
Medicaid & CHIP: August 2014 Monthly Applications, Eligibility Determinations and Enrollment Report

October 17, 2014

Background

This monthly report on state Medicaid and Children's Health Insurance Program (CHIP) data represents state Medicaid and CHIP agencies' eligibility activity for the calendar month of August 2014. The data included in this report was submitted to CMS from state Medicaid and CHIP agencies as part of the Medicaid and CHIP Performance Indicator process.

Through the Medicaid and CHIP Performance Indicator process, states report on a common set of indicators designed to provide information to support program management and policy-making. State Medicaid and CHIP programs submit data to CMS on a range of indicators related to application, eligibility and enrollment processes. It is important to note that states are still transitioning to the standardized data definitions described in Appendix A, which limits the conclusions that can be drawn from the data.

As with previous reports, this month's report focuses on those indicators that relate to the application and enrollment process:

- The number of applications submitted directly to Medicaid and CHIP agencies, including those received through State-Based Marketplaces (SBMs);
- The number of eligibility determinations made by Medicaid and CHIP agencies on the applications described above, as well as applications to the Federally-Facilitated Marketplace (FFM) in states for which the FFM makes an eligibility assessment (and the state then completes the Medicaid or CHIP determination); and
- The total number of individuals enrolled in the Medicaid and CHIP programs who are receiving comprehensive benefits, including details on the total number of children enrolled in these programs.

As with each monthly report, given that states are reporting monthly data to CMS soon after the close of the month, the August 2014 data presented in this report should be considered preliminary. We have also published updated data for July 2014 applications, eligibility determinations and enrollment [on Medicaid.gov](http://www.Medicaid.gov), which includes a more complete data set than the preliminary July data reported last month.

Medicaid and CHIP are longstanding programs that serve many populations in addition to those that are newly eligible for Medicaid under the new low-income adult group established by the Affordable Care Act. Therefore, this report, which measures eligibility and enrollment activity for the entire Medicaid

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and CHIP program, necessarily captures data beyond the newly eligible individuals in states that have expanded Medicaid coverage. In addition, this report includes data from all states, not just those that have adopted the new low-income adult group.¹ Changes in eligibility and enrollment processes ushered in by the Affordable Care Act, which are discussed below, are in effect in all states and are likely to promote coverage among previously eligible but uninsured adults and children. The data elements are explained more fully in Appendix A.

Medicaid and CHIP August 2014 Enrollment Data Highlights

| | |
|---|-------------------------|
| Total Individuals Enrolled in Medicaid and CHIP in August 2014 in All States Reporting August Data (includes all individuals enrolled in the program on the last day of the reporting period).² | 67,931,644 ³ |
|---|-------------------------|

- The 51 states (including the District of Columbia) that provided enrollment data for August 2014 reported that over 67.9 million individuals were enrolled in Medicaid and CHIP.⁴ This enrollment count is point-in-time (on the last day of the month) and includes all enrollees in the Medicaid and CHIP programs who are receiving a comprehensive benefit package.
- 735,279 additional people were enrolled in August 2014 as compared to July 2014 in the 51 states that reported both August and July data.⁵

¹ As of August 2014, twenty-six states and the District of Columbia had implemented the expansion of Medicaid under the Affordable Care Act to cover adults under age 65 with incomes up to 133 percent of the Federal Poverty Level in 2014. New Hampshire's expansion went into effect on July 1, 2014, with coverage becoming effective August 15, 2014. Pennsylvania will implement the Medicaid expansion on January 1, 2015. There is no deadline for when a state must decide whether to expand Medicaid and states are continuing to consider their options. The number of people impacted by the Medicaid expansion varies; some of these states had previously expanded coverage to parents and other adults at income levels above the level required under federal law before the Affordable Care Act. Many other states previously covered parents only at the minimum required income levels and often did not cover other adults without disabilities who are under age 65 at all.

² This enrollment data may differ from other published state and national enrollment figures because it only includes individuals with comprehensive benefits. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid section 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods. See Appendix A for more information on this methodology. See the notes in Table 1 for state-specific caveats regarding the reported data. It is important to note that the enrollment measure is a total count of enrollment in Medicaid and CHIP, not solely a count of those newly enrolled during the reporting period.

³ This number is not directly comparable to prior months' numbers because the states' reporting differs. For example, Virginia adjusted its reported enrollment figures this month to remove individuals eligible for limited benefits.

⁴ See footnote 2.

⁵ See the notes in Table 1 for state-specific caveats regarding the reported data.

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- Looking at the additional enrollment since October 2013 when the initial Marketplace open enrollment period began, among the 49 states reporting both August 2014 enrollment data and data from July-September of 2013, approximately 8.7 million additional individuals are enrolled in Medicaid and CHIP, almost a 15 percent increase over the average monthly enrollment for July through September of 2013.⁶ (Connecticut and Maine are not included in this count.)⁷
- Among states that had implemented the Medicaid expansion and were covering newly eligible adults in August 2014, Medicaid and CHIP enrollment rose by more than 22 percent compared to the July-September 2013 baseline period, while states that have not, to date, expanded Medicaid reported an increase of approximately 5 percent over the same period.⁸
- Ten of the 26 states that had implemented the Medicaid expansion and were covering newly eligible adults in August 2014, and that reported relevant data for both August and the July-September 2013 baseline period, experienced an enrollment increase of 30 percent or more.⁹

⁶ The 49 states reporting both August 2014 enrollment data and data from July-September 2013 (the baseline period before the initial Marketplace open enrollment period) report total enrollment in August of approximately 66.8 million individuals, and July-September 2013 average enrollment of 58.2 million. For August 2014, we are reporting growth of 8,651,301 compared to July-September 2013, which is about 716,000 higher than the 7,935,257 growth in enrollment between July-September 2013 and July 2014 that was included in the [Medicaid and CHIP: July 2014 Applications, Eligibility Determinations, and Enrollment Report](#). This difference does not match the 735,279 figure reported above for July to August 2014 growth because a few states made updates this month to better align their reporting with our data specifications. This change means that the summary statistics in the July 2014 report are not perfectly comparable to the figures in this report. See the notes in table 1 for state-specific caveats regarding the reported data. Because the July-September 2013 data may contain individuals with retroactive Medicaid coverage, and the July and August 2014 data included in this report is preliminary, the difference reported here between August and July-September 2013 period is likely understated. The data is preliminary because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in August 2014 after the close of the month due to retroactive eligibility under Medicaid or similar reasons. In Medicaid, individuals are potentially eligible for retroactive coverage for incurred health care costs dating back to 90 days prior to the date of application.

⁷ Connecticut and Maine did not submit enrollment data for the July-September 2013 baseline period.

⁸ Percentage calculations are based only on states reporting in both August 2014 and the July through September 2013 baseline period. Pennsylvania is not included in these percentages because the expansion in that state was not yet implemented as of July 2014. These percentages are not directly comparable to the figures reported in previous months because a different set of states is reporting this month. See footnote 7 for additional information.

⁹ Medicaid expansion states that reported data in both periods that showed a greater than 30 percent increase in enrollment are: Arkansas, Colorado, Kentucky, Maryland, Nevada, Oregon, Rhode Island, Washington, Vermont, and West Virginia (8 of these 10 states also run State-based Marketplaces). Among expansion states, the percent change in the number of individuals enrolled varies based on the size of the coverage expansion that is occurring in 2014. States that previously offered comprehensive coverage to many adults with incomes under 133 percent of the FPL will likely see a smaller increase than those who previously offered only coverage for very low-income parents. Additionally, in most states that provided Medicaid coverage to adults with incomes above 133 percent of the FPL prior to 2014, those individuals are no longer eligible for or enrolled in Medicaid and instead may be eligible to purchase qualified health plan coverage through the Marketplace, and may be eligible for advanced payments of premium tax credits and cost-sharing reductions; this change will reduce their overall Medicaid enrollment (however, please note that this enrollment change may not be reflected in this enrollment data, as it excludes the limited benefit programs that some states used to serve individuals with incomes above 133 percent

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- These enrollment counts are in addition to the enrollment increases from the nearly 950,000 individuals who gained coverage as a result of the Affordable Care Act before open enrollment began.¹⁰ Seven states implemented an “early option” to expand Medicaid coverage to adults with incomes up to 133 percent of the FPL between April 1, 2010 and January 1, 2014, using new state plan authority provided by the Affordable Care Act or a demonstration under section 1115 of the Social Security Act building upon that authority.¹¹

It is important to note that, as with previous reports, multiple factors contribute to the change in enrollment between August 2014 and the July-September 2013 baseline period, including but not limited to changes attributable to the Affordable Care Act. The enrollment numbers included in this report understate total Medicaid and CHIP enrollment because not all states are reporting and the data is preliminary. The preliminary data, which is submitted approximately a week after the close of the reporting period, generally does not include Medicaid and CHIP eligible individuals who applied in August and whose application will be fully processed after August 31st; these individuals will be enrolled effective back to at least the date of application or the first of the month and we note that those enrollments are not reflected in this preliminary data. Similarly, the preliminary data does not likely include individuals who had medical expenses in August, applied for Medicaid in a later month, and qualify for retroactive Medicaid coverage in August.¹² Updated enrollment data for past months, which is updated one month after the close of the reporting period, is available on the Eligibility Data tab [on the Medicaid Moving Forward page](#) on Medicaid.gov.¹³

of the FPL). Pennsylvania is not included in the count of expansion states because its Medicaid expansion was not yet implemented as of August 2014.

¹⁰ Enrollment data for California, Colorado, the District of Columbia, Minnesota, and New Jersey was reported to CMS by the state and is from the end of 2013. Data for Connecticut and Washington is from 2012 (Source: Sommers, B. D., Arntson, E., Kenney, G. M., et al., “Lesson from Early Medicaid Expansions under Health Reform: Interviews with Medicaid Officials”, *Medicare & Medicaid Research Review*, 2013: Volume 3, number 4 (http://www.cms.gov/mmrr/Downloads/MMRR2013_003_04_a02.pdf).

¹¹ Connecticut, the District of Columbia, Minnesota utilized state plan authority, while California, Colorado, New Jersey, and Washington provided the coverage through Medicaid demonstration authority under section 1115 of the Social Security Act. For more information about this “early option,” please see [Medicaid and CHIP: March 2014 Monthly Applications, Eligibility Determinations, and Enrollment Report \(May 1, 2014\)](#).

¹² See footnote 6 for additional information on retroactive eligibility.

¹³ The updated data available on Medicaid.gov is not directly comparable to the preliminary data included in this report because it contains retroactive enrollment and individuals who applied during the reporting period but were determined eligible after the close of the reporting period.

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Child Enrollment

| | |
|--|------------|
| Total Medicaid and CHIP child enrollment in the 43 states reporting in August 2014¹⁴ | 27,825,231 |
|--|------------|

Similar to last month, we are also reporting separately on the total number of children enrolled in Medicaid and CHIP for those states that are reporting the relevant data for each month in 2014.¹⁵ This data appears in Table 2.

- In the 43 states that reported relevant data for the month of August, almost 28 million individuals are enrolled in CHIP or were children enrolled in the Medicaid program in those states.
- Based on the August 2014 data, children enrolled in the Medicaid program and CHIP make up nearly 55 percent of total Medicaid and CHIP program enrollment.

It is important to note that all states had already expanded coverage for children in Medicaid and/or CHIP as part of the implementation of the CHIP program in 1997.¹⁶

¹⁴ This figure includes children enrolled in the Medicaid program and the total enrollment for the CHIP program, which is comprised mostly of children. However, several states also cover pregnant women through CHIP. As a result, some adults may be included in this figure. This figure was calculated for any state that reported data on Medicaid child enrollment through the performance indicator process. Forty-three states reported such data for August 2014, as can be seen in Table 2: *Medicaid and CHIP: August 2014 Preliminary Monthly Medicaid CHIP Child Enrollment*. States use the definition of "child" as included in the state's Medicaid or CHIP state plan in reporting performance indicator data to CMS. This definition varies from state to state. See the notes on Table 2 for state-specific caveats regarding the reported data. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods.

¹⁵ Children are included in the total number of individuals enrolled in Medicaid and CHIP in August 2014, as reported on page 2 and in Table 1. Total enrollment data for January 2014 through July 2014 is available on Medicaid.gov.

¹⁶ Four million more children were covered by Medicaid or CHIP in 2012, compared with 2008. Kenneth Finegold and Sophia Koontz, *ASPE Issue Brief: Children's Health Coverage on the 5th Anniversary of CHIPRA*, February 4, 2014, http://aspe.hhs.gov/health/reports/2014/CHIPRA_5thAnniversary/ib_CHIPRA5thanniversary.pdf

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Medicaid and CHIP August 2014 Application and Eligibility Data Highlights

| | August 2014 Monthly in All States Reporting |
|--|---|
| Applications | |
| Total Applications for Financial Assistance Initially Received by State Medicaid and CHIP Agencies (note that more than one individual may be included on an application and some states have included renewals and account transfers from the FFM) | 2,134,115 ¹⁷ |
| Total Applications for Financial Assistance Initially Received by State Based Marketplaces (note that more than one individual may be included on an application) | 589,447 ¹⁸ |
| Eligibility Determinations | |
| Total Individuals Determined Eligible for Medicaid and CHIP by State Agencies (includes those newly eligible under the Affordable Care Act and those eligible under prior law and, for some states, renewals) | 2,391,990 ¹⁹ |

During the month of August 2014, more than 2.7 million applications for coverage and financial assistance (including Medicaid and CHIP coverage, tax credits and subsidies) were submitted at the state level (including over 2.1 million received directly by state Medicaid and CHIP agencies and nearly 600,000 received by SBMs).²⁰ Table 3 includes a comparison of the total applications for financial assistance to state agencies (including applications for Medicaid, CHIP, and financial assistance in the Marketplace in SBM states) in August 2014 as compared to the prior month (July 2014). Note that these application numbers do not include applications received at the FFM and subsequently transferred to state Medicaid or CHIP agencies (see State-by-State Table notes for exceptions).²¹

¹⁷ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability some states included some renewals, applications to SBMs, or transfers from the FFM in the data. North Dakota did not provide August 2014 Medicaid and CHIP agency application data. Tennessee only provided application data on their CHIP program.

¹⁸ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability some states reported renewals in the data and included applications received by their SBMs in their Medicaid and CHIP agency application data. New York is reporting all applications through their SBM.

¹⁹ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability some states reported some renewals in the data. Massachusetts and North Dakota did not provide August 2014 determination data. Tennessee only provided determination data on their CHIP program.

²⁰ The following states have included renewals in their August 2014 application data: Alaska, Nevada, New Mexico, Ohio, Oregon, Pennsylvania, Rhode Island, and Virginia. Florida and South Dakota included transfers from the FFM in their August 2014 application data. Subtotals do not sum to total due to rounding.

²¹ See footnote 18.

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States reported making more than 2.3 million eligibility determinations for Medicaid and CHIP in August 2014 for individuals applying for coverage: almost 1.5 million determinations in states with Medicaid expansions in effect and approximately 840,000 in other states. As described above and in prior reports, this figure includes all of state Medicaid and CHIP agencies' determinations for individuals eligible under prior law, as well as through coverage expansions under the Affordable Care Act; note that, due to limitations on the data some states are able to report, the number of determinations includes renewals in those states.²² Additionally, the determination number does not represent unique individuals, as an individual may have more than one eligibility determination. It is important to keep in mind that differences in volume of administrative transfers and other types of one-time enrollment activities can affect month-to-month comparisons of eligibility data.²³

See the [Eligibility Data](#) tab on Medicaid.gov for preliminary and updated data on applications and determinations for October 2013 through August 2014.

²² The states that have included renewals in their August 2014 determination data are: District of Columbia, Iowa, Maryland, Michigan, Nevada, New Mexico, Ohio, Pennsylvania, Rhode Island, South Dakota, Texas, Vermont and Virginia. Some of these renewals are conducted without the individual submitting a new application, using information already in the state's system that the individual is asked to verify.

²³ A number of states are employing a new tool for facilitating Medicaid enrollment to conduct an "administrative transfer" to Medicaid using income information from the Supplemental Nutritional Assistance Program (SNAP) or the CHIP program (for the parents of CHIP enrollees). The following states have implemented administrative transfers since this authority was made available: Arkansas, California, Illinois, New Jersey, Oregon, and West Virginia. New Jersey, Oregon, and California conducted transfers in August 2014. As of the end of August, 668,886 individuals have been determined eligible for Medicaid or CHIP as a result of this transitional strategy in the six states that have implemented it. Michigan recently received approval to conduct administrative transfers but it has not yet implemented this targeted enrollment strategy.

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Data Limitations

CMS established a common set of definitions for consistency in reporting the Medicaid and CHIP performance indicators; these definitions appear in Appendix A. States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in notes in the State-by-State tables.

State-by-State Tables

Below are 3 tables with state-specific data. The first table contains data on the total number of people enrolled in state Medicaid and CHIP programs in August 2014. The second table contains data on children enrolled in Medicaid and CHIP. The third table contains data on Medicaid and CHIP applications and eligibility determinations for the month of August 2014. The description of each data element included in the table is available in Appendix A. Unless otherwise noted, the reporting period for all elements is August 1 - 31, 2014.

Future Reports

In future months, we will continue to expand the number of performance indicators that will be included in this report.

Table 1: Medicaid and CHIP: July and August 2014 Preliminary Monthly Enrollment

| States Expanding Medicaid | Marketplace Type | Enrollment | | | | | |
|--|------------------|---|--|--|--|--|---|
| | | Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (I) | Total Medicaid and CHIP Enrollment, August 2014 (Preliminary) (II) | % Change July to August (Columns (I) and (II)) (III) | Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July-Sept 2013) (IV) | Net Change Pre-Open Enrollment to August (Columns (IV) and (II)) (V) | % Change Pre-Open Enrollment to August (Columns (IV) and (II)) (VI) |
| Arizona | FFM | 1,463,723 | 1,489,607 | 1.77% | 1,201,770 | 287,837 | 23.95% |
| Arkansas | Partnership | 784,335 | 803,693 | 2.47% | 556,851 | 246,842 | 44.33% |
| California | SBM | 10,900,000 | 11,200,000 | 2.75% | 9,157,000 | 2,043,000 | 22.31% |
| Colorado | SBM | 1,097,856 | 1,119,461 | 1.97% | 783,420 | 336,041 | 42.89% |
| Connecticut | SBM | 749,159 | 757,528 | 1.12% | - | - | - |
| Delaware | Partnership | 233,706 | 231,812 | -0.81% | 223,324 | 8,488 | 3.80% |
| District of Columbia | SBM | 250,446 | 252,914 | 0.99% | 235,786 | 17,128 | 7.26% |
| Hawaii | SBM | 302,238 | 296,344 | -1.95% | 288,357 | 7,987 | 2.77% |
| Illinois | Partnership | 2,954,902 | 3,000,882 | 1.56% | 2,626,943 | 373,939 | 14.23% |
| Iowa | Partnership | 556,147 | 563,292 | 1.28% | 493,515 | 69,777 | 14.14% |
| Kentucky | SBM | 1,048,285 | 1,046,381 | -0.18% | 606,805 | 439,576 | 72.44% |
| Maryland | SBM | 1,144,722 | 1,130,961 | -1.20% | 856,297 | 274,664 | 32.08% |
| Massachusetts | SBM | 1,468,545 | 1,479,002 | 0.71% | 1,296,359 | 182,643 | 14.09% |
| Michigan | Partnership | 2,197,820 | 2,163,414 | -1.57% | 1,912,009 | 251,405 | 13.15% |
| Minnesota | SBM | 1,052,464 | 1,066,787 | 1.36% | 873,040 | 193,747 | 22.19% |
| Nevada | SBM | 528,048 | 543,307 | 2.89% | 332,560 | 210,747 | 63.37% |
| New Hampshire^ | Partnership | 137,934 | 148,889 | 7.94% | 127,082 | 21,807 | 17.16% |
| New Jersey | FFM | 1,562,483 | 1,606,314 | 2.81% | 1,283,851 | 322,463 | 25.12% |
| New Mexico | Supported SBM | 705,128 | 731,027 | 3.67% | 572,111 | 158,916 | 27.78% |
| New York | SBM | 6,107,088 | 6,181,714 | 1.22% | 5,678,417 | 503,297 | 8.86% |
| North Dakota | FFM | 79,076 | 79,960 | 1.12% | 69,980 | 9,980 | 14.26% |
| Ohio | Plan Management | 2,708,484 | 2,743,475 | 1.29% | 2,341,481 | 401,994 | 17.17% |
| Oregon | SBM | 983,025 | 1,000,220 | 1.75% | 626,356 | 373,864 | 59.69% |
| Pennsylvania* | FFM | 2,417,392 | 2,415,249 | -0.09% | 2,386,046 | 29,203 | 1.22% |
| Rhode Island | SBM | 257,884 | 260,615 | 1.06% | 190,833 | 69,782 | 36.57% |
| Vermont | SBM | 208,699 | 206,957 | -0.83% | 127,162 | 79,795 | 62.75% |
| Washington | SBM | 1,537,764 | 1,553,859 | 1.05% | 1,117,576 | 436,283 | 39.04% |
| West Virginia | Partnership | 519,672 | 527,758 | 1.56% | 354,544 | 173,214 | 48.86% |
| Subtotal for All States Expanding Medicaid | | 43,957,025 | 44,601,422 | 1.47% | 36,319,475 | 7,524,419 | 20.72% |
| Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month* | | 41,539,633 | 42,186,173 | 1.56% | 33,933,429 | 7,495,216 | 22.09% |
| Subtotal for States Expanding Medicaid that Reported in July and August | | 43,957,025 | 44,601,422 | Difference July to August 2014 644,397 | | | |
| Subtotal for States Expanding Medicaid that Reported in August and Pre-Open Enrollment Period | | | 43,843,894 | | 36,319,475 | Difference July-Sept 2013 to August 2014 7,524,419 | |

Table 1: Medicaid and CHIP: July and August 2014 Preliminary Monthly Enrollment

^=The New Hampshire Health Protection Program (NH's expansion) began accepting applications on July 1, 2014, and individuals in NH began to receive benefits under the expansion on August 15, 2014.

*=Pennsylvania's requested effective date for implementing the expansion is January 1, 2015. PA is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of August 2014.

(-)=state has not reported data.

Partnership, Plan Management, FFM/SBM-SHOP, Supported SBM are all types of FFM.

Column III is calculated for only those states that reported both August data and July data.

Column V and VI is calculated for only those states that reported both monthly data and pre-Open Enrollment period data.

Subtotals for states reporting both August and Pre-Open Enrollment Period data exclude CT.

| | | |
|----------------------|-----------------|---|
| Arkansas | (I), (II) | Includes Private Option enrollees. |
| California | (I), (II) | Data are preliminary. |
| California | | Includes individuals transferred from the Low Income Health Program |
| California | | section 1115 demonstration (also in pre-Open Enrollment Period data) |
| California | | Includes estimated retroactive enrollment. |
| California | | Includes applicants likely eligible for Medicaid or CHIP, |
| California | | but whose applications are still pending verification. |
| California | (IV) | Includes individuals in the Low Income Health Program section 1115 demonstration. |
| District of Columbia | (I), (II), (IV) | Includes limited benefit dual eligible individuals and individuals enrolled in the locally funded DC Health Alliance. |
| Illinois | (IV) | Includes individuals who received retroactive coverage (unlike columns (I) and (II)). |
| Iowa | (I), (II) | Data are preliminary. |
| Massachusetts | (I), (II) | Does not include individuals receiving temporary transitional coverage. |
| Nevada | (I), (II) | Data are preliminary. |
| Nevada | (I), (IV) | Includes partial benefit dual eligible individuals. |
| New Jersey | (I), (II), (IV) | Includes individuals eligible at any point in the month. |
| New York | (I), (II) | Includes estimated retroactive enrollment. |
| North Dakota | (IV) | Data is from July 2013 only. |
| Rhode Island | (I), (II) | Includes only enrollments based on determinations through new MAGI system. |

Table 1: Medicaid and CHIP: July and August 2014 Preliminary Monthly Enrollment

| | | Enrollment | | | | | |
|--|------------------|---|--|--|--|--|---|
| States Not Expanding Medicaid | Marketplace Type | Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (I) | Total Medicaid and CHIP Enrollment, August 2014 (Preliminary) (II) | % Change July to August (Columns (I) and (II)) (III) | Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July-Sept 2013) (IV) | Net Change Pre-Open Enrollment to August (Columns (IV) and (II)) (V) | % Change Pre-Open Enrollment to August (Columns (IV) and (II)) (VI) |
| Alabama | FFM | 866,621 | 860,609 | -0.69% | 799,176 | 61,433 | 7.69% |
| Alaska | FFM | 125,254 | 128,253 | 2.39% | 120,946 | 7,307 | 6.04% |
| Florida | FFM | 3,343,988 | 3,350,729 | 0.20% | 3,104,996 | 245,733 | 7.91% |
| Georgia | FFM | 1,739,141 | 1,737,649 | -0.09% | 1,535,090 | 202,559 | 13.20% |
| Idaho | Supported SBM | 275,399 | 276,605 | 0.44% | 251,926 | 24,679 | 9.80% |
| Indiana | FFM | 1,180,925 | 1,189,633 | 0.74% | 1,120,674 | 68,959 | 6.15% |
| Kansas | Plan Management | 401,980 | 400,994 | -0.25% | 397,989 | 3,005 | 0.76% |
| Louisiana | FFM | 1,037,136 | 1,047,854 | 1.03% | 1,019,787 | 28,067 | 2.75% |
| Maine | Plan Management | 296,206 | 294,705 | -0.51% | - | - | - |
| Mississippi | FFM/SBM-SHOP | 685,059 | 689,921 | 0.71% | 637,229 | 52,692 | 8.27% |
| Missouri | FFM | 812,785 | 816,544 | 0.46% | 846,084 | -29,540 | -3.49% |
| Montana | Plan Management | 163,551 | 161,508 | -1.25% | 148,974 | 12,534 | 8.41% |
| Nebraska | Plan Management | 233,555 | 235,482 | 0.83% | 244,600 | -9,118 | -3.73% |
| North Carolina | FFM | 1,830,303 | 1,836,364 | 0.33% | 1,744,160 | 92,204 | 5.29% |
| Oklahoma | FFM | 803,577 | 808,713 | 0.64% | 790,051 | 18,662 | 2.36% |
| South Carolina | FFM | 857,322 | 873,206 | 1.85% | 790,229 | 82,977 | 10.50% |
| South Dakota | Plan Management | 116,174 | 115,993 | -0.16% | 115,501 | 492 | 0.43% |
| Tennessee | FFM | 1,345,642 | 1,360,437 | 1.10% | 1,244,516 | 115,921 | 9.31% |
| Texas | FFM | 4,575,968 | 4,606,339 | 0.66% | 4,441,605 | 164,734 | 3.71% |
| Utah | FFM/SBM-SHOP | 334,726 | 336,373 | 0.49% | 322,442 | 13,931 | 4.32% |
| Virginia | Plan Management | 963,757 | 950,729 | -1.35% | 1,003,266 | -52,537 | -5.24% |
| Wisconsin | FFM | 1,182,413 | 1,184,085 | 0.14% | 1,161,876 | 22,209 | 1.91% |
| Wyoming | FFM | 67,858 | 67,497 | -0.53% | 67,518 | -21 | -0.03% |
| Subtotal for All States Not Expanding Medicaid | | 23,239,340 | 23,330,222 | 0.39% | 21,908,635 | 1,126,882 | 5.14% |
| Subtotal for States Not Expanding Medicaid that Reported in July and August | | 23,239,340 | 23,330,222 | Difference July to August 2014 90,882 | | | |
| Subtotal for States Not Expanding Medicaid that Reported in August and Pre-Open Enrollment Period | | | 23,035,517 | | 21,908,635 | Difference July-Sept 2013 to August 2014 1,126,882 | |

(-)=state has not reported data.

Partnership, Plan Management, FFM/SBM-SHOP, Supported SBM are all types of FFMs.

Column III is calculated for only those states that reported both August data and July data.

Column V and VI is calculated for only those states that reported both monthly data and pre-Open Enrollment period data.

Subtotals for states reporting both August and Pre-Open Enrollment Period data exclude ME.

Table 1: Medicaid and CHIP: July and August 2014 Preliminary Monthly Enrollment

| | | |
|------------------|------------------------|--|
| Alabama | (I) | Updated to include MCHIP population. |
| Alabama | (IV) | Data is from September 2013 only. |
| Florida | (I), (II) | Does not include SSI recipients enrolled in Medicaid. |
| Indiana | (I), (II), (IV) | Includes partial benefit dual eligible individuals. |
| Kansas | (IV) | Includes partial benefit dual eligible individuals (unlike columns (I) and (II)). |
| Texas | (I), (II), (IV) | Includes partial benefit dual eligible individuals. |
| Utah | (I), (II), (IV) | Includes service limited Medicare program beneficiaries (SLMBs). |
| Virginia | (I) | Updated to remove limited benefit populations. |
| Virginia | (IV) | Includes limited benefit populations. |
| Wisconsin | (I), (II), (IV) | Includes all Medicaid/BadgerCare Plus programs and subprograms, |
| Wisconsin | | including partial benefit dual eligible individuals, and other limited benefit populations. |

Table 1: Medicaid and CHIP: July and August 2014 Preliminary Monthly Enrollment

| Total Enrollment | | | | | | |
|--|--|---|---|---|---|--|
| All States | Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (I) | Total Medicaid and CHIP Enrollment, August 2014 (Preliminary) (II) | % Change July to August (Columns (I) and (II)) (III) | Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July-Sept 2013) (IV) | Net Change Pre-Open Enrollment to August (Columns (IV) and (II)) (V) | % Change Pre-Open Enrollment to August (Columns (IV) and (II)) (VI) |
| Total Across All States | 67,196,365 | 67,931,644 | 1.09% | 58,228,110 | 8,651,301 | 14.86% |
| Total for States that Reported in July and August | 67,196,365 | 67,931,644 | Difference July to August 2014 735,279 | | | |
| Total for States that Reported in August and Pre-Open Enrollment Period | | 66,879,411 | | 58,228,110 | Difference July-Sept 2013 to August 2014 8,651,301 | |

Column III is calculated for only those states that reported both August data and July data.

Column V and VI is calculated for only those states that reported both monthly data and pre-Open Enrollment period data.

Totals for states reporting both August and Pre-Open Enrollment Period data exclude CT and ME.

Table 2: Medicaid and CHIP: August 2014 Preliminary Monthly Medicaid and CHIP Child Enrollment

| State | Enrollment | | | | | | | |
|-----------------------------------|------------------------------------|-------------------|-------------------|-------------------|-------------------|---------------------------------|------------------------------------|--|
| | Medicaid and CHIP Child Enrollment | | | | | | Total Medicaid and CHIP Enrollment | Medicaid and CHIP Child Enrollment as a % of Total Enrollment (Columns (VI) and (VII)) |
| | March, 2014 (I) | April, 2014 (II) | May, 2014 (III) | June, 2014 (IV) | July, 2014 (V) | August, 2014 (Preliminary) (VI) | August, 2014 (Preliminary) (VII) | August, 2014 (Preliminary) (VIII) |
| Alabama | 611,674 | 607,593 | 610,441 | 613,753 | 642,682 | 637,796 | 860,609 | 74.11% |
| Alaska | 72,853 | 74,166 | 74,453 | 74,345 | 73,780 | 75,535 | 128,253 | 58.90% |
| Arizona | - | - | - | - | - | - | 1,489,607 | - |
| Arkansas | - | - | - | - | - | - | 803,693 | - |
| California | - | - | - | - | - | - | 11,200,000 | - |
| Colorado | 541,290 | 546,828 | 549,172 | 553,934 | 559,112 | 564,441 | 1,119,461 | 50.42% |
| Connecticut | - | - | 298,206 | 309,332 | 312,589 | 317,887 | 757,528 | 41.96% |
| Delaware | 102,192 | 102,215 | 101,445 | 101,729 | 101,969 | 101,696 | 231,812 | 43.87% |
| District of Columbia | - | - | - | - | - | - | 252,914 | - |
| Florida | 2,183,679 | 2,233,244 | 2,248,731 | 2,253,690 | 2,263,868 | 2,267,848 | 3,350,729 | 67.68% |
| Georgia | 1,179,741 | 1,272,754 | 1,233,020 | 1,251,719 | 1,230,907 | 1,227,783 | 1,737,649 | 70.66% |
| Hawaii | 147,101 | 141,979 | 137,562 | 142,878 | 141,613 | 129,651 | 296,344 | 43.75% |
| Idaho | 186,204 | 187,351 | 188,421 | 189,432 | 194,637 | 190,950 | 276,605 | 69.03% |
| Illinois | - | 1,558,215 | 1,553,912 | 1,530,373 | 1,519,492 | 1,506,784 | 3,000,882 | 50.21% |
| Indiana | 700,190 | 685,900 | 708,953 | 711,794 | 714,816 | 701,087 | 1,189,633 | 58.93% |
| Iowa | - | 292,324 | 329,738 | 290,741 | 291,099 | 285,489 | 563,292 | 50.68% |
| Kansas | - | 290,846 | 290,375 | 290,028 | 288,341 | 287,792 | 400,994 | 71.77% |
| Kentucky | - | - | - | 523,537 | 506,659 | 497,132 | 1,046,381 | 47.51% |
| Louisiana | 728,619 | 731,717 | 734,341 | 739,388 | 742,144 | 748,219 | 1,047,854 | 71.40% |
| Maine | 127,618 | 126,507 | 125,731 | 124,119 | 123,011 | 122,648 | 294,705 | 41.62% |
| Maryland | 589,893 | 590,931 | 599,057 | 601,851 | 593,652 | 591,296 | 1,130,961 | 52.28% |
| Massachusetts | 583,761 | 584,611 | 583,740 | 587,932 | 588,398 | 589,366 | 1,479,002 | 39.85% |
| Michigan | 1,041,543 | 1,053,194 | 1,061,866 | 1,066,526 | 1,064,615 | 1,008,294 | 2,163,414 | 46.61% |
| Minnesota | 445,330 | 452,703 | 456,951 | 465,467 | 468,308 | 481,437 | 1,066,787 | 45.13% |
| Mississippi | 451,945 | 458,118 | 463,138 | 467,716 | 472,137 | 471,520 | 689,921 | 68.34% |
| Missouri | 504,210 | 501,850 | 500,974 | 504,057 | 508,010 | 511,724 | 816,544 | 62.67% |
| Montana | 109,112 | 108,047 | 108,100 | 106,163 | 102,252 | 100,098 | 161,508 | 61.98% |
| Nebraska | 157,890 | 158,230 | 158,049 | 157,454 | 157,832 | 155,981 | 235,482 | 66.24% |
| Nevada | - | - | - | 269,161 | 275,337 | 281,614 | 543,307 | 51.83% |
| New Hampshire^ | 90,701 | 91,833 | 92,124 | 92,427 | 93,038 | 91,866 | 148,889 | 61.70% |
| New Jersey | 757,066 | 766,502 | 771,438 | 781,640 | 789,130 | 798,719 | 1,606,314 | 49.72% |
| New Mexico | - | - | - | - | - | - | 731,027 | - |
| New York | 2,363,567 | 2,346,594 | 2,368,432 | 2,370,481 | 2,380,653 | 2,392,060 | 6,181,714 | 38.70% |
| North Carolina | 1,098,630 | 1,089,239 | 1,103,822 | 1,118,773 | 1,178,967 | 1,179,525 | 1,836,364 | 64.23% |
| North Dakota | - | - | - | - | 40,073 | 40,134 | 79,960 | 50.19% |
| Ohio | 1,172,101 | 1,183,390 | 1,197,774 | 1,208,772 | 1,222,720 | 1,230,096 | 2,743,475 | 44.84% |
| Oklahoma | 522,646 | 501,218 | 502,688 | 506,175 | 511,283 | 515,794 | 808,713 | 63.78% |
| Oregon | 421,810 | 425,536 | 430,206 | 431,745 | 435,087 | 434,410 | 1,000,220 | 43.43% |
| Pennsylvania* | 1,284,539 | 1,280,233 | 1,271,292 | 1,266,100 | 1,274,385 | 1,275,437 | 2,415,249 | 52.81% |
| Rhode Island | - | - | - | - | - | - | 260,615 | - |
| South Carolina | 592,291 | 598,453 | 606,035 | 614,297 | 623,160 | 627,715 | 873,206 | 71.89% |
| South Dakota | 78,685 | 79,075 | 78,960 | 78,884 | 78,989 | 78,821 | 115,993 | 67.95% |
| Tennessee | - | - | - | - | - | - | 1,360,437 | - |
| Texas | 3,254,461 | 3,286,347 | 3,317,991 | 3,324,633 | 3,358,478 | 3,384,078 | 4,606,339 | 73.47% |
| Utah | 221,914 | 204,978 | 205,500 | 204,630 | 210,613 | 204,610 | 336,373 | 60.83% |
| Vermont | 68,916 | 65,765 | 66,406 | 61,250 | 71,455 | 68,863 | 206,957 | 33.27% |
| Virginia | 655,135 | 653,922 | 656,380 | 658,343 | 657,043 | 647,656 | 950,729 | 68.12% |
| Washington | 745,233 | 743,874 | 745,665 | 746,462 | 745,929 | 744,262 | 1,553,859 | 47.90% |
| West Virginia | 208,856 | 211,699 | 209,187 | 209,888 | 209,881 | 212,589 | 527,758 | 40.28% |
| Wisconsin | - | - | - | - | - | - | 1,184,085 | - |
| Wyoming | 45,565 | 45,551 | 45,368 | 44,455 | 44,850 | 44,528 | 67,497 | 65.97% |
| Total For All States | 24,046,961 | 26,333,532 | 26,785,644 | 27,646,074 | 27,862,994 | 27,825,231 | 67,931,644 | 54.94% |
| Number of States Reporting | 36 | 39 | 40 | 42 | 43 | 43 | 51 | 43 |

Table 2: Medicaid and CHIP: August 2014 Preliminary Monthly Medicaid and CHIP Child Enrollment

For general notes on enrollment data, see Table 1: Medicaid and CHIP: July and August 2014 Preliminary Monthly Enrollment

(-)=State has not reported data or data submitted was incomplete.

Columns I through VI includes Medicaid Child (as defined in the state plan in each state) enrollment and total CHIP enrollment. CHIP enrollment includes pregnant women in several states. See report text for additional information.

Column VIII is calculated for only those states that reported both August 2014 child enrollment data and August 2014 Total Medicaid and CHIP enrollment data .

| | | |
|----------|-----------|---|
| Alabama | (I)-(IV) | Enrollment does not include MCHIP expansion enrollees. |
| Iowa | (II)-(VI) | Data are preliminary. |
| Maryland | (I)-(VI) | Includes limited benefit dual eligible individuals (unlike column (VIII)). All data is preliminary. |

Table 3: Medicaid and CHIP: August 2014 Monthly Applications and Eligibility Determinations

| States Expanding Medicaid | Marketplace Type | Applications | | | | | Determinations | | |
|---|------------------|---|--|---|--|--|---|--|--|
| | | New Applications Submitted to Medicaid and CHIP Agencies, August 2014 (Preliminary) (I) | Applications for Financial Assistance Submitted to the State Based Marketplace, August 2014 (Preliminary) (II) | Total Applications for Financial Assistance Submitted at State Level, August 2014 (Preliminary) (III) | Total Applications for Financial Assistance Submitted at State Level, July 2014 (Preliminary) (IV) | % Change July 2014 to August 2014 (Columns (IV) and (III)) (V) | Individuals Determined Eligible for Medicaid at Application, August 2014 (Preliminary) (VI) | Individuals Determined Eligible for CHIP at Application, August 2014 (Preliminary) (VII) | Total New Determinations, August 2014 (Preliminary) (VIII) |
| Arizona | FFM | 118,115 | N/A | 118,115 | 158,135 | -25.31% | 70,351 | - | 70,351 |
| Arkansas | Partnership | 32,711 | N/A | 32,711 | 32,917 | -0.63% | 22,944 | - | 22,944 |
| California | SBM | 120,000 | 168,000 | 288,000 | 303,000 | -4.95% | 381,000 | - | 381,000 |
| Colorado | SBM | 22,939 | - | 22,939 | 23,586 | -2.74% | 21,603 | 1,005 | 22,608 |
| Connecticut | SBM | 13,129 | 13,464 | 26,593 | 21,286 | 24.93% | 9,714 | - | 9,714 |
| Delaware | Partnership | 2,208 | N/A | 2,208 | 3,271 | -32.50% | 1,465 | 37 | 1,502 |
| District of Columbia | SBM | 4,523 | 1,786 | 6,309 | 6,520 | -3.24% | 4,983 | - | 4,983 |
| Hawaii | SBM | 6,494 | - | 6,494 | 6,359 | 2.12% | 6,715 | 358 | 7,073 |
| Illinois | Partnership | 78,825 | N/A | 78,825 | 68,577 | 14.94% | 65,177 | 13,066 | 78,243 |
| Iowa | Partnership | 21,730 | N/A | 21,730 | 23,640 | -8.08% | 69,549 | - | 69,549 |
| Kentucky | SBM | 4,025 | 20,651 | 24,676 | 22,508 | 9.63% | 132,859 | 4,788 | 137,647 |
| Maryland | SBM | 32,388 | 7,267 | 39,655 | 41,844 | -5.23% | 58,110 | 13,511 | 71,621 |
| Massachusetts | SBM | 22,117 | 8,388 | 30,505 | 33,141 | -7.95% | - | - | - |
| Michigan | Partnership | 72,984 | N/A | 72,984 | 76,209 | -4.23% | 81,223 | 5,156 | 86,379 |
| Minnesota | SBM | 29,319 | - | 29,319 | 22,684 | 29.25% | 22,113 | - | 22,113 |
| Nevada | SBM | 12,922 | - | 12,922 | 13,750 | -6.02% | 10,703 | 36 | 10,739 |
| New Hampshire [^] | Partnership | 4,415 | N/A | 4,415 | 4,364 | 1.17% | 13,001 | - | 13,001 |
| New Jersey | FFM | 29,489 | N/A | 29,489 | 24,039 | 22.67% | 5,410 | 4,079 | 9,489 |
| New Mexico | Supported SBM | 34,815 | N/A | 34,815 | 41,584 | -16.28% | 18,186 | - | 18,186 |
| New York | SBM | - | 247,449 | 247,449 | 178,189 | 38.87% | 146,490 | 13,592 | 160,082 |
| North Dakota | FFM | - | N/A | - | - | - | - | - | - |
| Ohio | Plan Management | 202,659 | N/A | 202,659 | 233,049 | -13.04% | 167,737 | - | 167,737 |
| Oregon | SBM | 14,841 | 14,471 | 29,312 | 16,229 | 80.61% | 20,292 | - | 20,292 |
| Pennsylvania* | FFM | 185,220 | N/A | 185,220 | 201,424 | -8.04% | 54,559 | 6,781 | 61,340 |
| Rhode Island | SBM | 8,205 | - | 8,205 | 12,596 | -34.86% | 13,556 | 1,176 | 14,732 |
| Vermont | SBM | 8,820 | 4,833 | 13,653 | 11,891 | 14.82% | 5,994 | - | 5,994 |
| Washington | SBM | 19,446 | 103,138 | 122,584 | 127,392 | -3.77% | 65,447 | 2,465 | 67,912 |
| West Virginia | Partnership | 25,715 | N/A | 25,715 | 24,930 | 3.15% | 13,016 | 761 | 13,777 |
| Subtotal for All States Expanding Medicaid | | 1,128,054 | 589,447 | 1,717,501 | 1,733,114 | -0.90% | 1,482,197 | 66,811 | 1,549,008 |
| Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month* | | 942,834 | 589,447 | 1,532,281 | 1,531,690 | 0.04% | 1,427,638 | 60,030 | 1,487,668 |
| Subtotal for States Expanding Medicaid who Reported in July and Aug 2014 | | | | 1,717,501 | 1,733,114 | Difference July to August 2014 -15,613 | | | |

[^]=The New Hampshire Health Protection Program (NH's expansion) began accepting applications on July 1, 2014, and individuals in NH began to receive benefits under the expansion on August 15, 2014.

*=Pennsylvania's requested effective date for implementing the expansion is January 1, 2015. PA is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of August 2014.

(-)=state has not reported data.

Column V is calculated for only those states that reported July and August 2014 Applications data (subtotals exclude ND).

Partnership, Plan Management, FFM/SBM-SHOP, Supported SBM are all types of FFM.

Table 3: Medicaid and CHIP: August 2014 Monthly Applications and Eligibility Determinations

| | | |
|----------------------|---------------------|--|
| Arkansas | (VI) | Includes CHIP. |
| California | (I) | Data are preliminary and will be not be fully reconciled until September 2014. |
| California | | Reflects primarily newly-determined and likely eligible Medicaid applicants, |
| California | | as well as some ongoing caseload activity conducted via the state's health exchange automation system. |
| California | (II) | Data are preliminary and will be not be fully reconciled until September 2014. |
| California | | Includes applications to SBM that did not request financial assistance. |
| California | (IV) | Data are preliminary and will be not be fully reconciled until September 2014. |
| California | | Reflects primarily newly-determined and likely eligible Medicaid applicants, |
| California | | as well as some ongoing caseload activity conducted via the state's health exchange automation system. |
| California | (VI) | Data are preliminary and will be not be fully reconciled until September 2014. |
| California | | Determinations 'at application' is derived by considering prior coverage. |
| California | | Includes those determined eligible and 'contingently eligible.' Includes CHIP. |
| California | | Includes 27,000 individuals eligible via targeted enrollment strategy. |
| California | | Reflects primarily newly-determined and likely eligible Medicaid applicants |
| California | | as well as some ongoing caseload activity conducted via the state's health exchange automation system. |
| California | (VII) | Data reflects only those determinations made by the separate CHIP agency and does not reflect all CHIP determinations. |
| Colorado | (I) | State Medicaid agency hosts SBM portal; number includes all applications for insurance affordability programs. |
| Connecticut | (I), (III), (IV) | Data may include some duplication of applications between Medicaid and CHIP. |
| Connecticut | (II) | SBM applications data not submitted as of date of publication. |
| Connecticut | (VI) | Count is of households, not individuals. Includes determinations of some non-title XIX programs made by the Medicaid agency. |
| Connecticut | | (state funded medical cases, the AIDS Drug Assistance program and refugee cases). |
| Connecticut | | Only includes determinations made by the Medicaid agency. |
| Connecticut | | Excludes those determined Medicaid-eligible by the SBM. |
| District of Columbia | (VI) | Includes all determinations (e.g., renewals); includes CHIP. |
| Hawaii | (I) | State Medicaid agency hosts SBM portal; number includes all applications for insurance affordability programs. |
| Iowa | (I), (III), (IV) | Data are preliminary. |
| Iowa | (IV) | Corrected. |
| Iowa | (VI), (VII), (VIII) | Data are preliminary. |
| Iowa | (VI) | Includes renewals. |
| Iowa | (VII) | Includes renewals. |
| Maryland | (II) | Includes State Medicaid Agency data and SBM data from 8/1 - 8/31. |
| Maryland | (II), (III), (IV) | Includes all applications not only those requesting financial assistance. |
| Maryland | (VI) | Includes 32,813 renewals. Includes State Medicaid Agency data and SBM data from 8/1 - 8/31. |
| Maryland | (VII) | Includes 6,461 renewals. Includes State Medicaid Agency data and SBM data from 8/1 - 8/31. |
| Maryland | (VIII) | Includes 39,274 renewals. Includes State Medicaid Agency data and SBM data from 8/1 - 8/31. |
| Massachusetts | (VI) | Data are preliminary and are derived. |
| Michigan | (VI) | Includes renewals. |
| Michigan | (VII) | Includes renewals. |
| Minnesota | (I), (III), (IV) | Count is of persons applying, not applications. Does not include applications to the new eligibility and enrollment system. |
| Minnesota | (VI) | Includes CHIP. |

Table 3: Medicaid and CHIP: August 2014 Monthly Applications and Eligibility Determinations

| | | |
|---------------|------------------------|---|
| Nevada | (I), (III), (IV) | Includes renewals. |
| Nevada | (VI) | Count is of households, not individuals. Includes renewals. |
| New Hampshire | (VI) | Data is derived by considering prior coverage; includes CHIP. |
| New Jersey | (I), (III), (IV) | Includes applications received at county welfare agencies. |
| New Jersey | (VI), (VII), (VIII) | Does not include all eligibility determinations; county welfare agencies determinations are not included in this data. |
| New Jersey | (VI) | Includes 218 individuals determined eligible via Targeted Enrollment Strategy. |
| New Mexico | (I), (III), (IV) | Includes renewals. |
| New Mexico | (VI) | Includes all determinations (e.g., renewals); includes CHIP. |
| New York | (VI) | Data are preliminary. |
| New York | (VII) | Data are preliminary. |
| New York | (VIII) | Data are preliminary. |
| Ohio | (I), (III), (IV) | Includes renewals. May include some applications only for other benefits, not for Medicaid and CHIP. |
| Ohio | (VI) | Includes CHIP. |
| Ohio | (VI), (VIII) | Includes renewals |
| Oregon | (I), (II), (III), (IV) | Includes renewals |
| Oregon | (III) | State began processing expedited renewals in August 2014. These renewals account for 95% of the applications received in August 2014. |
| Oregon | (VI) | Count is of households, not individuals; includes CHIP. |
| Oregon | | Includes 160 individuals determined eligible via Targeted Enrollment Strategy. |
| Oregon | | Includes MAGI populations only. |
| Oregon | (VI), (VIII) | Includes MAGI populations only. |
| Pennsylvania | (I), (III), (IV) | Includes renewals. |
| Pennsylvania | (VI), (VIII) | Includes renewals. |
| Rhode Island | (I) | Includes applications submitted to SBM. |
| Rhode Island | (I), (III) | Applications include delayed renewals being transitioned into the new eligibility system. |
| Rhode Island | (I), (III), (IV) | Does not include applications in legacy system for certain non-MAGI populations. |
| Rhode Island | (VI) | Includes only determinations through new MAGI system. Includes renewals. |
| Rhode Island | (VII) | Includes only determinations through new MAGI system. |
| Vermont | (VI) | Includes renewals. |

Table 3: Medicaid and CHIP: August 2014 Monthly Applications and Eligibility Determinations

| States Not Expanding Medicaid | Marketplace Type | Applications | | | | | Determinations | | |
|--|------------------|---|--|---|--|--|---|--|--|
| | | New Applications Submitted to Medicaid and CHIP Agencies, August 2014 (Preliminary) (I) | Applications for Financial Assistance Submitted to the State Based Marketplace, August 2014 (Preliminary) (II) | Total Applications for Financial Assistance Submitted at State Level, August 2014 (Preliminary) (III) | Total Applications for Financial Assistance Submitted at State Level, July 2014 (Preliminary) (IV) | % Change July 2014 to August 2014 (Columns (IV) and (III)) (V) | Individuals Determined Eligible for Medicaid at Application, August 2014 (Preliminary) (VI) | Individuals Determined Eligible for CHIP at Application, August 2014 (Preliminary) (VII) | Total New Determinations, August 2014 (Preliminary) (VIII) |
| Alabama | FFM | 19,275 | N/A | 19,275 | 18,069 | 6.67% | 23,759 | - | 23,759 |
| Alaska | FFM | 4,202 | N/A | 4,202 | 4,935 | -14.85% | 2,971 | - | 2,971 |
| Florida | FFM | 353,944 | N/A | 353,944 | 383,769 | -7.77% | 123,402 | 15,904 | 139,306 |
| Georgia | FFM | 91,749 | N/A | 91,749 | 91,376 | 0.41% | 43,439 | 791 | 44,230 |
| Idaho | Supported SBM | 4,538 | N/A | 4,538 | 4,582 | -0.96% | 7,385 | 319 | 7,704 |
| Indiana | FFM | 86,189 | N/A | 86,189 | 96,288 | -10.49% | 41,296 | 3,051 | 44,347 |
| Kansas | Plan Management | 8,859 | N/A | 8,859 | 8,224 | 7.72% | 7,147 | 890 | 8,037 |
| Louisiana | FFM | 27,564 | N/A | 27,564 | 27,403 | 0.59% | 26,490 | 1,853 | 28,343 |
| Maine | Plan Management | 1,402 | N/A | 1,402 | 1,133 | 23.74% | 12,438 | 323 | 12,761 |
| Mississippi | FFM/SBM-SHOP | 18,963 | N/A | 18,963 | 19,511 | -2.81% | 12,111 | 356 | 12,467 |
| Missouri | FFM | 32,280 | N/A | 32,280 | 27,453 | 17.58% | 20,753 | - | 20,753 |
| Montana | Plan Management | 3,126 | N/A | 3,126 | 3,202 | -2.37% | 2,434 | 248 | 2,682 |
| Nebraska | Plan Management | 6,926 | N/A | 6,926 | 7,205 | -3.87% | 7,932 | 989 | 8,921 |
| North Carolina | FFM | 76,245 | N/A | 76,245 | 81,171 | -6.07% | 74,779 | 5,046 | 79,825 |
| Oklahoma | FFM | 41,122 | N/A | 41,122 | 44,235 | -7.04% | 30,604 | 4,947 | 35,551 |
| South Carolina | FFM | 40,084 | N/A | 40,084 | 37,717 | 6.28% | 46,270 | 3,453 | 49,723 |
| South Dakota | Plan Management | 1,362 | N/A | 1,362 | 1,873 | -27.28% | 1,046 | - | 1,046 |
| Tennessee | FFM | 2,645 | N/A | 2,645 | 1,546 | 71.09% | - | 1,284 | 1,284 |
| Texas | FFM | 108,432 | N/A | 108,432 | 115,503 | -6.12% | 183,306 | - | 183,306 |
| Utah | FFM/SBM-SHOP | 23,313 | N/A | 23,313 | 24,508 | -4.88% | 61,975 | - | 61,975 |
| Virginia | Plan Management | 25,856 | N/A | 25,856 | 28,667 | -9.81% | 47,122 | 3,258 | 50,380 |
| Wisconsin | FFM | 27,429 | N/A | 27,429 | 29,828 | -8.04% | 20,933 | 1,881 | 22,814 |
| Wyoming | FFM | 556 | N/A | 556 | 1,138 | -51.14% | 741 | 56 | 797 |
| Subtotal for All States Not Expanding Medicaid | | 1,006,061 | N/A | 1,006,061 | 1,059,336 | -5.14% | 798,333 | 44,649 | 842,982 |
| Subtotal for States Not Expanding Medicaid who Reported in July and August 2014 | | | | 1,006,061 | 1,059,336 | Difference July to August 2014 -53,275 | | | |
| Total Across All States | | 2,134,115 | 589,447 | 2,723,562 | 2,792,450 | -2.51% | 2,280,530 | 111,460 | 2,391,990 |
| Total for States who Reported in July and August 2014 | | | | 2,723,562 | 2,792,450 | Difference July to August 2014 -68,888 | | | |

(-)=state has not reported data.

Column V is calculated for only those states that reported July and August 2014 Applications data (totals exclude ND).

Partnership, Plan Management, FFM/SBM-SHOP, Supported SBM are all types of FFM.

Percent change figures in the subtotal of column V exclude TN, which only reports CHIP data.

Table 3: Medicaid and CHIP: August 2014 Monthly Applications and Eligibility Determinations

| | | |
|--------------|------------------|--|
| Alaska | (I), (III), (IV) | Includes renewals converting to MAGI methodology. |
| Alaska | (VI), (VIII) | Count is of households, not individuals; includes CHIP. |
| Alabama | (VII) | Data for Medicaid and CHIP applications processed in the E&E system is not available at this time. |
| Florida | (I), (III), (IV) | Includes account transfers from the FFM. |
| Kansas | (I), (III), (IV) | Includes MAGI populations only. |
| Kansas | (VI) | Includes MAGI populations only. |
| South Dakota | (I), (III), (IV) | Includes account transfers from the FFM. |
| South Dakota | (VI) | Includes all determinations (e.g., renewals); includes CHIP. |
| Tennessee | (I), (III), (IV) | Data are from CHIP agency only. Medicaid reporting capability in development. |
| Tennessee | (V) | Excluded because data is only from CHIP agency. |
| Texas | (VI) | Includes renewals and CHIP. |
| Utah | (I), (III), (IV) | Includes applications for non-health coverage programs. |
| Utah | (VI), (VIII) | Includes determinations from the last 4 days of July. |
| Virginia | (I), (III), (IV) | Includes renewals. |
| Virginia | (VI) | Includes renewals. |
| Virginia | (VII) | Includes renewals. |
| Wisconsin | (VI), (VII) | Count is of unique individuals receiving determinations, not unique determinations. |

APPENDIX A: Description of Data Elements in Tables

Table 1: Medicaid and CHIP: July and August Preliminary Monthly Enrollment

Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (I)

Total Medicaid and CHIP Enrollment, August 2014 (Preliminary) (II)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The August 2014 data was submitted in September and is considered preliminary.²⁴ The July 2014 data in this table was submitted in August and is also preliminary. July data that was updated in September (which may include more individuals with retroactive eligibility) is posted separately under the Eligibility Data tab on Medicaid.gov. Other reporting on Medicaid and CHIP enrollment by states and researchers often may include some beneficiaries excluded in this data (because comprehensive coverage is not provided), or otherwise use a different methodology.

Percent Change July to August (III)

The percentage change in **Total Medicaid and CHIP Enrollment, August 2014 (Preliminary) (II)** as compared to **Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (II)** is calculated for states that provided data for both periods. In cases where there is a negative percentage change, this may be due to a number of factors. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July-September 2013) (IV)

The average number of individuals enrolled in Medicaid and CHIP for each month during the July-September 2013 period using an average of enrollment numbers submitted by the states for each month in this period. Not all states submitted three months of data; the average is calculated using any months of baseline data that were provided. The unit of measurement is individuals enrolled as of the last day of the reporting period (month). This baseline data includes more retroactive enrollments than the August 2014 data, which makes change between the baseline data and the July preliminary data look smaller than it would be if

²⁴ In Medicaid, individuals are potentially eligible for retroactive eligibility to cover incurred health care costs for up to 90 days prior to the date of application. Under the Performance Indicator process, states initially submit their monthly enrollment data just a week after the month closes, and then update their data one month later. Therefore, the Performance Indicator enrollment counts will always be slightly understated, as they will not include all individuals granted retroactive eligibility.

retroactive enrollments were excluded from the data for the July-September 2013 period.²⁵ Such exclusions were not possible.

Net Change Pre-Open Enrollment (V) to August

The net change in **Total Medicaid and CHIP Enrollment, August 2014 (preliminary) (II)** as compared to **Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July-August 2013) (IV)** is calculated for states that provided data for both periods and represents the difference in enrollment between these two periods. A negative net change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Percentage Change From Pre-Open Enrollment to August 2014 (VI)

The percentage change in **Total Medicaid and CHIP Enrollment, August 2014 (Preliminary) (II)**, compared to **Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July-August 2013) (IV)**, is calculated for states that provided data for both periods. A negative percentage change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Note: Updated enrollment data for January through July of 2014 is available on the [Eligibility Data](#) tab on Medicaid.gov. This data is updated more than 30 days after the close of the reporting period, to account for retroactive Medicaid eligibility and other updates to the preliminary data provided soon after the close of the reporting period, which forms the basis for this report.

Table 2: Medicaid and CHIP: August 2014 Preliminary Monthly Medicaid CHIP Child Enrollment

Medicaid and CHIP Child Enrollment, March - July, 2014 ((I)-(IV))

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.²⁶ These figures may have been updated by

²⁵ See footnote 25.

²⁶ The states which provide complete coverage to pregnant women through CHIP are New Jersey, Rhode Island, and Colorado. In addition, the following states provide certain services to pregnant women through CHIP: Arkansas, California, Louisiana, Massachusetts, Michigan, Minnesota, Nebraska, Oklahoma, Oregon, Rhode Island, Tennessee, Texas, Washington, and Wisconsin. Benefits offered vary by state.

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states more than 30 days after the close to the reporting period to include enrollees with retroactive coverage and other updates.

Medicaid and CHIP Child Enrollment, August, 2014 (Preliminary) (V)

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.²⁷ The August 2014 data was submitted in September and is considered preliminary.²⁸

Total Medicaid and CHIP Enrollment, August 2014 (Preliminary) (VII)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The August 2014 data was submitted in September and is considered preliminary.²⁹ This data is the same as the data reported in column (II) in Table 1: Medicaid and CHIP: July and August Preliminary Monthly Enrollment.

Medicaid and CHIP Child Enrollment as a % of Total Enrollment (VIII)

Medicaid and CHIP Child Enrollment, August 2014 (Preliminary) (V) as a percentage of Total Medicaid and CHIP Enrollment, August 2014 (Preliminary) (VIII).

²⁷ See footnote 27.

²⁸ See footnote 25.

²⁹ See footnote 25.

Table 3: Medicaid and CHIP: July 2014 Monthly Applications and Eligibility Determinations

Application Data Elements

New Applications Submitted to Medicaid and CHIP Agencies, August 2014 (Preliminary) (I)

Number of applications received by the Medicaid agency, a separate CHIP agency (if one exists in the state), or both during the reporting period. Includes applications received online, via mail, in person or phone. Does not include applications submitted to a State-Based Marketplace (SBM) or the Federally-Facilitated Marketplace (FFM) (the SBM number is reported in column IV).³⁰ It should be noted that data reflected in this performance indicator are not a count of individuals, as more than one person may be included on a single application. As states are transitioning to new systems, they may not be able to report applications received across all aspects of their programs or systems. See the state-specific notes in the table for further information about state limitations in this area.³¹ The August 2014 data was submitted in September and is considered preliminary.³²

Applications for Financial Assistance Submitted to the State-Based Marketplace, August 2014 (Preliminary) (II)

This element is the number of applications requesting financial assistance (Medicaid, CHIP or the Advanced Premium Tax Credit and Cost-Sharing Reductions) that have been received by the SBM during the reporting period. The August 2014 data was submitted in September and is considered preliminary.³³

Total Applications for Financial Assistance Submitted at the State Level, August 2014 (Preliminary) (III)

Total Applications for Financial Assistance Submitted at the State Level, July 2014 (Preliminary) (IV)

For states with an SBM, the data reflects the total of **Applications Submitted to Medicaid and CHIP Agencies, August 2014 (Preliminary)** plus **Applications for Financial Assistance Submitted to the State-Based Marketplace, August 2014 (Preliminary)**. For FFM states, the data reflects **Applications Submitted to Medicaid and CHIP Agencies, August 2014 (Preliminary)**. For SBM states, the data includes all applications for financial assistance; individuals on these applications will be determined eligible for Medicaid, CHIP or Advanced Premium Tax Credits and Cost-Sharing Reductions, or determined ineligible for financial assistance. The August 2014 data was submitted in September and is considered preliminary. The July 2014 data in this table was submitted in August and is also preliminary. July data that was updated in September (which may include additional individuals who applied in July, but who were not captured in the preliminary data) is posted separately under the Eligibility Data tab on Medicaid.gov.

³⁰ As described in the state-specific notes in the tables, some states included account transfers from the FFM in the total count of applications received by Medicaid and CHIP agencies.

³¹ As described in the state-specific notes in the tables, some states included renewals in the total count of applications received by Medicaid and CHIP agencies.

³² See footnote 25.

³³ See footnote 25.

Percentage Change July 2014 to August 2014 (V)

The percentage change in **Total Applications for Financial Assistance Submitted at the State Level, August 2014 (Preliminary) (III)** as compared to **Total Preliminary Applications Submitted at the State Level, July 2014 (Preliminary) (IV)** is calculated for states that provided data for both periods.

Eligibility Determination Data Elements

Individuals Determined Eligible for Medicaid at Application, August 2014 (VI)

Total number of individuals determined eligible for Medicaid (under title XIX of the Social Security Act) during the reporting period based on applications for coverage submitted to any state agency (Medicaid, CHIP or the SBM) or based on an administrative determination authorized under a targeted enrollment strategy approved by CMS.³⁴ The unit of measurement is a count of individuals (as compared to the application numbers, which may include multiple people in one application). This number includes all determinations on applications made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. This does not include determinations made at a periodic redetermination or because of a change in circumstance for a current beneficiary.³⁵ The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals because an individual may have more than one determination within the reporting period. For example, individuals are first determined based on MAGI, and if needed, may request a subsequent determination based on non-MAGI factors. A list of which eligibility groups are MAGI and which are non-MAGI is available [on Medicaid.gov](#).

As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the notes in the table for further information about state limitations in this area.

In states receiving account transfers from the FFM in August where the FFM makes an eligibility assessment (and the state then completes the Medicaid determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make Medicaid eligibility determinations, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing the determinations, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the

³⁴ Information on targeted enrollment strategies and the states approved for these strategies is available here: <http://www.medicaid.gov/AffordableCareAct/Medicaid-Moving-Forward-2014/medicaid-moving-forward-2014.html>.

³⁵ As described in the state-specific notes in the tables, some states, due to data limitations, could not provide data that met our specifications. Notes are provided in cases where the state included renewals in this data element.

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FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see Medicaid.gov.

Individuals Determined Eligible for CHIP at Application, August 2014 (VII)

Total number of individuals determined eligible for CHIP (under title XXI of the Social Security Act) during the reporting period that follows the applicant submitting an application for coverage to any state agency (Medicaid, CHIP, or the SBM). This number includes all determinations at application made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the footnotes in the table for further information about state limitations in this area. The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals.

In states receiving account transfers from the FFM in August where the FFM makes an eligibility assessment (and the state then completes the CHIP determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make a CHIP eligibility determination, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing a determination, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see Medicaid.gov.

Total New Determinations, August 2014 (VIII)

The total of **Individuals Determined Eligible for Medicaid at Application** plus **Individuals Determined Eligible for CHIP at Application**.

A Note about Federally-Facilitated Marketplace Types: For the purposes of the report, we refer to State Partnership Marketplaces (Partnership), States performing Plan Management functions (Plan Management), Supported SBMs, and the State-Based Small Business Health Options Program (SB-SHOP) as Federally-Facilitated Marketplaces (FFMs). The state-by-state tables contain information on the specific marketplace type for each state.