

MAGI-BASED ELIGIBILITY VERIFICATION PLAN

(Insert Medicaid, CHIP, or Both)

Medicaid & CHIP

State:

Maine

Last updated

05/05/2020

Section A. Verification Procedures for Factors of Eligibility

Eligibility Factor	Self-Attestation Accepted without Additional Verification (Y/N)	Self-Attestation Accepted with Post-Eligibility Verification (Y/N)	Electronic Data Source Used (Y/N)	Reasonable Compatibility Standard Used	Specify Reasonable Compatibility Standard for Income	Ask for a Reasonable Explanation from the Individual (Y/N)	Paper Documentation Required from the Individual (Y/N)	Comments
				Both are above, at or below the applicable income standard.				<p>Maine verifies self-attested income through all available electronic data sources at initial application and recertification. Electronic data sources include Maine's eligibility system (ACES) which is integrated with other public assistance determinations (e.g. SNAP, TANF), the Work Number (Equifax), SSA, SDX, and Maine's Department of Labor.</p> <p>Data sources such as the Work Number and Maine's eligibility system will be used as the primary source of income verification. Less timely or aged data sources will only be checked if primary sources are unavailable or if needed to help resolve discrepancies. Data source matches will be used as follows:</p> <ul style="list-style-type: none"> • If attested income is above Medicaid limit, the self-attested income is used regardless of data source availability or sources found above/below the Medicaid limit. • If both the attested income and data sources are below the Medicaid limit, the higher of the attested income or data source will be used. • If attested income is below the Medicaid limit, and data sources are above the Medicaid limit a reasonable compatibility standard of 10% is used.

Eligibility Factor	Self-Attestation Accepted without Additional Verification (Y/N)	Self-Attestation Accepted with Post-Eligibility Verification (Y/N)	Electronic Data Source Used (Y/N)	Reasonable Compatibility Standard Used	Specify Reasonable Compatibility Standard for Income	Ask for a Reasonable Explanation from the Individual (Y/N)	Paper Documentation Required from the Individual (Y/N)	Comments
Income*	YES	NO	YES	Percent Threshold	10%	YES	YES	<p>Standard of 10% is used.</p> <ul style="list-style-type: none"> - If the difference is less than 10%, the information is considered reasonably compatible and self-attested income is used. - If the difference is greater than 10%, reasonable explanation is requested. If reasonable explanation is provided, the self-attested income is used. If not reasonable, further verification is required. <p>If an individual's attestation cannot be confirmed, due to no available data source or paper documentation, self-attestation will be accepted if the reported income is less than \$600/month.</p> <p>Paper documentation is requested for self-employment income, when reasonable explanation is not provided, when data sources do not exist, or it is needed to resolve discrepancies.</p> <p>Individuals determined ineligible for Medicaid are referred for APTC through the marketplace.</p>

Eligibility Factor	Self-Attestation Accepted without Additional Verification (Y/N)	Self-Attestation Accepted with Post-Eligibility Verification (Y/N)	Electronic Data Source Used (Y/N)	Reasonable Compatibility Standard Used	Specify Reasonable Compatibility Standard for Income	Ask for a Reasonable Explanation from the Individual (Y/N)	Paper Documentation Required from the Individual (Y/N)	Comments
Residency	YES	NO	NO	N/A	N/A	NO	NO	For most cases Maine will accept self-attestation without additional verification unless the state is made aware through electronic data that there is a discrepancy. Follow up will be done if a discrepancy is found post-eligibility with other programs (e.g. , SNAP/TANF) or if mail is returned. Source: Maine's eligibility system, SSA, The Work Number, Maine Department of Labor and PARIS. Will ask for an explanation and paper documentation if needed.
Age (Date of Birth)	NO	YES	YES	N/A	N/A	YES	YES	Sources: Maine's eligibility system, SSA BENDEX and DHS SAVE.
Social Security Number **	NO	NO	YES	N/A	N/A	N/A	YES	Sources: SSA BENDEX and Maine's eligibility system. Maine will ask for documentation only when we have a mis-match with SSA.
Citizenship **	NO	NO	YES	N/A	N/A	N/A	YES	Source: Maine's eligibility system, BENDEX. Paper will only be requested when a mis-match with SSA BENDEX is identified.
Immigration Status **	NO	NO	YES	N/A	N/A	N/A	YES	Sources: SAVE. Maine will only request documentation when an individual's immigration status cannot be verified through SAVE.

Eligibility Factor	Self-Attestation Accepted without Additional Verification (Y/N)	Self-Attestation Accepted with Post-Eligibility Verification (Y/N)	Electronic Data Source Used (Y/N)	Reasonable Compatibility Standard Used	Specify Reasonable Compatibility Standard for Income	Ask for a Reasonable Explanation from the Individual (Y/N)	Paper Documentation Required from the Individual (Y/N)	Comments
Household Composition	YES	NO	NO	N/A	N/A	NO	NO	Source: Household composition in Maine's eligibility system. For most cases Maine will accept self-attestation without additional verification unless the state is made aware that there is a discrepancy. In such cases, will ask for an explanation and paper documentation only if necessary.
Pregnancy ***	YES	NO	NO	N/A	N/A	NO	NO	Source: pregnancy information in Maine's eligibility system and other program records (e.g. SNAP, TANF, etc.) For most cases Maine will accept self-attestation without additional verification unless the state is made aware of a discrepancy. In such cases, will ask for an explanation and paper documentation only if necessary.
Caretaker Relative	YES	NO	NO	N/A	N/A	NO	NO	Source: caretaker relative information in Maine's eligibility system and other program records (e.g. SNAP, TANF, etc). For most cases Maine will accept self-attestation without additional verification unless the state is made aware of a discrepancy. In such cases, will ask for an explanation and paper documentation only if necessary.
Medicare	NO	YES	YES	N/A	N/A	YES	YES	Source: SSA BENDEX. Maine requests a copy of the individual's Medicare card when there is a discrepancy with the SSA match.
Application for Other Benefits	NO	YES	YES	N/A	N/A	YES	YES	Sources: information in Maine's eligibility system and PARIS. Maine may follow up post eligibility in situations where the individual is awaiting a decision on other benefits such as VA. Paper documentation may be required if we cannot verify electronically.
Other: (Please describe any other eligibility factors in the space below)								

Eligibility Factor	Self-Attestation Accepted without Additional Verification (Y/N)	Self-Attestation Accepted with Post-Eligibility Verification (Y/N)	Electronic Data Source Used (Y/N)	Reasonable Compatibility Standard Used	Specify Reasonable Compatibility Standard for Income	Ask for a Reasonable Explanation from the Individual (Y/N)	Paper Documentation Required from the Individual (Y/N)	Comments
Incarceration	YES	NO	NO	N/A	N/A	NO	NO	<p>Sources: Maine's eligibility system and the Maine Department of Corrections. Maine will take self-attestation. However, if the state receives information that the person is incarcerated, Maine will ask for a reasonable explanation or paper documentation if necessary. If no source information is available, Maine will accept attestation.</p> <p>Maine limits services to inpatient hospital to those who are incarcerated.</p>

* States must check electronic data sources determined useful to verify income in accordance with 42 CFR 435.948 but can be done post-enrollment.

If the information obtained from electronic data sources and the information provided by or on behalf of the individual are both above, at or below the applicable income standard, the State must determine the applicant eligible or ineligible for Medicaid/CHIP. (NOTE: this option is prepopulated for the state and is not an option that can be changed).

** States must follow statute, regulations, and guidance for verification of SSN, citizenship and immigration status including obtaining such information through the federal data services hub if available.

*** States must accept self-attestation of pregnancy unless they have information that is not reasonably compatible with such attestation.

MAGI-BASED ELIGIBILITY VERIFICATION PLAN

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State: Maine

Date Submitted: (mm/dd/yyyy) 05/05/2020

Section B1. Use of Electronic Data Sources

Financial:

Electronic Data Source	Determined Useful (Y/N)	Accuracy Considered (Y/N)	Timeliness Considered (Y/N)	Ability to Access Considered (Y/N)	Age of Data Considered (Y/N)	Comprehensive Considered (Y/N)	Other Criteria Used (Y/N) (Please Describe in Comments section)	Data Source Used at Application (Y/N)	Data Source Used at Renewal (Y/N)	Data Source Used Post-Enrollment (Y/N)	If Data Source Used for Post-Enrollment - Frequency Used (e.g. monthly, quarterly)	Comments
1. Internal Revenue Service (IRS)	NO	NO	YES	YES	YES	NO	NO	NO	NO	NO		Data is old and will not be useful for determinations; also security requirements are too onerous.
2. Social Security Administration (SSA) (SSI, Title II)	YES	YES	YES	YES	YES	YES	NO	YES	YES	YES	Monthly	If information received post eligibility indicates discrepancy, follow-up will occur to determine impact, if any, on eligibility. Post-eligibility data checks are based on state's current data match with SSA. Information for all applicable clients received on a monthly basis, received daily as requested for specific individuals. State will use existing SSA BENDEX data source.

Electronic Data Source	Determined Useful (Y/N)	Accuracy Considered (Y/N)	Timeliness Considered (Y/N)	Ability to Access Considered (Y/N)	Age of Data Considered (Y/N)	Comprehensive Considered (Y/N)	Other Criteria Used (Y/N) (Please Describe in Comments section)	Data Source Used at Application (Y/N)	Data Source Used at Renewal (Y/N)	Data Source Used Post-Enrollment (Y/N)	If Data Source Used for Post-Enrollment - Frequency Used (e.g. monthly, quarterly)	Comments
3. State Wage Information Collection Agency (SWICA)	NO	YES	YES	YES	YES	YES	YES	NO	NO	YES	Quarterly	Do not have a real-time data feed. Data is old and only available via reports that need to be worked manually. May be used for post-enrollment verification if other data sources or paper verification is not available.
4. State Unemployment Compensation	YES	YES	YES	YES	YES	YES	NO	YES	YES	YES	Monthly	Maine uses state unemployment compensation data at application, renewal and in post-enrollment.
5. State Administered Supplementary Payment Program	YES	YES	YES	YES	YES	YES	NO	YES	YES	YES	Monthly	Maine uses state supp payment data at application, renewal and in post-enrollment. Maine's eligibility system is integrated with state supplement benefits.
6. State General Assistance Programs	NO	NO	NO	YES	NO	NO	NO	NO	NO	NO		This data is not available electronically.

Electronic Data Source	Determined Useful (Y/N)	Accuracy Considered (Y/N)	Timeliness Considered (Y/N)	Ability to Access Considered (Y/N)	Age of Data Considered (Y/N)	Comprehensive Considered (Y/N)	Other Criteria Used (Y/N) (Please Describe in Comments section)	Data Source Used at Application (Y/N)	Data Source Used at Renewal (Y/N)	Data Source Used Post-Enrollment (Y/N)	If Data Source Used for Post-Enrollment - Frequency Used (e.g. monthly, quarterly)	Comments
7. Supplemental Nutrition Assistance Program (SNAP)	YES	YES	YES	YES	YES	YES	NO	YES	YES	YES	Other (specify in comments)	Maine's eligibility system is integrated with SNAP. Verified information contained in SNAP records will be used as a data source at initial application and renewal. If information received post eligibility indicates discrepancy, follow-up will occur to determine impact, if any, on eligibility. Post-enrollment matches will occur whenever financial information is changed in Maine's eligibility system by another program (e.g., SNAP).
8. Temporary Assistance for Needy Families (TANF)	YES	YES	YES	YES	YES	YES	NO	YES	YES	YES	Other (specify in comments)	Maine's eligibility system is integrated with the TANF program. Verified information contained in TANF records will be used as a data source at initial application and renewal. If information received post eligibility indicates discrepancy, follow-up will occur to determine impact, if any, on eligibility. Post-enrollment matches will occur whenever financial information is changed in Maine's eligibility system by another program.
9. Office of Child Support Enforcement (OCSE)	YES	YES	YES	YES	YES	YES	NO	YES	YES	NO		
10. State Income Tax	NO	NO	NO	YES	NO	NO	NO	NO	NO	NO		Not available

Electronic Data Source	Determined Useful (Y/N)	Accuracy Considered (Y/N)	Timeliness Considered (Y/N)	Ability to Access Considered (Y/N)	Age of Data Considered (Y/N)	Comprehensive Considered (Y/N)	Other Criteria Used (Y/N) (Please Describe in Comments section)	Data Source Used at Application (Y/N)	Data Source Used at Renewal (Y/N)	Data Source Used Post-Enrollment (Y/N)	If Data Source Used for Post-Enrollment - Frequency Used (e.g. monthly, quarterly)	Comments
11. Commercial database: (Please describe any commercial databases in the space below)												
The Work Number/Equifax	YES	YES	YES	YES	YES	YES	NO	YES	YES	NO		
Verify Advantage	YES	YES	YES	NO	NO	NO	NO	YES	YES	NO		Similar to Work number - Maine uses this data source to verify employment/wage information.
12. Other: (Please describe any additional electronic data sources in the space below)												

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02/21/2019

Section B2. Use of Electronic Data Sources

Non-Financial:

Electronic Data Source	To Be Used (Y/N)	Social Security Number	Citizenship	Immigration Status	Residency	Age/DOB	Pregnancy	Household Composition	Caretaker Relative	Medicare	Application for other Benefits	Other	Data Source Used at Application (Y/N)	Data Source Used at Renewal (Y/N)	Data Source Used Post-Enrollment (Y/N)	If Used for Post-Enrollment Frequency Used (i.e. monthly, quarterly)	Comments
1. Social Security Administration (SSA)	YES	YES	YES	NO	NO	YES	NO	NO	NO	YES	YES	NO	YES	YES	YES	Monthly	Maine uses its direct connection to BENDEX to verify citizenship, SSN, Age / DOB, Medicare and other benefits. Maine uses this connection to resolve any residency inconsistencies as applicable. Maine uses SSA data at renewal and post-enrollment for Medicare and application for other benefits.
2. Department of Homeland Security (DHS) - SAVE	YES	NO	YES	YES	NO	YES	NO	NO	NO	NO	NO	NO	YES	YES	YES	Other (specify in comments)	Maine uses its web-based connection to SAVE at renewal and post-enrollment for statuses that are subject to change or expire.
3. Vital Statistics	YES	NO	YES	NO	NO	YES	NO	NO	NO	NO	NO	NO	YES	YES	NO		

Electronic Data Source	To Be Used (Y/N)	Social Security Number	Citizenship	Immigration Status	Residency	Age/DOB	Pregnancy	Household Composition	Caretaker Relative	Medicare	Application for other Benefits	Other	Data Source Used at Application (Y/N)	Data Source Used at Renewal (Y/N)	Data Source Used Post-Enrollment (Y/N)	If Used for Post-Enrollment Frequency Used (i.e. monthly, quarterly)	Comments
4. Department of Motor Vehicles (DMV)	YES	NO	NO	NO	YES	YES	NO	YES	NO	NO	NO	NO	YES	YES	NO		Maine uses DMV information to resolve inconsistencies with residency or household composition.
5. Temporary Assistance for Needy Families (TANF)	YES	YES	YES	YES	YES	YES	YES	YES	YES	NO	YES	NO	YES	YES	YES	Other (specify in comments)	<p>Maine will use TANF data for SSN verification only if there is an indication that the SSN had been previously verified with SSA.</p> <p>Maine will use TANF data to verify citizenship and/or immigration status if they had been recently verified in accordance with Medicaid/CHIP rules.</p> <p>Maine uses TANF data to resolve inconsistencies with residency, household composition, pregnancy, and caretaker relative, if applicable.</p> <p>Maine uses TANF data on an ad-hoc basis.</p>

Electronic Data Source	To Be Used (Y/N)	Social Security Number	Citizenship	Immigration Status	Residency	Age/DOB	Pregnancy	Household Composition	Caretaker Relative	Medicare	Application for other Benefits	Other	Data Source Used at Application (Y/N)	Data Source Used at Renewal (Y/N)	Data Source Used Post-Enrollment (Y/N)	If Used for Post-Enrollment Frequency Used (i.e. monthly, quarterly)	Comments
6. Supplemental Nutrition Assistance Program (SNAP)	YES	YES	YES	YES	YES	YES	NO	YES	YES	NO	YES	NO	YES	YES	YES	Other (specify in comments)	<p>Maine will use SNAP data for SSN verification only if there is an indication that the SSN had been previously verified with SSA.</p> <p>Maine will use SNAP data to verify citizenship and/or immigration status if they had been verified in accordance with Medicaid/CHIP rules.</p> <p>Maine uses SNAP data to resolve inconsistencies with residency, household composition, pregnancy, and caretaker relative, if applicable.</p> <p>Maine uses SNAP data on an ad-hoc basis.</p>
7. Office of Child Support Enforcement	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO		
8. State General Assistance Programs	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO		

Electronic Data Source	To Be Used (Y/N)	Social Security Number	Citizenship	Immigration Status	Residency	Age/DOB	Pregnancy	Household Composition	Caretaker Relative	Medicare	Application for other Benefits	Other	Data Source Used at Application (Y/N)	Data Source Used at Renewal (Y/N)	Data Source Used Post-Enrollment (Y/N)	If Used for Post-Enrollment Frequency Used (i.e. monthly, quarterly)	Comments
9. Women, Infants and Children Program (WIC)	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO		
10. State Income Tax	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO		
11. Commercial database: <i>(Please describe any commercial databases in the space below)</i>																	
12. PARIS*	YES	NO	NO	NO	YES	NO	NO	NO	NO	NO	NO	YES	NO	NO	YES	Quarterly	Maine uses PARIS to identify benefits in another state.

Electronic Data Source	To Be Used (Y/N)	Social Security Number	Citizenship	Immigration Status	Residency	Age/DOB	Pregnancy	Household Composition	Caretaker Relative	Medicare	Application for other Benefits	Other	Data Source Used at Application (Y/N)	Data Source Used at Renewal (Y/N)	Data Source Used Post-Enrollment (Y/N)	If Used for Post-Enrollment Frequency Used (i.e. monthly, quarterly)	Comments
13. Other: <i>(Please describe additional electronic data sources in the space provided below)</i>																	
Maine Department of Corrections	YES	NO	NO	NO	YES	NO	NO	YES	NO	NO	NO	YES	NO	NO	YES	Other (specify in comments)	Maine uses the state's Dept. of Corrections to resolve inconsistencies with residency and household composition. DOC information may also be used to determine incarceration and suspend Medicaid benefits for payments as well.

* Under 42 CFR 435.945(d), all State Medicaid eligibility systems must conduct a match with PARIS for Interstate benefit information.
If used for other purposes, please indicate in Section D.

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Section C . Additional Factors of Eligibility for Separate CHIP

Eligibility Factor	Self-Attestation Accepted without Additional Verification	Self-Attestation Accepted with Post-Enrollment Verification (Y/N)	Electronic Data Source Used (Y/N) <i>If Yes, please describe in comments</i>	Paper Documentation Required from the Individual (Y/N)	Non-Applicable (N/A)	Comments
1. Applicant does not have other coverage	NO	YES	YES	YES	Must be Applied	Source: Maine's eligibility system, HMS. If attestation is inconsistent with source, obtain verification.
2. Applicant does not have access to affordable ESI	YES	NO	NO	NO	N/A	
3. When child has had coverage (as applicable to states' waiting period)	YES	NO	NO	NO		
4. Access to public employee coverage	YES	NO	NO	NO		Maine has elected to cover children of state employees.
5a. Waiting period exception #1 (describe):	NO	YES	YES	YES		Exception reason: Individual who dropped coverage does not reside with the child. Source: Maine's eligibility system.
5b. Waiting period exception #2 (describe):	NO	NO	NO	YES		Exception reason: Family (employee) pays 50% or more of the cost of the child's coverage. Will try and call the employer first before asking for paper. No electronic data source to obtain this information.

Eligibility Factor	Self-Attestation Accepted without Additional Verification	Self-Attestation Accepted with Post-Enrollment Verification (Y/N)	Electronic Data Source Used (Y/N) <i>If Yes, please describe in comments</i>	Paper Documentation Required from the Individual (Y/N)	Non-Applicable (N/A)	Comments
5c. Waiting period exception #3 (describe):	NO	NO	YES	NO		Exception reason: Family pays over 9.5% of all family income for family coverage (including the child dropped from coverage). Source: Maine's eligibility system.
5d. Waiting period exception #4 (describe):	NO	NO	YES	YES		Exception reason: The individual had good cause for terminating the insurance coverage. Source: Maine's eligibility system.
5e. Waiting period exception #5 (describe):					N/A	
5f. Waiting period exception #6 (describe):					N/A	
5g. Waiting period exception #7 (describe):					N/A	
5h. Waiting period exception #8 (describe):					N/A	
5i. Waiting period exception #9 (describe):					N/A	
5j. Waiting period exception #10 (describe):					N/A	
6. Other eligibility factors or exceptions to eligibility factors: <i>(Please describe in the space provided below)</i>						
Child residing in a public institution or in-patient psychiatric facility	YES	NO	NO	NO		

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Section D. Additional Verification Questions

	Question	Response
1	If paper documentation is required when a data source is not available or the information obtained from a data source is not reasonably compatible with the information provided by or on behalf of the individual, briefly describe how the state determined that establishing and using an electronic data source was not effective, considering such factors as cost and program integrity in accordance with 42 CFR 435.952(c):	The state accepts self-attestation without additional verification for many factors of eligibility and the state plans to use all electronic sources of data currently available. At this time the most cost-effective process is to request paper documentation only when needed to resolve identified discrepancies or in those cases where an electronic source for data does not exist.
2	Please describe how the state uses PARIS?	Maine uploads to the PARIS system data files that include information for all persons open to any program within ACES, Maine's eligibility system. Maine receives from PARIS Interstate Match files that include a data match of all records open in Maine and another state. Maine also receives Federal Match files that contains a data match between all records open in Maine and the Federal Defense Payroll. Maine also receives VA Match file that contain a data match of all records open in Maine with records from the Veteran's Administration.
3	Please indicate (YES) or (NO) if the State intends to request Secretarial approval to solely use alternative data sources for financial verification other than those listed in 42 CFR 435.948 (Numbers 1-8 in Section B-1).	NO

	Question	Response
	<p>If (YES), please submit a letter to CMS requesting such approval describing how the the state meets the following requirements:</p> <ol style="list-style-type: none"> 1) Reduces administrative costs and burdens on both individuals and the State, 2) Maximizes accuracy and minimizes delay, 3) Meets the requirements related to confidentiality, disclosure, maintenance and use of information, and 4) Promotes coordination with other insurance affordability programs. 	
4	<p>Please indicate (YES) or (NO) if the State intends to request Secretarial approval to use a mechanism other than the federal data services hub for information that is available through the hub.</p>	YES
	<p>If (YES), please submit a letter to CMS requesting such approval describing how the the state meets the following requirements:</p> <ol style="list-style-type: none"> 1) Reduces administrative costs and burdens on both individuals and the State, 2) Maximizes accuracy and minimizes delay, 3) Meets the requirements related to confidentiality, disclosure, maintenance and use of information, and 4) Promotes coordination with other insurance affordability programs. 	
5	<p>Describe any additional MAGI-based eligibility verification policies and procedures that have not been covered in this verification plan (optional):</p>	

Section A. Additional Comments

Section B1. Additional Comments

Section B2. Additional Comments

Section C. Additional Comments