## 1. Title Page for the State's SUD Demonstration or SUD Components of Broader Demonstration

The state should complete this Title Page at the beginning of a demonstration and submit as the title page of all SUD Monitoring Reports. The content of this l table should stay consistent over time.

| State   | New Mexico                                      |
|---|---|
| Demonstration name                                  | Centennial Care 2.0 1115 Medicaid Demonstration |
| Approval date for<br>demonstration                  | 12/14/2018.                                     |
| Approval period for SUD                             | 01/01/2019-12/31/2023                           |
| Approval date for SUD, if different from above      | 05/21/2019                                      |
| Implementation date of SUD, if different from above | 01/01/2019                                      |

| SUD (or if broader<br>demonstration, then SUD -<br>related) demonstration goals<br>and objectives | <ul> <li>New Mexico's 1115 waiver application supports and focuses its SUD evaluation on the six goals developed by CMS:</li> <li>1. Increased rates of identification, initiation and engagement in treatment for OUD and other SUDs;</li> <li>2. Increased adherence to and retention in treatment for OUD and other SUD;</li> <li>3. Reductions in overdose deaths, particularly those due to opioids;</li> <li>4. Reduced utilization of emergency departments and inpatient hospital settings for OUD and other SUD treatment where the utilization is preventable or medically inappropriate through improved access to other continuum of care services;</li> <li>5. Fewer readmissions to the same or higher level of care where readmission is preventable or medically inappropriate for OUD and other SUD; and</li> <li>6. Improved access to care for physical health conditions among beneficiaries with OUD or other SUDs.</li> <li>This implementation plan will describe services currently in place, and put forward our plans to implement new services, i.e. our gaps in service options. It is based upon American Society of Addiction Medicine (ASAM) levels of care for OUD and other SUDs</li> <li>2. Widespread use of evidence-based, SUD-specific patient placement criteria;</li> <li>3. Use of nationally recognized, evidence-based, SUD program standards to set residential treatment provider qualifications;</li> <li>4. Sufficient provider capacity at each level of care, including Medication Assisted Treatment (MAT);</li> <li>5. Implementation of comprehensive treatment and prevention strategies to address opioid abuse and OUD; and</li> <li>6. Improved care coordination and transitions between levels of care.</li> </ul> |
|---|--|

## 2. Executive Summary

The executive summary should be reported in the fillable box below. It is intended for summary-level information only. The recommended word count is 500 or less.

New Mexico has made significant advances in recent years in our services to both combat and treat OUD and SUD. We reduced the increasing overdose trend from the highest rate among states to 13th. We must consider, however, that the upward trends of other states also impact this. However, New Mexico continues to be the top state in alcohol-related deaths and third in the nation for suicides. We still have much work to do.

New Mexico's continuum of SUD services and its implementation plan also includes:

- Treatment of co-occurring mental health conditions with a primary diagnosis of SUD;

- A focus on the integration of SUD screening in physical health provider locations;

- The introduction of behavioral health counselors in primary care agencies, and primary care practitioners in behavioral health agencies; and

- Interdisciplinary teaming with the Medicaid beneficiary and his/her natural supports to treat not only the person with the SUD, but also the family or natural support system.

## 3. Narrative Information on Implementation, by Milestone and Reporting Topic

| Prompt<br>1.2 Assessment of Need and Qualification for SUD S   | State response<br>ervices  | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)  |
|--|--|---|---|
| 1.2.1 Metric Trends ⊠ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to assessment of need and qualification for SUD services.   | The number of beneficiaries with a SUD diagnosis and a SUD-related service<br>during the measurement period (QTR 3 DY2 2020) <b>increased by 4%</b> from the<br>second quarter of DY2. Numbers for this measure have shown a steady<br>upward trend, rising 12% since the beginning of the waiver. In response to the<br>COVID-19 public health emergency, HSD has allowed behavioral health<br>providers to offer most behavioral health services using telehealth, telephonic<br>and e-visit delivery systems to ensure safe access to health care. This change<br>in policy has helped maintain access, though given the scope of the SUD crisis<br>in New Mexico, the numbers would almost certainly have been higher had the<br>pandemic not occurred; SUD is a key behavioral health issue for the state and<br>even this positive utilization trend likely underrepresents the scope of the<br>problem.<br>QTR 3- A total of 64,856 beneficiaries with a SUD diagnosis sought SUD-<br>related service during the measurement period. The average number of<br>beneficiaries for the third quarter was 21,619 per month. | QTR-3<br>07/01/2020-<br>09/30/2020  | Number of beneficiaries<br>with a SUD diagnosis<br>and a SUD-related<br>service during the<br>measurement period<br>and/or in the 11 months<br>before the measurement<br>period |
| <ul> <li>The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to assessment of need and qualification for SUD services.</li> <li>The state has no metrics trends to report for this report for the state has no metrics trends to report for the state has no metrics treport for the state has no metrics trends to report for the st</li></ul> |  | Annual-<br>01/01/2020-<br>12/31/2020  | Number of beneficiaries<br>with a claim for<br>residential or inpatient<br>treatment for SUD in<br>IMDs during the<br>measurement period  |

| Prompt  | State response        | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|---|-----------------------|---|----------------------------|
| <b>1.2.2 Implementation Update</b>  |                       |   |                            |
| Compared to the demonstration design and operational details, the state expects to make the |                       |   |                            |
| following changes to:   |                       |   |                            |
| $\square$ i) The target population(s) of the demonstration                                  |                       |   |                            |
| □ ii) The clinical criteria (e.g., SUD diagnoses)   |                       |   |                            |
| that qualify a beneficiary for the demonstration  |                       |   |                            |
| $\boxtimes$ The state has no implementation update to report for t                          | this reporting topic. |   |                            |
| ☐ The state expects to make other program changes   |                       |   |                            |
| that may affect metrics related to assessment of need                                       |                       |   |                            |
| and qualification for SUD services  |                       |   |                            |
| $\boxtimes$ The state has no implementation update to report for t                          | this reporting topic. |   |                            |

| Image: The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 1       The number of beneficiaries enrolled during the measurement period (QTR 3 DY2 2020) and receiving any SUD treatment service, facility claim, or pharmacy claim increased by 3% from the second quarter of DY2. Numbers for this measure have shown a steady upward trend, rising 11% since the beginning of the waiver. In response to the COVID-19 public health emergency, HSD has allowed behavioral health providers to offer most behavioral health services using telehealth, telephonic and e-visit delivery systems to ensure safe access to health care. This change in policy has helped maintain access, though given the scope of the SUD crisis in New Mexico, the numbers would almost certainly have been higher had the pandemic not occurred; SUD is a key behavioral health issue for the state and even this positive utilization trend likely underrepresents the scope of the problem.       QTR 3- A total of 64,602 beneficiaries enrolled in the measurement period received any SUD treatment service, facility claim, or pharmacy claim during the measurement period. The average number of beneficiaries for the third quarter is 21,534 per month. | Prompt<br>2.2 Access to Critical Levels of Care for OUD and ot<br>2.2.1 Metric Trends                            | State response<br>her SUDs (Milestone 1)   | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)  |
|--|--|--|---|---|
|  | $\boxtimes$ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent | DY2 2020) and receiving any SUD treatment service, facility claim, or<br>pharmacy claim <b>increased by 3%</b> from the second quarter of DY2. Numbers<br>for this measure have shown a steady upward trend, rising 11% since the<br>beginning of the waiver. In response to the COVID-19 public health<br>emergency, HSD has allowed behavioral health providers to offer most<br>behavioral health services using telehealth, telephonic and e-visit delivery<br>systems to ensure safe access to health care. This change in policy has helped<br>maintain access, though given the scope of the SUD crisis in New Mexico, the<br>numbers would almost certainly have been higher had the pandemic not<br>occurred; SUD is a key behavioral health issue for the state and even this<br>positive utilization trend likely underrepresents the scope of the problem.<br><b>QTR 3-</b> A total of <b>64,602</b> beneficiaries enrolled in the measurement period<br>received any SUD treatment service, facility claim, or pharmacy claim during<br>the measurement period. The average number of beneficiaries for the third | 07/01/2020-   | enrolled in the<br>measurement period<br>receiving any SUD<br>treatment service,<br>facility claim, or<br>pharmacy claim during |

| including all changes (+ or -) greater than 2 percent<br>related to Milestone 1 measurement period (Q<br>quarter of DY2 – or a 1<br>metric has seen a steady<br>services dropped due to<br>The primary driver of th<br>state's Medicaid progra<br>providers and state-sport<br>to the COVID-19 public<br>providers to offer most<br>and e-visit delivery system |   |                                    | (if any)   |
|---|---|------------------------------------|--|
| behavioral health service   | 5% increase since the beginning of the waiver. This<br>upward trend, with the exception of last quarter when<br>the impact of the COVID-19 public health emergency.<br>e upward trend was the addition of SBIRT to the<br>n, effective 1/1/19, followed by expanded outreach to<br>sored provider trainings around the state. In response<br>health emergency, HSD has allowed behavioral health<br>behavioral health services using telehealth, telephonic<br>ems to ensure safe access to health care. SBIRT<br>cant way to connect New Mexicans with needed<br>es during the COVID-19 public health emergency. | QTR-3<br>07/01/2020-<br>09/30/2020 | Number of beneficiaries<br>who used early<br>intervention services<br>(such as procedure codes<br>associated with SBIRT)<br>during the measurement<br>period |

| Prompt  | State response   | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)  |
|---|--|---|---|
| ⊠ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 1 | The number of beneficiaries who used outpatient services for SUD during the measurement period (QTR 3 DY2 2020) <b>increased by 6%</b> from the end of DY2 QTR2. Despite quarter over quarter shifts, utilization of this service has increased by 4% since the first quarter of DY1. The increased utilization this quarter shows both the rising need for behavioral health services during the pandemic and the success of HSD's early change in policy to allow behavioral health providers to offer most behavioral health services using telehealth, telephonic and e-visit delivery systems to ensure safe access to health care.<br><b>QTR 3-</b> A total of <b>30,870</b> beneficiaries used outpatient services for SUD during the measurement period. The average number of beneficiaries for the third quarter is <b>10,290</b> per month. | QTR-3<br>07/01/2020-<br>09/30/2020  | Number of beneficiaries<br>who used outpatient<br>services for SUD (such as<br>outpatient recovery or<br>motivational<br>enhancement therapies,<br>step down care, and<br>monitoring for stable<br>patients) during the<br>measurement period |

| Prompt   | State response   | MM/DD/Y<br>YYY)                    | Related metric<br>(if any)  |
|--|--|------------------------------------|---|
| including all changes (+ or -) greater than 2 percent<br>related to Milestone 1 3 D<br>DY2<br>the 1<br>Prog<br>only<br>NM<br>slow<br>by I<br>get 0<br>part<br>QT<br>serv | the number of unique beneficiaries who used intensive outpatient and/or<br>rtial hospitalization services for SUD during the measurement period (QTR<br>DY2 2020) saw minimal change between the second and third quarters of<br>Y2. However, utilization in the third quarter represents a 27% increase since<br>e beginning of the waiver. There were no active Partial Hospitalization<br>ograms (PHP) in NM during the measurement period, so this metric applies<br>ly to Intensive Outpatient Program (IOP). The number of IOP programs in<br>M doubled during 2019, and while growth in utilization appears to have<br>owed during 2020 due to the pandemic, the year over year increase is driven<br>IOP providers who have worked particularly hard to ensure that patients can<br>t care through phone and video which has increased compliance and<br>rticipation. With the video ability, IOP it is expanding to rural communities.<br><b>TR 3</b> - A total of <b>2,255</b> unique beneficiaries used intensive outpatient<br>rvices for SUD during the measurement period. The average number of<br>ique beneficiaries for the third quarter are <b>752</b> per month. | QTR-3<br>07/01/2020-<br>09/30/2020 | Number of unique<br>beneficiaries who used<br>intensive outpatient<br>and/or partial<br>hospitalization services<br>for SUD (such as<br>specialized outpatient<br>SUD therapy or other<br>clinical services) during<br>the measurement period |

| Prompt  | State response  | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)   |
|---|---|---|--|
| ⊠ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 1 | The number of beneficiaries who used residential and/or inpatient services for<br>SUD during the measurement period (QTR 3 DY2 2020) <b>increased by16%</b><br>between the first and second quarters of DY2; this is a 55% increase since the<br>beginning of the waiver. The increase this quarter reflects the successful<br>transition of the first group of non-Medicaid adult residential treatment<br>providers into Adult Accredited Residential Treatment Centers (AARTC)<br>within the Medicaid system. The upward trend also includes a small but rising<br>number of individuals accessing expanded coverage for SUD in Institutes of<br>Mental Disease (IMD), added as part of Centennial Care 2.0 to provide a more<br>robust continuum of care for people who need this highest level of care.<br>During the pandemic the state has seen rising levels of urgent behavioral health<br>needs; an increase in inpatient stays is a reflection of the combined effects of<br>the COVID-19 public health emergency and the SUD crisis the state is<br>experiencing.<br>Lastly, the CMS instructions call for this measure to include only individuals<br>with a primary SUD diagnosis. HSD is aware that many New Mexico<br>providers are reluctant to use SUD as the primary diagnosis, particularly for<br>younger clients. When we recalculated this metric allowing a SUD diagnosis<br>in any of the first three diagnostic fields, utilization was 12% higher in the<br>second quarter and 10% higher in the third quarter.<br><b>QTR 3-</b> A total of <b>687</b> beneficiaries used residential and/or inpatient services<br>for SUD during the measurement period. The average of number of<br>beneficiaries for the third quarter is <b>229</b> per month. | QTR-3<br>07/01/2020-<br>09/30/2020  | Number of<br>beneficiaries who<br>use residential<br>and/or inpatient<br>services for SUD<br>during the<br>measurement<br>period |
| $\Box$ The state has no metrics trends to report for this repo  | orting topic.   |   | 1  |

| The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 1<br>The number of beneficiaries who used withdrawal management serv<br>the measurement period (QTR 3 DY2 2020) <b>increased by 45%</b> bet<br>second and third quarters of DY2. These are very small numbers ar<br>quarter to quarter shifts result in large percentage changes, but the or<br>rising trend is very positive. The provider network for withdrawal<br>management services reports persistent difficulty retaining medical<br>sufficient to maintain services, an unfortunately common challenge<br>Mexico. In response to the COVID-19 public health emergency, H<br>allowed behavioral health providers to offer most behavioral health<br>using telehealth, telephonic and e-visit delivery systems to ensure so<br>to health care. Withdrawal management services are not all amenal<br>telehealth type delivery of care, and further, tracking withdrawal ma<br>services in New Mexico relies on providers to enter additional track<br>that have no reimbursement attached. The increase in utilization de<br>challenges is a measure of rising need for behavioral health services<br>pandemic. | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY)   | Related metric<br>(if any)   |
|---|---|--|
| QTR 3- A total of 161 beneficiaries used withdrawal management s<br>during the measurement period. The average number of beneficiaries<br>third quarter was 54 per month.   | between the<br>and slight 07/01/2020-<br>09/30/2020<br>e overall<br>al staff<br>ge in New<br>HSD has<br>th services<br>e safe access<br>hable to<br>management<br>cking codes<br>despite these<br>ces during the<br>otherwise | Number of<br>beneficiaries who<br>use withdrawal<br>management<br>services (such as<br>outpatient,<br>inpatient, or<br>residential)<br>during the<br>measurement<br>period |

| Prompt  | State response  | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)   |
|---|---|---|--|
| ⊠ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 1   | The number of beneficiaries who had a claim for MAT for SUD during the measurement period (QTR 3 DY2 2020) <b>decreased by 1%</b> between the second quarter and third quarters of DY2; this is still a 13% increase since the start of the waiver in 2019. SUD is a key behavioral health issue for the state and even this generally upward trend may underrepresent the extent of need for MAT services. The state has identified a large number of providers certified to provide buprenorphine who are not actively prescribing and will be working to address this situation in the coming year.<br><b>QTR 3-</b> A total of <b>32,651</b> beneficiaries had a claim for MAT for SUD during the measurement period. The average number of beneficiaries for the third quarter is <b>10,884</b> per month. | QTR-3<br>07/01/2020-<br>09/30/2020  | Number of<br>beneficiaries who<br>have a claim for<br>MAT for SUD<br>during the<br>measurement<br>period   |
| <ul> <li>□ The state has no metrics trends to report for this report</li> <li>□ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 1</li> <li>☑ The state has no metrics trends to report for this report</li> </ul> |   | Annual-<br>01/01/2020-<br>12/31/2020  | The average<br>length of stay for<br>beneficiaries<br>discharged from<br>IMD inpatient or<br>residential<br>treatment for<br>SUD during the<br>measurement<br>period |

| Prompt<br>2.2.2 Implementation Update   | State response        | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |  |
|---|-----------------------|---|----------------------------|--|
| Compared to the demonstration design and operational  |                       |   |                            |  |
| details, the state expects to make the following changes  |                       |   |                            |  |
| to:   |                       |   |                            |  |
| <ul> <li>i) Planned activities to improve access to SUD treatment services across the continuum of care for Medicaid beneficiaries (e.g. outpatient services, intensive outpatient services, medication assisted treatment, services in intensive residential and inpatient settings, medically supervised withdrawal management)</li> <li>ii) SUD benefit coverage under the Medicaid state plan or the Expenditure Authority, particularly for residential treatment, medically supervised withdrawal management, and medication assisted treatment services provided to individuals in IMDs</li> </ul> |                       |   |                            |  |
| The state has no implementation update to report for this reporting topic.  |                       |   |                            |  |
| □ The state expects to make other program changes that may affect metrics related to Milestone 1  |                       |   |                            |  |
| $\boxtimes$ The state has no implementation update to report for  | this reporting topic. |   |                            |  |

| Prompt<br>3.2 Use of Evidence-based, SUD-specific Patient Plac  | State response               | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|---|------------------------------|---|----------------------------|
| 3.2.1 Metric Trends   | ement Criteria (Minestone 2) |   |                            |
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 2   |                              |   |                            |
| $\square$ The state has no trends to report for this reporting top  | pic.                         |   |                            |
| $\square$ The state is not reporting metrics related to Milestone   | e 2.                         |   |                            |
| 3.2.2 Implementation Update   |                              |   |                            |
| Compared to the demonstration design and<br>operational details, the state expects to make the<br>following changes to:   |                              |   |                            |
| ☐ ii) Implementation of a utilization<br>management approach to ensure (a)<br>beneficiaries have access to SUD services at<br>the appropriate level of care, (b) interventions<br>are appropriate for the diagnosis and level of<br>care, or (c) use of independent process for<br>reviewing placement in residential treatment<br>settings |                              |   |                            |
| $\boxtimes$ The state has no implementation update to report for  | this reporting topic.        |   |                            |
| □ The state expects to make other program changes that may affect metrics related to Milestone 2  |                              |   |                            |

| Prompt  | State response  | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|---|---|---|----------------------------|
| $\square$ The state has no implementation update to report for  | this reporting topic.   |   |                            |
| $\boxtimes$ The state is not reporting metrics related to Mileston  |   |   |                            |
|   | ram Standards to Set Provider Qualifications for Residential Treatment Facili | ties (Milestone   | 3)                         |
| 4.2.1 Metric Trends   |   |   |                            |
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 3   |   |   |                            |
| $\boxtimes$ The state has no trends to report for this reporting to   | pic.  |   |                            |
| I The state is not reporting metrics related to Mileston  | e 3.  |   |                            |
| 4.2.2 Implementation Update   |   |   |                            |
| Compared to the demonstration design and<br>operational details, the state expects to make the<br>following changes to:<br>i) Implementation of residential treatment<br>provider qualifications that meet the ASAM<br>Criteria or other nationally recognized, SUD-<br>specific program standards<br>ii) State review process for residential treatment<br>providers' compliance with qualifications<br>standards<br>iii) Availability of medication assisted treatment<br>at residential treatment facilities, either on-site or<br>through facilitated access to services off site<br>The state has no implementation update to report for |   |   |                            |

| Prompt  | State response   | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)  |
|---|--|---|---|
| ☐ The state expects to make other program changes that may affect metrics related to Milestone 3  |  |   |   |
| $\boxtimes$ The state has no implementation update to report for  | this reporting topic.  |   |   |
| $\boxtimes$ The state is not reporting metrics related to Mileston  | e 3.   |   |   |
|   | f Care including for Medication Assisted Treatment for OUD (Milestone 4) |   |   |
| 5.2.1 Metric Trends   |  |   |   |
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 4   |  | Annual-<br>01/01/2020-<br>12/31/2020  | The number of<br>providers who were<br>enrolled in Medicaid<br>and qualified to deliver<br>SUD services during the<br>measurement period  |
| $\boxtimes$ The state has no trends to report for this reporting top  | pic.   |   |   |
| <ul> <li>□ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 4</li> <li>☑ The state has no trends to report for this reporting top</li> </ul> |  | Annual-<br>01/01/2020-<br>12/31/2020  | The number of<br>providers who were<br>enrolled in Medicaid<br>and qualified to deliver<br>SUD services during the<br>measurement period and<br>who meet the standards<br>to provide<br>buprenorphine or<br>methadone as part of<br>MAT |

| Prompt   | State response        | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|--|-----------------------|---|----------------------------|
| 5.2.2 Implementation Update  |                       |   |                            |
| Compared to the demonstration design and   |                       |   |                            |
| operational details, the state expects to make the   |                       |   |                            |
| following changes to:  |                       |   |                            |
| $\Box$ Planned activities to assess the availability of  |                       |   |                            |
| providers enrolled in Medicaid and accepting new   |                       |   |                            |
| patients in across the continuum of SUD care   |                       |   |                            |
| $\square$ The state has no implementation update to report for the state has no implementation update to report for the state has no implementation. | this reporting topic. |   |                            |
| $\Box$ The state expects to make other program changes   |                       |   |                            |
| that may affect metrics related to Milestone 4   |                       |   |                            |
|  |                       |   |                            |
| $\square$ The state has no implementation update to report for the state has no implementation update to report for the state has no implementation. | this reporting topic. |   |                            |

| Prompt  | State response | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |  |  |
|---|----------------|---|----------------------------|--|--|
| 6.2 Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5) |                |   |                            |  |  |
| 6.2.1 Metric Trends   |                |   |                            |  |  |

| of the initiation visit<br>The following diagnosis<br>cohorts are reported for<br>each rate: (1) Alcohol<br>abuse or dependence, (2)<br>Opioid abuse or<br>dependence, (3) Other<br>drug abuse or<br>dependence, and (4)<br>Total AOD abuse or |
|--|
|--|

| Prompt  | State response | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)<br>dependence. A total of 8  |
|---|----------------|---|---|
|   |                |   | separate rates are<br>reported for this<br>measure.   |
| ☐ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 5 |                | Annual-<br>01/01/2020-<br>12/31/2020  | Percentage of<br>beneficiaries age 18 and<br>older who received<br>prescriptions for opioids<br>with an average daily<br>dosage greater than or<br>equal to 90 morphine<br>milligram equivalents<br>(MME) over a period of<br>90 days or more.<br>Beneficiaries with a<br>cancer diagnosis or in<br>hospice are excluded. |
| $\square$ The state has no trends to report for this reporting top  |                | . 1   |   |
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 5 |                | Annual-<br>01/01/2020-<br>12/31/2020  | Percentage of<br>beneficiaries age 18 and<br>older with concurrent<br>use of prescription<br>opioids and<br>benzodiazepine. Patients<br>with a cancer diagnosis<br>or in hospice are<br>excluded.   |

| Prompt   | State response       | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)  |
|--|----------------------|---|---|
| ☑ The state has no trends to report for this reporting top □ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 5   | 1C.                  | Annual-<br>01/01/2020-<br>12/31/2020  | Percentage of adults in<br>the denominator with<br>pharmacotherapy for<br>OUD who have at least<br>180 days of continuous<br>treatment. |
| $\boxtimes$ The state has no trends to report for this reporting top   | ic.                  |   |   |
| 6.2.2 Implementation Update  |                      |   |   |
| Compared to the demonstration design and operational details, the state expects to make the following changes to:<br>i) Implementation of opioid prescribing guidelines and other interventions related to prevention of OUD<br>ii) Expansion of coverage for and access to naloxone |                      |   |   |
| $\Box$ The state has no implementation update to report for t  | his reporting topic. |   |   |
| □ The state expects to make other program changes that may affect metrics related to Milestone 5   |                      |   |   |
| □ The state has no implementation update to report for   | his reporting topic. |   |   |

| Prompt<br>7.2 Improved Care Coordination and Transitions bet<br>7.2.1 Metric Trends   | State response<br>tween Levels of Care (Milestone 6) | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)   |
|---|--|---|--|
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 6 |  | Annual-<br>01/01/2020-<br>12/31/2020  | Percentage of ED visits<br>for beneficiaries who<br>have a principal<br>diagnosis of AOD abuse<br>or dependence and who<br>had a follow-up visit<br>with a corresponding<br>principal diagnosis for<br>AOD. Two rates are<br>reported:<br>- Percentage of ED visits<br>for AOD abuse or<br>dependence for which<br>the beneficiary received<br>follow-up within 7 days<br>of the ED visit (8 total<br>days).<br>- Percentage of ED visits<br>for AOD abuse or<br>dependence for which<br>the beneficiary received<br>follow-up within 7 days<br>of the ED visit (8 total<br>days).<br>- Percentage of ED visits<br>for AOD abuse or<br>dependence for which<br>the beneficiary received<br>follow-up within 30 days<br>of the ED visit (31 total<br>days). |

| Prompt  | State response        | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)  |
|---|-----------------------|---|---|
| □ The state has no implementation update to report for<br>□ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to Milestone 6 | this reporting topic. | Annual-<br>01/01/2020-<br>12/31/2020  | Percentage of ED visits<br>for beneficiaries who<br>have a principal<br>diagnosis of mental<br>illness and who had a<br>follow-up visit with a<br>corresponding principal<br>diagnosis for mental<br>illness. Two rates are<br>reported:<br>- Percentage of ED visits<br>for mental illness for<br>which the beneficiary<br>received follow-up<br>within 7 days of the ED<br>visit (8 total days).<br>- Percentage of ED visits<br>for mental illness for<br>which the beneficiary<br>received follow-up<br>within 50 days of the ED<br>visit (31 total days) |

| Prompt   | State response        | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)   |
|--|-----------------------|---|--|
| 7.2.2 Implementation Update  |                       |   |  |
| Compared to the demonstration design and operational details, the state expects to make the following changes  |                       |   |  |
| to:<br>Implementation of policies supporting<br>beneficiaries' transition from residential and<br>inpatient facilities to community-based services and<br>supports |                       |   |  |
| $\boxtimes$ The state has no implementation update to report for   | this reporting topic. |   |  |
| ☐ The state expects to make other program changes that may affect metrics related to Milestone 6   |                       |   |  |
| $\boxtimes$ The state has no implementation update to report for   | this reporting topic. |   |  |
| 8.2 SUD Health Information Technology (Health IT)  |                       |   |  |
| 8.2.1 Metric Trends  |                       |   |  |
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to its Health IT metrics                      |                       | Annual-<br>01/01/2020-<br>12/31/2020  | Percentage of providers<br>checking PDMP by<br>provider type (number<br>of PDMP users, number<br>of checks)              |
| $\square$ The state has no trends to report for this reporting top   | pic.                  |   |  |
| □ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to its Health IT metrics                            |                       | Annual-<br>01/01/2020-<br>12/31/2020  | Number of providers<br>trained on pain<br>management through<br>Project ECHO and<br>number of training<br>sessions held. |

| Prompt<br>⊠ The state has no trends to report for this reporting topic  | State response<br>c. | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)  |
|---|----------------------|---|---|
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to its Health IT metrics   |                      | Annual-<br>01/01/2020-<br>12/31/2020  | Number of providers<br>and resources managed<br>in provider/resource<br>directory; accuracy of<br>information; frequency<br>of information update   |
| <ul> <li>☑ The state has no trends to report for this reporting topic</li> <li>□ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to its Health IT metrics</li> <li>☑ The state has no trends to report for this reporting topic</li> </ul> |                      | Annual-<br>01/01/2020-<br>12/31/2020  | Number of clinicians<br>with list of community<br>resources that<br>individuals can be<br>referred to in an e-<br>directory; tracking<br>MAT with counseling<br>and behavioral therapies<br>to treat SUD and<br>prevent opioid overdose |

| Prompt   | State response       | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|--|----------------------|---|----------------------------|
| 8.2.2 Implementation Update  |                      |   | •                          |
| Compared to the demonstration design and<br>operational details, the state expects to make the<br>following changes to:<br>i) How health IT is being used to slow down the<br>rate of growth of individuals identified with SUD<br>ii) How health IT is being used to treat<br>effectively individuals identified with SUD<br>iii) How health IT is being used to effectively<br>monitor "recovery" supports and services for<br>individuals identified with SUD<br>iv) Other aspects of the state's plan to develop<br>the health IT infrastructure/capabilities at the state,<br>delivery system, health plan/MCO, and individual<br>provider levels<br>v) Other aspects of the state's health IT<br>implementation milestones<br>vi) The timeline for achieving health IT<br>implementation milestones<br>vii) Planned activities to increase use and<br>functionality of the state's prescription drug<br>monitoring program |                      |   |                            |
| $\square$ The state has no implementation update to report for   | this reporting tonic |   |                            |
| $\Box$ The state has no implementation update to report for<br>$\Box$ The state expects to make other program changes<br>that may affect metrics related to Health IT  | uns reporting topic. |   |                            |

| Prompt   | State response   | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)  |
|--|--|---|---|
| The state has no implementation update to report for   | or this reporting topic.   |   |   |
| 2 Other SUD-Related Metrics<br>2.1 Metric Trends   |  |   |   |
| The state reports the following metric trends,<br>eluding all changes (+ or -) greater than 2 percent<br>ated to other SUD-related metrics | <ul> <li>The total number of ED visits for SUD per 1,000 beneficiaries in the measurement period (QTR 3 DY2 2020) increased 10% between the second and third quarters of DY2, rising from 16.25 per 1,000 to 17.8 per 1,000. Given that quarter two utilization was driven downward by the pandemic, it is worthwhile to note that third quarter utilization is slightly lower than first quarter.</li> <li>Since the start of Centennial Care 2.0 in 2019, the state has expanded access to both inpatient and outpatient SUD services. The volatility in this measure may reveal both the extent of the SUD crisis in New Mexico and the success of the state's work to encourage use of telehealth for most behavioral health services.</li> <li>Note: The denominator, or total beneficiaries, is the average number of beneficiaries per month for each quarter. The numerator is the total number of inpatient discharges related to a SUD stay during the measurement period.</li> <li>QTR 3- Total number of inpatient stays per 1,000 beneficiaries in the measurement period.</li> <li>Denominator: 701,517 Numerator: 12,497 Stays/1,000: 17.8</li> </ul> | QTR-3<br>07/01/2020-<br>09/30/2020  | Total number of ED visits<br>for SUD per 1,000<br>beneficiaries in the<br>measurement period. |

| Prompt<br>☐ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to other SUD-related metrics   | State responseThe total number of inpatient stays related to SUD per 1,000 beneficiaries in<br>the measurement period (QTR 3 DY2 2020) increased 5% between the<br>second and third quarters of DY2, rising from 7.8 per 1,000 to 8.2 per 1,000.<br>This upward trend of individuals accessing inpatient services mirrors metric 10<br>above; as part of Centennial Care 2.0, New Mexico expanded coverage for<br>SUD in Institutes of Mental Disease (IMD) to provide a more robust<br>continuum of care for people who need this highest level of care. During the<br>pandemic the state has seen rising levels of urgent behavioral health needs; an<br>increase in inpatient stays is a reflection of the combined effects of the<br>COVID-19 public health emergency and the SUD crisis the state is<br> | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY)<br>QTR-3<br>07/01/2020-<br>09/30/2020 | Related metric<br>(if any)<br>Total number of inpatient<br>stays per 1,000<br>beneficiaries in the<br>measurement period. |
|---|---|---|---|
| $\Box$ The state has no trends to report for this reporting top   | pic.  |   |   |
| <ul> <li>The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to other SUD-related metrics</li> <li>The state has no trends to report for this reporting top</li> </ul> |   | Annual-<br>01/01/2020-<br>12/31/2020  | The rate of all-cause<br>readmissions during the<br>measurement period<br>among beneficiaries with<br>SUD.                |

| Prompt   | State response | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)   |
|--|----------------|---|--|
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to other SUD-related metrics<br>□ The state has no trends to report for this reporting to |                | Annual-<br>01/01/2020-<br>12/31/2020  | Number of overdose<br>deaths during the<br>measurement period<br>among Medicaid<br>beneficiaries living in a<br>geographic area covered<br>by the demonstration.<br>States are encouraged to<br>report the cause of<br>overdose death as<br>specifically as possible<br>(for example, prescription<br>vs. illicit opioid). |

| Prompt  | State response        | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)  |
|---|-----------------------|---|---|
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to other SUD-related metrics |                       | Annual-<br>01/01/2020-<br>12/31/2020  | Rate of overdose deaths<br>during the measurement<br>period among adult<br>Medicaid beneficiaries<br>living in a geographic area<br>covered by the<br>demonstration. States are<br>encouraged to report the<br>cause of overdose death as<br>specifically as possible<br>(for example, prescription<br>vs. illicit opioid). |
| $\boxtimes$ The state has no trends to report for this reporting top  | ic.                   |   | <b>`</b> ,  |
| □ The state reports the following metric trends,<br>including all changes (+ or -) greater than 2 percent<br>related to other SUD-related metrics |                       | Annual-<br>01/01/2020-<br>12/31/2020  | The percentage of<br>Medicaid beneficiaries<br>with SUD who had an<br>ambulatory or preventive<br>care visit during the<br>measurement period.  |
| $\square$ The state has no trends to report for this reporting top  | ic.                   |   |   |
| 9.2.2 Implementation Update   |                       |   |   |
| □ The state expects to make other program changes<br>that may affect metrics related to other SUD-related<br>metrics                              |                       |   |   |
| I The state has no implementation update to report for  | this reporting topic. |   |   |

| Prompt<br>10.2 Budget Neutrality  | State response   | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any)                  |
|---|--|---|---|
| 10.2.1 Current status and analysis  |  |   |   |
| $\boxtimes$ If the SUD component is part of a broader<br>demonstration, the state should provide an analysis of<br>the SUD-related budget neutrality and an analysis of<br>budget neutrality as a whole. Describe the current<br>status of budget neutrality and an analysis of the<br>budget neutrality to date. | The expenditures of substance use disorder (SUD) are included in the historical program data that was used to develop the overall budget neutrality. The quarterly waiver budget monitoring continues to show that all Medicaid eligibility groups (MEG) remain in compliance with the budget neutrality conditions. The substance use disorder/institution for mental diseases (SUD/IMD), a subset, is subject to a hypothetical test for budget neutrality monitoring. As described in the STCs, this includes comparing the actual capitation PMPMs for SUD/IMD members to the expenditure caps defined for each demonstration year (DY). The SUD/IMD budget neutrality monitoring continues to show that SUD/IMD remains in compliance with the budget neutrality requirement. | QTR 3-<br>07/1/2020<br>-<br>09/30/2020  | STC 100 -Hypothetical<br>Test 3 for SUD/IMD |
| 10.2.2 Implementation Update  |  |   |   |
| □ The state expects to make other program changes that may affect budget neutrality   |  |   |   |
| $\Box$ The state has no implementation update to report for   | this reporting topic.  |   |   |

| Prompt<br>11.1 SUD-Related Demonstration Operations and Po  | State response<br>licy | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|---|------------------------|---|----------------------------|
| 11.1.1 Considerations   |                        |   |                            |
| □ States should highlight significant SUD (or if<br>broader demonstration, then SUD-related)<br>demonstration operations or policy considerations that<br>could positively or negatively affect beneficiary<br>enrollment, access to services, timely provision of<br>services, budget neutrality, or any other provision that<br>has potential for beneficiary impacts. Also note any<br>activity that may accelerate or create delays or<br>impediments in achieving the SUD demonstration's<br>approved goals or objectives, if not already reported<br>elsewhere in this document. See report template<br>instructions for more detail.   |                        |   |                            |
| $\square$ The state has no related considerations to report for the state has no related constate has no re | his reporting topic.   |   |                            |
| 11.1.2 Implementation Update  |                        |   |                            |
| Compared to the demonstration design and<br>operational details, the state expects to make the<br>following changes to:<br>i) How the delivery system operates under the<br>demonstration (e.g. through the managed care<br>system or fee for service)<br>ii) Delivery models affecting demonstration<br>participants (e.g. Accountable Care Organizations,<br>Patient Centered Medical Homes)<br>iii) Partners involved in service delivery  |                        |   |                            |

| Prompt   | State response  | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|--|---|---|----------------------------|
| $\square$ The state has no implementation update to report for   | this reporting topic.   |   |                            |
| □ The state experienced challenges in partnering with<br>entities contracted to help implement the<br>demonstration (e.g., health plans, credentialing<br>vendors, private sector providers) and/or noted any<br>performance issues with contracted entities |   |   |                            |
| I The state has no implementation update to report for   | this reporting topic.   |   |                            |
| ☐ The state is working on other initiatives related to SUD or OUD  |   |   |                            |
| $\square$ The state has no implementation update to report for   | this reporting topic.   |   |                            |
| □ The initiatives described above are related to the SUD or OUD demonstration (States should note similarities and differences from the SUD demonstration)   |   |   |                            |
| $\square$ The state has no implementation update to report for   | this reporting topic.   |   |                            |
| 12. SUD Demonstration Evaluation Update  |   |   |                            |
| 12.1. Narrative Information  |   |   |                            |
| ☑ Provide updates on SUD evaluation work and<br>timeline. The appropriate content will depend on<br>when this report is due to CMS and the timing for the<br>demonstration. See report template instructions for<br>more details.                            | New Mexico's implementation plan has been approved by CMS. New<br>Mexico is in the process of updating an Independent evaluator to<br>complete the evaluation work requirements and is on track with the<br>current timeline. | 07/01/2020<br>-<br>09/30/2020   |                            |
| □ The state has no SUD demonstration evaluation upda   | ate to report for this reporting topic.   |   |                            |

| Prompt   | State response  | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|--|---|---|----------------------------|
| Provide status updates on deliverables related to<br>the demonstration evaluation and indicate whether the<br>expected timelines are being met and/or if there are<br>any real or anticipated barriers in achieving the goals<br>and timeframes agreed to in the STCs. | New Mexico's, implementation plan was approved by CMS and is on<br>track on all evaluation deliverables timelines with no barriers in<br>achieving the goals agreed in the STC. | 01/01/2020<br>-<br>12/31/2020   |                            |
| □ The state has no SUD demonstration evaluation update   | ate to report for this reporting topic.   |   |                            |
| □ List anticipated evaluation-related deliverables related to this demonstration and their due dates.  |   |   |                            |
| □ The state has no SUD demonstration evaluation update   | ate to report for this reporting topic.   |   |                            |
| 13.1 Other Demonstration Reporting   |   |   |                            |
| 13.1.1 General Reporting Requirements  |   |   |                            |
| ☐ The state reports changes in its implementation of<br>the demonstration that might necessitate a change to<br>approved STCs, implementation plan, or monitoring<br>protocol  |   |   |                            |
| I The state has no updates on general requirements to  | report for this reporting topic.  |   |                            |
| ☐ The state anticipates the need to make future<br>changes to the STCs, implementation plan, or<br>monitoring protocol, based on expected or upcoming<br>implementation changes  |   |   |                            |
| ☑ The state has no updates on general requirements to a  | report for this reporting topic.  |   |                            |

| Prompt   | State response  | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|--|---|---|----------------------------|
| Compared to the demonstration design and   |   |   |                            |
| operational details, the state expects to make the following changes to:                             |   |   |                            |
| $\square$ i) The schedule for completing and submitting  |   |   |                            |
| monitoring reports   |   |   |                            |
| □ ii) The content or completeness of submitted reports and/or future reports                         |   |   |                            |
| ☑ The state has no updates on general requirements to r  | eport for this reporting topic.   |   |                            |
| $\Box$ The state identified real or anticipated issues   |   |   |                            |
| submitting timely post-approval demonstration  |   |   |                            |
| deliverables, including a plan for remediation   |   |   |                            |
| $\boxtimes$ The state has no updates on general requirements to r                                    | eport for this reporting topic.   |   |                            |
| 13.1.2 Post-Award Public Forum   |   |   |                            |
| $\Box$ If applicable within the timing of the  |   |   |                            |
| demonstration, provide a summary of the annual post-<br>award public forum held pursuant to 42 CFR § |   |   |                            |
| 431.420(c) indicating any resulting action items or  |   |   |                            |
| issues. A summary of the post-award public forum   |   |   |                            |
| must be included here for the period during which the forum was held and in the annual report.       |   |   |                            |
| ⊠ No post-award public forum was held during this rep  | orting period and this is not an annual report, so the state has no post-award public | forum update  | to report for this topic.  |

| Prompt<br>14.1 Notable State Achievements and/or Innovation  | State response<br>S  | Measureme<br>nt period<br>first<br>reported<br>(MM/DD/Y<br>YYY -<br>MM/DD/Y<br>YYY) | Related metric<br>(if any) |
|--|--|---|----------------------------|
| 14.1 Narrative Information   |  |   |                            |
| <ul> <li>☑ Provide any relevant summary of achievements and/or innovations in demonstration enrollment, benefits, operations, and policies pursuant to the hypotheses of the SUD (or if broader demonstration, then SUD related) demonstration or that served to provide better care for individuals, better health for populations, and/or reduce per capita cost. Achievements should focus on significant impacts to beneficiary outcomes. Whenever possible, the summary should describe the achievement or innovation in quantifiable terms, e.g., number of impacted beneficiaries.</li> <li>□ The state has no notable achievements or innovatio</li> </ul> | <ul> <li>New Mexico's continuum of SUD services and its implementation plan also includes and will be monitored for continued achievements in:</li> <li>Treatment of co-occurring mental health conditions with a primary diagnosis of SUD;</li> <li>A focus on the integration of SUD screening in physical health provider locations;</li> <li>The introduction of behavioral health counselors in primary care agencies, and primary care practitioners in behavioral health agencies; and</li> <li>Interdisciplinary teaming with the Medicaid beneficiary and his/her natural supports to treat not only the person with the SUD, but also the family or natural support system.</li> </ul> | 07/01/2020  |                            |

Note: Licensee and states must prominently display the following notice on any display of Measure rates:

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