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State/Territory Name: Illinois

State Plan Amendment (SPA) #: 22-0003

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS Form 179
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
601 E. 12th St., Room 355
Kansas City, Missouri 64106



Medicaid and CHIP Operations Group

March 25, 2022

Theresa Eagleson
Director
Illinois Department of Healthcare and Family Services
201 South Grand Avenue East
3rd Floor
Springfield, IL 62763-0001

Re: Illinois State Plan Amendment (SPA) 22-0003

Dear Ms. Eagleson:

The Centers for Medicare & Medicaid Services (CMS) reviewed your Medicaid State Plan Amendment (SPA) submitted under transmittal number (TN) 22-0003. [REDACTED] to add peer support worker services under the Rehabilitation benefit.

We conducted our review of your submittal according to statutory requirements in Title XIX of the Social Security Act and implementing regulations. This letter is to inform you that Illinois Medicaid SPA 22-0003 was approved on March 23, 2022, with an effective date of April 1, 2022.

If you have any questions, please contact Courtenay Savage at 708-567-2048 or via email at Courtenay.Savage@cms.hhs.gov.

Sincerely,

[REDACTED]

Digitally signed by James G.
Scott -S
Date: 2022.03.25 15:04:10
-05'00'

James G Scott, Director
Division of Program Operations

Enclosures

cc: Kelly Cunningham
Mary Doran
Jane Eckert

**TRANSMITTAL AND NOTICE OF APPROVAL OF
STATE PLAN MATERIAL
FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES**

1. TRANSMITTAL NUMBER <u>2 2</u> — <u>0 0 0 3</u>	2. STATE <u>IL</u>
3. PROGRAM IDENTIFICATION: TITLE OF THE SOCIAL SECURITY ACT <input checked="" type="radio"/> XIX <input type="radio"/> XXI	

TO: CENTER DIRECTOR
CENTERS FOR MEDICAID & CHIP SERVICES
DEPARTMENT OF HEALTH AND HUMAN SERVICES

4. PROPOSED EFFECTIVE DATE
April 1, 2022

5. FEDERAL STATUTE/REGULATION CITATION
42 CFR 440.130

6. FEDERAL BUDGET IMPACT (Amounts in WHOLE dollars)
a. FFY 2022 \$ 0
b. FFY 2023 \$ 0

7. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT
Appendix to Attachment 3.1-A, Pages 16, 16(A), 16(A)(1), 16(B)(1) and 16(B)(2)


8. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If Applicable)
Appendix to Attachment 3.1-A, Pages 16, 16(A), 16(A)(1), 16(B)(1) and 16(B)(2)

9. SUBJECT OF AMENDMENT
Peer support worker (PSW) services

10. GOVERNOR'S REVIEW (Check One)

GOVERNOR'S OFFICE REPORTED NO COMMENT
 COMMENTS OF GOVERNOR'S OFFICE ENCLOSED
 NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL

OTHER, ASSPECIFIED:

11. SIGNATURE OF STATE AGENCY OFFICIAL


12. TYPED NAME
Theresa Eagleson

13. TITLE
Director of Healthcare and Family Services

14. DATE SUBMITTED

15. RETURN TO
Department of Healthcare and Family Services
Bureau of Program and Policy Coordination
Attn: Mary Doran
201 South Grand Avenue East
Springfield, IL 62763-0001

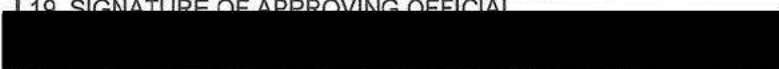
FOR CMS USE ONLY

16. DATE RECEIVED
January 14, 2022

17. DATE APPROVED
March 23, 2022

PLAN APPROVED - ONE COPY ATTACHED

18. EFFECTIVE DATE OF APPROVED MATERIAL
April 1, 2022

19. SIGNATURE OF APPROVING OFFICIAL


20. TYPED NAME OF APPROVING OFFICIAL
James G. Scott

21. TITLE OF APPROVING OFFICIAL
Director, Division of Program Operations

22. REMARKS

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: **Illinois**

AMOUNT, DURATION, AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES TO THE
CATEGORICALLY NEEDY

- 07/07 Mental Health Rehabilitative Services
- 07/18 Rehabilitative mental health services are to be delivered by providers certified for Medicaid participation. Certified provider types may include Community Mental Health Centers, Behavioral Health clinics, Independent Practitioners and other entities as defined by the Illinois Medicaid Program. Each provider type or entity will provide an array of services as outlined below and approved by the Illinois Medicaid Program.
- 07/18 A Licensed Practitioner of the Healing Arts (LPHA) will review the assessment and may recommend medical or remedial services necessary to reduce the physical or mental disability of an individual and to restore an individual to the maximum possible functioning level. A Licensed Practitioner of the Healing Arts (LPHA) shall provide mental health services within their scope of practice, as defined by State law, and include the following:
1. A physician licensed under the Medical Practice Act of 1987 ~~[225 ILCS 60]~~ to practice medicine or osteopathy with training in mental health services or one year of supervised clinical experience in treating problems related to mental illness or specialized training in the treatment of children and adolescents.
 2. An advanced practice nurse with a psychiatric specialty licensed pursuant to the Illinois Nursing and Advanced Practice Nursing Act ~~[225 ILCS 65]~~.
 3. A psychologist licensed under the Clinical Psychologist Licensing Act ~~[225 ILCS 15]~~ with specialized training in mental health services.
 4. A social worker possessing a master's or doctoral degree in social work and licensed under the Clinical Social Work and Social Work Practice Act ~~[225 ILCS 20]~~ as a Licensed Clinical Social Worker (LCSW) with specialized training in mental health services or with two years of experience in mental health services.
 5. An individual possessing a master's or doctoral degree in marriage and family therapy under the Marriage and Family Therapist Licensing Act ~~[225 ILCS 55]~~ with specialized training in mental health services or with two years of experience in mental health services.
 6. An individual with a master's degree and at least two years of clinical experience in mental health services and licensed for the practice of clinical professional counseling pursuant to the Professional Counselor and Clinical Professional Counselor Licensing Act ~~[225 ILCS 107]~~.
- 07/07 All treatment is focused on the Medicaid eligible client. Any consultation or treatment involving families or other persons is solely for the purpose of addressing the mental health needs of the Medicaid client.
- 07/07 Mental health services are provided to clients of all ages, unless otherwise specified.
- ~~04/22~~~~07/07~~ Qualified mental health professionals (QMHPs), mental health professionals (MHPs), ~~and~~ rehabilitative services associates (RSAs), ~~and peer support workers (PSWs)~~ provide mental health services under the direction of an LPHA ~~within their scope of practice, as defined by State law~~. A QMHP is defined as one of the following:
1. All individuals qualified as an LPHA.
 2. A registered nurse licensed pursuant to the Illinois Nursing and Advanced Practice Nursing Act ~~[225 ILCS 65]~~ with at least one year of clinical experience in a mental health setting or master's degree in psychiatric nursing.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: **Illinois**

AMOUNT, DURATION, AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES TO THE
CATEGORICALLY NEEDY

3. An occupation therapist registered pursuant to the Illinois Occupational Therapy Practice Act ~~[225 ILCS 75]~~ with at least one year of clinical experience in a mental health setting who meets the requirements and qualifications in 42 CFR 440.110
- 07/18 4. An individual possessing a master's or doctoral degree in counseling and guidance, rehabilitation counseling, social work, psychology, pastoral counseling, or family therapy, or related field who has successfully completed a practicum and/or internship which includes 1,000 hours, or who has one year of clinical experience under the supervision of a qualified mental health professional.
- 05/12 An MHP provides rehabilitative services under the supervision of a QMHP. The MHP must be one of the following:
 1. All individuals qualified as a QMHP.
 2. An individual possessing a bachelor's degree in counseling and guidance, rehabilitation counseling, social work, education, vocational counseling, psychology, pastoral counseling, family therapy, or related human service field; or a bachelor's degree in any other field with two years of supervised clinical experience in a mental health setting.
 2. A practical nurse licensed pursuant to the Illinois Nursing and Advanced Practice Nursing Act ~~[225 ILCS 65]~~.
 3. An individual certified by and in good standing with the Psychiatric Rehabilitation Association as a Certified Psychiatric Rehabilitation Professional (CPRP).
 4. A recovery support specialist certified by and in good standing with the Illinois Alcohol and Other Drug Abuse Professional Certification Association, Inc.
 5. A family partnership professional certified by and in good standing with the Illinois Alcohol and Other Drug Abuse Professional Certification Association, Inc.
 6. A licensed occupational therapy assistant with at least one year of experience in a mental health setting.
 7. An individual with a high school diploma or GED and a minimum of five years supervised clinical experience in mental health or human services.
 8. Any individual employed as an MHP prior to July 1, 2011 may continue to be so designated unless employment changes.
- 01/19 9. An individual who has completed a United States Armed Forces behavioral health technician or other psychiatric training certification through the Medical Education and Training Campus in Fort Sam Houston, Texas, with one year documented clinical experience in a mental health setting under supervision of a QMHP.
- 12/21 10. A veteran support specialist certified by and in good standing with the Illinois Alcohol and Other Drug Abuse Professional Certification Association, Inc.

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- 07/18 An RSA assists in the provision of services under the supervision of a MHP. An RSA is described as one of the following:
1. An individual qualified as a MHP.
 2. An individual at least 21 years of age, have demonstrated skills in the field of services to adults or children, have demonstrated the ability to work within agency structure and accept supervision, and have demonstrated the ability to work constructively with clients, other providers and the community.
- 04/22 A PSW assists in the provision of rehabilitative services, from the peer perspective, under the supervision of a QMHP and must be an individual:
1. At least 21 years of age;
 2. Who has individual lived experience, or experience as a caregiver of a child, with behavioral health needs;
 3. That has completed a Department approved peer support training; and
 4. Demonstrates the ability to work within agency structure, accept supervision, and participate as a member of a multi-disciplinary team, when applicable.
- 07/18 Mental health services detailed in this section of the plan are provided upon treatment recommendation by an LPHA:
- 07/18
1. Integrated Assessment and Treatment Planning (IATP): A formal information gathering and review process that utilizes a standardized assessment and service planning tool in order to: 1) identify a client's integrated healthcare needs and strengths across all life domains; 2) recommend services needed to ameliorate a client's condition and improve wellbeing; and 3) develop, review, and update an individualized treatment plan that is client-centered. An LPHA, QMHP, or MHP may participate in the completion of the IATP. The IATP shall be completed once every six months. An LPHA must review and approve the assessment and treatment plan.
 - a. Qualified providers shall be required to utilize a standardized assessment and service planning tool as defined and approved by the single State Medicaid Authority in order to receive reimbursement for the IATP.
 - b. The IATP process is conducted with the participation of the client and client's parent/guardian, if applicable.
 - c. For clients under the age of 21, the completion of an IATP and identification of clinical need by an LPHA may be sufficient to establish medical necessity for the services in this section, absent a confirmed diagnosis.

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AMOUNT, DURATION, AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES TO THE
CATEGORICALLY NEEDY

- 04/22~~07/18~~ 3. Crisis Services comprises three distinct services rendered by providers who are certified by the department for crisis service delivery and uniquely qualified to meet the qualifications of a crisis provider. The services include the following:
- a. Crisis Intervention: This service includes short-term therapy/counseling, community support services and referral for persons who, in the course of treatment or intervention, appear to need immediate intensive intervention to achieve crisis symptom reduction and stabilization. Staff eligible to provide this service include QMHPs and MHPs with access to a QMHP who is available for immediate consultation or a multidisciplinary team with these credentials. The Crisis Intervention team may also include a PSW.
 - 04/22 b. Mobile Crisis Response (MCR): This service is a mobile, responding to the location of the client, focused and time-limited, intensive rehabilitation intervention designed to achieve crisis symptom reduction, stabilization, and restoration of the client to a previous level of functioning – establishing support for the client’s caregiver(s) when the client is under 21 and the support of the caregiver is necessary to benefit the child – mitigating the crisis event. MCR services are tailored to meet the individual needs of the client and may include: face-to-face crisis screening; short-term therapy; crisis safety planning; brief counseling; consultation with other qualified providers to assist with the client’s specific crisis; referral and linkage to other mental health community services; and in the event that the client cannot be stabilized in the community facilitation of a safe transition to a higher level of care. Staff eligible to provide this service include QMHPs and MHPs with access to a QMHP who is available for immediate consultation, or a multidisciplinary team with these credentials. The MCR team may also include a PSW.
 - c. Crisis Stabilization: This service is a time-limited, intensive intervention, available immediately following an MCR event, which is designed to target and mitigate the symptoms and causes of the crisis events to further support the restoration of the client to a previous level of functioning. Crisis stabilization requires a demonstrated need for ongoing stabilizing supports as documented in the client’s crisis safety plan and authorized by an LPHA. Crisis Stabilization is designed to prevent additional behavioral health crises from occurring by providing strengths-based, individualized, direct supports on a one-on-one basis to clients in the home or community setting. Staff eligible to provide this service include MHPs with access to a QMHP who is available for immediate consultation.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

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AMOUNT, DURATION, AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES TO THE
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- 07/18
4. Psychosocial rehabilitation: A rehabilitative therapy for individuals to increase abilities and resources necessary for community living, socialization, work and recovery. Core activities include cognitive-behavioral interventions, problem solving, interventions to reduce or ameliorate symptoms of co-occurring disorder and other rehabilitative interventions. Psychosocial rehabilitation is provided in an organized program through individual and group interventions. The focus of treatment interventions includes capacity building to facilitate independent living and adaptation, problem solving and coping skills development. Staff eligible to provide this service include QMHP, MHP and RSA employed by the provider.
- ~~04/2207/18~~
5. Community support: The service consists of therapeutic interventions that facilitate illness self-management, identification and use of natural supports and skill building. The service includes engaging the client to have input into their service delivery and recovery process; development of relapse prevention strategies and plans; assistance in development of functional, interpersonal and community coping skills (including adaptation to home, school, family and work environments); and skill-building related to symptom self-monitoring. Community support is provided primarily in an individual's home, place of residency or other natural settings; this does not include IMDs. Community support may be provided to an individual or to a group of individuals. Staff eligible to provide this service include QMHP, MHP, and RSA, PSW, or a multidisciplinary team with these credentials.
- ~~04/2207/07~~
6. Assertive community treatment (ACT): Comprehensive intensive integrated crisis, treatment and rehabilitative supports provided by an interdisciplinary team to individuals with serious and persistent mental illness or co-occurring mental health and alcohol/substance abuse disorders. The service is intended to promote symptom stability and appropriate use of psychotropic medications as well as restore personal care, community living, work and social skills. This comprehensive service includes counseling and psychotherapy, medication management and monitoring, skill building and crisis stabilization services. The focus of treatment interventions is the restoration of functional skills (*e.g.*, psychosocial, adaptive, self-care) to promote and maintain community living. ACT is available 24 hours per day, seven days a week. ACT is directed to adults 18 and over with multiple and frequent psychiatric inpatient readmissions and use of crisis/emergency services. ACT team members, which include QMHPs, MHPs ~~and~~ RSAs, and PSWs, are supervised by a licensed clinician who serves as a full-time team leader. The provider of service must ~~maintain a LPHA, QMHP, MHP and RSA and must~~ employ a psychiatric resource who has prescribing authority.