

# Monitoring and Evaluation of Section 1115 Demonstrations

States should integrate monitoring and evaluation findings to understand trends in context, identify and escalate risks to demonstration objectives, inform timely course corrections, and collaborate with CMS on solutions.

## Monitoring

Ongoing, systematic collection of data to track demonstration **progress, risks, and performance**

### Approach

#### State:

- Collect and report descriptive high-quality data regularly to CMS to track demonstration activities, progress, and change over time
- Escalate issues to and collaborate with CMS to improve demonstration implementation in real-time

#### CMS:

- Provide demonstration oversight through review of monitoring data and quarterly monitoring calls
- Provide technical assistance in response to state monitoring findings, including planning course corrections, if needed

Implementation plans provide a framework for states to document their approach to implementing certain demonstration types and establish the foundation for monitoring reporting.

### Outcomes

#### State:

- Assess performance and progress towards goals to identify best practices, opportunities, and risks to improve implementation
- Obtain context to support interpretation of evaluation evidence

#### CMS:

- Identify lessons learned across demonstrations to build evidence base for policy implementation
- Enhance CMS's program integrity and oversight



## Evaluation

Periodic (approximately twice during a 5-year demonstration) **assessment** of demonstration's **value** and **effectiveness** in achieving its goals

### Approach

#### State:

- Use qualitative and quantitative data to investigate statistically significant differences in outcomes between demonstration and comparison populations, before and after the demonstration

#### CMS:

- Provide feedback on evaluation methods and findings and partner with state to translate evaluation findings into policy

### Outcomes

<b>Short term</b>	<b>State:</b> Leverage findings and recommendations to inform potential state action or course corrections	<b>CMS:</b> Promote cross-state learning
	<b>Long term</b>	<b>State:</b> Distill lessons learned and best practices to inform future demonstrations and broader program strategies
Influence federal support for new policy and path to permanency for policies tested in section 1115 context		

States and CMS use monitoring reports, budget neutrality reports, midpoint assessments, and evaluation designs and reports to support demonstration monitoring and evaluation activities.