



**FFQ4 2023**

**END STAGE RENAL DISEASE (ESRD) DEMONSTRATION**

PROJECT NUMBER: 11-W-00237/5

PROJECT NUMBER: 11-W-0030/5

**SECTION 1115 QUARTERLY REPORT**

**State of Indiana**

**REPORTING PERIOD:**

Demonstration Year: 1 (1/01/16 - 12/31/16)  
Federal Fiscal Quarter: 2/2016 (1/16 - 3/16)  
Federal Fiscal Quarter: 3/2016 (4/16 - 6/16)  
Federal Fiscal Quarter: 4/2016 (7/16 - 9/16)  
Federal Fiscal Quarter: 1/2017 (10/16–12/16)

Demonstration Year: 2 (1/01/17 - 12/31/17)  
Federal Fiscal Quarter: 2/2017 (1/17 - 3/17)  
Federal Fiscal Quarter: 3/2017 (4/17 – 6/17)  
Federal Fiscal Quarter: 4/2017 (7/17 – 9/17)  
Federal Fiscal Quarter: 1/2018 (10/17 – 12/17)

Demonstration Year: 3 (1/01/18 - 12/31/18)  
Federal Fiscal Quarter: 2/2018 (1/18 – 3/18)  
Federal Fiscal Quarter: 3/2018 (4/18 – 6/18)  
Federal Fiscal Quarter: 4/2018 (7/18 – 9/18)  
Federal Fiscal Quarter: 1/2019 (10/18 – 12/18)

Demonstration Year: 4 (1/01/19 – 12/31/19)  
Federal Fiscal Quarter: 2/2019 (1/19 – 3/19)  
Federal Fiscal Quarter: 3/2019 (4/19 – 6/19)  
Federal Fiscal Quarter: 4/2019 (7/19 – 9/19)  
Federal Fiscal Quarter: 1/2020 (10/19 – 12/19)

Demonstration Year: 5 (1/01/20 – 12/31/20)  
Federal Fiscal Quarter: 2/2020 (1/20 – 3/20)  
Federal Fiscal Quarter: 3/2020 (4/20 – 6/20)  
Federal Fiscal Quarter: 4/2020 (7/20 – 9/20)  
Federal Fiscal Quarter: 1/2021 (10/20 – 12/20)

Demonstration Year: 6 (1/01/21 – 12/31/21)  
Federal Fiscal Quarter: 2/2021 (1/21 – 3/21)  
Federal Fiscal Quarter: 3/2021 (4/21 – 6/21)  
Federal Fiscal Quarter: 4/2021 (7/21 – 9/21)  
Federal Fiscal Quarter: 1/2022 (10/21 – 12/21)

Demonstration Year: 7 (1/01/22 – 12/31/22)  
Federal Fiscal Quarter: 2/2022 (1/22 – 3/22)  
Federal Fiscal Quarter: 3/2022 (4/22 – 6/22)  
Federal Fiscal Quarter: 4/2022 (7/22 – 9/22)  
Federal Fiscal Quarter: 1/2023 (10/22 – 12/22)

Demonstration Year: 8 (1/01/23 – 12/31/23)  
Federal Fiscal Quarter: 2/2023 (1/23 – 3/23)  
Federal Fiscal Quarter: 3/2023 (4/23 – 6/23)  
Federal Fiscal Quarter: 4/2023 (7/23 – 9/23)  
Federal Fiscal Quarter: 1/2024 (10/23 – 12/23)

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## END STAGE RENAL DISEASE (ESRD) DEMONSTRATION

### Introduction:

The End Stage Renal Disease (ESRD) 1115 demonstration waiver will allow Indiana to provide Medicare-enrolled individuals with ESRD, who are otherwise ineligible for Medicaid, with supplemental wrap-around coverage including supplemental coverage for kidney transplant services.

The goals of this approved demonstration are to:

- Increase overall coverage of low-income individuals with a diagnosis of ESRD; and
- Ensure access to comprehensive coverage for low-income individuals with a diagnosis of ESRD and primary coverage through Medicare.
- Through the Workforce Bridge Account program, support for the successful transition from Medicaid to commercial insurance will be provided to mitigate disincentives associated with increasing income and losing access to Medicaid coverage, and reduce healthcare coverage gaps and improve overall access to care.

Questions that will be addressed are:

1. How many enrollees are on the kidney transplant list during enrollment?
2. How many enrollees received a kidney transplant during enrollment?
3. How many enrollees expired due to ESRD during enrollment?

Indiana's HIP 1.0 demonstration began in 1994 to supplement state plan benefits for Medicaid eligible children and otherwise eligible adults who are not aged, blind or disabled. HIP 1.0 was scheduled to expire at the end of 2013 but was extended for an additional year through December 31, 2014.

In May 2014, CMS approved an amendment to include former spend down enrollees diagnosed with End Stage Renal Disease (ESRD) as a HIP 1.0 demonstration population. ESRD enrollees are Medicare beneficiaries in need of supplemental health care coverage. By providing coverage through HIP, beneficiaries were able to access kidney transplant and related services that they might not be able to afford without the additional supplemental benefits.

In January 2015, CMS approved the Healthy Indiana Plan 2.0 (HIP 2.0) demonstration whereby former HIP 1.0 enrollees transitioned into the HIP 2.0 demonstration; the ESRD enrollees were the only population remaining in the HIP 1.0 demonstration. The HIP 1.0 demonstration with only the ESRD enrollees operated on a temporary extension until its approval on July 28, 2016 and renamed the End Stage Renal Disease (ESRD) Demonstration.

This demonstration will continue to provide coverage for individuals with ESRD that are not currently eligible under the Medicaid state plan. The demonstration originally covered approximately 350 individuals with ESRD, who would otherwise be unable to access kidney transplant services. With this demonstration extension, Indiana expects to achieve program objectives.

On July 25, 2019, Indiana submitted the Workforce Bridge Account amendment, to the state's section 1115 demonstration entitled, Healthy Indiana Plan (HIP). During the review process, it was decided to instead seek to amend its current ESRD section 1115 demonstration (rather than HIP) to include the Workforce Bridge Account program. This would permit the state to reinvest budget neutrality savings achieved in the ESRD demonstration to support this initiative.

On June 1, 2020, CMS amended the ESRD demonstration STCs to include the Workforce Bridge Account. This program provides up to \$1,000 for eligible individuals for the purpose of paying health insurance premiums, cost sharing, and/or the direct costs of prescription drugs and services otherwise coverable under section 1905(a) of the Social Security Act. The state may claim as allowable expenditures under the demonstration funds as described below. This assistance is expected to act as a bridge to commercial insurance coverage. While individuals would be made aware that this resource would be available to them if they took steps that could raise their income enough to lose Medicaid eligibility, the accounts would only be activated when an individual is no longer Medicaid eligible. To be eligible for the Workforce Bridge Account, an individual must have been eligible for Medicaid under section 1902(a)(10)(A)(i)(VIII) of the Act<sup>1</sup> and now no longer eligible under this category or any other Medicaid category due to increased income. Individuals who have been most recently disenrolled for failure to meet conditions of eligibility, such as payment of premiums, will not qualify.

Overview:

The State of Indiana respectfully submits FFQ2 2023 End Stage Renal Disease 1115 Demonstration report. The Workforce Bridge Account program is not currently enrolling individuals since members cannot disenroll for increased income due to the Public Health Emergency Executive Order.

*Table 1*

<b>ESRD-Enrollment</b>	
Source: Family & Social Services Administration (FSSA) Data and Analytics	
Report the unduplicated count of members enrolled as of the last day of the reporting quarter ending 09/30/2023	
Item	Total
Demonstration Population 1 – Former Spend Down Individuals/Number of Enrollees	26
Demonstration Population 2 - Number of New Enrollees	244
Demonstration Population Total Number of Enrollees	270

The total number of ESRD enrollees decreased compared to the reporting quarter ending 06/30/2023 (n=278).

*Table 2*

<b>ESRD Budget Neutrality Calculations</b>				
Source: FSSA Data and Analytics				
Report the member-months for budget neutrality for each month of the quarter and the total for the quarter.				
Eligibility Group	Member Months Month 1	Member Months Month 2	Member Months Month 3	Total Member Months for Qtr. Ending 09/30/2023
Demonstration Population 1 – Former Spend Down Individuals	26	26	25	77
Demonstration Population 2 – New Enrollees	244	237	228	709
Total	270	263	253	786

*Table 3*

<b>Outreach/Innovative Activities:</b>
Source: FSSA
Summarize outreach activities and/or promising practices for the current quarter.
During Q3 2023, OMPP began to prepare for the tribal and public comment period that is required as part of the ESRD sunset plan. OMPP reached out directly to ESRD providers in order to directly notify them of the plan and in an attempt to solicit public comment. CMS submissions related to ESRD Sunset Plan were posted publicly on the in.gov/hip webpage. OMPP worked to develop ESRD member outreach lists for Medicare advantage Open Enrollment letter, which also is the first time OMPP directly introduced the members to the proposal to phaseout the program. The outreach letters were sent in early Q4 2023. Additional details will be included in the Q4 ESRD report.

*Table 4*

<b>Operational/Policy Developments/Issues:</b>
Source: FSSA
Identify all significant program developments/issues/problems that have occurred in the current quarter, including, but not limited to, approval and contracting with new plans, benefit changes, legislative activity, and non-emergency medical transportation.
The Workforce Bridge Account program has yet to be implemented due to the federal Public Health Emergency unwind. A go-live date was previously set for April 1, 2023, since the continuous enrollment provisions were ending, and members would begin losing coverage for ineligibility. However, FSSA leadership in consultation with the Governor's Office subsequently made the decision to delay HIP Workforce Bridge go-live as there were concerns

around messaging this program and return to normal operations post-PHE at the same time. A new go-live date has not been established as of Q3 2023.

Table 5

<b>Financial/Budget Neutrality Developments/Issues:</b>
Source: FSSA/OMPP Finance
Identify all significant developments/issues/problems with financial accounting, budget neutrality, and CMS-64 reporting for the current quarter. Identify the state’s actions to address these issues.
The State has developed reporting to capture ESRD expenditures on a quarterly basis. The resulting data from this query will be reported on the appropriate CMS 64 form.
Indiana has adopted the new Budget Neutrality workbook that CMS released to account for the 1-year extension on March 27, 2023. This workbook has been uploaded under its own deliverable in PMDA and as directed by CMS is not included due to the new formatting structure. The ESRD demonstration remains budget neutral. The number of ESRD enrollees is decreasing for both MEGs with every demonstration year and the PMPMs are increasing every demonstration year.

Table 6

<b>Consumer Issues</b>
Source: FSSA Communications Services
A summary of the types of complaints or problems consumers identified about the program in the current quarter. Include any trends discovered, the resolution of complaints, and any actions taken or to be taken to prevent other occurrences.
There were no complaints or problems identified about the program in this reporting quarter.

Table 7

<b>Quality Assurance/Monitoring Activity</b>
Source: OMPP Quality & Outcomes
Identify any quality assurance/monitoring activity in current quarter.
There were no quality/assurance/monitoring activity that occurred in the current quarter.

Table 8

<b>Demonstration Evaluation</b>
Source: FSSA/OMPP Quality & Outcomes
In discussion with CMS, Indiana agreed to include the data of the 3-year extension of the ESRD waiver (CY2023) into the ESRD Final Report. To capture and analyze complete data, the Final Report will now include data from 2016-2023 and be submitted to CMS no later than June 30, 2025. In addition, Indiana will include CMS’ feedback on the 2016-2020 ESRD Interim Evaluation, received on 08/12/2020, in the ESRD Summative Evaluation. In agreement with CMS, Indiana submitted the CY2024 ESRD temporary extension with the ESRD phase-out and was approved by CMS on June 23, 2023.

Table 9

<b>Measurement and Analysis</b>				
Source: FSSA Data and Analytics				
Report the number of members on the kidney transplant list for each month of the quarter and the total for the quarter.				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 09/30/2023
Demonstration Population 1 – Former Spend Down Individuals	0	0	0	0
Demonstration Population 2 – New Enrollees	0	0	1	1
<b>Total</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>

Table 10

<b>Measurement and Analysis</b>				
Source: FSSA Data and Analytics				
Report the number of members who received a kidney transplant for each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 09/30/2023
Demonstration Population 1 – Former Spend Down Individuals	8	7	6	10
Demonstration Population 2 – New Enrollees	54	49	37	61
<b>Total</b>	<b>62</b>	<b>56</b>	<b>43</b>	<b>71</b>

Table 11

<b>Measurement and Analysis</b>				
Source: FSSA Data and Analytics				
Report the number of members who no longer have a diagnosis of ESRD for each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 09/30/2023
Demonstration Population 1 – Former Spend Down Individuals	0	0	0	0
Demonstration Population 2 – New Enrollees	3	3	3	3
<b>Total</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>3</b>

Table 12

<b>Measurement and Analysis</b>				
Source: FSSA Data and Analytics				
Report the number of members who expired due to ESRD each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 09/30/2023
Demonstration Population 1 – Former Spend Down Individuals	0	1	0	1
Demonstration Population 2 – New Enrollees	2	4	2	8
<b>Total</b>	<b>2</b>	<b>5</b>	<b>2</b>	<b>9</b>

It is important to note that we currently do not have ICD-10 codes to determine if the member died due to their ESRD diagnosis. The FSSA D&A team at the State has procured the vital statistics data from the Indiana Department of Health. The state is hopeful that this information will be included the Summative Evaluation for this demonstration.

Table 13

<b>Measurement and Analysis</b>				
Source: FSSA Data and Analytics				
Report the number of members 20 years and older who received a preventive care visit each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 09/30/2023
Demonstration Population 1 – Former Spend Down Individuals	23	23	22	23
Demonstration Population 2 – New Enrollees	203	198	190	206
<b>Total</b>	<b>226</b>	<b>221</b>	<b>212</b>	<b>229</b>

Table 14

<b>Measurement and Analysis</b>				
Source: FSSA Data and Analytics				
Report the number of adult members age 20 to 44 years who received a flu vaccination each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 09/30/2023
Demonstration Population 1 – Former Spend Down Individuals	0	0	0	0
Demonstration Population 2 – New Enrollees	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>



Table 15

<b>Measurement and Analysis</b>				
Source: FSSA Data and Analytics				
Report the number of older adult members age 45 to 64 years who received a flu vaccination each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 09/30/2023
Demonstration Population 1 – Former Spend Down Individuals	0	0	0	0
Demonstration Population 2 – New Enrollees	0	0	2	2
Total	0	0	2	2

Table 16

<b>Measurement and Analysis</b>				
Source: FSSA Data and Analytics				
Report the number of members who received an ambulatory care visit each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 09/30/2023
Demonstration Population 1 – Former Spend Down Individuals	8	13	10	17
Demonstration Population 2 – New Enrollees	109	89	65	142
Total	117	102	75	159

Table 17

<b>Enclosures/Attachments</b>
Source: FSSA/OMPP Quality & Outcomes
Identify by title any attachments along with a brief description of what information the document contains.
There are no attachments included with this submission.