

**Medicaid Section 1115 Substance Use Disorder & Serious Mental Illness and Serious
Emotional Disturbance Demonstrations
Monitoring Report Template**

Note: PRA Disclosure Statement to be added here

1. Title page for the state’s substance use disorder (SUD) and serious mental illness and serious emotional disturbance (SMI/SED) demonstrations or the SUD and SMI/SED components of the broader demonstration

This section collects information on the approval features of the state’s section 1115 demonstration overall, followed by information for the SUD and SMI/SED components. The state completed this title page as part of its SUD and SMI/SED monitoring protocol(s). The state should complete this table using the corresponding information from its CMS-approved monitoring protocol(s) and submit this as the title page of all monitoring reports. The content of this table should stay consistent over time. Definitions for certain rows are below the table.

| Overall section 1115 demonstration | |
|---|--|
| State | Missouri |
| Demonstration name | Missouri Substance Use Disorder and Serious Mental Illness |
| Approval period for section 1115 demonstration | December 6, 2023 through December 31, 2028 |
| Reporting period | December 6, 2023 through March 31, 2024 |
| SUD demonstration | |
| SUD component start date ^a | December 6, 2023 |
| Implementation date of SUD component, if different from SUD component start date ^b | January 1, 2024 |
| SUD-related demonstration goals and objectives | <ol style="list-style-type: none"> 1. Increased rates of identification, initiation, and engagement in treatment for OUD and other SUDs; 2. Increased adherence to and retention in treatment for OUD and other SUDs; 3. Reductions in overdose deaths, particularly those due to opioids; 4. Reduced utilization of emergency departments and inpatient hospital settings for OUD and other SUD treatment where utilization is preventable or medically inappropriate through improved access to other continuum of care services; 5. Fewer readmissions to the same or higher level of care where readmission is preventable or medically inappropriate for OUD and other SUD; and 6. Improved access to care for physical health conditions among beneficiaries with OUD or other SUDs. |
| SUD demonstration year and quarter | DY1Q1 |

| SMI/SED demonstration | |
|---|---|
| SMI/SED component demonstration start date ^a | December 6, 2023 |
| Implementation date of SMI/SED component, if different from SMI/SED component start date ^b | December 6, 2023 |
| SMI/SED-related demonstration goals and objectives | <ol style="list-style-type: none"> 1. Ensuring quality of care in psychiatric hospitals and residential settings 2. Improving care coordination and transitioning to community-based care 3. Increasing access to continuum of care, including crisis stabilization services 4. Earlier identification and engagement in treatment, including through increased integration |
| SMI/SED demonstration year and quarter | DY1Q1 |

^a **SUD and SMI/SED demonstration components start dates:** For monitoring purposes, CMS defines the start date of the demonstration as the *effective date* listed in the state's STCs at time of SUD and SMI/SED demonstration component approvals. For example, if the state's STCs at the time of SUD demonstration approval note that the SUD demonstration is effective January 1, 2020 – December 31, 2025, the state should consider January 1, 2020 to be the start date of the SUD demonstration. Note that the effective date is considered to be the first day the state may begin its SUD or SMI/SED demonstration component. In many cases, the effective date is distinct from the approval date of a demonstration; that is, in certain cases, CMS may approve a section 1115 demonstration with an effective date that is in the future. For example, CMS may approve an extension request on December 15, 2020, with an effective date of January 1, 2021 for the new demonstration period. In many cases, the effective date also differs from the date a state begins implementing its demonstration.

^b **Implementation date of SUD and SMI/SED demonstration components:** The date the state began claiming or will begin claiming federal financial participation for services provided to individuals in institutions for mental disease.

2. Executive summary

The executive summary for the SUD and SMI components of the demonstration should be reported below. It is intended for summary-level information only and may be combined for all policies included in the title page. The recommended word count is 500 words or less.

Missouri (State) received approval for the combined SUD and SMI/SED 1115 demonstration on December 6, 2023 (the first day of the DYQ1 reporting period). MO HealthNet Division (MHD) and Department of Mental Health (DMH) staff continue to review all approval documents to ensure they reflect the final and agreed upon design, specifically the removal of goals and milestones addressing Qualified Residential Treatment Programs (QRTPs). The State recently submitted its Evaluation Design to CMS and will begin work on Budget Neutrality when a corrected Budget Neutrality template is received. The State has paused the development of the Monitoring Protocol per CMS instruction and will resume work on this when CMS provides notification.

The State has continued to support substance use providers in their transition to align with the American Society of Addiction Medicine (ASAM) Criteria and anticipates meeting this goal by the end of calendar year 2024. The State has also updated the CSTAR manual to reflect ASAM guidance. The State has begun the review of substance use providers to ensure they are delivering services that align with ASAM criteria and standards. To date, 19 out of 25 providers have met the alignment requirements. The Billing and Service Review (BSR) manual and certification regulations were updated to include ASAM specific information.

The State is reviewing an environmental scan completed by Center for Health Care Strategies (CHCS) to determine next steps to improve the array of behavioral health services available to children and youth. The State is adding requirements in policy manuals to ensure that hospitals routinely assess the need for housing services and supports for people receiving inpatient treatment services. MOConnect will be transitioning from Bamboo Health to Chorus Innovations, with the transition happening first via a small, three provider pilot in the fall of 2024, and then statewide implementation by the end of calendar year 2024. The State has established the Early Psychosis Care Center (EPC) which supports statewide implementation of evidence-based practices focused on early identification and treatment of psychosis, including Coordinated Specialty Care, Individual Resiliency Training, and Cognitive Behavioral Therapy for Psychosis.

3. Narrative information on implementation, by milestone and reporting topic

A. SUD component

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|--|---|----------------------------|----------------|
| 1. Assessment of need and qualification for SUD services | | | | |
| 1.1 Metric trends | | | | |
| 1.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to assessment of need and qualification for SUD services. | X | | |
| 1.2 Implementation update | | | | |
| 1.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: | X | | |
| 1.2.1.a | The target population(s) of the demonstration | | | |
| 1.2.1.b | The clinical criteria (e.g., SUD diagnoses) that qualify a beneficiary for the demonstration | X | | |
| 1.2.2 | The state expects to make other program changes that may affect metrics related to assessment of need and qualification for SUD services. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|---|----------------------------|---|
| 2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1) | | | | |
| 2.1 Metric trends | | | | |
| 2.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 1. | X | | |
| 2.2 Implementation update | | | | |
| 2.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: 2.2.1.a Planned activities to improve access to SUD treatment services across the continuum of care for Medicaid beneficiaries (e.g., outpatient services, intensive outpatient services, medication-assisted treatment, services in intensive residential and inpatient settings, medically supervised withdrawal management) | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>Based on December 6, 2023, approval of Demonstration, adult residential treatment in institutions for mental diseases (IMDs) was added through 1115 waiver with waiver of IMD exclusion. Missouri already had coverage of residential treatment in non-IMD settings under the approved Medicaid State Plan. As a result, there is complete coverage of intensive levels of care in residential settings.</p> <p>To date, 19 out of the 25 CSTAR providers are operating under the ASAM criteria. The remaining six providers plan to transition by July 1, 2024.</p> <p>Providers should be fully transitioned to ASAM by the end of calendar year (CY) 2024.</p> |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|---|
| <p>2.2.1.b SUD benefit coverage under the Medicaid state plan or the Expenditure Authority, particularly for residential treatment, medically supervised withdrawal management, and medication-assisted treatment services provided to individual IMDs</p> | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>Intensive outpatient services and medication-assisted treatment are covered under the State Plan, no additional action needed.</p> <p>Adult Residential Treatment was expanded through the 1115 waiver. Prior to the 1115 waiver, treatment in a residential facility was covered in non-IMD facilities with fewer than 16 beds. Through the 1115 waiver, Missouri has increased the maximum residential capacity to twenty-five beds, which has expanded access to care.</p> |
| <p>2.2.2 The state expects to make other program changes that may affect metrics related to Milestone 1.</p> | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|--|---|----------------------------|---|
| 3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2) | | | | |
| 3.1 Metric trends | | | | |
| 3.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 2. | X | | |
| 3.2 Implementation update | | | | |
| 3.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: 3.2.1.a Planned activities to improve providers' use of evidence-based, SUD-specific placement criteria | | | DY1Q1 (December 6, 2023, through March 31, 2024) The BSR Manual was updated to include ASAM specific information such as Monitoring Tool use guidance, potential reason for disallowance, etc. The BSR team observes levels of care changes and makes disallowances based on documentation standards including timely completion. Certification regulations have been updated to include the ASAM criteria, which includes personnel training on the ASAM criteria. |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|----------------------------|--|
| <p>3.2.1.b Implementation of a utilization management approach to ensure (a) beneficiaries have access to SUD services at the appropriate level of care, (b) interventions are appropriate for the diagnosis and level of care, or (c) use of independent process for reviewing placement in residential treatment settings</p> | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>The BSR team conducts annual reviews of all DMH contracted agencies. During this review, agencies that have been approved for ASAM levels of care (LOCs) are required to complete an Agency Attestation Tool. In addition, BSR team members complete Monitoring Tools for all sites with ASAM LOCs. Attestation tools provide guidance on policy and procedures aligning with ASAM.</p> <p>The certification process reviews utilization management policies and is tracking utilization requests.</p> |
| <p>3.2.2 The state expects to make other program changes that may affect metrics related to Milestone 2.</p> | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|---|----------------------------|--|
| 4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3) | | | | |
| 4.1 Metric trends | | | | |
| 4.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 3. Note: There are no CMS-provided metrics related to Milestone 3. If the state did not identify any metrics for reporting this milestone, the state should indicate it has no update to report. | X | | |
| 4.2 Implementation update | | | | |
| 4.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: 4.2.1.a Implementation of residential treatment provider qualifications that meet the ASAM Criteria or other nationally recognized, SUD-specific program standards | | | DY1Q1 (December 6, 2023, through March 31, 2024) The State created a new Medicaid CSTAR Manual for ASAM and included requirements for residential services that reflect ASAM. The CSTAR manual was posted on the State’s website on December 4, 2023. In addition, the State updated its CSTAR regulation (9 CSR 30-3) to reflect ASAM provider qualifications; the regulation was finalized February 29, 2024. Milestone requirements are met. |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---------|--|---|----------------------------|---|
| 4.2.1.b | Review process for residential treatment providers' compliance with qualifications. | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>The review process is detailed within the State's BSR Manual located on the DMH website: https://dmh.mo.gov/media/pdf/billing-service-review-guide.</p> <p>The State's certification team is beginning surveys with providers implementing ASAM. The review process will be outlined in the certification manual.</p> |
| 4.2.1.c | Availability of medication-assisted treatment at residential treatment facilities, either on-site or through facilitated access to services off site | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>Per Missouri State regulation (9 CSR 30-3.152), medication assisted treatment services are required in residential LOCs.</p> |
| 4.2.2 | The state expects to make other program changes that may affect metrics related to Milestone 3. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|--|---|----------------------------|----------------|
| 5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4) | | | | |
| 5.1 Metric trends | | | | |
| 5.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 4. | X | | |
| 5.2 Implementation update | | | | |
| 5.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: Planned activities to assess the availability of providers enrolled in Medicaid and accepting new patients across the continuum of SUD care. | X | | |
| 5.2.2 | The state expects to make other program changes that may affect metrics related to Milestone 4. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|---|----------------------------|---|
| 6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5) | | | | |
| 6.1 Metric trends | | | | |
| 6.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 5. | X | | |
| 6.2 Implementation update | | | | |
| 6.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: 6.2.1.a Implementation of opioid prescribing guidelines and other interventions related to prevention of OUD | | | DY1Q1 (December 6, 2023, through March 31, 2024) The State will continue opioid prescribing guidelines that were implemented prior to the approval of the 1115 demonstration waiver, milestone requirements are met. |
| 6.2.1.b | Expansion of coverage for and access to naloxone | | | DY1Q1 (December 6, 2023, through March 31, 2024) The State uses the State Opioid Response grant, the Prescription Drug Overdose grant, and opioid settlement funds to support Overdose Education and Naloxone Distribution, which offer training and tools, including naloxone, for overdose prevention and reversal to diverse professional and community audiences. The State has a standing order that allows any person seeking naloxone from a pharmacy to obtain it with or without a prescription. |
| 6.2.2 | The state expects to make other program changes that may affect metrics related to Milestone 5. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|---|----------------------------|---|
| 7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6) | | | | |
| 7.1 Metric trends | | | | |
| 7.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 6. | X | | |
| 7.2 Implementation update | | | | |
| 7.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: Implementation of policies supporting beneficiaries' transition from residential and inpatient facilities to community-based services and supports. | | | DY1Q1 (December 6, 2023, through March 31, 2024) DMH contracts for Recovery Support Services providing care coordination, peer recovery coaching, spiritual counseling, group support, recovery housing and transportation before, during, and after SUD treatment and in coordination with other SUD service providers. Missouri Certified Community Behavioral Health Organization (CCBHOs) and Community Mental Health Center (CMHC) Healthcare Homes (HCHs) are required to participate in transition planning for individuals served and must have policies and procedures that promote and describe care coordination roles and responsibilities. |
| 7.2.2 | The state expects to make other program changes that may affect metrics related to Milestone 6. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|---|----------------------------|----------------|
| 8. SUD health information technology (health IT) | | | | |
| 8.1 Metric trends | | | | |
| 8.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to its SUD health IT metrics. | X | | |
| 8.2 Implementation update | | | | |
| 8.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: | X | | |
| 8.2.1.a | How health IT is being used to slow down the rate of growth of individuals identified with SUD | | | |
| 8.2.1.b | How health IT is being used to treat effectively individuals identified with SUD | X | | |
| 8.2.1.c | How health IT is being used to effectively monitor “recovery” supports and services for individuals identified with SUD | X | | |
| 8.2.1.d | Other aspects of the state’s plan to develop the health IT infrastructure/capabilities at the state, delivery system, health plan/MCO, and individual provider levels | X | | |
| 8.2.1.e | Other aspects of the state’s health IT implementation milestones | X | | |
| 8.2.1.f | The timeline for achieving health IT implementation milestones | X | | |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|---|
| 8.2.1.g Planned activities to increase use and functionality of the state’s prescription drug monitoring program | X | | |
| 8.2.2 The state expects to make other program changes that may affect SUD metrics related to health IT. | | | DY1Q1 (December 6, 2023, through March 31, 2024) The State has been working to determine the three health IT metrics for inclusion in the Monitoring Protocol and reporting in the quarterly reports. |
| 9. Other SUD-related metrics | | | |
| 9.1 Metric trends | | | |
| 9.1.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to other SUD-related metrics. | X | | |
| 9.2 Implementation update | | | |
| 9.2.1 The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to other SUD-related metrics. | X | | |

B. SMI/SED component

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|---|----------------------------|---|
| 1. Ensuring Quality of Care in Psychiatric Hospitals and Residential Settings (Milestone 1) | | | | |
| 1.1 Metric trends | | | | |
| 1.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 1. | X | | |
| 1.2 Implementation update | | | | |
| 1.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: | | | DY1Q1 (December 6, 2023, through March 31, 2024) |
| 1.2.1.a | The licensure or accreditation processes for participating hospitals and residential settings | | | The State will continue operation of current licensure and accreditation requirements; milestone requirements are met. |
| 1.2.1.b | The oversight process (including unannounced visits) to ensure participating hospital and residential settings meet state’s licensing or certification and accreditation requirements | | | DY1Q1 (December 6, 2023, through March 31, 2024) The State will continue operation of current oversight process requirements; milestone requirements are met. |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|---|
| <p>1.2.1.c The utilization review process to ensure beneficiaries have access to the appropriate levels and types of care and to provide oversight on lengths of stay</p> | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>The CHCS has completed their environmental scan and provided their report to the State. The State is reviewing the report and recommendations and is formulating next steps related to the behavioral health continuum of care for children and youth.</p> <p>The State continues to develop a plan and contract to invest \$5 million in community setting grants to assist providers in transitioning business models and programs from residential-based to community care settings.</p> |
| <p>1.2.1.d The program integrity requirements and compliance assurance process</p> | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>The State will continue operation of current program integrity and compliance assurance requirements, milestone requirements are met.</p> |
| <p>1.2.1.e The state requirement that psychiatric hospitals and residential settings screen beneficiaries for co-morbid physical health conditions, SUDs, and suicidal ideation, and facilitate access to treatment for those conditions</p> | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>The State updated the MO HealthNet Provider Manual on January 10, 2024 to require Psychiatric Hospitals to screen beneficiaries for co-morbid physical health, SUDs, and suicidal ideation; milestone requirements are met.</p> |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|----------------|
| 1.2.1.f Other state requirements/policies to ensure good quality of care in inpatient and residential treatment settings | X | | |
| 1.2.2 The state expects to make other program changes that may affect metrics related to Milestone 1. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|---|----------------------------|---|
| 2. Improving Care Coordination and Transitions to Community-Based Care (Milestone 2) | | | | |
| 2.1 Metric trends | | | | |
| 2.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 2. | X | | |
| 2.2 Implementation update | | | | |
| 2.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: | | | DY1Q1 (December 6, 2023, through March 31, 2024) |
| 2.2.1.a | Actions to ensure that psychiatric hospitals and residential treatment settings carry out intensive pre-discharge planning, and include community-based providers in care transitions | | | The State will continue to provide Hospital Care Transition management services and require CCBHOs and CMHC HCHs to participate in transition planning for their clients. The State will also work on continued performance improvement initiatives with managed care plans for aftercare following inpatient psychiatric admissions. Milestone requirements are met. |
| 2.2.1.b | Actions to ensure psychiatric hospitals and residential settings assess beneficiaries' housing situations and coordinate with housing services providers | | | DY1Q1 (December 6, 2023, through March 31, 2024) Effective January 10, 2024, the State updated the MO HealthNet Hospital Provider Manual to require hospitals to assess housing situations and coordinate with housing services providers, when needed and available. Milestone requirements are met. |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---------|---|---|----------------------------|---|
| 2.2.1.c | State requirement to ensure psychiatric hospitals and residential settings contact beneficiaries and community-based providers within 72 hours post discharge | | | DY1Q1 (December 6, 2023, through March 31, 2024) Effective January 10, 2024, the State updated the MO HealthNet Hospital Provider Manual to require hospitals to contact beneficiaries within 72 hours post discharge via most effective means possible (e.g., email, text, or phone call). Milestone requirements are met. |
| 2.2.1.d | Strategies to prevent or decrease the lengths of stay in EDs among beneficiaries with SMI or SED (e.g., through the use of peers and psychiatric consultants in EDs to help with discharge and referral to treatment providers) | | | DY1Q1 (December 6, 2023, through March 31, 2024) The State introduced point of care solutions to behavioral health providers and the Missouri Hospital Association Behavioral Health network. The State has outreached for onboarding to begin the next steps in this milestone. |
| 2.2.1.e | Other state requirements/policies to improve care coordination and connections to community-based care) | X | | |
| 2.2.2 | The state expects to make other program changes that may affect metrics related to Milestone 2. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|---|----------------------------|----------------|
| 3. Access to Continuum of Care, Including Crisis Stabilization (Milestone 3) | | | | |
| 3.1 Metric trends | | | | |
| 3.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 3. | X | | |
| 3.2 Implementation update | | | | |
| 3.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: | X | | |
| 3.2.1.a | State requirement that providers use an evidenced-based, publicly available patient assessment tool to determine appropriate level of care and length of stay | | | |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|--|
| 3.2.1.b Other state requirements/policies to improve access to a full continuum of care including crisis stabilization | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>The Missouri Behavioral Health Council (MBHC) is in the process of switching MOConnect vendors from Bamboo Health to Chorus Innovations. The MOConnect name will remain the same. MBHC is in a research and pilot stage with three member providers. MBHC's current timeline is for the pilot to be completed in the fall of 2024. MBHC plans to roll out the crisis module statewide before the end of 2024, and then MBHC will pilot and roll out the referral module and bed registry. MBHC is beginning work on a resource navigator that will host all the different resources available for providers to find services for clients and for the public to access.</p> |
| 3.2.2 The state expects to make other program changes that may affect metrics related to Milestone 3. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|---|----------------------------|---|
| 4. Earlier Identification and Engagement in Treatment, Including Through Increased Integration (Milestone 4) | | | | |
| 4.1 Metric trends | | | | |
| 4.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 4. | X | | |
| 4.2 Implementation update | | | | |
| 4.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: | | | DY1Q1 (December 6, 2023, through March 31, 2024) |
| | 4.2.1.a Strategies for identifying and engaging beneficiaries in treatment sooner (e.g., with supported education and employment) | | | The State will continue to support the current employment programs in place. Milestone is met, no additional work is anticipated. |

| | | | |
|---|--|--|--|
| <p>4.2.1.b Plan for increasing integration of behavioral health care in non-specialty settings to improve early identification of SED/SMI and linkages to treatment</p> | | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>The State has recently established the Early Psychosis Care (EPC) Center, which is a partnership between DMH, the Missouri Behavioral Health Council and the Missouri Institute of Mental Health. EPC educates CCBHOs, CMHCs, and other community stakeholders on the importance of early identification of psychosis. EPC provides trainings on evidence-based practices such as, Coordinated Specialty Care services, including Individual Resiliency Training, and Cognitive Behavioral Therapy for psychosis. Along with other related resources and standardized tools, EPC provides training and consultation for CCBHOs/CMHCs on administering a standardized assessment for psychosis. The intent is to shorten the duration of untreated psychosis and support improved clinical and life outcomes for individuals experiencing psychosis.</p> <p>In addition to providing outreach, education, trainings, and information on best practices to support first episode psychosis (FEP), the State continues to engage people with lived experience so that their voices can provide first-hand experience for providers working to improve FEP and related services. For example, EPC has developed a Youth Advisory Council (YAC) with active participation of young adults all with lived experience who desire to make a positive impact for others. Individuals on the YAC recently developed several short videos shown at Missouri’s Children’s Trauma Summit helping inform behavioral health providers about</p> |
|---|--|--|--|

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|----------------------------|--|
| | | | effective services for engaging youth and young adults. |
| 4.2.1.c Establishment of specialized settings and services, including crisis stabilization services, focused on the needs of young people experiencing SMI or SED | | | DY1Q1 (December 6, 2023, through March 31, 2024) Five Behavioral Health Crisis Centers (BHCCs) serve adolescents. Those BHCCs are located in Poplar Bluff, St. Joseph, Raytown, Joplin, and Bridgeton. Three additional BHCCs are in the planning stages to serve youth and will be located in St. Peters, City of St. Louis, and Raytown. |
| 4.2.1.d Other state strategies to increase earlier identification/engagement, integration, and specialized programs for young people | X | | |
| 4.2.2 The state expects to make other program changes that may affect metrics related to Milestone 4. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|---|----------------------------|---|
| 5. SMI/SED health information technology (health IT) | | | | |
| 5.1 Metric trends | | | | |
| 5.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to its SMI/SED health IT metrics. | X | | |
| 5.2 Implementation update | | | | |
| 5.2.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: | X | | |
| 5.2.1.a | The three statements of assurance made in the state's health IT plan | | | |
| 5.2.1.b | Closed loop referrals and e-referrals from physician/mental health provider to physician/mental health provider and/or physician/mental health provider to community-based supports | | | DY1Q1 (December 6, 2023, through March 31, 2024) Quality improvement projects with four Missouri Health Information Networks (HINs) are underway. This item is linked to the Missouri Transformation of Rural Community Health (TORCH) project under Medicaid Transformation. |
| 5.2.1.c | Electronic care plans and medical records | | | DY1Q1 (December 6, 2023, through March 31, 2024) Quality improvement projects with four Missouri HINs are underway. This item is linked to the TORCH project under Medicaid Transformation. |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|----------------------------|--|
| 5.2.1.d Individual consent being electronically captured and made accessible to patients and all members of the care team | X | | |
| 5.2.1.e Intake, assessment and screening tools being part of a structured data capture process so that this information is interoperable with the rest of the health IT ecosystem | | | DY1Q1 (December 6, 2023, through March 31, 2024) Quality improvement projects with four Missouri HINs are underway as part of the Health Data Utility Planning and Outreach Project. |
| 5.2.1.f Telehealth technologies supporting collaborative care by facilitating broader availability of integrated mental health care and primary care | | | DY1Q1 (December 6, 2023, through March 31, 2024) The State’s telemedicine policies are already aligned with the Telemedicine policies that were implemented during COVID-19. The State’s telemedicine policies allow covered services to occur via telehealth as long as the same standard of care is met as for in person services. |
| 5.2.1.g Alerting/analytics | | | DY1Q1 (December 6, 2023, through March 31, 2024) Missouri Care Coordination Insights Project is complete. The Point of Care solution at Missouri Hospital Association is fully operational for alerting and analytics. |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|----------------------------|--|
| 5.2.1.h Identity management | | | DY1Q1 (December 6, 2023, through March 31, 2024) Missouri Care Coordination Insights Project is complete. The Point of Care solution at Missouri Hospital Association is fully operational and includes Master Patient Index Solution. |
| 5.2.2 The state expects to make other program changes that may affect SMI/SED metrics related to health IT. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|--|---|----------------------------|----------------|
| 6. Other SMI/SED-related metrics | | | | |
| 6.1 Metric trends | | | | |
| 6.1.1 | The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to other SMI/SED-related metrics. | X | | |
| 6.2 Implementation update | | | | |
| 6.2.1 | The state expects to make the following program changes that may affect other SMI/SED-related metrics. | X | | |
| 7. Annual Assessment of Availability of Mental Health Services (Annual Availability Assessment) | | | | |
| 7.1 Description of changes to baseline conditions and practices | | | | |
| 7.1.1 | Describe and explain any changes in the mental health service needs of Medicaid beneficiaries with SMI/SED compared to those described in the Initial Assessment of the Availability of Mental Health Services (for example, prevalence and distribution of SMI/SED). Recommended word count is 500 words or less. | X | | |
| 7.1.2 | Describe and explain any changes to the organization of the state's Medicaid behavioral health service delivery system compared to those described in the Initial Assessment of the Availability of Mental Health Services. Recommended word count is 500 words or less. | X | | |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|---|---|----------------------------|----------------|
| 7.1.3 Describe and explain any changes in the availability of mental health services for Medicaid beneficiaries with SMI/SED in the state compared to those described in the Initial Assessment of the Availability of Mental Health Services. At minimum, explain any changes across the state in the availability of the following services: inpatient mental health services; outpatient and community-based services; crisis behavioral health services; and care coordination and care transition planning. Recommended word count is 500 words or less. | X | | |
| 7.1.4 Describe and explain any changes in gaps the state identified in the availability of mental health services or service capacity while completing the Annual Availability Assessment compared to those described in the Initial Assessment of the Availability of Mental Health Services. Recommended word count is 500 words or less. | X | | |
| 7.1.5 Describe and explain whether any changes in the availability of mental health services have impacted the state’s maintenance of effort (MOE) on funding outpatient community-based mental health services. Recommended word count is 500 words or less. | X | | |

| Prompt | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|----------------------------|---|
| 7.2 Implementation update | | | |
| 7.2.1 Compared to the demonstration design and operational details, the state expects to make the following changes to: 7.2.1.a The state’s strategy to conduct annual assessments of the availability of mental health services across the state and updates on steps taken to increase availability | X | | |
| 7.2.1.b Strategies to improve state tracking of availability of inpatient and crisis stabilization beds | | | DY1Q1 (December 6, 2023, through March 31, 2024) The State has decided to move in a different direction outside of the MOConnect program. The admission, discharge, and transfer data portal will be used instead. Additional updates are underway. |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|--|---|---|----------------------------|----------------|
| 8. Maintenance of effort (MOE) on funding outpatient community-based mental health services | | | | |
| 8.1 MOE dollar amount | | | | |
| 8.1.1 | Provide as a dollar amount the level of state appropriations and local funding for outpatient community-based mental health services for the most recently completed state fiscal year. | X | | |
| 8.2 Narrative information | | | | |
| 8.2.1 | Describe and explain any reductions in the MOE dollar amount below the amount provided in the state's application materials. The state should confirm that it did not move resources to increase access to treatment in inpatient or residential settings at the expense of community-based services. | X | | |

| Prompt | | State has no trends/update to report (place an X) | Related metric(s) (if any) | State response |
|----------------------------------|---|---|----------------------------|--|
| 9. SMI/SED financing plan | | | | |
| 9.1 Implementation update | | | | |
| 9.1.1 | Compared to the demonstration design and operational details, the state expects to make the following changes to: | X | | |
| 9.1.1.a | Increase availability of non-hospital, non-residential crisis stabilization services, including services made available through crisis call centers, mobile crisis units, and observation/assessment centers, with a coordinated community crisis response that involves law enforcement and other first responders | | | |
| 9.1.1.b | Increase availability of on-going community-based services, e.g., outpatient, community mental health centers, partial hospitalization/day treatment, assertive community treatment, and services in integrated care settings such as the Certified Community Behavioral Health Clinic model | | | DY1Q1 (December 6, 2023, through March 31, 2024) The State continues to develop a plan and contract to invest \$5 million in community setting grants to assist providers in transitioning business models and programs from residential-based to community care settings. |

4. Narrative information on other reporting topics applicable to both SUD and SMI/SED components

| Prompts | State has no update to report (place an X) | State response |
|--|--|---|
| 10. Budget neutrality | | |
| 10.1 Current status and analysis | | |
| 10.1.1 Describe the current status of budget neutrality and an analysis of the budget neutrality to date. If the SUD and SMI/SED components are part of a broader demonstration, the state should provide an analysis of the SUD- and SMI/SED-related budget neutrality and an analysis of budget neutrality as a whole. | | DY1Q1 (December 6, 2023, through March 31, 2024) The BN template provided by CMS is set on a federal fiscal year, and the State has requested the template reflect calendar years to align with the reporting timeframe approved in the STCs. In addition, the State has not yet reported Demonstration costs on the CMS-64. Once costs are reported on the CMS-64 and the State receives an updated and corrected BN template, it will submit the completed BN template. |
| 10.2 Implementation update | | |
| 10.2.1 The state expects to make other program changes that may affect budget neutrality. | X | |

| Prompts | State has no update to report (place an X) | State response |
|--|--|----------------|
| 11. SUD- and SMI/SED-related demonstration operations and policy | | |
| 11.1 Considerations | | |
| 11.1.1 The state should highlight significant SUD and SMI/SED (or if broader demonstration, then SUD- and SMI/SED-related) demonstration components' operations or policy considerations that could positively or negatively affect beneficiary enrollment, access to services, timely provision of services, budget neutrality, or any other provision that has potential for beneficiary impacts. Also note any activity that may accelerate or create delays or impediments in achieving the SUD and SMI/SED demonstration components approved goals or objectives, if not already reported elsewhere in this document. See Monitoring Report Instructions for more detail. | X | |
| 11.2 Implementation update | | |
| 11.2.1 The state experienced challenges in partnering with entities contracted to help implement the demonstration (e.g., health plans, credentialing vendors, private sector providers) and/or noted any performance issues with contracted entities. | X | |
| 11.2.2 The state is working on other initiatives related to SUD, OUD and/or SMI/SED. | X | |
| 11.2.3 The initiatives described above are related to the SUD and/or SMI/SED demonstration components. (The state should note similarities and differences from the SUD and SMI/SED demonstration components). | X | |

| Prompts | State has no update to report (place an X) | State response |
|--|--|----------------|
| 11.2.4 Compared to the demonstration design and operational details, the state expects to make the following changes to: 11.2.4.a How the delivery system operates under the demonstration (e.g., through the managed care system or fee for service) | X | |
| 11.2.4.b Delivery models affecting demonstration participants (e.g., Accountable Care Organizations, Patient Centered Medical Homes) | X | |
| 11.2.4.c Partners involved in service delivery | X | |
| 11.2.4.d SMI/SED-specific: The state Medicaid agency’s Memorandum of Understanding (MOU) or other agreement with its mental health services agency | X | |

| Prompts | State has no update to report (place an X) | State response |
|---|--|--|
| 12. SUD and SMI/SED demonstration evaluation update | | |
| 12.1 Narrative information | | |
| 12.1.1 Provide updates on SUD and SMI/SED evaluation work and timeline. The appropriate content will depend on when this monitoring report is due to CMS and the timing for the demonstration. There are specific requirements per 42 Code of Federal Regulations (CFR) § 431.428a(10) for annual reports. See Monitoring Report Instructions for more details. | X | |
| 12.1.2 Provide status updates on deliverables related to the demonstration evaluation and indicate whether the expected timelines are being met and/or if there are any real or anticipated barriers in achieving the goals and timeframes agreed to in the STCs. | | <p>DY1Q1 (December 6, 2023, through March 31, 2024)</p> <p>The State anticipates experiencing a barrier to submitting the Budget Neutrality template to CMS by June 30, 2024. The BN template provided by CMS is set on a federal fiscal year, and the State has requested the template reflect calendar years to align with the reporting timeframe approved in the STCs.</p> <p>Upon receipt of a corrected BN template, the State will coordinate with CMS to determine an updated timeline for BN submission.</p> |
| 12.1.3 List anticipated evaluation-related deliverables related to this demonstration and their due dates. | X | |

| Prompts | State has no update to report (place an X) | State response |
|--|--|---|
| 13. Other demonstration reporting | | |
| 13.1 General reporting requirements | | |
| 13.1.1 The state reports changes in its implementation of the demonstration that might necessitate a change to approved STCs, implementation plan, or monitoring protocol. | | DY1Q1 (December 6, 2023, through March 31, 2024) The State has requested that any language related to QRTPs be removed from the Implementation Plan and STC documents. |
| 13.1.2 The state anticipates the need to make future changes to the STCs, implementation plan, or monitoring protocol, based on expected or upcoming implementation changes. | X | |
| 13.1.3 Compared to the demonstration design and operational details, the state expects to make the following changes to: 13.1.3.a The schedule for completing and submitting monitoring reports | | DY1Q1 (December 6, 2023, through March 31, 2024) The State requested and was approved by CMS to submit quarterly/annual reports ninety days following the quarter end and has received confirmation of this modification via CMS's approval of the State's acceptance letter. |
| 13.1.3.b The content or completeness of submitted monitoring reports and/or future monitoring reports | X | |
| 13.1.4 The state identified current or anticipated issues submitting timely post-approval demonstration deliverables, including a plan for remediation. | X | |
| 13.1.5 Provide updates on the results of beneficiary satisfaction surveys, if conducted during the reporting year, including updates on grievances and appeals from beneficiaries, per 42 CFR § 431.428(a)5. | X | |

| Prompts | State has no update to report (place an X) | State response |
|---|--|---|
| 13.2 Post-award public forum | | |
| 13.2.2 If applicable within the timing of the demonstration, provide a summary of the annual post-award public forum held pursuant to 42 CFR § 431.420(c) indicating any resulting action items or issues. A summary of the post-award public forum must be included here for the period during which the forum was held and in the annual monitoring report. | | DY1Q1 (December 6, 2023, through March 31, 2024) The State has scheduled the first post-award public forum on May 30, 2024. |

| Prompts | State has no update to report (place an X) | State response |
|---|--|----------------|
| 14. Notable state achievements and/or innovations | | |
| 14.1 Narrative information | | |
| 14.1.1 Provide any relevant summary of achievements and/or innovations in demonstration enrollment, benefits, operations, and policies pursuant to the hypotheses of the SUD and SMI/SED (or if broader demonstration, then SUD- or SMI/SED-related) demonstration components or that served to provide better care for individuals, better health for populations, and/or reduce per capita cost. Achievements should focus on significant impacts to beneficiary outcomes. Whenever possible, the summary should describe the achievement or innovation in quantifiable terms (e.g., number of impacted beneficiaries). | X | |

*The state should remove all example text from the table prior to submission.

Note: Licensee and states must prominently display the following notice on any display of Measure rates:

SUD measures IET-AD, FUA-AD, FUM-AD, and AAP [Metrics #15, 17(1), 17(2), and 32] and SMI/SED measures MPT, FUH-CH, FUH-AD, FUA-AD, FUM-AD, AAP, and APM measures (#13, 14, 15, 16, 17, 18, 7, 8, 9, 10, 26, 29) are Healthcare Effectiveness Data and Information Set (HEDIS®) measures that are owned and copyrighted by the National Committee for Quality Assurance (NCQA). HEDIS measures and specifications are not clinical guidelines, do not establish a standard of medical care and have not been tested for all potential applications. The measures and specifications are provided “as is” without warranty of any kind. NCQA makes no representations, warranties or endorsements about the quality of any product, test or protocol identified as numerator compliant or otherwise identified as meeting the requirements of a HEDIS measure or specification. NCQA makes no representations, warranties, or endorsement about the quality of any organization or clinician who uses or reports performance measures and NCQA has no liability to anyone who relies on HEDIS measures or specifications or data reflective of performance under such measures and specifications.

The measure specification methodology used by CMS is different from NCQA’s methodology. NCQA has not validated the adjusted measure specifications but has granted CMS permission to adjust. A calculated measure result (a “rate”) from a HEDIS measure that has not been certified via NCQA’s Measure Certification Program, and is based on adjusted HEDIS specifications, may not be called a “HEDIS rate” until it is audited and designated reportable by an NCQA-Certified HEDIS Compliance Auditor. Until such time, such measure rates shall be designated or referred to as “Adjusted, Uncertified, Unaudited HEDIS rates.”