

- 1. Title page for the state’s substance use disorder (SUD) demonstration or the SUD component of the broader demonstration**

Medicaid Section 1115 SUD Demonstrations Monitoring Report – Part B Version 3.0
 Oregon, Oregon Health Plan Substance Use Disorder 1115 Demonstration

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| State | Oregon |
| Demonstration name | Oregon Health Plan Substance Use Disorder 1115 Demonstration |
| Approval period for section 1115 demonstration | 04/8/2021- 03/31/2026 |
| SUD demonstration start date^a | 04/08/2021 |
| Implementation date of SUD demonstration, if different from SUD demonstration start date^b | 04/08/21 |
| SUD (or if broader demonstration, then SUD -related) demonstration goals and objectives | <p>This demonstration provides Oregon with the opportunity to provide a fuller continuum of care to OHP members with substance use disorder (SUD). A key part of this will be the state’s ability to receive FFP for members whom are short-term residents in residential and inpatient treatment settings that qualify as Institutions for Mental Diseases (IMDs). It will also support Oregon’s efforts to implement models of care focused on increasing support for individuals in the community and home, outside of institutions, and improve access to a continuum of SUD evidence-based services at varied levels of intensity. This continuum of care is based on the American Society of Addiction Medicine (ASAM) criteria, nationally recognized assessment and placement criteria that reflect evidence-based clinical treatment guidelines. This demonstration will also allow Oregon to provide community integration services which consists of housing and employment supports to individuals transitioning back into the community from an IMD or other residential setting.</p> <ol style="list-style-type: none"> 1. Assist Oregon in increasing identification, initiation, and engagement of Medicaid beneficiaries diagnosed with SUD; 2. Assist the state in increasing beneficiary adherence to, and retention in, SUD treatment programs; 3. Assist Oregon in reducing inappropriate or preventable utilization of emergency departments and inpatient hospital settings through improved access to a continuum of care services; and 4. Provide a continuum of care to increase the chances of Medicaid beneficiaries of having a successful recovery process. |

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| SUD demonstration year and quarter | <i>D1Q3 Health Systems Division OHA</i> |
| Reporting period | <i>10/01/2021-12/31/2021</i> |

^a **SUD demonstration start date:** For monitoring purposes, CMS defines the start date of the demonstration as the *effective date* listed in the state’s STCs at time of SUD demonstration approval. For example, if the state’s STCs at the time of SUD demonstration approval note that the SUD demonstration is effective January 1, 2020 – December 31, 2025, the state should consider January 1, 2020 to be the start date of the SUD demonstration. Note that the effective date is considered to be the first day the state may begin its SUD demonstration. In many cases, the effective date is distinct from the approval date of a demonstration; that is, in certain cases, CMS may approve a section 1115 demonstration with an effective date that is in the future. For example, CMS may approve an extension request on 12/15/2020, with an effective date of 1/1/2021 for the new demonstration period. In many cases, the effective date also differs from the date a state begins implementing its demonstration.

^b **Implementation date of SUD demonstration:** The date the state began claiming federal financial participation for services provided to individuals in institutions for mental disease.

2. Executive summary

This quarterly report summarizes OHA activities for Demonstration Year 1 Quarter 3 October 1, 2021 through December 31, 2021. The third quarter focused primarily on planning and implementation, MMIS payment modification for new services and rates, and development of administrative rules for specialty programs to support new services and rate structure.

The program and benefit expansion is scheduled to begin 01/01/2022. Quarter 1, 2 and 3 reports of DY1 content is expected to be sparse as Oregon ramps up the project over the next few quarters.

Quarterly Accomplishments

- Despite COVID-19 disruptions, project implementation and planning continued.
- Continued to engage with providers, community members, and other key stakeholders to obtain feedback and guidance around implementation of the new services and supports in alignment with the standard terms and conditions.
- Initiated hiring process for dedicated SUD Waiver Policy Analyst.
- Partnered with Health Evidence Review Commission (HERC) to incorporate new services within the prioritized list of covered services.

3. Narrative information on implementation, by milestone and reporting topic

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|--|
| 1. Assessment of need and qualification for SUD services | | | |
| 1.1 Metric trends | | | |
| 1.1.1. The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to assessment of need and qualification for SUD services | X | | <i>Monitoring protocol has been drafted and not yet approved by CMS.</i> |
| 1.2 Implementation update | | | |
| 1.2.1. Compared to the demonstration design and operational details, the state expects to make the following changes to: 1.2.1.i. The target population(s) of the demonstration | X | | <i>No changes to demonstration design or operation</i> |
| 1.2.1.ii. The clinical criteria (e.g., SUD diagnoses) that qualify a beneficiary for the demonstration | X | | <i>No changes in clinical criteria</i> |
| 1.2.2 The state expects to make other program changes that may affect metrics related to assessment of need and qualification for SUD services | X | | <i>No Program Changes Anticipated</i> |

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| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|----------------------------|---|
| 2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1) | | | |
| 2.1 Metric trends | | | |
| 2.1.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 1 | X | | Many activities under milestone 1 are 12-24 months out from date of approval for implementation SPA approved to ensure parity of coverage in SUD Service Array Rate increase and service expansion to begin 01/01/2022 |
| 2.2 Implementation update | | | |
| 2.2.1 Compared to the demonstration design and operational details, the state expects to make the following changes to: 2.2.1.i. Planned activities to improve access to SUD treatment services across the continuum of care for Medicaid beneficiaries (e.g. outpatient services, intensive outpatient services, medication-assisted treatment, services in intensive residential and inpatient settings, medically supervised withdrawal management) | X | | Development of quarterly report to monitor internal quality improvement strategies for SUD services is in process due to staffing shortage. No additional expected changes or delays. |
| 2.2.1.ii. SUD benefit coverage under the Medicaid state plan or the Expenditure Authority, particularly for residential treatment, medically supervised withdrawal management, and medication-assisted treatment services provided to individual IMDs | X | | No Expected changes |
| 2.2.2 The state expects to make other program changes that may affect metrics related to Milestone 1 | X | | No Expected Changes |

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| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|---|
| 3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2) | | | |
| 3.1 Metric trends | | | |
| 3.1.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 2 | X | | State sent public entity contract to ASAM for signature. This will allow Oregon to begin updating Oregon administrative rules, contracts and licensing requirements related to trade name, ASAM placement criteria. Implementation is 12-24 months out according to STC |
| 3.2. Implementation update | | | |
| 3.2.1 Compared to the demonstration design and operational details, the state expects to make the following changes to: 3.2.1.i. Planned activities to improve providers’ use of evidence-based, SUD-specific placement criteria | X | | No Anticipated Changes |
| 3.2.1.ii. Implementation of a utilization management approach to ensure (a) beneficiaries have access to SUD services at the appropriate level of care, (b) interventions are appropriate for the diagnosis and level of care, or (c) use of independent process for reviewing placement in residential treatment settings | X | | No Anticipated Changes |
| 3.2.2 The state expects to make other program changes that may affect metrics related to Milestone 2 | X | | No Anticipated Changes |

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| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|----------------------------|---|
| 4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3) | | | |
| 4.1 Metric trends | | | |
| 4.1.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 3 <i>Note: There are no CMS-provided metrics related to Milestone 3. If the state did not identify any metrics for reporting this milestone, the state should indicate it has no update to report.</i> | X | | State sent public entity contract to ASAM for signature. This will allow Oregon to begin updating Oregon administrative rules, contracts and licensing requirements related to trade name, ASAM placement criteria. Implementation is 12-24 months out according to STC |
| 4.2 Implementation update | | | |
| 4.2.1 Compared to the demonstration design and operational details, the state expects to make the following changes to: 4.2.1.i. Implementation of residential treatment provider qualifications that meet the ASAM Criteria or other nationally recognized, SUD-specific program standards | X | | No Anticipated Changes |
| 4.2.1.ii. Review process for residential treatment providers' compliance with qualifications. | X | | No Anticipated Changes |
| 4.2.1.iii. Availability of medication-assisted treatment at residential treatment facilities, either on-site or through facilitated access to services off site | X | | No Anticipated Changes |
| 4.2.2 The state expects to make other program changes that may affect metrics related to Milestone 3 | X | | No Anticipated Changes |

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| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|----------------------------|--|
| 5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4) | | | |
| 5.1 Metric trends | | | |
| 5.1.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 4 | X | | State has compiled data from Provider Capacity Study and report is anticipated April 2022 |
| 5.2 Implementation update | | | |
| 5.2.1 Compared to the demonstration design and operational details, the state expects to make the following changes to: Planned activities to assess the availability of providers enrolled in Medicaid and accepting new patients in across the continuum of SUD care | X | | No Anticipated Changes |
| 5.2.2 The state expects to make other program changes that may affect metrics related to Milestone 4 | X | | No Anticipated Changes |
| 6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5) | | | |
| 6.1 Metric trends | | | |
| 6.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 5 | X | | Oregon has seen some trending increase in Opioid Overdose during 2021, percentage and population (Medicaid/non-Medicaid) is still being determined |
| 6.2 Implementation update | | | |
| 6.2.1 Compared to the demonstration design and operational details, the state expects to make the following changes to: 6.2.1.i. Implementation of opioid prescribing guidelines and other interventions related to prevention of OUD | X | | No Anticipated Changes |
| 6.2.1.ii. Expansion of coverage for and access to naloxone | X | | No Anticipated Changes. Oregon continues to distribute Naloxone, especially in areas of high need. |

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| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|--|
| 6.2.2 The state expects to make other program changes that may affect metrics related to Milestone 5 | X | | No Anticipated Changes. |
| 7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6) | | | |
| 7.1 Metric trends | | | |
| 7.1.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 6 | X | | No trends to report, most of the work for this milestone begin DY1Q4 |
| 7.2 Implementation update | | | |
| 7.2.1 Compared to the demonstration design and operational details, the state expects to make the following changes to: Implementation of policies supporting beneficiaries' transition from residential and inpatient facilities to community-based services and supports | X | | No Anticipated Changes |
| 7.2.2 The state expects to make other program changes that may affect metrics related to Milestone 6 | X | | No Anticipated Changes |
| 8. SUD health information technology (health IT) | | | |
| 8.1 Metric trends | | | |
| 8.1.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to its health IT metrics | X | | No Trends to report, most of the work for this milestone 12-24 months out according to STC |
| 8.2 Implementation update | | | |
| 8.2.1 Compared to the demonstration design and operational details, the state expects to make the following changes to: 8.2.1.i. How health IT is being used to slow down the rate of growth of individuals identified with SUD | X | | No Anticipated Changes |

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| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|---------------------------------|
| How health IT is being used to treat effectively individuals identified with SUD | X | | No Anticipated Changes |
| 8.2.1.ii. How health IT is being used to effectively monitor “recovery” supports and services for individuals identified with SUD | X | | No Anticipated Changes |
| 8.2.1.iii. Other aspects of the state’s plan to develop the health IT infrastructure/capabilities at the state, delivery system, health plan/MCO, and individual provider levels | X | | No Anticipated Changes |
| 8.2.1.iv. Other aspects of the state’s health IT implementation milestones | X | | No Anticipated Changes |
| 8.2.1.v. The timeline for achieving health IT implementation milestones | X | | No Anticipated Changes |
| 8.2.1.vi. Planned activities to increase use and functionality of the state’s prescription drug monitoring program | X | | No Anticipated Changes |
| 8.2.2 The state expects to make other program changes that may affect metrics related to health IT | X | | No Anticipated Changes |
| 9. Other SUD-related metrics | | | |
| 9.1 Metric trends | | | |
| 9.1.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to other SUD-related metrics | X | | No additional Metrics to report |
| 9.2 Implementation update | | | |
| 9.2.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to other SUD-related metrics | X | | No Additional Metrics to report |

4. Narrative information on other reporting topics

| Prompts | State has no update to report (Place an X) | State response |
|--|--|---|
| 10. Budget neutrality | | |
| 10.1 Current status and analysis | | |
| 10.1.1 If the SUD component is part of a broader demonstration, the state should provide an analysis of the SUD-related budget neutrality and an analysis of budget neutrality as a whole. Describe the current status of budget neutrality and an analysis of the budget neutrality to date. | X | Oregon continues to strive to be in compliance with budget neutrality |
| 10.2 Implementation update | | |
| 10.2.1 The state expects to make other program changes that may affect budget neutrality | X | No Anticipated Changes |
| 11. SUD-related demonstration operations and policy | | |
| 11.1 Considerations | | |
| 11.1.1 The state should highlight significant SUD (or if broader demonstration, then SUD-related) demonstration operations or policy considerations that could positively or negatively affect beneficiary enrollment, access to services, timely provision of services, budget neutrality, or any other provision that has potential for beneficiary impacts. Also note any activity that may accelerate or create delays or impediments in achieving the SUD demonstration’s approved goals or objectives, if not already reported elsewhere in this document. See report template instructions for more detail. | | Oregon is actively supporting BH providers during COVID-19 to ensure quality services and treatment is accessible to Medicaid recipients. Many programs have struggled financially due to COVID restrictions resulting in lower admissions and longer wait times to access care. Oregon has utilized COVID relief funds and other Oregon funds to help support programs financially to remain open. Due to COVID and workforce concerns, expansion of services may be delayed in certain areas of the state. A large focus in Q1, Q2, Q3 has been COVID relief and workforce. As well as policy development and implementation. Staffing for OHA and this project have also seen some delays. New hires for this project are anticipated to be in place by 01/2022. |

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| Prompts | State has no update to report (Place an X) | State response |
|--|--|---|
| 11.2 Implementation update | | |
| 11.2.1 Compared to the demonstration design and operational details, the state expects to make the following changes to: 11.2.1.i. How the delivery system operates under the demonstration (e.g. through the managed care system or fee for service) | X | No Anticipated Changes |
| 11.2.1.ii. Delivery models affecting demonstration participants (e.g. Accountable Care Organizations, Patient Centered Medical Homes) | X | No Anticipated Changes |
| 11.2.1.iii. Partners involved in service delivery | X | No Anticipated Changes |
| 11.2.2 The state experienced challenges in partnering with entities contracted to help implement the demonstration (e.g., health plans, credentialing vendors, private sector providers) and/or noted any performance issues with contracted entities | | COVID relief contracts were being worked as priority. Contracts related to external review and with ASAM are now underway. |
| 11.2.3 The state is working on other initiatives related to SUD or OUD | | Block SAPT Grant, SB755, SOR Grants, Opioid Overdose response with Public Health |
| 11.2.4 The initiatives described above are related to the SUD or OUD demonstration (The state should note similarities and differences from the SUD demonstration) | | All initiatives are focused on improving access to care and reducing overdose and overdose related deaths. The population covered under the initiatives are different, 1115 Waiver Medicaid, other others indigent or non-Medicaid covered services and supports. Such as Harm Reduction, Housing, infrastructure, room and board, etc. |
| 12. SUD demonstration evaluation update | | |
| 12.1 Narrative information | | |
| 12.1.1 Provide updates on SUD evaluation work and timeline. The appropriate content will depend on when this report is due to CMS and the timing for the demonstration. There are specific requirements per Code of Federal Regulations (CFR) for annual reports. See report template instructions for more details. | | External evaluation plan was submitted to CMS, Oregon received comments back and an extension was granted. Draft contract with external review organization is underway. |

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| Prompts | State has no update to report (Place an X) | State response |
|--|--|--|
| 12.1.2 Provide status updates on deliverables related to the demonstration evaluation and indicate whether the expected timelines are being met and/or if there are any real or anticipated barriers in achieving the goals and timeframes agreed to in the STCs | X | CMS approved an extension for the evaluation plan. Contracting continues to be underway but there is not an anticipated delay with meeting the timelines of the STC. |
| 12.1.3 List anticipated evaluation-related deliverables related to this demonstration and their due dates | | <p>The evaluation plan has not yet been approved by CMS, however our expectation is the Evaluator will deliver Mid-Point Assessment, Interim and Summative Evaluation Reports that are meaningful and accessible to the primary audiences for the evaluation. Given the six-month time lag for maturation of claims/encounters data and the time needed to analyze these data, we anticipate that the reports will cover results for the following time periods:</p> <ul style="list-style-type: none"> • The Mid-Point Assessment Report due to CMS in December 2023 will include results through June 2022. • The Interim Report due to CMS in July 2025 will include results through December 2023 • The Summative Report due to CMS in September 2027 will present results through December 2025. <p>We anticipate that each report will contain a large volume of quantitative results, including descriptive statistics, data trends over time, change associated with the waiver as identified by regression analysis, and results for subgroups. The reports will use visual presentations to convey this information quickly and concisely and enable readers to explore the data. To provide context and help explain results, the reports will draw on information from Oregon’s quarterly monitoring reports to CMS and other background documents as needed.</p> |

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| Prompts | State has no update to report (Place an X) | State response |
|--|--|---|
| 13. Other demonstration reporting | | |
| 13.1 General reporting requirements | | |
| 13.1.1 The state reports changes in its implementation of the demonstration that might necessitate a change to approved STCs, implementation plan, or monitoring protocol | X | No Anticipated Changes |
| 13.1.2 The state anticipates the need to make future changes to the STCs, implementation plan, or monitoring protocol, based on expected or upcoming implementation changes | X | No Anticipated Changes, awaiting CMS approved on External review and Monitoring Protocol |
| 13.1.3 Compared to the demonstration design and operational details, the state expects to make the following changes to: 13.1.3.i. The schedule for completing and submitting monitoring reports | | Some requested changes to schedule as outlined in the submitted protocol and External review |
| 13.1.3.ii. The content or completeness of submitted reports and/or future reports | | Content for Q1, Q2, and Q3 will be minimal due to the Monitoring Protocol not yet approved by CMS, majority of the demonstration services being implemented 01/01/2022 and Licensure changes taking place in 12-24 months out. |
| 13.1.4 The state identified real or anticipated issues submitting timely post-approval demonstration deliverables, including a plan for remediation | | Due date for Part B and BN for DY1Q1 extended to 11/30/2021 due to misunderstanding in Q&A document related to due dates of these reports. New hire staff to be on board by 01/2022. Delays in hiring may see delays in demonstration deliverables, however Oregon is working hard to mitigate risk by having interim staff |
| 13.2 Post-award public forum | | |
| 13.2.2 If applicable within the timing of the demonstration, provide a summary of the annual post-award public forum held pursuant to 42 CFR § 431.420(c) indicating any resulting action items or issues. A summary of the post-award public forum must be included here for the period during which the forum was held and in the annual report. | | Oregon has an SUD 1115 Advisory Committee that meets every other month and provides feedback on the progress of the demonstration. Meeting schedule for November 2021 due to holiday coverage. Oregon is planning on holding a larger annual public forum meeting to solicit additional feedback. |

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| Prompts | State has no update to report (Place an X) | State response |
|---|--|---------------------------------|
| 14. Notable state achievements and/or innovations | | |
| 14.1 Narrative information | | |
| 14.1.1 Provide any relevant summary of achievements and/or innovations in demonstration enrollment, benefits, operations, and policies pursuant to the hypotheses of the SUD (or if broader demonstration, then SUD related) demonstration or that served to provide better care for individuals, better health for populations, and/or reduce per capita cost. Achievements should focus on significant impacts to beneficiary outcomes. Whenever possible, the summary should describe the achievement or innovation in quantifiable terms, e.g., number of impacted beneficiaries. | X | Nothing to report at this time. |

*The state should remove all example text from the table prior to submission.

Note: Licensee and states must prominently display the following notice on any display of Measure rates:

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