Limit:** None
- **Scope Limit:** Services to treat, control, monitor and measure Tuberculosis and other communicable diseases.

Other: Medicaid State Plan 3.1A (13)(d)

<table>
<thead>
<tr>
<th>Other 1937 Benefit Provided:</th>
<th>Source:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Health Home Services</td>
<td>Section 1937 Coverage Option Benchmark Benefit Package</td>
</tr>
</tbody>
</table>

- **Authorization:** Concurrent Authorization
- **Provider Qualifications:** Medicaid State Plan
- **Amount Limit:** No Limitations
- **Duration Limit:** No Limitations

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### Alternative Benefit Plan

**Scope Limit:**
An inter-disciplinary array of medical care, behavioral health care, and community-based social services and supports for adults with chronic conditions.

**Other:**
Medicaid State Plan 1945, 3.11 A (H)

**Other 1937 Benefit Provided:**
Community First Choice - personal care services
Source: Section 1937 Coverage Option Benchmark Benefit Package

<table>
<thead>
<tr>
<th>Authorization:</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Prior Authorization</td>
<td>Medicaid State Plan</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Limitations</td>
<td>No Limitations</td>
</tr>
</tbody>
</table>

**Scope Limit:**
Consumer controlled enhanced personal attendant services and supports that include; functional skills training, coaching and prompting the individual to accomplish the ADL, IADL and health-related skills.

**Other:**
Medicaid State Plan 1915(k), 3.1-A 3(d)(B), 3(d)(C)

**Other 1937 Benefit Provided:**
Rehabilitative Residential services
Source: Section 1937 Coverage Option Benchmark Benefit Package

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
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<tbody>
<tr>
<td>Concurrent Authorization</td>
<td>Medicaid State Plan</td>
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</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Limitations</td>
<td>No Limitations</td>
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</tbody>
</table>

**Scope Limit:**
Interventions, therapies and activities which are medically therapeutic and remedial in nature, and are medically necessary for the maximum reduction of functional and adaptive behavior deficits associated with the individual's mental disease.

**Other:**
Medicaid State Plan 3.1 A (13)(d)
Rehabilitative residential services are provided to persons residing in community residences licensed by the NYS Office of Mental Health. Services provided to persons other than those residing in New York State certified psychiatric centers and institutions for mental diseases.

**Other 1937 Benefit Provided:**
Routine Costs Associated with Clinical Trials
Source: Section 1937 Coverage Option Benchmark Benefit Package

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TN: NY-22-0042
Superseded TN: NY-20-0076
Approval Date: 09/09/2022
Effective Date: 04/01/2022
## Alternative Benefit Plan

<table>
<thead>
<tr>
<th>Authorization:</th>
<th>Provider Qualifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other</td>
<td>Medicaid State Plan</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount Limit:</th>
<th>Duration Limit:</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Limitations</td>
<td>No Limitations</td>
</tr>
</tbody>
</table>

**Scope Limit:**

Routine patient costs associated with participation in qualifying clinical trials are covered in accordance with definitions set forth in Section 210 of the Consolidated Appropriations Act of 2021, amending section 1905(a) of the Social Security Act.

**Other:**

Assurances: Coverage of routine patient cost for items and services as defined in section 1905(gg)(1) that are furnished in connection with participation in a qualified clinical trial.

A qualified clinical trial is a clinical trial that meets the definition at section 1905(gg)(2).

A determination with respect to coverage for an individual participating in a qualified clinical trial will be made in accordance with section 1905(gg)(3).
15. Additional Covered Benefits (This category of benefits is not applicable to the adult group under section 1902(a)(10)(A)(i)(VIII) of the Act.)

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**PRA Disclosure Statement**

Centers for Medicare & Medicaid Services (CMS) collects this mandatory information in accordance with (42 U.S.C. 1396a) for the purpose of standardizing data. The information will be used to monitor and analyze performance metrics related to the Medicaid and Children’s Health Insurance Program in efforts to boost program integrity efforts, improve performance and accountability across the programs. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1188. The time required to complete this information collection is estimated to average 5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

V.20190808

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TN: NY-22-0042
Superseded TN: NY-20-0076
Approval Date: 09/09/2022
Effective Date: 04/01/2022
**Benefits Assurances**

**EPSDT Assurances**

If the target population includes persons under 21, please complete the following assurances regarding EPSDT. Otherwise, skip to the Prescription Drug Coverage Assurances below.

The alternative benefit plan includes beneficiaries under 21 years of age. [ ] Yes [ ] No

- The state/territory assures that the notice to an individual includes a description of the method for ensuring access to EPSDT services (42 CFR 440.345).
- The state/territory assures EPSDT services will be provided to individuals under 21 years of age who are covered under the state/territory plan under section 1902(a)(10)(A) of the Act.

Indicate whether EPSDT services will be provided only through an Alternative Benefit Plan or whether the state/territory will provide additional benefits to ensure EPSDT services:

- [ ] Through an Alternative Benefit Plan.
- [ ] Through an Alternative Benefit Plan with additional benefits to ensure EPSDT services as defined in 1905(r).

Other Information regarding how EPSDT benefits will be provided to participants under 21 years of age (optional):

**Prescription Drug Coverage Assurances**

- The state/territory assures that it meets the minimum requirements for prescription drug coverage in section 1937 of the Act and implementing regulations at 42 CFR 440.347. Coverage is at least the greater of one drug in each United States Pharmacopeia (USP) category and class or the same number of prescription drugs in each category and class as the base benchmark.

- The state/territory assures that procedures are in place to allow a beneficiary to request and gain access to clinically appropriate prescription drugs when not covered.

- The state/territory assures that when it pays for outpatient prescription drugs covered under an Alternative Benefit Plan, it meets the requirements of section 1927 of the Act and implementing regulations at 42 CFR 440.345, except for those requirements that are directly contrary to amount, duration and scope of coverage permitted under section 1937 of the Act.

- The state/territory assures that when conducting prior authorization of prescription drugs under an Alternative Benefit Plan, it complies with prior authorization program requirements in section 1927(d)(5) of the Act.

**Other Benefit Assurances**

- The state/territory assures that substituted benefits are actuarially equivalent to the benefits they replaced from the base benchmark plan, and that the state/territory has actuarial certification for substituted benefits available for CMS inspection if requested by CMS.

- The state/territory assures that individuals will have access to services in Rural Health Clinics (RHC) and Federally Qualified Health Centers (FQHC) as defined in subparagraphs (B) and (C) of section 1905(a)(2) of the Social Security Act.

- The state/territory assures that payment for RHC and FQHC services is made in accordance with the requirements of section 1902(bb) of the Social Security Act.
The state/territory assures that it will comply with the requirement of section 1937(b)(5) of the Act by providing, effective January 1, 2014, to all Alternative Benefit Plan participants at least Essential Health Benefits as described in section 1302(b) of the Patient Protection and Affordable Care Act.

The state/territory assures that it will comply with the mental health and substance use disorder parity requirements of section 1937(b)(6) of the Act by ensuring that the financial requirements and treatment limitations applicable to mental health or substance use disorder benefits comply with the requirements of section 2705(a) of the Public Health Service Act in the same manner as such requirements apply to a group health plan.

The state/territory assures that it will comply with section 1937(b)(7) of the Act by ensuring that benefits provided to Alternative Benefit Plan participants include, for any individual described in section 1905(a)(4)(C), medical assistance for family planning services and supplies in accordance with such section.

The state/territory assures transportation (emergency and non-emergency) for individuals enrolled in an Alternative Benefit Plan in accordance with 42 CFR 431.53.

The state/territory assures, in accordance with 45 CFR 156.115(a)(4) and 45 CFR 147.130, that it will provide as Essential Health Benefits a broad range of preventive services including: “A” and “B” services recommended by the United States Preventive Services Task Force; Advisory Committee for Immunization Practices (ACIP) recommended vaccines; preventive care and screening for infants, children and adults recommended by HRSA’s Bright Futures program/project; and additional preventive services for women recommended by the Institute of Medicine (IOM).

PRA Disclosure Statement

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Alternative Benefit Plan

State Name: New York  
Transmittal Number: NY - 22 - 0042

Service Delivery Systems

Provide detail on the type of delivery system(s) the state/territory will use for the Alternative Benefit Plan's benchmark benefit package or benchmark-equivalent benefit package, including any variation by the participants' geographic area.

Type of service delivery system(s) the state/territory will use for this Alternative Benefit Plan(s).

Select one or more service delivery systems:

☒ Managed care.
  ☒ Managed Care Organizations (MCO).
  ☐ Prepaid Inpatient Health Plans (PIHP).
  ☐ Prepaid Ambulatory Health Plans (PAHP).
  ☐ Primary Care Case Management (PCCM).

☒ Fee-for-service.

☐ Other service delivery system.

Managed Care Options

Managed Care Assurance

☒ The state/territory certifies that it will comply with all applicable Medicaid laws and regulations, including but not limited to sections 1903(m), 1905(t), and 1932 of the Act and 42 CFR Part 438, in providing managed care services through this Alternative Benefit Plan. This includes the requirement for CMS approval of contracts and rates pursuant to 42 CFR 438.6.

Managed Care Implementation

Please describe the implementation plan for the Alternative Benefit Plan under managed care including member, stakeholder, and provider outreach efforts.

The State has provided Medicaid recipients enrollment in managed care plans since 1997. At the time the Alternative Benefits Plan (ABP) was initiated, Medicaid Managed Care enrollment statewide was three million households. Another 400,000 adults were enrolled in managed care through an 1115 waiver program, Family Health Plus. Over 90 percent of Family Health Plus enrollees were eligible for Medicaid under the new eligibility levels and are already enrolled in managed care. The state anticipated that only 77,000 enrollees would be newly eligible statewide in the adult group. As such, there was no need for an implementation plan for member or provider outreach. The state engaged stakeholders in all aspects of the Affordable Care Act (ACA) implementation, including the Medicaid expansion and the ABP. Due to changes under the ACA, the Family Health Plus Program was eliminated on December 31, 2014. In April 2021, there were 5,066,688 enrollees in Medicaid Managed Care inclusive of the ABP.

MCO: Managed Care Organization

The managed care delivery system is the same as an already approved managed care program. Yes
Alternative Benefit Plan

☐ Section 1115 demonstration.

☐ Section 1937 Alternative (Benchmark) Benefit Plan state plan amendment.

Identify the date the managed care program was approved by CMS: 07/15/1997

Describe program below:
The Section 1115 demonstration Medicaid Redesign Team Waiver's transfer of authority advanced the statewide managed care delivery system to create efficiencies in the Medicaid program and enable the extension of coverage to certain individuals who would otherwise be without health insurance.

Additional Information: #type# (Optional)
Provide any additional details regarding this service delivery system (optional):

Fee-For-Service Options
Indicate whether the state/territory offers traditional fee-for-service and/or services managed under an administrative services organization:

☐ Traditional state-managed fee-for-service

☐ Services managed under an administrative services organization (ASO) arrangement

Please describe this fee-for-service delivery system, including any bundled payment arrangements, pay for performance, fee-for-service care management models/non-risk, contractual incentives as well as the population served via this delivery system.

Traditional fee-for-service payment model. Providers are reimbursed at established rates for covered medically necessary services provided to enrollees prior to enrollment in managed care. Persons determined eligible for coverage have ten (10) days to select a health plan prior to auto assignment to a health plan. Enrollees may access state certified fee-for-service providers for medically necessary covered services not included in the managed care benefit package or not covered by the enrollee's health plan. These services are included in the "Additional Information: Fee For Service" section below. Managed care plans do not impose treatment limitations on MH/SUD services that are more restrictive than limitations defined in 3.1 A of the New York Medicaid state plan. MH and SUD benefits in the managed care benefit package are aligned with the state plan, in addition, the 1115 Medicaid Redesign Team Waiver authorizes demonstration-only MH and SUD benefits for managed care members.

Additional Information: Fee-For-Service (Optional)
Provide any additional details regarding this service delivery system (optional):

All New York Medicaid Managed Care health plans provide members with a Member Handbook. The handbook explains the services covered by the health plan and the non-plan covered services that the enrollee must access via the fee for service delivery system. The New York Medicaid Managed Care Model Member Handbook is used by all participating health plans as an enrollee resource tool. Language in the handbook explains how to access both health plan covered services and services covered in the state plan that are not covered by the MMC plan contract; "Medicaid managed care provides a number of services you get in addition to those you get with regular Medicaid. [Insert Plan Name] will provide or arrange for most services that you will need. You can get a few services, however, without going through your PCP. These include emergency care; family planning/HIV testing and counseling; and specific self referral services, including those you can get from within the plan and some that you can choose to go to any Medicaid provider of the service."

There are medical services managed care enrollees must access via the FFS delivery system, as follows:
A) Nursing Home Services - Services provided in a nursing home to an enrollee under age 21 who is determined by the LDSS to be in Long Term Placement Status.
B) Emergency and Non-Emergency Transportation
C) Mental Health Services
   1. Day Treatment Programs Serving Children
   2. Rehabilitation Services Provided to Residents of OMH Licensed Community Residences and Family Based Treatment Programs

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Superseded TN: NY-20-0076 Effective Date: 04/01/2022
3. Residential Treatment Facilities for Children and Youth
   D) SUD Services - Residential Rehabilitation Services for Youth (RRSY)
   E) OPWDD Services (Office of Persons with Developmental Disabilities)
      1. Long Term Article 16 Clinic Services
      2. Day Treatment
      3. Care Coordination Organization (CCO)
      4. Home and Community Based Services Waiver (HCBS)
   F) Other Non-Covered Services:
      1. The Early Intervention Program
      2. Preschool Supportive Health Services
      3. School Supportive Health Services
      4. School Based Health Centers

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### Alternative Benefit Plan

**Employer Sponsored Insurance and Payment of Premiums**

<table>
<thead>
<tr>
<th>ABP9</th>
<th>Yes</th>
</tr>
</thead>
<tbody>
<tr>
<td>The state/territory provides the Alternative Benefit Plan through the payment of employer sponsored insurance for participants with such coverage, with additional benefits and services provided through a Benchmark or Benchmark-Equivalent Benefit Package.</td>
<td></td>
</tr>
</tbody>
</table>

Provide a description of employer sponsored insurance, including the population covered, the amount of premium assistance by population, employer sponsored insurance activities including required contribution, cost-effectiveness test requirements, and benefit information:

Medicaid will pay the cost of employer sponsored insurance if it is cost effective. The scope of the employer sponsored benefit package is provided by the applicant. The employer's health plan must meet certain standards for covered benefits and costs. The state assesses cost effectiveness by comparing the ESI premium to the average Medicaid managed care rate which can vary by sex, age and location in the state. Medicaid fee-for-service will reimburse providers for any medically necessary service covered in the ABP that is not covered by the employer sponsored plan. No employer contribution is required.

The state/territory otherwise provides for payment of premiums.

| No |
| Other Information Regarding Employer Sponsored Insurance or Payment of Premiums: |

Section 4.22 C of the New York Medicaid State Plan defines the state method for determining the cost effectiveness of employer sponsored health insurance.

ESI enrollees may access fee-for-service providers for medically necessary services covered in the Medicaid state plan that are limited by their employer sponsored benefit package. ESI enrollees are not enrolled in the NYS Medicaid Managed Care Program. All ESI enrollees receive an program guide that explains how to access medically necessary services via the FFS delivery system.

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## General Assurances

### Economy and Efficiency of Plans

☑ The state/territory assures that Alternative Benefit Plan coverage is provided in accordance with Federal upper payment limit requirements and other economy and efficiency principles that would otherwise be applicable to the services or delivery system through which the coverage and benefits are obtained.

Economy and efficiency will be achieved using the same approach as used for Medicaid state plan services.

### Compliance with the Law

☑ The state/territory will continue to comply with all other provisions of the Social Security Act in the administration of the state/territory plan under this title.

☑ The state/territory assures that Alternative Benefit Plan benefits designs shall conform to the non-discrimination requirements at 42 CFR 430.2 and 42 CFR 440.347(e).

☑ The state/territory assures that all providers of Alternative Benefit Plan benefits shall meet the provider qualification requirements of the Base Benchmark Plan and/or the Medicaid state plan.

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V.20130807
## Alternative Benefit Plan

**Attachment 3.1-C-**

**Payment Methodology**

**Alternative Benefit Plans - Payment Methodologies**

- The state/territory provides assurance that, for each benefit provided under an Alternative Benefit Plan that is not provided through managed care, it will use the payment methodology in its approved state plan or hereby submits state plan amendment Attachment 4.19a, 4.19b or 4.19d, as appropriate, describing the payment methodology for the benefit.

### An attachment is submitted.

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