

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard, Mail Stop S2-25-26
Baltimore, Maryland 21244-1850



State Demonstrations Group

November 30, 2020

Melissa Byrd
Medicaid Director
Department of Health Care Finance
One Judiciary Square 441 4th St NW
Washington, DC 20001

Dear Ms. Byrd:

The Centers for Medicare & Medicaid Services (CMS) has completed its review of the SUD and SMI Monitoring Protocols, which are required by the Special Terms and Conditions (STC) of the District of Columbia's section 1115 demonstration, "Behavioral Health Transformation" (Project No. 11-W-00331/3). CMS has determined that the monitoring protocols, which were submitted on November 2, 2020, meet the requirements set forth in the STCs, and thereby approves the District's SUD and SMI monitoring protocols.

The monitoring protocols are approved for the demonstration period through December 31, 2024 and are hereby incorporated into the demonstration STCs as Attachment E. In accordance with STC 63 (Public Access), the approved SUD and SMI monitoring protocols may now be posted to your state's Medicaid website.

If you have any questions, please contact your CMS project officer, Mr. Jack Nocito. Mr. Nocito is available to answer any questions concerning your section 1115 demonstration and may be reached either by phone at 410-786-0199 or by email at Jack.Nocito@cms.hhs.gov.

We look forward to our continued partnership on the DC Behavior Health Transformation section 1115 demonstration.

Sincerely,

Danielle Daly
-S

Digitally signed by
Danielle Daly -S
Date: 2020.11.30
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Danielle Daly
Director
Division of Demonstration
Monitoring and Evaluation

**Angela D.
Garner -S**

Digitally signed by Angela
D. Garner -S
Date: 2020.11.30
11:00:08 -05'00'

Angela D Garner
Director
Division of System
Reform Demonstrations

cc: Frankeena McGuire, State Monitoring Lead, CMS Medicaid and CHIP Operations Group

1. Title Page for the State’s SUD Demonstration or SUD Components of Broader Demonstration

State	District of Columbia
Demonstration name	Behavioral Health Transformation
Approval date for demonstration	11/06/2019
Approval period for SUD	01/01/2020 – 12/31/2024
Approval date for SUD, if different from above	
Implementation date of SUD, if different from above	
SUD (or if broader demonstration, then SUD - related) demonstration goals and objectives	<p>The goal of the demonstration is for the District to maintain and enhance access to opioid use disorder (OUD) and other substance use disorder (SUD) services; and continue delivery system improvements to provide more coordinated and comprehensive treatment for Medicaid beneficiaries with SUD. This demonstration authorizes the District to receive federal financial participation (FFP) for delivering high-quality, clinically appropriate treatment to beneficiaries diagnosed with SUD and receiving treatment while they are short-term residents in settings that qualify as Institutions for Mental Diseases (IMD). This demonstration also complements the District’s efforts to implement models of care that are focused on increasing supports for individuals outside of institutions, in home and community-based settings (HCBS) to improve their access to SUD services at varied levels of intensity, and to combat OUD and other SUDs among District residents.</p>

2. Proposed Modifications to SUD Narrative Information on Implementation, by Milestone or Reporting Topic

Summary of proposed modification	Related metric (if any)	Justification for modification
1. Assessment of Need and Qualification for SUD Services		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		

Summary of proposed modification	Related metric (if any)	Justification for modification
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
8. SUD Health Information Technology (Health IT)		

Summary of proposed modification	Related metric (if any)	Justification for modification
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
9. Other SUD-related Metrics		
Please see notes in columns P and U of the monitoring workbook for additional information on metric issues.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
10. Budget Neutrality		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
11. SUD-Related Demonstration Operations and Policy		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		

Summary of proposed modification	Related metric (if any)	Justification for modification
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
12. SUD Demonstration Evaluation Update		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
13. Other Demonstration Reporting		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
14. Notable State Achievements and/or Innovations		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		

Summary of proposed modification	Related metric (if any)	Justification for modification
		<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).

3. Acknowledgement of Budget Neutrality Reporting-

The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

4. Retrospective reporting

If a state's monitoring protocol is approved after its first quarterly monitoring report submission date, the state should report data to CMS retrospectively for any prior quarters of SUD demonstration implementation. States are expected to submit retrospective metrics data in the state's second monitoring report submission after monitoring protocol approval, or propose an alternative plan for reporting retrospectively on its SUD demonstration.

In the monitoring report submission containing retrospective metrics data, the state should also provide a general assessment of metrics trends from the start of the state's demonstration through the end of the current reporting period. The state should report this information in Part B of its report submission (Table 3: Narrative Information on Implementation, by Milestone and Reporting Topic). This general assessment is not intended to be a comprehensive description of every trend observed in metrics data (for example, unlike other monitoring report submissions, the state is not required to describe all metrics changes (+ or - greater than 2 percent). Rather, the assessment is an opportunity for states to provide context for its retrospective metrics data, to support CMS's review and interpretation. For example, consider a state that submits data showing an increase in the number of medication assisted treatment (MAT) providers (Metric #14) over the course of the retrospective reporting period. The state may decide to highlight this trend to CMS in Part B of its report (under Milestone 4) by briefly summarizing the trend and providing context that during this period, the state implemented a grant that supported training for new MAT providers throughout the state.

The state will report retrospectively for any quarters prior to monitoring protocol approval as described above, in the state's second monitoring report submission after protocol approval.

The state proposes an alternative plan to report retrospectively for any quarters prior to monitoring protocol approval:

5. Reporting SUD Demonstration Metrics and Narrative Information

The state should review the guidance in Appendix A of the instructions document in order to attest it will follow CMS's guidance on reporting metrics and narrative information, or propose any deviations. The state should complete Table A below to reflect its proposed reporting schedule for the duration of its SUD demonstration approval period.

The state has completed the table below according to the guidance in Appendix A of the instructions document and attests to reporting metrics and narrative information in its quarterly and annual reports according as described.

The state has reviewed Appendix A of the instructions document and completed the table below with the following deviations: .

Table A. District of Columbia reporting in quarterly and annual monitoring reports

Dates of reporting quarter	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
January 1, 2020 – March 31, 2020	DY1 Q1	7/31/2020	<ul style="list-style-type: none"> • Narrative information: SUD DY1 Q1 • Other monthly and quarterly metrics: None • Annual metrics that are established quality measures: None • Other annual metrics: None
April 1, 2020 – June 30, 2020	DY1 Q2	8/29/2020	<ul style="list-style-type: none"> • Narrative information: SUD DY1 Q2 • Grievances and appeals (no critical incidents): SUD DY1 Q2 • Other monthly and quarterly metrics: SUD DY1 Q1 • Annual metrics that are established quality measures: None • Other annual metrics: None
July 1, 2020 – September 30, 2020	DY1 Q3	11/29/2020	<ul style="list-style-type: none"> • Narrative information: SUD DY1 Q3 • Grievances and appeals (no critical incidents): SUD DY1 Q3 • Other monthly and quarterly metrics: SUD DY1 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None
October 1, 2020 – December 31, 2020	DY1 Q4	3/30/2021	<ul style="list-style-type: none"> • Narrative information: SUD DY1 Q4 • Grievances and appeals: SUD DY1 Q4 • Other monthly and quarterly metrics: SUD DY1 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None
January 1, 2021 – March 31, 2021	DY2 Q1	5/30/2021	<ul style="list-style-type: none"> • Narrative information: SUD DY2 Q1 • Grievances and appeals: SUD DY2 Q1 • Other monthly and quarterly metrics: SUD DY1 Q4 • Annual metrics that are established quality measures: None • Other annual metrics: SUD DY1 (calculated for DY1)

Medicaid Section 1115 SUD Demonstration Monitoring Protocol – Part B

[District of Columbia] [Behavioral Health Transformation]

Submitted on 7/17/20. Resubmitted on 11/2/20.

Dates of reporting quarter	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
April 1, 2021 – June 30, 2021	DY2 Q2	8/29/2021	<ul style="list-style-type: none"> • Narrative information: SUD DY2 Q2 • Grievances and appeals: SUD DY2 Q2 • Other monthly and quarterly metrics: SUD DY2 Q1 • Annual metrics that are established quality measures: SUD DY1 (calculated for CY 2020) • Other annual metrics: None
July 1, 2021 – September 30, 2021	DY2 Q3	11/29/2021	<ul style="list-style-type: none"> • Narrative information: SUD DY2 Q3 • Grievances and appeals: SUD DY2 Q3 • Other monthly and quarterly metrics: SUD DY2 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None
October 1, 2021 – December 31, 2021	DY2 Q4	3/30/2022	<ul style="list-style-type: none"> • Narrative information: SUD DY2 Q4 • Grievances and appeals: SUD DY2 Q4 • Other monthly and quarterly metrics: SUD DY2 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None
January 1, 2022 – March 31, 2022	DY3 Q1	5/30/2022	<ul style="list-style-type: none"> • Narrative information: SUD DY3 Q1 • Grievances and appeals: SUD DY3 Q1 • Other monthly and quarterly metrics: SUD DY2 Q4 • Annual metrics that are established quality measures: None • Other annual metrics: SUD DY2 (calculated for DY2)
April 1, 2022 – June 30, 2022	DY3 Q2	8/29/2022	<ul style="list-style-type: none"> • Narrative information: SUD DY3 Q2 • Grievances and appeals: SUD DY3 Q2 • Other monthly and quarterly metrics: SUD DY3 Q1 • Annual metrics that are established quality measures: SUD DY2 (calculated for CY 2021) • Other annual metrics: None
July 1, 2022 – September 30, 2022	DY3 Q3	11/29/2022	<ul style="list-style-type: none"> • Narrative information: SUD DY3 Q3 • Grievances and appeals: SUD DY3 Q3 • Other monthly and quarterly metrics: SUD DY3 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None

Medicaid Section 1115 SUD Demonstration Monitoring Protocol – Part B

[District of Columbia] [Behavioral Health Transformation]

Submitted on 7/17/20. Resubmitted on 11/2/20.

Dates of reporting quarter	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
October 1, 2022 – December 31, 2022	DY3 Q4	3/30/2023	<ul style="list-style-type: none"> • Narrative information: SUD DY3 Q4 • Grievances and appeals: SUD DY3 Q4 • Other monthly and quarterly metrics: SUD DY3 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None
January 1, 2023 – March 31, 2023	DY4 Q1	5/30/2023	<ul style="list-style-type: none"> • Narrative information: SUD DY4 Q1 • Grievances and appeals: SUD DY4 Q1 • Other monthly and quarterly metrics: SUD DY3 Q4 • Annual metrics that are established quality measures: None • Other annual metrics: SUD DY3 (calculated for DY3)
April 1, 2023 – June 30, 2023	DY4 Q2	8/29/2023	<ul style="list-style-type: none"> • Narrative information: SUD DY4 Q2 • Grievances and appeals: SUD DY4 Q2 • Other monthly and quarterly metrics: SUD DY4 Q1 • Annual metrics that are established quality measures: SUD DY3 (calculated for CY 2022) • Other annual metrics: None
July 1, 2023 – September 30, 2023	DY4 Q3	11/29/2023	<ul style="list-style-type: none"> • Narrative information: SUD DY4 Q3 • Grievances and appeals: SUD DY4 Q3 • Other monthly and quarterly metrics: SUD DY4 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None
October 1, 2023 – December 31, 2023	DY4 Q4	3/30/2024	<ul style="list-style-type: none"> • Narrative information: SUD DY4 Q4 • Grievances and appeals: SUD DY4 Q4 • Other monthly and quarterly metrics: SUD DY4 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None
January 1, 2024 – March 31, 2024	DY5 Q1	5/30/2024	<ul style="list-style-type: none"> • Narrative information: SUD DY5 Q1 • Grievances and appeals: SUD DY5 Q1 • Other monthly and quarterly metrics: SUD DY4 Q4 • Annual metrics that are established quality measures: None • Other annual metrics: SUD DY4 (calculated for DY4)

Medicaid Section 1115 SUD Demonstration Monitoring Protocol – Part B
 [District of Columbia] [Behavioral Health Transformation]
 Submitted on 7/17/20. Resubmitted on 11/2/20.

Dates of reporting quarter	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
April 1, 2024 – June 30, 2024	DY5 Q2	8/29/2024	<ul style="list-style-type: none"> • Narrative information: SUD DY5 Q2 • Grievances and appeals: SUD DY5 Q2 • Other monthly and quarterly metrics: SUD DY5 Q1 • Annual metrics that are established quality measures: SUD DY4 (calculated for CY 2023) • Other annual metrics: None
July 1, 2024 – September 30, 2024	DY5 Q3	11/29/2024	<ul style="list-style-type: none"> • Narrative information: SUD DY5 Q3 • Grievances and appeals: SUD DY5 Q3 • Other monthly and quarterly metrics: SUD DY5 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None
October 1, 2024 – December 31, 2024	DY5 Q4	3/30/2025	<ul style="list-style-type: none"> • Narrative information: SUD DY5 Q4 • Grievances and appeals: SUD DY5 Q4 • Other monthly and quarterly metrics: SUD DY5 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None

1. Title Page for the State’s SMI/SED Demonstration or SMI/SED Components of Broader Demonstration

State	District of Columbia
Demonstration name	Behavioral Health Transformation
Approval date for demonstration	11/06/2019
Approval period for SMI/SED	01/01/2020 – 12/31/2024
Approval date for SMI/SED, if different from above	Click here to enter text.
Implementation date of SMI/SED, if different from above	Click here to enter text.
SMI/SED (or if broader demonstration, then SMI/SED -related) demonstration goals and objectives	The goal of this demonstration is for the District to maintain and enhance access to mental health services and continue delivery system improvements to provide more coordinated and comprehensive treatment for Medicaid beneficiaries with serious mental illness (SMI) and serious emotional disturbance (SED). This demonstration authorizes the District to receive federal financial participation (FFP) for delivering high-quality, clinically appropriate treatment to beneficiaries diagnosed with SMI and receiving treatment while they are short-term residents in settings that qualify as Institutions for Mental Diseases (IMD). This demonstration also complements the District’s efforts to implement models of care that are focused on increasing supports for individuals outside of institutions, in home and community-based settings (HCBS) to improve their access to SMI/SED services at varied levels of intensity.

2. Proposed Modifications to SMI/SED Narrative Information on Implementation, by Milestone or Reporting Topic

Summary of proposed modification	Related metric (if any)	Justification for modification
1. Ensuring Quality of Care in Psychiatric Hospitals and Residential Settings (Milestone 1)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
2. Improving Care Coordination and Transitions to Community-Based Care (Milestone 2)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
3. Increasing Access to Continuum of Care, Including Crisis Stabilization Services (Milestone 3)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
4. Earlier Identification and Engagement in Treatment, Including Through Increased Integration (Milestone 4)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
5. SMI/SED Health Information Technology (Health IT)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
6. Other SMI/SED-related Metrics		
Please see notes in columns P and U of the monitoring workbook for additional information on metric issues.		

Summary of proposed modification	Related metric (if any)	Justification for modification
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
7. Annual Assessment of the Availability of Mental Health Providers		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
8. SMI/SED Financing Plan		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
9. Budget Neutrality		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
10. SMI/SED-Related Demonstration Operations and Policy		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
11. SMI/SED Demonstration Evaluation Update		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		

Summary of proposed modification	Related metric (if any)	Justification for modification
12. Other Demonstration Reporting		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
13. Notable State Achievements and/or Innovations		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SMI/SED Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		

3. Annual Assessment of the Availability of Mental Health Providers Reporting

The state will use the following time period for reporting of its Annual Assessment of the Availability of Mental Health Providers:

The state will use data as of December 31 to conduct its Annual Assessment of Availability of Mental Health Providers.

4. Acknowledgement of Budget Neutrality Reporting

The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

5. Retrospective reporting

If a state's monitoring protocol is approved after its first quarterly monitoring report submission date, the state should report data to CMS retrospectively for any prior quarters of SMI/SED demonstration implementation. States are expected to submit retrospective metrics data in the state's second monitoring report submission after monitoring protocol approval, or propose an alternative plan for reporting retrospectively on its SMI/SED demonstration.

In the monitoring report submission containing retrospective metrics data, the state should also provide a general assessment of metrics trends from the start of the state's demonstration through the end of the current reporting period. The state should report this information in Part B of its report submission (Table 3: Narrative Information on Implementation, by Milestone and Reporting Topic). This general assessment is not intended to be a comprehensive description of every trend observed in metrics data (for example, unlike other monitoring report submissions, the state is not required to describe all metrics changes (+ or) greater than 2 percent). Rather, the assessment is an opportunity for states to provide context for its retrospective metrics data, to support CMS's review and interpretation. For example, consider a state that submits data showing an increase in the utilization of telehealth services for mental health (metric #15) over the course of the retrospective reporting period. The state may decide to highlight this trend to CMS in Part B of its report (under Milestone 3) by briefly summarizing the trend and providing context that during this period, the state implemented a grant to improve access to mental health treatment in rural areas through the use of telemedicine.

The state will report retrospectively for any quarters prior to monitoring protocol approval as described above, in the state's second monitoring report submission after approval.

The state proposes an alternative plan to report retrospectively for any quarters prior to monitoring protocol approval:

6. Reporting SMI Demonstration Metrics and Narrative Information

The state should review the guidance in Appendix A of the instructions document in order to attest it will follow CMS's guidance on reporting metrics and narrative information, or propose any deviations. The state should complete Table A below to reflect its proposed reporting schedule for the duration of its SMI/SED demonstration approval period.

The state has completed the table below according to the guidance in Appendix A of the instructions document and attests to reporting metrics and narrative information in its quarterly and annual reports as described.

The state has reviewed Appendix A of the instructions document and completed the table below with the following deviations:

Table A. District of Columbia reporting in quarterly and annual monitoring reports

Dates of reporting quarter	SMI/SED DY	Report due (per STCs schedule)	Measurement period associated with SMI/SED information in report, by reporting category
January 1, 2020 – March 31, 2020	DY1 Q1	7/31/2020	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY1 Q1 • Other monthly and quarterly metrics: None • Annual metrics that are established quality measures: None • Other annual metrics: None
April 1, 2020 – June 30, 2020	DY1 Q2	8/29/2020	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY1 Q2 • Grievances and appeals (no critical incidents): SMI/SED DY1 Q2 • Other monthly and quarterly metrics: SMI/SED DY1 Q1 • Annual metrics that are established quality measures: None • Other annual metrics: None
July 1, 2020 – September 30, 2020	DY1 Q3	11/29/2020	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY1 Q3 • Grievances and appeals (no critical incidents): SMI/SED DY1 Q3 • Other monthly and quarterly metrics: SMI/SED DY1 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None
October 1, 2020 – December 31, 2020	DY1 Q4	3/30/2021	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY1 Q4 • Grievances and appeals: SMI/SED DY1 Q4 • Other monthly and quarterly metrics: SMI/SED DY1 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None
January 1, 2021 – March 31, 2021	DY2 Q1	5/30/2021	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY2 Q1 • Grievances and appeals: SMI/SED DY2 Q1 • Other monthly and quarterly metrics: SMI/SED DY1 Q4 • Annual metrics that are established quality measures: None • Other annual metrics: SMI/SED DY1 (calculated for DY1)

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Dates of reporting quarter	SMI/SED DY	Report due (per STCs schedule)	Measurement period associated with SMI/SED information in report, by reporting category
April 1, 2021 – June 30, 2021	DY2 Q2	8/29/2021	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY2 Q2 • Grievances and appeals: SMI/SED DY2 Q2 • Other monthly and quarterly metrics: SMI/SED DY2 Q1 • Annual metrics that are established quality measures: SMI/SED DY1 (calculated for CY 2020) • Other annual metrics: None
July 1, 2021 – September 30, 2021	DY2 Q3	11/29/2021	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY2 Q3 • Grievances and appeals: SMI/SED DY2 Q3 • Other monthly and quarterly metrics: SMI/SED DY2 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None
October 1, 2021 – December 31, 2021	DY2 Q4	3/30/2022	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY2 Q4 • Grievances and appeals: SMI/SED DY2 Q4 • Other monthly and quarterly metrics: SMI/SED DY2 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None
January 1, 2022 – March 31, 2022	DY3 Q1	5/30/2022	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY3 Q1 • Grievances and appeals: SMI/SED DY3 Q1 • Other monthly and quarterly metrics: SMI/SED DY2 Q4 • Annual metrics that are established quality measures: None • Other annual metrics: SMI/SED DY2 (calculated for DY2)
April 1, 2022 – June 30, 2022	DY3 Q2	8/29/2022	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY3 Q2 • Grievances and appeals: SMI/SED DY3 Q2 • Other monthly and quarterly metrics: SMI/SED DY3 Q1 • Annual metrics that are established quality measures: SMI/SED DY2 (calculated for CY 2021) • Other annual metrics: None

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July 1, 2022 – September 30, 2022	DY3 Q3	11/29/2022	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY3 Q3 • Grievances and appeals: SMI/SED DY3 Q3 • Other monthly and quarterly metrics: SMI/SED DY3 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None
October 1, 2022 – December 31, 2022	DY3 Q4	3/30/2023	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY3 Q4 • Grievances and appeals: SMI/SED DY3 Q4 • Other monthly and quarterly metrics: SMI/SED DY3 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None
January 1, 2023 – March 31, 2023	DY4 Q1	5/30/2023	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY4 Q1 • Grievances and appeals: SMI/SED DY4 Q1 • Other monthly and quarterly metrics: SMI/SED DY3 Q4 • Annual metrics that are established quality measures: None • Other annual metrics: SMI/SED DY3 (calculated for DY3)
April 1, 2023 – June 30, 2023	DY4 Q2	8/29/2023	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY4 Q2 • Grievances and appeals: SMI/SED DY4 Q2 • Other monthly and quarterly metrics: SMI/SED DY4 Q1 • Annual metrics that are established quality measures: SMI/SED DY3 (calculated for CY 2022) • Other annual metrics: None
July 1, 2023 – September 30, 2023	DY4 Q3	11/29/2023	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY4 Q3 • Grievances and appeals: SMI/SED DY4 Q3 • Other monthly and quarterly metrics: SMI/SED DY4 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None
October 1, 2023 –	DY4 Q4	3/30/2024	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY4 Q4 • Grievances and appeals: SMI/SED DY4 Q4

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Dates of reporting quarter	SMI/SED DY	Report due (per STCs schedule)	Measurement period associated with SMI/SED information in report, by reporting category
December 31, 2023			<ul style="list-style-type: none"> • Other monthly and quarterly metrics: SMI/SED DY4 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None
January 1, 2024 – March 31, 2024	DY5 Q1	5/30/2024	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY5 Q1 • Grievances and appeals: SMI/SED DY5 Q1 • Other monthly and quarterly metrics: SMI/SED DY4 Q4 • Annual metrics that are established quality measures: None • Other annual metrics: SMI/SED DY4 (calculated for DY4)
April 1, 2024 – June 30, 2024	DY5 Q2	8/29/2024	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY5 Q2 • Grievances and appeals: SMI/SED DY5 Q2 • Other monthly and quarterly metrics: SMI/SED DY5 Q1 • Annual metrics that are established quality measures: SMI/SED DY4 (calculated for CY 2023) • Other annual metrics: None
July 1, 2024 – September 30, 2024	DY5 Q3	11/29/2024	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY5 Q3 • Grievances and appeals: SMI/SED DY5 Q3 • Other monthly and quarterly metrics: SMI/SED DY5 Q2 • Annual metrics that are established quality measures: None • Other annual metrics: None
October 1, 2024 – December 31, 2024	DY5 Q4	3/30/2025	<ul style="list-style-type: none"> • Narrative information: SMI/SED DY5 Q4 • Grievances and appeals: SMI/SED DY5 Q4 • Other monthly and quarterly metrics: SMI/SED DY5 Q3 • Annual metrics that are established quality measures: None • Other annual metrics: None