

## **Table of Contents**

**State/Territory Name: Iowa**

**State Plan Amendment (SPA) #: 22-0002**

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS Form 179
- 3) Approved SPA Pages

**DEPARTMENT OF HEALTH & HUMAN SERVICES**  
Centers for Medicare & Medicaid Services  
601 E. 12th St., Room 355  
Kansas City, Missouri 64106



Medicaid and CHIP Operations Group

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February 24, 2023

Elizabeth Matney  
Medicaid Director  
Iowa Department of Human Services  
1305 East Walnut Street  
Des Moines, IA 50319

Re: Iowa State Plan Amendment (SPA) 22-0002

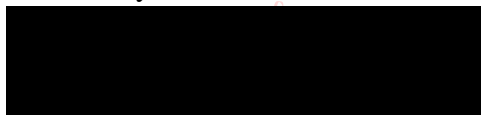
Dear Iowa Medicaid Director Ms. Matney:

The Centers for Medicare & Medicaid Services (CMS) reviewed your Medicaid State Plan Amendment (SPA) submitted under transmittal number (TN) 22-0002. This amendment adds registered behavior technicians to the list of eligible providers to provide Applied Behavior Analysis (ABA) services.

We conducted our review of your submittal according to statutory requirements in Title XIX of the Social Security Act and implementing regulations 42 CFR §447.200 Subpart B. This letter is to inform you that Iowa Medicaid SPA 22-0002 was approved on February 24, 2023, with an effective date of October 1, 2022.

If you have any questions, please contact Lee Herko at 570-230-4048 or via email at [lee.herko@cms.hhs.gov](mailto:lee.herko@cms.hhs.gov) if you have any questions about this approval.

Sincerely,



James G. Scott, Director  
Division of Program Operations

Enclosures

cc: Jennifer Steenblock  
Sara Schneider  
Jeanette Brandner

**TRANSMITTAL AND NOTICE OF APPROVAL OF  
STATE PLAN MATERIAL  
FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES**

1. TRANSMITTAL NUMBER <u>2 2 — 0 0 0 2</u>	2. STATE <u>IA</u>
3. PROGRAM IDENTIFICATION: TITLE OF THE SOCIAL SECURITY ACT <input checked="" type="radio"/> XIX <input type="radio"/> XXI	

TO: CENTER DIRECTOR  
CENTERS FOR MEDICAID & CHIP SERVICES  
DEPARTMENT OF HEALTH AND HUMAN SERVICES

4. PROPOSED EFFECTIVE DATE  
**October 1, 2022**

5. FEDERAL STATUTE/REGULATION CITATION  
**42 CFR §447.200 Subpart B**

6. FEDERAL BUDGET IMPACT (Amounts in WHOLE dollars)  
a. FFY 23 \$ 241,360  
b. FFY 24 \$ 312,052

7. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT  
**Supplement 2 to Attachment 3.1-A, pages 12A, 12B**

8. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If Applicable)  
**Supplement 2 to Attachment 3.1-A, pages 12A, 12B (SPA IA 14-022)**

9. SUBJECT OF AMENDMENT

**Add registered behavior technicians to the list of eligible providers to provide Applied Behavior Analysis (ABA) services.**

10. GOVERNOR'S REVIEW (Check One)

GOVERNOR'S OFFICE REPORTED NO COMMENT  OTHER, AS SPECIFIED:  
 COMMENTS OF GOVERNOR'S OFFICE ENCLOSED  
 NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL

11. SIGNATURE OF STATE AGENCY OFFICIAL  
[Redacted]

12. TYPED NAME  
**Elizabeth Matney**

13. TITLE  
**Medicaid Director**

14. DATE SUBMITTED

15. RETURN TO  
**Elizabeth Matney  
Medicaid Director  
Department of Human Services  
Iowa Medicaid Enterprise  
1305 East Walnut Street  
Des Moines, IA 50319**

**FOR CMS USE ONLY**

16. DATE RECEIVED  
**December 28, 2022**

17. DATE APPROVED  
**February 24, 2023**

**PLAN APPROVED - ONE COPY ATTACHED**

18. EFFECTIVE DATE OF APPROVED MATERIAL  
**October 1, 2022**

19. SIGNATURE OF APPROVING OFFICIAL  
[Redacted]

20. TYPED NAME OF APPROVING OFFICIAL  
**James G. Scott**

21. TITLE OF APPROVING OFFICIAL  
**Director, Division of Program Operations**

22. REMARKS

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c. Services include the following:

Service	Definition
Individual Direct Skills Training and Development	The service is designed to treat inappropriate behaviors and prevent more serious mental health symptoms, communication problems, and problem behaviors in the future. It includes one to one skill building services to increase positive and reduce negative behaviors. The service includes skill modeling, feedback, and reinforcement of appropriate social behaviors and reduction of maladaptive behaviors. It is designed to reduce maladaptive behaviors while simultaneously increasing appropriate socially significant behaviors. The services can be provided by a board certified behavior analyst (BCBA), board certified assistant behavior analyst (BCABA), registered behavior technician, or non-certified staff with a bachelor's degree.
Functional Behavior Assessment	Assessment of the child to determine the function of maladaptive behaviors subsequent to the diagnosis and to determine appropriate treatment options and recommendations. It is performed by a BCBA using a nationally recognized standardized behavior assessment tool.
Family Training	The service is designed to treat inappropriate behaviors and prevent more serious mental health symptoms, communication problems, and problem behaviors in the future. The service includes skill modeling, feedback, and reinforcement to family members or caregivers. Skill teaching and reinforcement at home to family members or caregivers to ensure treatment strategies are being transferred. This service is for the direct benefit of the Medicaid eligible individual. Treatment interventions that are implemented need to be transferred and implemented by the family or caregiver for reinforcement, redirection, and promotion. The services can be provided by a BCBA, BCABA, registered behavior technician, or non-certified staff with a bachelor's degree.
Skills Training and Development-group	The service is designed to treat inappropriate behaviors and prevent more serious mental health symptoms, communication problems, and problem behaviors in the future. Skill teaching to increase positive or reduce negative behaviors within a group of children. The service includes skill modeling, feedback, and reinforcement of appropriate social behaviors and reduction of maladaptive behaviors. One to one intervention in a group setting, to work towards generalization of skills and behavior addressed in home programming. The services can be provided by a BCBA, BCABA, registered behavior technician, or non-certified staff with a bachelor's degree.

- a. Types of non-licensed practitioners providing the service are registered behavior technicians and non-certified staff with a bachelor's degree.
- b. A description of the qualifications and supervision follows:
  - Board certified behavioral analysts (BCBA) who have a master's degree in behavior analysis or other natural science, education, psychology, social work, or a field related to behavior analysis and are certified by the Behavior Analyst Certification Board.
  - Board certified assistant behavior analyst (BCABA) have a bachelor's degree and

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135 hours of education in ethical considerations, definition & characteristics and principles, processes & concepts, behavioral assessment and selecting intervention outcomes & strategies, experimental evaluation of interventions, measurement of behavior and displaying & interpreting behavioral data, and behavior change procedures and systems support. A practicum must be completed. They are supervised by a BCBA.

- Registered behavior technicians hold a current certification from the Behavior Analyst Certification Board. They are supervised by a BCBA.
- Non-certified staff with a bachelor's degree must have 40 hours of training in ABA. They are supervised by a BCBA.

Provider Qualifications

<b>Provider/ Practitioners</b>	<b>Service</b>	<b>Minimum Level of Education / Degree / Experience Required</b>	<b>License / Certification Required</b>	<b>Clinical Supervision</b>
Skills Training and Development Staff	Direct Skills Training and Development (individual and group), Family Training,	Registered behavior technician or bachelor's degree with 40 hours of ABA training supervised by BCBA, or BCABA and supervised by a BCBA	None	Board Certified Behavior Analyst
Behavior analyst	Functional Behavior Assessment	Individuals with a Master's degree in behavior analysis or other natural science, education, psychology, social work, or a field related to behavior analysis	Board Certified Behavior Analysis	None

State Plan TN #	<u>IA-22-002</u>	Effective	<u>October 1, 2022</u>
Superseded TN #	<u>IA-14-022</u>	Approved	<u>February 24, 2023</u>