
Medicaid & CHIP: October 2015 Monthly Applications, Eligibility Determinations and Enrollment Report

December 30, 2015

Background

This monthly report on state Medicaid and Children’s Health Insurance Program (CHIP) data represents state Medicaid and CHIP agencies’ eligibility activity for the calendar month of October 2015. This report measures eligibility and enrollment activity for the entire Medicaid and CHIP programs in all states¹, reflecting activity for all populations receiving comprehensive Medicaid and CHIP benefits in all states, including states that have not yet chosen to adopt the new low-income adult group established by the Affordable Care Act.² This data is submitted to CMS by states using a common set of indicators designed to provide information to support program management and policy-making related to application, eligibility, and enrollment processes.³

As with previous reports, this month’s report focuses on those indicators that relate to the Medicaid and CHIP application and enrollment process:⁴

- The number of applications submitted directly to Medicaid and CHIP agencies, including those received through State-Based Marketplaces (SBMs);
- The number of eligibility determinations made by Medicaid and CHIP agencies on the applications described above, as well as applications to the Federally-Facilitated Marketplace (FFM) in states for which the FFM makes an eligibility assessment (and the state then completes the Medicaid or CHIP determination); and
- The total number of individuals enrolled in the Medicaid and CHIP programs who are receiving comprehensive benefits, including details on the total number of children enrolled in these programs.

¹ For purposes of this report, the term “states” include the 50 states and the District of Columbia.

² As of October 2015, thirty states had implemented the expansion of Medicaid under the Affordable Care Act to cover adults under age 65 with incomes up to 133 percent of the Federal Poverty Level. The enrollment impact of the Medicaid expansion varies; some states expanded coverage to parents and other adults at income levels above the level required under federal law before the Affordable Care Act. Many other states previously covered parents only at the minimum required income levels and often did not cover other adults without disabilities who are under age 65 at all.

³ See Appendix A for the standardized data definitions for the data included in this report. States continue to transition to these standardized definitions. State-specific notes on the differences between state-reported data and the data definitions are included in the tables in this report.

⁴ The Affordable Care Act’s “no wrong door” policy means that individuals can apply for health insurance coverage through the Marketplace or the Medicaid or CHIP agency (if a separate agency) in their state and receive an eligibility determination for the health insurance program for which they are eligible. Information on applications received by (and Medicaid and CHIP assessments and determinations made by) the Marketplaces during the second Marketplace open enrollment period is included in the Health Insurance Marketplace 2015 Open Enrollment Period: March Enrollment Report (March 10, 2015).

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As with each monthly report, given that states are reporting monthly data to CMS soon after the close of the month, the October 2015 data presented in this report should be considered preliminary. We have published updated data for September 2015 applications, eligibility determinations and enrollment on Medicaid.gov, which includes a more complete data set than the previously reported preliminary September 2015 data.

Medicaid and CHIP October 2015 Enrollment Data Highlights

Total Individuals Enrolled in Medicaid and CHIP in October 2015 in All States Reporting October Data (includes all individuals enrolled in the program on the last day of the reporting period).⁵	71,754,506 ⁶
Additional enrollment since October 2013 when the initial Marketplace open enrollment period began, among the 48 states reporting both October 2015 enrollment data and data from July-September of 2013	13.5 million

- Nearly 71.8 million individuals were enrolled in Medicaid and CHIP in October 2015.⁷ This enrollment count is point-in-time (on the last day of the month) and includes all enrollees in the Medicaid and CHIP programs who are receiving a comprehensive benefit package.
- 187,958 additional people were enrolled in October 2015 as compared to September 2015 in the 50 states that reported comparable September and October 2015 data (Arkansas is not included in this count).⁸
- Looking at the additional enrollment since October 2013 when the initial Marketplace open enrollment period began, among the 48 states reporting both October 2015 enrollment data and data from July-September of 2013, over 13.5 million additional individuals are enrolled in Medicaid and CHIP as of October 2015, a 23.6 percent increase over the average monthly enrollment for July through September of 2013.⁹ (Arkansas, Connecticut and Maine are not

⁵ Arkansas did not report enrollment data for September and October 2015, meaning the enrollment counts for these months are comparable, but they are not comparable to enrollment figures from prior months which include Arkansas's enrollment data. Also, this enrollment data may differ from other published state and national enrollment figures because it only includes individuals with comprehensive benefits. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid section 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods. See Appendix A for more information on this methodology. See the notes in Table 1 for state-specific caveats regarding the reported data. The enrollment measure is a total count of enrollment in Medicaid and CHIP, not solely a count of those newly enrolled during the reporting period.

⁶ The data contained in this report is preliminary because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in October 2015 after the close of the month due to retroactive eligibility under Medicaid or similar reasons. In Medicaid, individuals are potentially eligible for retroactive coverage for incurred health care costs dating back to 90 days prior to the date of application.

⁷ See footnote 5 and 6.

⁸ See the notes in Table 1 for state-specific caveats regarding the reported data.

⁹ The net change in enrollment is based on data from the 48 states reporting both October 2015 enrollment data and data from July-September 2013 (the baseline period before the initial Marketplace open enrollment period) that

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included in this count. Arkansas was included in monthly reports prior to September 2015, so the cumulative change reported in this month's report is not comparable to the corresponding statistics in those reports.)¹⁰

- Among states that had implemented the Medicaid expansion and were covering newly eligible adults in October 2015, Medicaid and CHIP enrollment rose by nearly 31 percent compared to the July-September 2013 baseline period, while states that have not, to date, expanded Medicaid reported an increase of over 10.2 percent over the same period.¹¹
- These enrollment counts are in addition to the enrollment increases from the nearly 950,000 individuals who gained coverage as a result of the Affordable Care Act before open enrollment began.¹² Seven states implemented an "early option" to expand Medicaid coverage to adults with incomes up to 133 percent of the FPL between April 1, 2010 and January 1, 2014, using new state plan authority provided by the Affordable Care Act or a demonstration under section 1115 of the Social Security Act building upon that authority.¹³

As with previous reports, multiple factors contribute to the change in enrollment between October 2015 and the July-September 2013 baseline period, including but not limited to changes attributable to the Affordable Care Act. The enrollment numbers included in this report understate total Medicaid and CHIP enrollment because the data is preliminary. The preliminary data, which is submitted approximately a week after the close of the reporting period, generally does not include Medicaid and

contains comparable enrollment groups. These 48 states report total enrollment in October of approximately 70,744,045 million individuals, and July-September 2013 average enrollment of over 57.2 million. For October 2015, we are reporting growth of 13,506,800 compared to July-September 2013. This figure exceeds the 13,340,726 in net enrollment growth that was included in the *Medicaid and CHIP: September 2015 Applications, Eligibility Determinations, and Enrollment Report* by over 166,000. This difference does not match the 187,958 increase reported above for the September to October 2015 period because the 187,958 figure is based on 50 states, while the 166,000 figure is based on only 48 states. See the notes in table 1 for state-specific caveats regarding the reported data. Because the July-September 2013 data may contain individuals with retroactive Medicaid coverage, and the October 2015 data included in this report is preliminary (see footnote 6), the difference reported here between October 2015 and July-September 2013 period is likely understated.

¹⁰ Connecticut and Maine did not submit enrollment data for the July-September 2013 baseline period. Arkansas did not report enrollment data for October 2015.

¹¹ Percentage calculations are based only on states reporting in both October 2015 and the July through September 2013 baseline period. See footnote 9 for additional information. Among expansion states, the enrollment increases vary based on the size of the coverage expansions that occurred. States that previously offered comprehensive coverage to many adults with incomes under 133 percent of the FPL will likely see smaller increases than those who previously offered only coverage for very low-income parents. Additionally, in most states that provided Medicaid coverage to adults with incomes above 133 percent of the FPL prior to 2014, those individuals are no longer eligible for or enrolled in Medicaid and instead may be eligible to purchase qualified health plan coverage through the Marketplace, and may be eligible for the premium tax credit and cost-sharing reduction portion of the advance payments; this change will reduce the overall Medicaid enrollment in those states. Enrollment changes may also be impacted by other state specific policy and operational issues related to applications and renewals.

¹² Enrollment data for California, Colorado, the District of Columbia, Minnesota, and New Jersey was reported to CMS by the state and is from the end of 2013. Data for Connecticut and Washington is from 2012 (Source: Sommers, B. D., Arntson, E., Kenney, G. M., et al., "Lesson from Early Medicaid Expansions under Health Reform: Interviews with Medicaid Officials", *Medicare & Medicaid Research Review*, 2013: Volume 3, number 4 (http://www.cms.gov/mmrr/Downloads/MMRR2013_003_04_a02.pdf).

¹³ Connecticut, the District of Columbia, Minnesota utilized state plan authority, while California, Colorado, New Jersey, and Washington provided the coverage through Medicaid demonstration authority under section 1115 of the Social Security Act. For more information about this "early option," please see [Medicaid and CHIP: March 2014 Monthly Applications, Eligibility Determinations, and Enrollment Report \(May 1, 2014\)](#).

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CHIP eligible individuals who applied in October and whose application will be fully processed after October 31st; these individuals will be enrolled effective back to at least the date of application or the first of the month and we note that those enrollments are not reflected in this preliminary data. Similarly, the preliminary data does not likely include individuals who had medical expenses in October 2015, applied for Medicaid in a later month, and qualify for retroactive Medicaid coverage in October 2015.¹⁴ Updated enrollment data for past months, which is updated one month after the close of the reporting period, is available on the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page on Medicaid.gov.¹⁵

Child Enrollment

Total Medicaid child and CHIP enrollment in the 45 states reporting in October 2015¹⁶	29,204,614 ¹⁷
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We are also reporting separately on the total number of individuals enrolled in CHIP and children enrolled in Medicaid for those states that are reporting the relevant data for each month in the six-month period between May and October 2015.¹⁸ This data appears in Table 2.¹⁹

- In the 45 states that reported relevant data for the month of October, more than 29.2 million individuals are enrolled in CHIP or are children enrolled in the Medicaid program.
- In the 45 states that reported both October 2015 total Medicaid child and CHIP enrollment and total Medicaid and CHIP enrollment data, children enrolled in the Medicaid program and individuals enrolled in CHIP make up nearly 53.2 percent of total Medicaid and CHIP program enrollment.

¹⁴ See footnote 6 for additional information on retroactive eligibility.

¹⁵ The updated data available on Medicaid.gov is not directly comparable to the preliminary data included in this report because it contains retroactive enrollment and individuals who applied during the reporting period but were determined eligible after the close of the reporting period.

¹⁶ This figure includes children enrolled in the Medicaid program and the total enrollment for separate CHIP programs, which is comprised mostly of children. However, several states also cover pregnant women through CHIP. As a result, some adults are included in this figure. This figure was calculated for any state that reported data on Medicaid child enrollment through the performance indicator process, subject to CMS quality review of the data. States use the definition of "child" as included in the state's Medicaid or CHIP state plan in reporting performance indicator data to CMS, which varies from state to state. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods.

¹⁷ See the notes in table 2 for state-specific caveats.

¹⁸ Arkansas did not report enrollment data in October and September 2015 unlike in prior months, resulting in the reported September and October 2015 child enrollment figures in table 2 appearing to be lower than other months' total enrollment figures. Although the child enrollment counts for October and September 2015 are comparable, neither is comparable to other months. Further, all prior month's total child enrollment figure includes data that is reported more than a month after the close of the reporting period, and thus includes some children enrolled retroactively. The preliminary October 2015 data included in this report is collected in the month after the reporting period and includes very few (if any) children enrolled retroactively, and thus is lower. An updated October figure that includes more retroactively enrolled individuals will be included in the next report in this series.

¹⁹ Children are included in the total number of individuals enrolled in Medicaid and CHIP in October 2015, as reported on page 2 and in Table 1. Total enrollment data for January 2014 through September 2015 is available on Medicaid.gov.

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It is important to note that all states had already expanded coverage for children in Medicaid and/or CHIP prior to implementation of the Affordable Care Act, although that trend has continued since then.²⁰

Medicaid and CHIP October 2015 Application and Eligibility Data Highlights

	October 2015 Monthly in All States Reporting
<i>Applications</i>	
Applications for Financial Assistance Initially Received by State Medicaid and CHIP Agencies (note that more than one individual may be included on an application and some states have included renewals and account transfers from the FFM)	1,670,877 ²¹
Applications for Financial Assistance Initially Received by State-Based Marketplaces (note that more than one individual may be included on an application)	579,294 ²²
<i>Eligibility Determinations</i>	
Individuals Determined Eligible for Medicaid and CHIP by State Agencies at Application (includes those newly eligible under the Affordable Care Act and those eligible under prior law)	2,154,935 ²³

During the month of October 2015, over 2.25 million applications for coverage and financial assistance (including Medicaid and CHIP coverage, tax credits and subsidies) were submitted at the state level (including nearly 1.7 million received directly by state Medicaid and CHIP agencies and nearly 580,000 received by SBMs).²⁴ Table 3 includes a comparison of the total applications for financial assistance to state agencies (including applications for Medicaid, CHIP, and financial assistance in the Marketplace in SBM states) in October 2015 as compared to the prior month (September 2015). Note that these application numbers do not include applications received at the FFM and subsequently transferred to

²⁰ Four million more children were covered by Medicaid or CHIP in 2012, compared with 2008. Kenneth Finegold and Sophia Koontz, *ASPE Issue Brief: Children’s Health Coverage on the 5th Anniversary of CHIPRA*, February 4, 2014, http://aspe.hhs.gov/health/reports/2014/CHIPRA_5thAnniversary/ib_CHIPRA5thanniversary.pdf

²¹ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states included some renewals, applications to SBMs, or transfers from the FFM in the data. Note that Arkansas did not report application data this month as it has in prior months.

²² See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states reported renewals in the data and included applications received by their SBMs in their Medicaid and CHIP agency application data instead of reporting these applications separately. California did not report SBM application data this month.

²³ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states reported some individuals determined eligible at renewal in the data. Tennessee only provided determination data on their CHIP program.

²⁴ The following states have included renewals in their October 2015 application data: Alaska, the District of Columbia, New York, Ohio, Pennsylvania, and Virginia. South Dakota and Utah included transfers from the FFM in its October 2015 application data.

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state Medicaid or CHIP agencies (see State-by-State Table notes for exceptions), including applications received by the FFM during the Marketplace open enrollment period that began on November 15th.²⁵

States reported making nearly 2.2 million eligibility determinations for Medicaid and CHIP in October 2015 for individuals applying for coverage. As described above and in prior reports, this figure includes all of state Medicaid and CHIP agencies' determinations for individuals eligible under prior law, as well as through coverage expansions under the Affordable Care Act; note that, due to limitations on the data some states are able to report, the number of determinations includes renewals in those states.²⁶

Additionally, the determination number does not represent unique individuals, as an individual may have more than one eligibility determination. It is important to keep in mind that differences in volume of administrative transfers and other types of one-time enrollment activities can affect month-to-month comparisons of eligibility data.²⁷

See the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page on [Medicaid.gov](http://www.Medicaid.gov) for preliminary and updated data on applications and determinations for October 2013 through September 2015.

Data Limitations

CMS established a common set of definitions for consistency in reporting the Medicaid and CHIP performance indicators; these definitions appear in Appendix A. States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in notes in the State-by-State tables.

State-by-State Tables

Below are 3 tables with state-specific data. The first table contains data on the total number of people enrolled in state Medicaid and CHIP programs in October 2015. The second table contains data on children enrolled in Medicaid and CHIP. The third table contains data on Medicaid and CHIP applications and eligibility determinations for the month of October 2015. The description of each data element included in the table is available in Appendix A. Unless otherwise noted, the reporting period for all elements is October 1 - 31, 2015.

²⁵ See footnote 21.

²⁶ The states that have included renewals in their October 2015 determination data are: District of Columbia, Iowa, Michigan, Nevada, New Jersey, Ohio, Pennsylvania, South Dakota, Vermont and Virginia. Some of these renewals are conducted without the individual submitting a new application, using information already in the state's system that the individual is asked to verify.

²⁷ A number of states are employing a new tool for facilitating Medicaid enrollment to conduct an "administrative transfer" to Medicaid using income information from the Supplemental Nutritional Assistance Program (SNAP) or the CHIP program (for the parents of CHIP enrollees). The following states have implemented administrative transfers since this authority was made available: Arkansas, California, Illinois, New Jersey, Oregon, and West Virginia. No states reported conducting administrative transfers in October 2015. As of the end of April 2015, 726,597 individuals have been determined eligible for Medicaid or CHIP as a result of this transitional strategy in the six states that have implemented it and reported appropriate data. Michigan received approval to conduct administrative transfers but it has not implemented this targeted enrollment strategy.

Table 1: Medicaid and CHIP: September and October 2015 Preliminary Monthly Enrollment

States Expanding Medicaid	Marketplace Type	Enrollment					
		Total Medicaid and CHIP Enrollment, September 2015 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (II)	% Change September to October 2015 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to October 2015 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to October 2015 (Columns (IV) and (II)) (VI)
Alaska	FFM	125,616	127,028	1.12%	122,334	4,694	3.84%
Arizona	FFM	1,651,626	1,665,454	0.84%	1,201,770	463,684	38.58%
Arkansas	Partnership	-	-	-	556,851	-	-
California	SBM	12,636,680	12,647,724	0.09%	9,157,000	3,490,724	38.12%
Colorado	SBM	1,284,942	1,286,318	0.11%	783,420	502,898	64.19%
Connecticut	SBM	708,056	729,874	3.08%	-	-	-
Delaware	Partnership	241,376	241,638	0.11%	223,324	18,314	8.20%
District of Columbia	SBM	256,554	257,521	0.38%	235,786	21,735	9.22%
Hawaii	SBM	336,721	333,629	-0.92%	288,357	45,272	15.70%
Illinois	Partnership	3,107,843	3,114,524	0.21%	2,626,943	487,581	18.56%
Indiana	FFM	1,417,693	1,419,029	0.09%	1,120,674	298,355	26.62%
Iowa	Partnership	605,969	608,097	0.35%	493,515	114,582	23.22%
Kentucky	SBM	1,150,740	1,161,342	0.92%	606,805	554,537	91.39%
Maryland	SBM	1,145,527	1,148,568	0.27%	856,297	292,271	34.13%
Massachusetts	SBM	1,653,824	1,677,249	1.42%	1,296,359	380,890	29.38%
Michigan	Partnership	2,288,352	2,289,337	0.04%	1,912,009	377,328	19.73%
Minnesota	SBM	1,010,557	1,013,971	0.34%	873,040	140,931	16.14%
Montana^	Plan Management	178,685	179,952	0.71%	148,974	30,978	20.79%
Nevada	SBM **	580,852	587,220	1.10%	332,560	254,660	76.58%
New Hampshire	Partnership	182,002	182,233	0.13%	127,082	55,151	43.40%
New Jersey	FFM	1,741,157	1,729,435	-0.67%	1,283,851	445,584	34.71%
New Mexico	SBM **	728,278	735,391	0.98%	457,678	277,713	60.68%
New York	SBM	6,520,240	6,554,908	0.53%	5,678,417	876,491	15.44%
North Dakota	FFM	88,622	89,243	0.70%	69,980	19,263	27.53%
Ohio	Plan Management	2,979,036	2,965,107	-0.47%	2,341,481	623,626	26.63%
Oregon	SBM **	1,055,198	1,056,007	0.08%	626,356	429,651	68.60%
Pennsylvania	FFM	2,684,876	2,696,254	0.42%	2,386,046	310,208	13.00%
Rhode Island	SBM	278,375	272,988	-1.94%	190,833	82,155	43.05%
Vermont	SBM	187,174	188,602	0.76%	161,081	27,521	17.09%
Washington	SBM	1,735,511	1,743,423	0.46%	1,117,576	625,847	56.00%
West Virginia	Partnership	546,459	547,365	0.17%	354,544	192,821	54.39%
Subtotal for All States Expanding Medicaid		49,108,541	49,249,431	0.29%	37,630,943	11,445,465	30.87%
Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month		48,929,856	49,069,479	0.29%	37,481,969	11,414,487	30.91%
Subtotal for States Expanding Medicaid that Reported in September and October 2015		49,108,541	49,249,431	140,890			
Subtotal for States Expanding Medicaid that Reported in October 2015 and July-Sept. 2013			48,519,557		37,074,092	11,445,465	

Table 1: Medicaid and CHIP: September and October 2015 Preliminary Monthly Enrollment

^=Montana's effective date for implementing the expansion is January 1, 2016. MT is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month."

**= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both September and October 2015 data.

Columns V and VI are calculated for only those states that reported data from both October 2015 and the July-Sept. 2013 period.

The subtotals for states reporting data from both October 2015 and the July-Sept. 2013 period exclude AR and CT.

California	(I), (II)	Includes individuals transferred from the Low Income Health Program section 1115 demonstration.
California	(IV)	Includes approximately 650,000 individuals transferred from the Low Income Health Program section 1115 demonstration.
District of Columbia	(I), (II), (IV)	Includes limited benefit dual eligible individuals and individuals enrolled in the locally funded DC Health Alliance.
Illinois	(IV)	Includes individuals who received retroactive coverage (unlike columns (I) and (II)).
Indiana	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Minnesota	(IV)	May include duplicates (unlike columns (I) and (II)).
New Jersey	(I), (II), (IV)	Includes individuals eligible at any point in the month.
New York	(I), (II)	Includes estimated retroactive enrollment.
North Dakota	(IV)	Data is from July 2013 only.
Oregon	(IV)	Includes emergency Medicaid population.

Table 1: Medicaid and CHIP: September and October 2015 Preliminary Monthly Enrollment

		Enrollment					
States Not Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, September 2015 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (II)	% Change September to October 2015 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to October 2015 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to October 2015 (Columns (IV) and (II)) (VI)
Alabama	FFM	881,949	878,405	-0.40%	799,176	79,229	9.91%
Florida	FFM	3,589,604	3,585,392	-0.12%	3,104,996	480,396	15.47%
Georgia	FFM	1,738,986	1,736,302	-0.15%	1,535,090	201,212	13.11%
Idaho	SBM	278,780	279,855	0.39%	238,150	41,705	17.51%
Kansas	Plan Management	390,020	396,270	1.60%	378,160	18,110	4.79%
Louisiana	FFM	1,083,684	1,085,461	0.16%	1,019,787	65,674	6.44%
Maine	Plan Management	280,521	280,587	0.02%	-	-	-
Mississippi	FFM/SBM-SHOP	697,395	694,963	-0.35%	637,229	57,734	9.06%
Missouri	FFM	926,456	934,722	0.89%	846,084	88,638	10.48%
Nebraska	Plan Management	232,574	233,410	0.36%	244,600	-11,190	-4.57%
North Carolina	FFM	1,904,635	1,919,830	0.80%	1,595,952	323,878	20.29%
Oklahoma	FFM	807,970	803,914	-0.50%	790,051	13,863	1.75%
South Carolina	FFM	954,645	951,988	-0.28%	889,744	62,244	7.00%
South Dakota	Plan Management	118,314	118,234	-0.07%	115,501	2,733	2.37%
Tennessee	FFM	1,531,950	1,540,536	0.56%	1,244,516	296,020	23.79%
Texas	FFM	4,666,144	4,684,783	0.40%	4,441,605	243,178	5.48%
Utah	FFM/SBM-SHOP	302,977	310,097	2.35%	294,029	16,068	5.46%
Virginia	Plan Management	960,839	959,790	-0.11%	935,434	24,356	2.60%
Wisconsin	FFM	1,046,102	1,045,475	-0.06%	985,531	59,944	6.08%
Wyoming	FFM	64,462	65,061	0.93%	67,518	-2,457	-3.64%
Subtotal for All States Not Expanding Medicaid		22,458,007	22,505,075	0.21%	20,163,153	2,061,335	10.22%
Subtotal for States Not Expanding Medicaid that Reported in September and October 2015		22,458,007	22,505,075	Difference September to October 2015 47,068			
Subtotal for States Not Expanding Medicaid that Reported in October 2015 and July-Sept. 2013			22,224,488		20,163,153	Difference July-Sept 2013 to October 2015 2,061,335	

Table 1: Medicaid and CHIP: September and October 2015 Preliminary Monthly Enrollment

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFM.

Column III is calculated for only those states that reported both September and October 2015 data.

Columns V and VI are calculated for only those states that reported data from both October 2015 and the July-Sept. 2013 period.

The subtotals for states reporting data from both October 2015 and the July-Sept. 2013 period exclude ME.

Alabama	(IV)	Data is from September 2013 only.
Florida	(I), (II)	Does not include SSI recipients enrolled in Medicaid.
Utah	(I), (II), (IV)	Includes service limited Medicare program beneficiaries (SLMBs).
Wisconsin	(IV)	Does not include retroactive enrollment.

Table 1: Medicaid and CHIP: September and October 2015 Preliminary Monthly Enrollment

Total Enrollment						
All States	Total Medicaid and CHIP Enrollment, September 2015 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (II)	% Change September to October 2015 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to October 2015 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to October 2015 (Columns (IV) and (II)) (VI)
Total Across All States	71,566,548	71,754,506	0.26%	57,794,096	13,506,800	23.60%
Total for States that Reported in September and October 2015	71,566,548	71,754,506	Difference September to October 2015 187,958			
Total for States that Reported in October 2015 and July-Sept. 2013		70,744,045		57,237,245	Difference July-Sept 2013 to October 2015 13,506,800	

Column III is calculated for only those states that reported both September and October 2015 data.

Columns V and VI are calculated for only those states that reported data from both October 2015 and the July-Sept. 2013 period.

Totals for states reporting data from both October 2015 and the July-Sept. 2013 period exclude AR, CT and ME.

Table 2: Medicaid and CHIP: October 2015 Preliminary Monthly Medicaid and CHIP Child Enrollment

Enrollment								
State	Medicaid and CHIP Child Enrollment						Total Medicaid and CHIP Enrollment	Medicaid and CHIP Child Enrollment as a % of Total Enrollment (Columns (VI) and (VII))
	May, 2015 (I)	June, 2015 (II)	July, 2015 (III)	August, 2015 (IV)	September, 2015 (V)	October, 2015 (Preliminary) (VI)	October, 2015 (Preliminary) (VII)	October, 2015 (Preliminary) (VIII)
Alabama	631,798	624,942	631,880	633,982	633,005	620,763	878,405	70.67%
Alaska	72,331	72,335	72,528	72,947	72,778	69,933	127,028	55.05%
Arizona	-	-	-	-	-	-	1,665,454	-
Arkansas	441,058	430,402	434,362	421,997	-	-	-	-
California	-	-	-	-	-	-	12,647,724	-
Colorado	594,537	593,550	597,780	601,432	603,965	601,375	1,286,318	46.75%
Connecticut	292,595	299,633	293,733	283,634	279,853	291,832	729,874	39.98%
Delaware	104,731	105,062	105,559	105,953	105,540	105,780	241,638	43.78%
District of Columbia	-	-	-	-	-	-	257,521	-
Florida	2,354,600	2,371,021	2,391,150	2,405,590	2,408,626	2,407,910	3,585,392	67.16%
Georgia	1,254,338	1,253,601	1,249,976	1,252,127	1,246,408	1,213,883	1,736,302	69.91%
Hawaii	142,611	143,359	143,635	144,842	145,126	141,884	333,629	42.53%
Idaho	205,139	204,680	205,186	206,424	207,367	206,746	279,855	73.88%
Illinois	1,493,267	1,487,715	1,487,746	1,485,437	1,485,641	1,473,494	3,114,524	47.31%
Indiana	741,284	754,190	772,633	777,521	781,031	762,043	1,419,029	53.70%
Iowa	293,987	293,483	293,559	295,354	295,057	295,902	608,097	48.66%
Kansas	289,711	287,136	282,257	285,662	283,678	279,397	396,270	70.51%
Kentucky	523,448	522,615	523,181	530,870	535,515	537,869	1,161,342	46.31%
Louisiana	772,086	774,235	775,438	777,848	779,248	779,133	1,085,461	71.78%
Maine	118,423	119,383	117,954	118,258	118,219	118,144	280,587	42.11%
Maryland	585,190	579,578	572,644	570,142	561,376	560,424	1,148,568	48.79%
Massachusetts	645,950	656,689	656,892	665,344	670,614	670,485	1,677,249	39.98%
Michigan	1,065,075	1,004,144	1,009,894	1,002,210	993,127	967,027	2,289,337	42.24%
Minnesota	495,694	493,678	493,824	498,651	499,831	492,278	1,013,971	48.55%
Mississippi	486,793	484,031	481,599	481,177	479,336	473,283	694,963	68.10%
Missouri	583,292	587,924	591,552	587,908	588,581	595,679	934,722	63.73%
Montana*	109,526	109,740	110,293	110,693	111,308	110,572	179,952	61.45%
Nebraska	160,852	158,873	158,815	159,306	159,585	156,349	233,410	66.98%
Nevada	269,470	273,306	276,479	280,391	281,779	284,873	587,220	48.51%
New Hampshire	91,091	93,609	95,033	95,076	95,416	93,845	182,233	51.50%
New Jersey	840,880	844,106	839,872	839,201	837,593	823,753	1,729,435	47.63%
New Mexico	-	-	-	-	-	-	735,391	-
New York	2,428,638	2,435,086	2,440,385	2,442,913	2,443,197	2,440,666	6,554,908	37.23%
North Carolina	1,346,752	1,377,021	1,364,647	1,360,402	1,362,924	1,323,193	1,919,830	68.92%
North Dakota	41,883	41,713	41,476	41,376	41,383	41,676	89,243	46.70%
Ohio	1,256,335	1,281,927	1,275,188	1,291,942	1,262,788	1,253,418	2,965,107	42.27%
Oklahoma	528,288	526,924	529,385	525,577	521,521	517,743	803,914	64.40%
Oregon	454,927	436,346	431,771	437,378	434,094	426,606	1,056,007	40.40%
Pennsylvania	1,319,845	1,326,484	1,329,938	1,333,359	1,338,424	1,330,303	2,696,254	49.34%
Rhode Island	107,884	108,839	109,835	112,331	110,968	110,470	272,988	40.47%
South Carolina	655,668	661,041	649,844	634,489	612,656	608,527	951,988	63.92%
South Dakota	80,604	80,571	80,863	80,593	80,698	80,722	118,234	68.27%
Tennessee	-	-	-	-	-	-	1,540,536	-
Texas	3,421,574	3,427,141	3,430,098	3,448,311	3,466,456	3,448,731	4,684,783	73.62%
Utah	217,362	216,980	218,176	218,609	218,606	218,270	310,097	70.39%
Vermont	70,242	67,566	67,885	68,018	68,327	68,243	188,602	36.18%
Virginia	660,211	662,213	663,036	661,979	661,808	646,682	959,790	67.38%
Washington	797,206	796,070	802,614	807,418	812,128	812,582	1,743,423	46.61%
West Virginia	207,582	209,002	209,836	210,902	210,428	209,673	547,365	38.31%
Wisconsin	492,809	492,565	492,247	491,699	491,047	491,307	1,045,475	46.99%
Wyoming	42,793	40,993	40,301	40,348	40,477	41,146	65,061	63.24%
Total For All States	29,790,360	29,811,502	29,842,979	29,897,621	29,437,533	29,204,614	71,754,506	53.19%
Number of States Reporting	46	46	46	46	45	45	50	45

Table 2: Medicaid and CHIP: October 2015 Preliminary Monthly Medicaid and CHIP Child Enrollment

For general notes on enrollment data, see Table 1: Medicaid and CHIP: September and October 2015 Preliminary Monthly Enrollment

(-)=State has not reported data or data submitted was incomplete.

States that provide coverage to pregnant women through CHIP and whose child enrollment figures include pregnant women are NJ, RI, CO, AR, CA, LA, MA, MI, MN, NE, OK, OR, TN, VA, WA, WI.

Column VIII is calculated for only those states that reported both October 2015 child enrollment data and October 2015 Total Medicaid and CHIP enrollment data.

Alabama	(I)	Includes duplicates; however, updates to reporting methodology are ongoing and each successive month includes fewer duplicates.
Iowa	(I) - (VI)	Data are preliminary.
New York	(I) - (VII)	Includes estimated retroactive enrollment.

Table 3: Medicaid and CHIP: October 2015 Monthly Applications and Eligibility Determinations

States Expanding Medicaid	Marketplace Type	Applications					Determinations		
		New Applications Submitted to Medicaid and CHIP Agencies, October 2015 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, October 2015 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, October 2015 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, September 2015 (Preliminary) (IV)	% Change September to October 2015 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, October 2015 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, October 2015 (Preliminary) (VII)	Total New Determinations, October 2015 (Preliminary) (VIII)
Alaska	FFM	4,447	N/A	4,447	5,486	-18.94%	5,829	-	5,829
Arizona	FFM	-	N/A	-	-	-	-	-	-
Arkansas	Partnership	-	N/A	-	-	-	-	-	-
California	SBM	57,512	-	57,512	55,112	4.35%	321,311	-	321,311
Colorado	SBM	17,809	2,354	20,163	19,628	2.73%	18,834	259	19,093
Connecticut	SBM	9,930	7,363	17,293	19,114	-9.53%	18,899	321	19,220
Delaware	Partnership	1,527	N/A	1,527	1,443	5.82%	1,492	45	1,537
District of Columbia	SBM	2,735	5,272	8,007	6,232	28.48%	10,996	-	10,996
Hawaii	SBM	4,289	-	4,289	4,632	-7.41%	3,244	127	3,371
Illinois	Partnership	73,093	N/A	73,093	75,225	-2.83%	52,044	17,196	69,240
Indiana	FFM	90,308	N/A	90,308	92,801	-2.69%	44,043	3,152	47,195
Iowa	Partnership	24,025	N/A	24,025	16,856	42.53%	2,135	-	2,135
Kentucky	SBM	11,086	15,247	26,333	26,460	-0.48%	20,288	1,151	21,439
Maryland	SBM	6,874	71,440	78,314	63,053	24.20%	83,715	10,201	93,916
Massachusetts	SBM	18,516	11,184	29,700	31,430	-5.50%	-	-	-
Michigan	Partnership	73,012	N/A	73,012	71,437	2.20%	78,539	4,474	83,013
Minnesota	SBM	5,911	15,767	21,678	21,676	0.01%	16,497	53	16,550
Montana [^]	Plan Management	3,137	N/A	3,137	3,083	1.75%	3,387	272	3,659
Nevada	SBM ^{**}	17,659	-	17,659	18,549	-4.80%	11,430	43	11,473
New Hampshire	Partnership	8,014	N/A	8,014	7,995	0.24%	5,320	463	5,783
New Jersey	FFM	33,974	N/A	33,974	36,160	-6.05%	14,220	5,714	19,934
New Mexico	SBM ^{**}	9,289	N/A	9,289	9,130	1.74%	29,714	-	29,714
New York	SBM	-	383,233	383,233	361,375	6.05%	122,435	10,431	132,866
North Dakota	FFM	-	N/A	-	-	-	6,442	218	6,660
Ohio	Plan Management	145,895	N/A	145,895	150,015	-2.75%	260,551	-	260,551
Oregon	SBM ^{**}	29,523	-	29,523	26,870	9.87%	56,751	-	56,751
Pennsylvania	FFM	135,142	N/A	135,142	142,113	-4.91%	55,506	7,708	63,214
Rhode Island	SBM	1,650	-	1,650	1,719	-4.01%	23,495	6,925	30,420
Vermont	SBM	2,872	1,572	4,444	1,504	195.48%	1,801	19	1,820
Washington	SBM	22,035	65,862	87,897	69,280	26.87%	44,370	754	45,124
West Virginia	Partnership	24,840	N/A	24,840	24,288	2.27%	13,047	633	13,680
Subtotal for All States Expanding Medicaid		835,104	579,294	1,414,398	1,362,666	3.80%	1,326,335	70,159	1,396,494
Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month		831,967	579,294	1,411,261	1,359,583	3.80%	1,322,948	69,887	1,392,835
Subtotal for States Expanding Medicaid that Reported in September and October 2015				1,414,398	1,362,666	Difference September to October 2015 51,732			

[^]=Montana's effective date for implementing the expansion is January 1, 2016. MT is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month."

^{**}= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported September and October 2015 Applications data (subtotals exclude AR, AZ, ND).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

‡ Reported value is less than 10, excluded from data set to ensure privacy.

Table 3: Medicaid and CHIP: October 2015 Monthly Applications and Eligibility Determinations

Alaska	(I), (III), (IV)	Includes renewals converting to MAGI methodology.
Alaska	(VI), (VIII)	MAGI determinations include CHIP.
California	(I)	Reflects primarily newly-determined and likely eligible Medicaid applicants,
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(II)	Includes applications to SBM that did not request financial assistance.
California	(I), (II)	Includes updates to reporting methodology to remove duplicates.
California	(IV)	Reflects primarily newly-determined and likely eligible Medicaid applicants,
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(VI)	Reflects primarily newly-determined and likely eligible Medicaid applicants
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
Colorado	(I)	State Medicaid agency hosts SBM portal; number includes all applications for insurance affordability programs.
Connecticut	(I), (III), (IV)	Data may include some duplication of applications between Medicaid and CHIP.
Connecticut	(II)	Data may contain duplicate applications, and may include some change in circumstance updates that are not new applications for coverage.
Connecticut	(VI)	Count is of households, not individuals.
District of Columbia	(III)	The count of applications received by the Medicaid agency and the State Based Marketplace may overlap; total may contain some duplicates.
District of Columbia	(VI)	Includes all determinations (e.g., renewals); includes CHIP.
District of Columbia	(III), (IV)	Includes renewals.
Hawaii	(I)	Number includes all applications for insurance affordability programs.
Iowa	(VI)	Includes MAGI populations only.
Iowa	(VI), (VII), (VIII)	Data are incomplete; does not include all determinations.
Iowa	(VI), (VII)	Includes renewals.
Maryland	(VI), (VII), (VIII)	Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 10/1 - 10/31.
Michigan	(VI), (VII)	Includes renewals.
Minnesota	(VI)	Includes CHIP.
Nevada	(I), (III), (IV)	Includes renewals.
Nevada	(VI)	Count is of households, not individuals. Includes renewals.
New Jersey	(I), (III), (IV)	Includes applications received at county welfare agencies.
New Jersey	(VI), (VII), (VIII)	Does not include all eligibility determinations; county welfare agencies determinations are not included in this data.
New York	(III), (VI)	Includes renewals.
Ohio	(I), (III), (IV)	Includes renewals. May include some applications only for other benefits, not for Medicaid and CHIP.
Ohio	(VI)	Includes CHIP.
Ohio	(VI), (VIII)	Includes renewals.
Oregon	(VI)	Count is of households, not individuals; includes CHIP.
Oregon	(VI), (VIII)	Includes MAGI populations only.
Pennsylvania	(I), (III), (IV)	Includes renewals.
Pennsylvania	(VI), (VIII)	Includes renewals.
Rhode Island	(VI), (VII)	Includes only determinations through new MAGI system.
Vermont	(IV)	Does not include State Medicaid Agency data (unlike column (III)).
Vermont	(VI)	Includes renewals.

Table 3: Medicaid and CHIP: October 2015 Monthly Applications and Eligibility Determinations

States Not Expanding Medicaid	Marketplace Type	Applications					Determinations		
		New Applications Submitted to Medicaid and CHIP Agencies, October 2015 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, October 2015 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, October 2015 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, September 2015 (Preliminary) (IV)	% Change September to October 2015 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, October 2015 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, October 2015 (Preliminary) (VII)	Total New Determinations, October 2015 (Preliminary) (VIII)
Alabama	FFM	17,171	N/A	17,171	17,228	-0.33%	26,226	3,375	29,601
Florida	FFM	365,486	N/A	365,486	313,916	16.43%	215,571	13,307	228,878
Georgia	FFM	102,383	N/A	102,383	91,117	12.36%	41,976	796	42,772
Idaho	SBM	10,181	-	10,181	7,490	35.93%	2,477	177	2,654
Kansas	Plan Management	-	N/A	-	-	-	-	-	-
Louisiana	FFM	22,856	N/A	22,856	24,075	-5.06%	29,481	1,721	31,202
Maine	Plan Management	1,533	N/A	1,533	1,724	-11.08%	10,036	315	10,351
Mississippi	FFM/SBM-SHOP	17,366	N/A	17,366	17,154	1.24%	12,120	419	12,539
Missouri	FFM	22,042	N/A	22,042	20,485	7.60%	9,887	-	9,887
Nebraska	Plan Management	6,954	N/A	6,954	6,960	-0.09%	7,786	906	8,692
North Carolina	FFM	23,550	N/A	23,550	23,156	1.70%	104,138	13,351	117,489
Oklahoma	FFM	42,049	N/A	42,049	43,329	-2.95%	35,632	5,034	40,666
South Carolina	FFM	27,396	N/A	27,396	31,220	-12.25%	5,843	119	5,962
South Dakota	Plan Management	2,563	N/A	2,563	2,751	-6.83%	1,628	-	1,628
Tennessee	FFM	1,622	N/A	1,622	1,517	6.92%	-	821	821
Texas	FFM	100,246	N/A	100,246	101,486	-1.22%	99,667	16,175	115,842
Utah	FFM/SBM-SHOP	23,177	N/A	23,177	21,016	10.28%	56,879	-	56,879
Virginia	Plan Management	25,947	N/A	25,947	24,688	5.10%	19,147	915	20,062
Wisconsin	FFM	21,726	N/A	21,726	21,720	0.03%	18,759	2,002	20,761
Wyoming	FFM	1,525	N/A	1,525	-	-	1,496	259	1,755
Subtotal for All States Not Expanding Medicaid		835,773	-	835,773	771,032	8.20%	698,749	59,692	758,441
Subtotal for States Not Expanding Medicaid that Reported in September and October 2015				834,248	771,032	Difference September to October 2015 63,216			
Total Across All States		1,670,877	579,294	2,250,171	2,133,698	5.39%	2,025,084	129,851	2,154,935
Total for States that Reported in September and October 2015				2,248,646	2,133,698	Difference September to October 2015 114,948			

Table 3: Medicaid and CHIP: October 2015 Monthly Applications and Eligibility Determinations

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported September and October 2015 Applications data (subtotals exclude KS and WY; totals exclude AR, AZ, KS, ND, WY).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFM.

Percent change figures in the subtotal of column V exclude TN, which only reports CHIP data.

‡ Reported value is less than 10, excluded from data set to ensure privacy.

Alabama	(I), (III)	Reporting capacity in joint eligibility and enrollment system increased in October. Includes some applications from joint eligibility and enrollment system.
Alabama	(VIII)	Reporting capacity in joint eligibility and enrollment system increased in October.
South Dakota	(I), (III), (IV)	Includes account transfers from the FFM.
South Dakota	(VI)	Includes all determinations (e.g., renewals); includes CHIP.
Tennessee	(I), (III), (IV)	Data are from CHIP agency only. Medicaid reporting capability in development.
Tennessee	(V)	Excluded because data is only from CHIP agency.
Utah	(I), (III), (IV)	Includes applications for non-health coverage programs.
Utah	(I), (III)	Includes account transfers from the FFM.
Virginia	(I), (III), (IV)	Includes renewals.
Virginia	(VI), (VII)	Includes renewals.
Wisconsin	(VI), (VII)	Count is of unique individuals receiving determinations, not unique determinations.

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APPENDIX A: Description of Data Elements in Tables

Table 1: Medicaid and CHIP: September and October 2015 Preliminary Monthly Enrollment

Total Medicaid and CHIP Enrollment, September 2015 (Preliminary) (I)

Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (II)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The October 2015 data was submitted in November and is considered preliminary.²⁸ The September 2015 data in this table was submitted in October and is also preliminary. September data that was updated in November (which may include more individuals with retroactive eligibility) is posted separately on Medicaid.gov. Other reporting on Medicaid and CHIP enrollment by states and researchers often may include some beneficiaries excluded in this data (because comprehensive coverage is not provided), or otherwise use a different methodology.

Percent Change September to October 2015 (III)

The percentage change in **Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (II)** as compared to **Total Medicaid and CHIP Enrollment, September 2015 (Preliminary) (I)** is calculated for states that provided data for both periods. In cases where there is a negative percentage change, this may be due to a number of factors. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)

The average number of individuals enrolled in Medicaid and CHIP for each month during the July-September 2013 period using an average of enrollment numbers submitted by the states for each month in this period. Not all states submitted three months of data; the average is calculated using any months of baseline data that were provided. The unit of measurement is individuals enrolled as of the last day of the reporting period (month). This baseline data includes more retroactive enrollments than the October 2015 data, which makes change between the baseline data and the October preliminary data look smaller than it would be if retroactive enrollments were excluded from the data for the July-September 2013 period.²⁹ Such exclusions were not possible.

Net Change, July-Sept. 2013 to October 2015 (V)

²⁸ In Medicaid, individuals are potentially eligible for retroactive eligibility to cover incurred health care costs for up to 90 days prior to the date of application. Under the Performance Indicator process, states initially submit their monthly enrollment data just a week after the month closes, and then update their data one month later. Therefore, the Performance Indicator enrollment counts will always be slightly understated, as they will not include all individuals granted retroactive eligibility.

²⁹ See footnote 28.

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The net change in **Total Medicaid and CHIP Enrollment, October 2015 (preliminary) (II)** as compared to **Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)** is calculated for states that provided data for both periods and represents the difference in enrollment between these two periods. A negative net change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Percentage Change, July-Sept. 2013 to October 2015 (VI)

The percentage change in **Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (II)**, compared to **Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)**, is calculated for states that provided data for both periods. A negative percentage change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Note: Updated enrollment data for January 2014 through August 2015 is available on the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page on [Medicaid.gov](http://www.Medicaid.gov). This data is updated more than 30 days after the close of the reporting period, to account for retroactive Medicaid eligibility and other updates to the preliminary data provided soon after the close of the reporting period, which forms the basis for this report.

Table 2: Medicaid and CHIP: October 2015 Preliminary Monthly Medicaid Child and CHIP Enrollment

Medicaid Child and CHIP Enrollment, May - September 2015 ((I)-(V))

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.³⁰ These figures may have been updated by states more than 30 days after the close to the reporting period to include enrollees with retroactive coverage and other updates.

Medicaid Child and CHIP Enrollment, October 2015 (Preliminary) (VI)

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

³⁰ The states which provide complete coverage to pregnant women through CHIP are New Jersey, Rhode Island, and Colorado. In addition, the following states provide certain services to pregnant women through CHIP: Arkansas, California, Louisiana, Massachusetts, Michigan, Minnesota, Nebraska, Oklahoma, Oregon, Rhode Island, Tennessee, Texas, Virginia, Washington, and Wisconsin. Benefits offered vary by state.

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States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.³¹ The October 2015 data was submitted in November and is considered preliminary.³²

Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (VII)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The October data was submitted in November 2015 and is considered preliminary.³³ This data is the same as the data reported in column (II) in Table 1: Medicaid and CHIP: September and October 2015 Preliminary Monthly Enrollment.

Medicaid and CHIP Child Enrollment as a % of Total Enrollment (VIII)

Medicaid and CHIP Child Enrollment, October 2015 (Preliminary) (VI) as a percentage of Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (VII).

³¹ See footnote 30.

³² See footnote 28.

³³ See footnote 28.

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Table 3: Medicaid and CHIP: October 2015 Monthly Applications and Eligibility Determinations

Application Data Elements

New Applications Submitted to Medicaid and CHIP Agencies, October 2015 (Preliminary) (I)

Number of applications received by the Medicaid agency, a separate CHIP agency (if one exists in the state), or both during the reporting period. Includes applications received online, via mail, in person or phone. Does not include applications submitted to a State-Based Marketplace (SBM) or the Federally-Facilitated Marketplace (FFM) (the SBM number is reported in column IV).³⁴ It should be noted that data reflected in this performance indicator are not a count of individuals, as more than one person may be included on a single application. As states are transitioning to new systems, they may not be able to report applications received across all aspects of their programs or systems. See the state-specific notes in the table for further information about state limitations in this area.³⁵ The October 2015 data was submitted in November and is considered preliminary.³⁶

Applications for Financial Assistance Submitted to the State-Based Marketplace, October 2015 (Preliminary) (II)

This element is the number of applications requesting financial assistance (Medicaid, CHIP or the Advanced Premium Tax Credit and Cost-Sharing Reductions) that have been received by the SBM during the reporting period. The October 2015 data was submitted in November and is considered preliminary.³⁷

Total Applications for Financial Assistance Submitted at the State Level, October 2015 (Preliminary) (III)

Total Applications for Financial Assistance Submitted at the State Level, September 2015 (Preliminary) (IV)

For states with an SBM, the data reflects the total of **Applications Submitted to Medicaid and CHIP Agencies, October 2015 (Preliminary)** plus **Applications for Financial Assistance Submitted to the State-Based Marketplace, October 2015 (Preliminary)**. For FFM states, the data reflects **Applications Submitted to Medicaid and CHIP Agencies, October 2015 (Preliminary)**. For SBM states, the data includes all applications for financial assistance; individuals on these applications will be determined eligible for Medicaid, CHIP or Advanced Premium Tax Credits and Cost-Sharing Reductions, or determined ineligible for financial assistance. The October 2015 data was submitted in November and is considered preliminary. The September 2015 data in this table was submitted in October 2015 and is also preliminary. September data that was updated in November (which may include additional individuals who applied in November, but who were not captured in the preliminary data) is posted separately on Medicaid.gov.

Percentage Change September to October 2015 (V)

The percentage change in **Total Applications for Financial Assistance Submitted at the State Level, October 2015 (Preliminary) (III)** as compared to **Total Preliminary Applications Submitted**

³⁴ As described in the state-specific notes in the tables, some states included account transfers from the FFM in the total count of applications received by Medicaid and CHIP agencies.

³⁵ As described in the state-specific notes in the tables, some states included renewals in the total count of applications received by Medicaid and CHIP agencies.

³⁶ See footnote 28.

³⁷ See footnote 28.

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at the State Level, September 2015 (Preliminary) (IV) is calculated for states that provided data for both periods.

Eligibility Determination Data Elements

Individuals Determined Eligible for Medicaid at Application, October 2015 (VI)

Total number of individuals determined eligible for Medicaid (under title XIX of the Social Security Act) during the reporting period based on applications for coverage submitted to any state agency (Medicaid, CHIP or the SBM) or based on an administrative determination authorized under a targeted enrollment strategy approved by CMS.³⁸ The unit of measurement is a count of individuals (as compared to the application numbers, which may include multiple people in one application). This number includes all determinations on applications made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. This does not include determinations made at a periodic redetermination or because of a change in circumstance for a current beneficiary.³⁹ The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals because an individual may have more than one determination within the reporting period., individuals are first determined based on MAGI, and if needed, may request a subsequent determination based on non-MAGI factors. A list of which eligibility groups are MAGI and which are non-MAGI is available on [Medicaid.gov](http://www.Medicaid.gov).

As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the notes in the table for further information about state limitations in this area.

In states receiving account transfers from the FFM in October where the FFM makes an eligibility assessment (and the state then completes the Medicaid determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make Medicaid eligibility determinations, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing the determinations, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see [Medicaid.gov](http://www.Medicaid.gov).

Individuals Determined Eligible for CHIP at Application, October 2015 (VII)

Total number of individuals determined eligible for CHIP (under title XXI of the Social Security Act) during the reporting period that follows the applicant submitting an application for coverage to any state agency (Medicaid, CHIP, or the SBM). This number includes all determinations at application made within the reporting period—some of those determinations

³⁸ Information on targeted enrollment strategies and the states approved for these strategies is available here: <http://www.medicicaid.gov/medicaid-chip-program-information/program-information/targeted-enrollment-strategies/targeted-enrollment-strategies.html>.

³⁹ As described in the state-specific notes in the tables, some states could not provide data that met our specifications. Notes are provided in cases where the state included renewals in this data element.

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are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the footnotes in the table for further information about state limitations in this area. The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals.

In states receiving account transfers from the FFM in October where the FFM makes an eligibility assessment (and the state then completes the CHIP determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make a CHIP eligibility determination, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing a determination, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see Medicaid.gov.

Total New Determinations, October 2015 (VIII)

The total of **Individuals Determined Eligible for Medicaid at Application** plus **Individuals Determined Eligible for CHIP at Application**.

A Note about Federally-Facilitated Marketplace Types: For the purposes of the report, we refer to State Partnership Marketplaces (Partnership), States performing Plan Management functions (Plan Management), and the State-Based Small Business Health Options Program (SB-SHOP) as Federally-Facilitated Marketplaces (FFMs). The state-by-state tables contain information on the specific marketplace type for each state.