${\bf 1.\ Title\ Page\ for\ the\ State's\ SMI/SED\ Demonstration\ or\ SMI/SED\ Components\ of\ Broader\ Demonstration}$

State	District of Columbia.
Demonstration name	Behavioral Health Transformation
Approval date for demonstration	11/06/2019
Approval period for SMI/SED	01/01/2020 - 12/31/2024
Approval date for SMI/SED, if different from above	
Implementation date of SMI/SED, if different from above	
SMI/SED (or if broader demonstration, then SMI/SED -related) demonstration goals and objectives	The goal of this demonstration is for the District to maintain and enhance access to mental health services and continue delivery system improvements to provide more coordinated and comprehensive treatment for Medicaid beneficiaries with serious mental illness (SMI) and serious emotional disturbance (SED). This demonstration authorizes the District to receive federal financial participation (FFP) for delivering high-quality, clinically appropriate treatment to beneficiaries diagnosed with SMI and receiving treatment while they are short-term residents in settings that qualify as Institutions for Mental Diseases (IMD). This demonstration also complements the District's efforts to implement models of care that are focused on increasing supports for individuals outside of institutions, in home and community-based settings (HCBS) to improve their access to SMI/SED services at varied levels of intensity.

2. Executive Summary

The District is working toward implementing payments for IMD services for individuals with SMI/SED, along with implementing many of the new community-based behavioral health services in the Demonstration. The District used Rulemakings to establish Medicaid payments for psychosocial rehabilitative services, vocational supported employment, psychologists and other licensed behavioral health providers practicing independently, and trauma-targeted treatment services.

Near the end of Q1, the COVID-19 public health emergency affected waiver implementation. To ensure continued access to behavioral health services, the District issued a rulemaking authorizing home as an eligible originating site for telehealth. For the duration of the public health emergency, DHCF temporarily authorized payment for audio-only telehealth services. Many District IMD providers ceased admissions or decreased patient volume to ensure the safety of their clients near the end of Q1. We believe the COVID-19 public health emergency will continue affecting implementation of the Demonstration going into future quarters.

The District's demonstration has led to improved dialogue and understanding between stakeholders and the District's government. Between the award of the waiver and the end of Q1, the District hosted approximately 21 meetings with stakeholders to explain the Demonstration. The District also led other communications about the Demonstration informally or through email. These communications not only increased stakeholders' awareness of Demonstration services, they led to a greater understanding from all parties about gaps in the District's behavioral health system,

3. Narrative Information on Implementation, by Milestone and Reporting Topic

Prompt	State response	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)
1.2 Ensuring Quality of Care in Psychiatric Hospitals	and Residential Settings (Milestone 1)		
1.2.1 Metric Trends			
☐ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 1.			
☐ The state has no metrics trends to report for this reporting topic.			

Prompt	State response	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)
1.2.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: i) The licensure or accreditation processes for participating hospitals and residential settings ii) The oversight process (including unannounced visits) to ensure participating hospital and residential settings meet state's licensing or certification and accreditation requirements iii) The utilization review process to ensure beneficiaries have access to the appropriate levels and types of care and to provide oversight on lengths of stay iv) The program integrity requirements and compliance assurance process v) The state requirement that psychiatric hospitals and residential settings screen beneficiaries for comorbid physical health conditions, SUDs, and suicidal ideation, and facilitate access to treatment for those conditions vi) Other state requirements/policies to ensure good			
quality of care in inpatient and residential treatment			
settings ☑ The state has no implementation update to report for th	is reporting topic		
☐ The state expects to make the following program changes that may affect metrics related to Milestone 1.	is reporting topic.		
 ☑ The state has no implementation update to report for th 2.2 Improving Care Coordination and Transitions to C 2.2.1 Metric Trends 	1 0 1		

[District of Columbia] [Behavioral Health Tran [DY1] – [01/01/2020 – 12/31/2020] [Q1] – [01/01/2020 – 03/31/2020] Submitted on [07/29/2020]	ssformation]	
\Box The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 2.		

Medicaid Section 1115 SMI/SED Demonstration Monitoring Report – Part B

Prompt	State response	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)
☐ The state has no metrics trends to report for this report.		111111111111	(II ully)
2.2.2 Implementation Update	is topic.		
Compared to the demonstration design and operational			
details, the state expects to make the following changes			
to:			
\Box i) Actions to ensure that psychiatric hospitals and			
residential treatment settings carry out intensive pre-			
discharge planning, and include community-based			
providers in care transitions			
☐ ii) Actions to ensure psychiatric hospitals and			
residential settings assess beneficiaries' housing			
situations and coordinate with housing services providers			
☐ iii) State requirement to ensure psychiatric			
hospitals and residential settings contact beneficiaries			
and community-based providers within 72 hours post			
discharge			
☐ iv) Strategies to prevent or decrease the lengths of			
stay in EDs among beneficiaries with SMI or SED			
(e.g., through the use of peers and psychiatric			
consultants in EDs to help with discharge and referral			
to treatment providers)			
□ v) Other State requirements/policies to improve			
care coordination and connections to community-			
based care			
☐ The state has no implementation update to report for the	is reporting topic.		
\Box The state expects to make the following program			
changes that may affect metrics related to Milestone 2.			
☐ The state has no implementation update to report for the	is reporting topic.		

		Measurement period first reported (MM/DD/YYYY-	Related metric
Prompt	State response	MM/DD/YYYY)	(if any)
3.2 Access to Continuum of Care, Including Crisis Sta	bilization (Milestone 3)		
3.2.1 Metric Trends			
☐ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 3.			
☐ The state has no metrics trends to report for this report	ing topic.		
3.2.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: □ i) State requirement that providers use an evidenced-based, publicly available patient assessment tool to determine appropriate level of care and length of stay □ ii) Other state requirements/policies to improve access to a full continuum of care including crisis stabilization			
☐ The state has no implementation update to report for the	nis reporting topic.		
☑ The state expects to make the following program changes that may affect metrics related to Milestone 3.	mental health services may decrease. At the same time, utilization of telehealth services related to mental health may increase. DHCF also issued updated regulations regarding Medicaid-reimbursable telehealth services allowing home as an eligible originating site which may also increase utilization of telehealth services related to mental health. Finally, for the duration of the public health emergency, DHCF temporarily authorized payment for audio-only telehealth services which may also increase utilization of telehealth services related to mental health.	01/01/2020 - 03/31/2020	#13, #14, #15, #16, #17, #18
☐ The state has no implementation update to report for the			
4.2 Earlier Identification and Engagement in Treatment, Including Through Increased Integration (Milestone 4)			
4.2.1 Metric Trends			
☐ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 4.			

☑ The state has no metrics trends to report for this reporting topic.

n .	Ct. 4	Measurement period first reported (MM/DD/YYYY-	Related metric
Prompt	State response	MM/DD/YYYY)	(if any)
details, the state expects to make the following changes to: ⊠ i) Strategies for identifying and engaging beneficiaries in treatment sooner (e.g., with supported education and employment) □ ii) Plan for increasing integration of behavioral health care in non-specialty settings to improve early identification of SED/SMI and linkages to treatment ⊠ iii) Establishment of specialized settings and services, including crisis stabilization services, focused on the needs of young people experiencing SMI or SED □ iv) Other state strategies to increase earlier identification/engagement, integration, and specialized programs for young people	establishing Medicaid reimbursement for vocational supported employment services for individuals with SMI. iii) DHCF and DBH issued emergency and proposed rulemakings establishing Medicaid reimbursement for two trauma-targeted services: -Trauma Systems Therapy (TST), a comprehensive, phase-based treatment program for children and adolescents who have experienced traumatic events or who live in environments with ongoing stress or traumatic reminders. - Trauma Recovery and Empowerment Model (TREM), a structured group therapy intervention for individuals (including adolescents) who have survived trauma and have substance use and/or mental health conditions.	03/31/2020 iii) 01/01/2020 – 03/31/2020	i) N/A iii) N/A
☐ The state has no implementation update to report for the	is reporting topic.		
☐ The state expects to make the following program changes that may affect metrics related to Milestone 4.			
☑ The state has no implementation update to report for the	is reporting topic.		
5.2 SMI/SED Health Information Technology (Health			
5.2.1 Metric Trends			
☐ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to its health IT metrics.			
☐ The state has no metrics trends to report for this report	ng topic.		

Duomnt	State weemenge	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)
Prompt 5.2.2 Implementation Update	State response		(II any)
Compared to the demonstration design and operational details, the state expects to make the following changes to: □ i) The three statements of assurance made in the state's health IT plan □ ii) Closed loop referrals and e-referrals from physician/mental health provider to physician/mental health provider to community based supports □ iii) Electronic care plans and medical records □ iv) Individual consent being electronically captured and made accessible to patients and all members of the care team □ v) Intake, assessment and screening tools being part of a structured data capture process so that this information is interoperable with the rest of the health IT ecosystem □ vi) Telehealth technologies supporting collaborative care by facilitating broader availability of integrated mental health care and primary care □ vii) Alerting/analytics □ viii) Identity management		vi) 01/01/2020 – 03/31/2020	vi) #17
\Box The state has no implementation update to report for the	nis reporting topic.		
☐ The state expects to make the following program changes that may affect metrics related to health IT.			
☐ The state has no implementation update to report for the	nis reporting topic.		
6.2 Other SMI/SED-Related Metrics			
6.2.1 Metric Trends			
☐ The state reports the following metric trends, including all changes (+ or -) greater than two 2 percent related to other SMI/SED-related metrics.			

Prompt	State response	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)
· ·	·		(II ally)
☑ The state has no implementation update to report for thi6.2.2 Implementation Update	is reporting topic.		
☐ The state expects to make the following program changes that may affect other SMI/SED-related metrics.			
☑ The state has no implementation update to report for thi	is reporting topic.		
7.1 Annual Assessment of the Availability of Mental He	ealth Providers		
7.1.1 Description Of Changes To Baseline Conditions A	and Practices		
☐ Describe and explain any changes in the mental health service needs (for example, prevalence and distribution of SMI/SED) of Medicaid beneficiaries with SMI/SED compared to those described in the Initial Assessment of Availability of Mental Health Services. Recommended word count is 500 words or less.			
☐ This is not an annual report, therefore the state has no u	pdate to report for this reporting topic.		
☐ Describe and explain any changes to the organization of the state's Medicaid behavioral health service delivery system compared to those described in the Initial Assessment of Availability of Mental Health Services. Recommended word count is 500 words or less.			
☑ This is not an annual report, therefore the state has no u	pdate to report for this reporting topic.		
☐ Describe and explain any changes in the availability of mental health services for Medicaid beneficiaries with SMI/SED in the state compared to those described in the Initial Assessment of Availability of Mental Health Services. At minimum, explain any changes across the state in the availability of the following services: inpatient mental health services; outpatient and community-based services; crisis behavioral health services; and care coordination and care transition planning. Recommended word count is 500 words or less.			

Prompt	State response	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)
☐ This is not an annual report, therefore the state has no u	pdate to report for this reporting topic.		
☐ Describe and explain any changes in gaps the state identified in the availability of mental health services or service capacity while completing the Availability Assessment compared to those described in the Initial Assessment of Availability of Mental Health Services. Recommended word count is 500 words or less.			
☐ This is not an annual report, therefore the state has no u	pdate to report for this reporting topic.		
7.1.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: □ i) The state's strategy to conduct annual assessments of the availability of mental health providers across the state and updates on steps taken to increase availability □ ii) Strategies to improve state tracking of availability of inpatient and crisis stabilization beds			
☐ The state has no implementation update to report for the	is reporting topic.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)
8.1 SMI/SED Financing Plan			
8.1.1 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: □ i) Increase availability of non-hospital, non-residential crisis stabilization services, including services made available through crisis call centers, mobile crisis units, and observation/assessment centers, with a coordinated community crisis response that involves law enforcement and other first responders □ ii) Increase availability of on-going community-based services, e.g., outpatient, community mental health centers, partial hospitalization/day treatment, assertive community treatment, and services in integrated care settings such as the Certified Community Behavioral Health Clinic model		ii) 01/01/2020 — 03/31/2020	ii) #15
$\hfill\Box$ The state has no implementation update to report for the	nis reporting topic.		
9.2 Budget Neutrality			
9.2.1 Current Status and Analysis			
☑ If the SMI/SED component is part of a broader demonstration, the state should provide an analysis of the SMI/SED-related budget neutrality and an analysis of budget neutrality as a whole. Describe the current status of budget neutrality and an analysis of the budget neutrality to date.	The SMI component of the District's budget neutrality demonstration is broken into two Medicaid Eligibility Groups (MCO and FFS populations). Both MEGs are lower than annual PMPM limits. FFS PMPM is 64% higher than MCO PMPM.		
9.2.2 Implementation Update			
☐ The state expects to make the following program changes that may affect budget neutrality.			
oxtimes The state has no implementation update to report for the	nis reporting topic.		

		Measurement period first reported (MM/DD/YYYY-	Related metric
Prompt	State response	MM/DD/YYYY)	(if any)
10.1 SMI/SED-Related Demonstration Operations and 10.1.1 Considerations	Policy		
☐ States should highlight significant SMI/SED (or if broader demonstration, then SMI/SED-related)			
demonstration operations or policy considerations that			
could positively or negatively impact beneficiary			
enrollment, access to services, timely provision of			
services, budget neutrality, or any other provision that			
has potential for beneficiary impacts. Also note any activity that may accelerate or create delays or			
impediments in achieving the SMI/SED demonstration's			
approved goals or objectives, if not already reported			
elsewhere in this document. See report template			
instructions for more detail.			
☐ The state has no related considerations to report for this	s topic.		
10.1.2 Implementation Update			
\Box The state experienced challenges in partnering with			
entities contracted to help implement the demonstration			
(e.g., health plans, credentialing vendors, private sector			
providers) and/or noted any performance issues with contracted entities.			
☑ The state has no implementation update to report for th	is reporting topic.		
☐ The state is working on other initiatives related to			
SMI/SED.			
☐ The state has no implementation update to report for the	is reporting topic.		
\Box The initiatives described above are related to the			
SMI/SED demonstration as described (States should			
note similarities and differences from the SMI/SED demonstration).			
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$oxed{\boxtimes}$ The state has no implementation update to report for the	is reporting topic.		

 $\label{eq:medicaid-Section 1115 SMI/SED Demonstration Monitoring Report - Part B \\ [District of Columbia] [Behavioral Health Transformation] \\ [DY1] - [01/01/2020 - 12/31/2020] \\ [Q1] - [01/01/2020 - 03/31/2020] \\$

Prompt	State response	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)
Compared to the demonstration design and operational details, the state expects to make the following changes to: i) How the delivery system operates under the demonstration (e.g. through the managed care system or fee for service) ii) Delivery models affecting demonstration participants (e.g. Accountable Care Organizations, Patient Centered Medical Homes) iii) Partners involved in service delivery iv) The state Medicaid agency's Memorandum of Understanding (MOU) or other agreement with its mental health services agency			
 ☑ The state has no implementation update to report for the 11 SMI/SED Demonstration Evaluation Update 11.1 Narrative Information ☑ Provide updates on SMI/SED evaluation work and timeline. The appropriate content will depend on when this report is due to CMS and the timing for the demonstration. See report template instructions for more details. 		01/01/2020 - 03/31/2020	N/A
☐ The state has no SMI/SED demonstration evaluation u ☐ Provide status updates on deliverables related to the demonstration evaluation and indicate whether the expected timelines are being met and/or if there are any real or anticipated barriers in achieving the goals and timeframes agreed to in the STCs.		01/01/2020 - 03/31/2020	N/A
☐ The state has no SMI/SED demonstration evaluation u ☐ List anticipated evaluation-related deliverables related to this demonstration and their due dates. ☐ The state has no SMI/SED demonstration evaluation u	The evaluation design was originally due May 4, 2020. The COVID-19 public health emergency caused contracting delays and CMS has granted an extension to September 4, 2020 to submit the evaluation design	01/01/2020 - 03/31/2020	N/A

Duomnt	State weemowee	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)
Prompt 12.1 Other Demonstration Reporting	State response		(II ally)
12.1.1 General Reporting Requirements			
☐ The state reports changes in its implementation of the demonstration that might necessitate a change to approved STCs, implementation plan, or monitoring protocol.	Due to the COVID-19 public health emergency and limitations on the size of public gatherings, the District requested an extension to conduct the post award forum to 60 days after the end of the declared public health emergency.	01/01/2020 – 03/31/2020	N/A
	Due to the COVID-19 public health emergency, behavioral health providers have seen a drastic decline in the number of services provided. The District requested a waiver of the maintenance of effort requirement due to the decreased volume of behavioral health services provided.		
\Box The state has no updates on general requirements to re	port for this topic.		
☑ The state anticipates the need to make future changes to the STCs, implementation plan, or monitoring protocol, based on expected or upcoming implementation changes.	Due to the COVID-19 public health emergency and the dedication of staff resources elsewhere, the District requests a six-month extension to submit the SPAs for non-IMD services.	01/01/2020 – 03/31/2020	N/A
\Box The state has no updates on general requirements to re	port for this topic.		
☑ The state identified real or anticipated issues submitting timely post-approval demonstration deliverables, including a plan for remediation.	Due to the COVID-19 public health emergency, the District requested due date extensions for the evaluation design and monitoring protocol. CMS granted extensions and the new due dates for the deliverables are as follows: • Monitoring protocol: 07/17/2020 • Evaluation design: 09/04/2020	01/01/2020 - 03/31/2020	N/A
\Box The state has no updates on general requirements to re	port for this topic.		
Compared to the demonstration design and operational details, the state expects to make the following changes to:	i) Due to the COVID-19 public health emergency, the District requested a due date extension for the Q1 qualitative reporting. On 04/24/2020 CMS granted an extension to 07/30/2020.	i) 01/01/2020 – 03/31/2020	i) N/A
\Box The state has no updates on general requirements to re	port for this topic.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY- MM/DD/YYYY)	Related metric (if any)			
12.1.2 Post-Award Public Forum						
☐ If applicable within the timing of the demonstration, provide a summary of the annual post-award public forum held pursuant to 42 CFR § 431.420(c) indicating any resulting action items or issues. A summary of the post-award public forum must be included here for the period during which the forum was held and in the annual report.						
⊠ No post-award public forum was held during this reporting period, and this is not an annual report, so the state has no post-award public forum update to report for this topic.						
13.1 Notable State Achievements and/or Innovations						
13.1 Narrative Information						
☐ Provide any relevant summary of achievements and/or innovations in demonstration enrollment, benefits, operations, and policies pursuant to the hypotheses of the SMI/SED (or if broader demonstration, then SMI/SED related) demonstration or that served to provide better care for individuals, better health for populations, and/or reduce per capita cost. Achievements should focus on significant impacts to beneficiary outcomes. Whenever possible, the summary should describe the achievement or innovation in quantifiable terms, e.g., number of impacted beneficiaries.						

Note: Licensee and states must prominently display the following notice on any display of Measure rates:

The MPT, FUH-CH, FUH-AD, FUA-AD, FUM-AD, AAP, APM, and APC measures (metrics #13, 14, 15, 16, 17, 18, 7, 8, 9, 10, 26, 29, 31) are Healthcare Effectiveness Data and Information Set ("HEDIS®") measures that are owned and copyrighted by the National Committee for Quality Assurance ("NCQA"). NCQA makes no representations, warranties, or endorsement about the quality of any organization or physician that uses or reports performance measures and NCQA has no liability to anyone who relies on such measures or specifications.

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