SCHOOL OF PUBLIC HEALTH AND TROPICAL MEDICINE

Department of Health Policy and Management

October 2020

1115 Waiver (SUD) Evaluation Quarterly Report (DY3, Q3)

**Project Activities**
During the period defined in this report, Tulane continued regular monthly meetings with its entire research team, monthly sub-group meetings to discuss the STC 23 Midpoint Assessment, and quarterly meetings with LDH to ensure consistent project coordination. The research team continued their work on the three sub-analyses: Cost, Quantitative, and Qualitative.

**July**
The July Tulane internal meeting was cancelled as there was determined to be no need.

The fourth quarterly meeting with LDH and Tulane was planned for July 13\textsuperscript{th}. The meeting was postponed allowing the Tulane research team more time to continue progress on the crosswalk of evaluation metrics and reporting metrics.

**August**
The Tulane STC 23 Midpoint Assessment sub-group met on August 6\textsuperscript{th} to discuss progress on the work plan.

The August Tulane research team internal meeting was held on August 10\textsuperscript{th}. The following topics were discussed:

- Analysis Status Needs
  - Cost Analysis: Nothing needed at this time, on track for September
  - Quantitative: Metric codes are needed from LDH for comparison to current codes Tulane is using for analysis
  - Qualitative: Needs IRB approval to proceed with next wave of interviews
- STC 23 Mid-point Assessment
  - Meeting with LDH followed this meeting at 12pm
  - The draft report that was originally due in September, will be postponed until October
- IRB application submission status
  - The application has been submitted and is waiting on review


**September**
The Tulane STC 23 Midpoint Assessment sub-group met on September 3rd to discuss progress on the work plan.

The September Tulane internal meeting was held on September 17th. The following topics were discussed:

- **Discuss Analysis Status**
  - Cost Analyses: Pending updated inputs from Quantitative analysis
  - Quantitative: The research lead is working on recoding the evaluation metrics to align with the reporting metrics that LDH is utilizing. These metrics are based on the revised monitoring protocol that was finalized with CMS in August.
  - Qualitative/Care Coordination: The analysis for this year has been completed. The next wave of analysis will be done in January 2021.

- **Upcoming Report Deadlines**
  - Results Reports – Final report due September 30th
    - Will need to be postponed until October 30th due to recoding the evaluation metrics.

- **Baseline/Year 1 Results Reports Questions from LDH**
  - The questions and comments were addressed during this meeting and will be answered during the September 21st meeting with LDH.

- **IRB Application Update**
  - Resubmitted in August and we are waiting for further instruction from the Tulane Human Research Protection Office.

- **Lit Review Results Presentation**
  - The goal is to find current protocols for understanding and handling the effect of corona virus on SUD waiver 1115

A meeting with LDH was held on September 21st to discuss questions and comments from LDH on the baseline/year 1 results report submitted by Tulane. The key takeaways from this meeting were as follows:

- Many of the questions surrounded the variance between the Tulane evaluation metrics and the LDH reporting metrics. Once Tulane researchers have aligned these measures, we will circle back to these points to see if they have been addressed.

- Assistance with the survey distribution and promotion was requested by the research lead of the Qualitative analysis. LDH would also like to understand who the target audience of the survey was in baseline/year 1 and moving forward. A meeting will be set up to discuss these points further.

As of September 30th, the following items have been received from LDH:

- Monthly Administrative Costs are being received on the third Monday of every month
- SUD Mid-point Assessment Draft Guidance from CMS
- Approved LA SUD Monitoring Protocol Part A
- Approved LA SUD Monitoring Protocol Part B
- SUD 1115 Waiver Administrative Costs - June 2020
- CMS Comments on the Revised SUD Monitoring Protocol
- Crosswalk SUD Metrics #7-#12
- Updates to Section 1115 SUD Demonstration Monitoring Resources
- 1115 SUD Demonstration Waiver Administrative Cost Report - CY20 - July
- 1115 SUD Implementation-Milestone Timeline v1.3
- Questions/Comments on the 1115 SUD Baseline/Year 1 Evaluation
- Medicaid Section 1115 SUD and SMI/SED Demonstration Monitoring Resources Aug 2020
- 1115 SUD Monitoring Tools Aug 2020
- 1115 SUD Demonstration Waiver Administrative Cost Report - CY20 – August

**Status of analysis by topic – September 30th, 2020**

**Quantitative Analyses (Lead Researcher: Dr. Kevin Callison)**
- In the process of recoding the evaluation metrics to align with the reporting metrics that LDH is utilizing.

**Cost Analyses Report (Lead researcher: Dr. Charles Stoecker):**
- Pending the necessary quantitative results needed to complete results analysis

**Care Coordination (Lead researcher: Dr. Janna Wisniewski):**
- Survey Results Report has been completed for year 1

**DELIVERABLE STATUS**

**Quarterly and Annual Reports:** Quarterly reports have been submitted on deadline and have summarized the evaluation feedback process with LDH and CMS.

**Data reports:** The Baseline, Year 1, and Year2 Data Analysis Reports will be submitted on October 31st, 2020.

**STC 23:** The Mid-point assessment Final Report is on track to be submitted on November 30th, 2020.

**USE OF FUNDS**

Funds have been used for this project to cover faculty and staff time to develop the initial SUD evaluation plan, define the work timetables once the evaluation plan was approved; coordinate activity with within Tulane and with LDH, and to develop reports and associated deliverables.
Respectfully submitted,

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