



FFQ4 2020

END STAGE RENAL DISEASE (ESRD) DEMONSTRATION

PROJECT NUMBER: 11-W-00237/5

PROJECT NUMBER: 11-W-0030/5

SECTION 1115 QUARTERLY REPORT

State of Indiana

REPORTING PERIOD:

Demonstration Year: 1 (1/01/16 - 12/31/16)
Federal Fiscal Quarter: 2/2016 (1/16 - 3/16)
Federal Fiscal Quarter: 3/2016 (4/16 - 6/16)
Federal Fiscal Quarter: 4/2016 (7/16 - 9/16)
Federal Fiscal Quarter: 1/2017 (10/16–12/16)

Demonstration Year: 2 (1/01/17 - 12/31/17)
Federal Fiscal Quarter: 2/2017 (1/17 - 3/17)
Federal Fiscal Quarter: 3/2017 (4/17 – 6/17)
Federal Fiscal Quarter: 4/2017 (7/17 – 9/17)
Federal Fiscal Quarter: 1/2018 (10/17 – 12/17)

Demonstration Year: 3 (1/01/18 - 12/31/18)
Federal Fiscal Quarter: 2/2018 (1/18 – 3/18)
Federal Fiscal Quarter: 3/2018 (4/18 – 6/18)
Federal Fiscal Quarter: 4/2018 (7/18 – 9/18)
Federal Fiscal Quarter: 1/2019 (10/18 – 12/18)

Demonstration Year: 4 (1/01/19 – 12/31/19)
Federal Fiscal Quarter: 2/2019 (1/19 – 3/19)
Federal Fiscal Quarter: 3/2019 (4/19 – 6/19)
Federal Fiscal Quarter: 4/2019 (7/19 – 9/19)
Federal Fiscal Quarter: 1/2020 (10/19 – 12/19)

Demonstration Year: 5 (1/01/20 – 12/31/20)
Federal Fiscal Quarter: 2/2020 (1/20 – 3/20)
Federal Fiscal Quarter: 3/2020 (4/20 – 6/20)
Federal Fiscal Quarter: 4/2020 (7/20 – 9/20)

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END STAGE RENAL DISEASE (ESRD) DEMONSTRATION

Introduction:

The End Stage Renal Disease (ESRD) 1115 demonstration waiver will allow Indiana to provide Medicare-enrolled individuals with ESRD, who are otherwise ineligible for Medicaid, with supplemental wrap-around coverage including supplemental coverage for kidney transplant services.

The goals of this approved demonstration are to:

- Increase overall coverage of low-income individuals with a diagnosis of ESRD; and
- Ensure access to comprehensive coverage for low-income individuals with a diagnosis of ESRD and primary coverage through Medicare.
- Through the Workforce Bridge Account program, support for the successful transition from Medicaid to commercial insurance will be provided to mitigate disincentives associated with increasing income and losing access to Medicaid coverage, and reduce healthcare coverage gaps and improve overall access to care.

Questions that will be addressed are:

1. How many enrollees are on the kidney transplant list during enrollment?
2. How many enrollees received a kidney transplant during enrollment?
3. How many enrollees expired due to ESRD during enrollment?

Indiana's HIP 1.0 demonstration began in 1994 to supplement state plan benefits for Medicaid eligible children and otherwise eligible adults who are not aged, blind or disabled. HIP 1.0 was scheduled to expire at the end of 2013 but was extended for an additional year through December 31, 2014.

In May 2014, CMS approved an amendment to include former spend down enrollees diagnosed with End Stage Renal Disease (ESRD) as a HIP 1.0 demonstration population. ESRD enrollees are Medicare beneficiaries in need of supplemental health care coverage. By providing coverage through HIP, beneficiaries were able to access kidney transplant and related services that they might not be able to afford without the additional supplemental benefits.

In January 2015, CMS approved the Healthy Indiana Plan 2.0 (HIP 2.0) demonstration whereby former HIP 1.0 enrollees transitioned into the HIP 2.0 demonstration; the ESRD enrollees were the only population remaining in the HIP 1.0 demonstration. The HIP 1.0 demonstration with only the ESRD enrollees operated on a temporary extension until its approval on July 28, 2016 and renamed the End Stage Renal Disease (ESRD) Demonstration

This demonstration will continue to provide coverage for individuals with ESRD that are not currently eligible under the Medicaid state plan. The demonstration originally covered approximately 350 individuals with ESRD, who would otherwise be unable to access kidney transplant services. With this demonstration extension, Indiana expects to achieve program objectives.

On July 25, 2019, Indiana submitted the Workforce Bridge Account amendment, to the state's section 1115 demonstration entitled, Healthy Indiana Plan (HIP). During the review process, it was decided to instead seek to amend its current ESRD section 1115 demonstration (rather than HIP) to include the Workforce Bridge Account program. This would permit the state to reinvest budget neutrality savings achieved in the ESRD demonstration to support this initiative.

On June 1, 2020, CMS amended the ESRD demonstration STCs to include the Workforce Bridge Account. This program provides up to \$1,000 for eligible individuals for the purpose of paying health insurance premiums, cost sharing, and/or the direct costs of prescription drugs and services otherwise coverable under section 1905(a) of the Social Security Act. The state may claim as allowable expenditures under the demonstration funds as described below. This assistance is expected to act as a bridge to commercial insurance coverage. While individuals would be made aware that this resource would be available to them if they took steps that could raise their income enough to lose Medicaid eligibility, the accounts would only be activated when an individual is no longer Medicaid eligible. To be eligible for the Workforce Bridge Account, an individual must have been eligible for Medicaid under section 1902(a)(10)(A)(i)(VIII) of the Act¹ and

now no longer eligible under this category or any other Medicaid category due to increased income. Individuals who have been most recently disenrolled for failure to meet conditions of eligibility, such as payment of premiums, will not qualify.

Overview:

The State of Indiana respectfully submits FFQ4 2020 End Stage Renal Disease 1115 Demonstration report. The Workforce Bridge Account program is not currently enrolling individuals since members cannot disenroll for increased income due to the Public Health Emergency Executive Order. In addition, the State submitted a waiver renewal application to extend the ESRD demonstration. Two virtual hearings were held on May 19th and 20th, 2020. The 30-day public comment period closed on June 12, 2020. Despite outreach efforts throughout this time, the State received no comments.

If there are any questions or comments regarding these changes, please do not hesitate to contact the State contacts listed below.

State Contacts

Primary Contact	Secondary Contact
<p>Sue Beecher Program Evaluation Manager Office of Medicaid Policy and Planning Family and Social Services Administration W374 IGC-S MS 07 402 W Washington St. Indianapolis, IN 46204-2739 Telephone: 317- 234-7887 Susan.beecher@fssa.in.gov</p>	<p>Kelsey Pund Quality Analyst Office of Medicaid Policy and Planning Family and Social Services Administration W374 IGC-S, MS 07 402 W Washington St. Indianapolis, IN 46204-2739 Telephone: 317-234-5780 kelsey.pund@fssa.in.gov</p>

Table 1

ESRD-Enrollment	
Source: Family & Social Services Administration (FSSA) Data and Analytics	
Report the unduplicated count of members enrolled as of the last day of the reporting quarter ending 9/30/20	
Item	Total
Demonstration Population 1 – Former Spend Down Individuals/Number of Enrollees	34
Demonstration Population 2 - Number of New Enrollees	309
Demonstration Population Total Number of Enrollees	343

Table 2

ESRD Budget Neutrality Calculations				
Source: FSSA Data and Analytics				
Report the member-months for budget neutrality for each month of the quarter and the total for the quarter.				
Eligibility Group	Member Months Month 1	Member Months Month 2	Member Months Month 3	Total Member Months for Quarter Ending 9/30/20
Demonstration Population 1 – Former Spend Down Individuals	34	33	33	100
Demonstration Population 2 – New Enrollees	309	305	299	913
Total	343	338	332	1,013

Table 3

Outreach/Innovative Activities:
Source: FSSA
Summarize outreach activities and/or promising practices for the current quarter.
There were no outreach activities and/or promising practices to report for this quarter.

Table 4

Operational/Policy Developments/Issues:
Source: FSSA
Identify all significant program developments/issues/problems that have occurred in the current quarter, including, but not limited to, approval and contracting with new plans, benefit changes, legislative activity, and non-emergency medical transportation.
The Workforce Bridge Account program has yet to be implemented due to the Public Health Emergency. Since member health coverage will not be terminated during the PHE, members cannot disenroll for increased income.

Table 5

Financial/Budget Neutrality Developments/Issues:
Source: FSSA/OMPP Finance
Identify all significant developments/issues/problems with financial accounting, budget neutrality, and CMS-64 reporting for the current quarter. Identify the state's actions to address these issues.
The State has developed reporting to capture ESRD expenditures on a quarterly basis. The resulting data from this query will be reported on the appropriate CMS 64 form.
The State continues to review internal controls related to budget neutrality and CMS64 reporting, to ensure continued compliance with the Special Terms and Conditions set forth in the 1115 demonstration waiver.
Below, please find our process to achieve control objectives of accurate, timely and complete reporting in compliance with the Special Terms and Conditions:
<ul style="list-style-type: none"> • Budget neutrality submissions will be developed utilizing CMS64 data. • Budget neutrality and CMS64 reporting will be reconciled quarterly prior to submitting reports to CMS. • Federal Funding Director/OMPP Controller will be the final approver on all budget neutrality submissions.
Reconciliation documentation will be maintained to support quarterly submissions.
Indiana has adopted the new Budget Neutrality workbook that CMS released on September 30, 2019. This workbook has been uploaded under its own deliverable in PMDA and as directed by CMS is not included due to the new formatting structure. The ESRD demonstration remains budget neutral. The number of ESRD enrollees is decreasing for both MEGs with every demonstration year and the PMPMs are increasing every demonstration year.

Table 6

Consumer Issues
Source: FSSA Communications Services
A summary of the types of complaints or problems consumers identified about the program in the current quarter. Include any trends discovered, the resolution of complaints, and any actions taken or to be taken to prevent other occurrences.
There were no complaints or problems identified about the program in this reporting quarter.

Table 7

Quality Assurance/Monitoring Activity
Source: OMPP Quality & Outcomes
Identify any quality assurance/monitoring activity in current quarter.
There were no quality/assurance/monitoring activity that occurred in the current quarter.

Table 8

Demonstration Evaluation
Source: FSSA/OMPP Quality & Outcomes
Discuss progress of evaluation design and planning.
A draft version of the Interim Evaluation was submitted (Q2). The State received Interim Evaluation feedback from CMS on August 12, 2020 (Q3). The State anticipates incorporating CMS feedback and submitting on October 11, 2020.

Table 9

Measurement and Analysis				
Source: FSSA Data and Analytics				
Report the number of members on the kidney transplant list for each month of the quarter and the total for the quarter.				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 9/30/20
Demonstration Population 1 – Former Spend Down Individuals	0	0	0	0
Demonstration Population 2 – New Enrollees	3	3	1	7
Total	3	3	1	7

Table 10

Measurement and Analysis				
Source: FSSA Data and Analytics				
Report the number of members who received a kidney transplant for each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 9/30/20
Demonstration Population 1 – Former Spend Down Individuals	10	10	9	11
Demonstration Population 2 – New Enrollees	33	34	33	41
Total	43	44	42	52

Table 11

Measurement and Analysis				
Source: FSSA Data and Analytics				
Report the number of members who no longer have a diagnosis of ESRD for each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 9/30/20
Demonstration Population 1 – Former Spend Down Individuals	0	0	0	0
Demonstration Population 2 – New Enrollees	0	0	2	2
Total	0	0	2	2

Table 12

Measurement and Analysis				
Source: FSSA Data and Analytics				
Report the number of members who expired due to ESRD each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 9/30/20
Demonstration Population 1 – Former Spend Down Individuals	0	0	1	1
Demonstration Population 2 – New Enrollees	4	4	6	14
Total	4	4	7	15

Table 13

Measurement and Analysis				
Source: FSSA Data and Analytics				
Report the number of members 20 years and older who received a preventive care visit each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 9/30/20
Demonstration Population 1 – Former Spend Down Individuals	30	29	29	30
Demonstration Population 2 – New Enrollees	256	253	248	262
Total	286	282	277	292

Table 14

Measurement and Analysis				
Source: FSSA Data and Analytics				
Report the number of adult members age 20 to 44 years who received a flu vaccination each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 9/30/20
Demonstration Population 1 – Former Spend Down Individuals	0	0	0	0
Demonstration Population 2 – New Enrollees	0	0	0	0
Total	0	0	0	0

Table 15

Measurement and Analysis				
Source: FSSA Data and Analytics				
Report the number of older adult members age 45 to 64 years who received a flu vaccination each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 9/30/20
Demonstration Population 1 – Former Spend Down Individuals	0	0	0	0
Demonstration Population 2 – New Enrollees	4	4	4	4
Total	4	4	4	4

Table 16

Measurement and Analysis				
Source: FSSA Data and Analytics				
Report the number of members who received an ambulatory care visit each month of the quarter and the total for the quarter				
Eligibility Group	Number of Members Month 1	Number of Members Month 2	Number of Members Month 3	Total Members for Quarter Ending 9/30/20
Demonstration Population 1 – Former Spend Down Individuals	9	15	17	23
Demonstration Population 2 – New Enrollees	146	141	162	217
Total	155	156	179	240

Table 17

Enclosures/Attachments
Source: FSSA/OMPP Quality & Outcomes
Identify by title any attachments along with a brief description of what information the document contains.
There are no attachments included with this submission.