
**Medicaid & CHIP: November 2015 Monthly Applications,
Eligibility Determinations and Enrollment Report**
January 27, 2016

Background

This monthly report on state Medicaid and Children’s Health Insurance Program (CHIP) data represents state Medicaid and CHIP agencies’ eligibility activity for the calendar month of November 2015. This report measures eligibility and enrollment activity for the entire Medicaid and CHIP programs in all states¹, reflecting activity for all populations receiving comprehensive Medicaid and CHIP benefits in all states, including states that have not yet chosen to adopt the new low-income adult group established by the Affordable Care Act.² This data is submitted to CMS by states using a common set of indicators designed to provide information to support program management and policy-making related to application, eligibility, and enrollment processes.³

As with previous reports, this month’s report focuses on those indicators that relate to the Medicaid and CHIP application and enrollment process:⁴

- The number of applications submitted directly to Medicaid and CHIP agencies, including those received through State-Based Marketplaces (SBMs);
- The number of eligibility determinations made by Medicaid and CHIP agencies on the applications described above, as well as applications to the Federally-Facilitated Marketplace (FFM) in states for which the FFM makes an eligibility assessment (and the state then completes the Medicaid or CHIP determination); and
- The total number of individuals enrolled in the Medicaid and CHIP programs who are receiving comprehensive benefits, including details on the total number of children enrolled in these programs.

As with each monthly report, given that states are reporting monthly data to CMS soon after the close of the month, the November 2015 data presented in this report should be considered preliminary. We

¹ For purposes of this report, the term “states” include the 50 states and the District of Columbia.

² As of November 2015, thirty states had implemented the expansion of Medicaid under the Affordable Care Act to cover adults under age 65 with incomes up to 133 percent of the Federal Poverty Level. The enrollment impact of the Medicaid expansion varies; some states expanded coverage to parents and other adults at income levels above the level required under federal law before the Affordable Care Act. Many other states previously covered parents only at the minimum required income levels and often did not cover other adults without disabilities who are under age 65 at all.

³ See Appendix A for the standardized data definitions for the data included in this report. States continue to transition to these standardized definitions. State-specific notes on the differences between state-reported data and the data definitions are included in the tables in this report.

⁴ The Affordable Care Act’s “no wrong door” policy means that individuals can apply for health insurance coverage through the Marketplace or the Medicaid or CHIP agency (if a separate agency) in their state and receive an eligibility determination for the health insurance program for which they are eligible. Information on applications received by (and Medicaid and CHIP assessments and determinations made by) the Marketplaces during the second Marketplace open enrollment period is included in the Health Insurance Marketplace 2015 Open Enrollment Period: March Enrollment Report (March 10, 2015).

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have published updated data for October 2015 applications, eligibility determinations and enrollment on Medicaid.gov, which includes a more complete data set than the previously reported preliminary October 2015 data.

Medicaid and CHIP November 2015 Enrollment Data Highlights

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|---|-------------------------|
| Total Individuals Enrolled in Medicaid and CHIP in November 2015 in All States Reporting November Data (includes all individuals enrolled in the program on the last day of the reporting period).⁵ | 70,823,681 ⁶ |
| Additional enrollment since October 2013 when the initial Marketplace open enrollment period began, among the 48 states reporting both November 2015 enrollment data and data from July-September of 2013 | 14.1 million |

- Nearly 71 million individuals were enrolled in Medicaid and CHIP in November 2015.⁷ This enrollment count is point-in-time (on the last day of the month) and includes all enrollees in the Medicaid and CHIP programs who are receiving a comprehensive benefit package.
- 274,893 additional people were enrolled in November 2015 as compared to October 2015 in the 50 states that reported comparable October and November 2015 data (Arkansas is not included in this count).⁸

⁵ Arkansas did not report enrollment data for September, October, and November 2015, meaning the enrollment counts for these months are comparable, but they are not comparable to enrollment figures from prior months which include Arkansas's enrollment data. Also, this enrollment data may differ from other published state and national enrollment figures because it only includes individuals with comprehensive benefits. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid section 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods. See Appendix A for more information on this methodology. See the notes in Table 1 for state-specific caveats regarding the reported data. The enrollment measure is a total count of enrollment in Medicaid and CHIP, not solely a count of those newly enrolled during the reporting period.

⁶ The data contained in this report is preliminary because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in November 2015 after the close of the month due to retroactive eligibility under Medicaid or similar reasons. In Medicaid, individuals are potentially eligible for retroactive coverage for incurred health care costs dating back to 90 days prior to the date of application. This figure is not comparable to the October 2015 total enrollment included in last month's report (nearly 71.8 million). California updated its data this month to more closely align with CMS's data specifications, which resulted in a downward adjustment to its total enrollment of more than 1 million. The state subsequently revised all prior months' enrollment counts to reflect the updated methodology and to ensure comparability across months. The state's enrollment data for October 2015 and the July – September 2013 baseline period that are included in this report reflect this methodological change.

⁷ See footnote 5 and 6.

⁸ See the notes in Table 1 for state-specific caveats regarding the reported data.

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- Looking at the additional enrollment since October 2013 when the initial Marketplace open enrollment period began, among the 48 states reporting both November 2015 enrollment data and data from July-September of 2013, nearly 14.1 million additional individuals are enrolled in Medicaid and CHIP as of November 2015, nearly a 25.3 percent increase over the average monthly enrollment for July through September of 2013.⁹ (Arkansas, Connecticut and Maine are not included in this count. Arkansas was included in monthly reports prior to September 2015, so the cumulative change reported in this month's report is not comparable to the corresponding statistics in those reports.)¹⁰
- Among states that had implemented the Medicaid expansion and were covering newly eligible adults in November 2015, Medicaid and CHIP enrollment rose by nearly 34 percent compared to the July-September 2013 baseline period, while states that have not, to date, expanded Medicaid reported an increase of 10.1 percent over the same period.¹¹
- These enrollment counts are in addition to the enrollment increases from the nearly 950,000 individuals who gained coverage as a result of the Affordable Care Act before open enrollment began.¹² Seven states implemented an "early option" to expand Medicaid coverage to adults with incomes up to 133 percent of the FPL between April 1, 2010 and January 1, 2014, using

⁹ The net change in enrollment is based on data from the 48 states reporting both November 2015 enrollment data and data from July-September 2013 (the baseline period before the initial Marketplace open enrollment period) that contains comparable enrollment groups. These 48 states report total enrollment in November of over 69.8 million individuals, and July-September 2013 average enrollment of over 55.7 million. For November 2015, we are reporting growth of 14,090,872 compared to July-September 2013. This figure exceeds the 13,506,800 in net enrollment growth that was included in the *Medicaid and CHIP: October 2015 Applications, Eligibility Determinations, and Enrollment Report* by over 584,000. This difference does not match the 274,893 increase reported above for the October to November 2015 period for several reasons. First, one state updated its data this month to better align with CMS's data specifications. In addition, the 274,893 figure is based on 50 states, while the 584,000 figure is based on only 48 states. See the notes in table 1 for state-specific caveats regarding the reported data. Because the July-September 2013 data may contain individuals with retroactive Medicaid coverage, and the November 2015 data included in this report is preliminary (see footnote 6), the difference reported here between November 2015 and July-September 2013 period is likely understated.

¹⁰ Connecticut and Maine did not submit enrollment data for the July-September 2013 baseline period. Arkansas did not report enrollment data for November 2015.

¹¹ Percentage calculations are based only on states reporting in both November 2015 and the July through September 2013 baseline period. See footnote 9 for additional information. Among expansion states, the enrollment increases vary based on the size of the coverage expansions that occurred. States that previously offered comprehensive coverage to many adults with incomes under 133 percent of the FPL will likely see smaller increases than those who previously offered only coverage for very low-income parents. Additionally, in most states that provided Medicaid coverage to adults with incomes above 133 percent of the FPL prior to 2014, those individuals are no longer eligible for or enrolled in Medicaid and instead may be eligible to purchase qualified health plan coverage through the Marketplace, and may be eligible for the premium tax credit and cost-sharing reduction portion of the advance payments; this change will reduce the overall Medicaid enrollment in those states. Enrollment changes may also be impacted by other state specific policy and operational issues related to applications and renewals.

¹² Enrollment data for California, Colorado, the District of Columbia, Minnesota, and New Jersey was reported to CMS by the state and is from the end of 2013. Data for Connecticut and Washington is from 2012 (Source: Sommers, B. D., Arntson, E., Kenney, G. M., et al., "Lesson from Early Medicaid Expansions under Health Reform: Interviews with Medicaid Officials", *Medicare & Medicaid Research Review*, 2013: Volume 3, number 4 (http://www.cms.gov/mmrr/Downloads/MMRR2013_003_04_a02.pdf).

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new state plan authority provided by the Affordable Care Act or a demonstration under section 1115 of the Social Security Act building upon that authority.¹³

As with previous reports, multiple factors contribute to the change in enrollment between November 2015 and the July-September 2013 baseline period, including but not limited to changes attributable to the Affordable Care Act. The enrollment numbers included in this report understate total Medicaid and CHIP enrollment because the data is preliminary. The preliminary data, which is submitted approximately a week after the close of the reporting period, generally does not include Medicaid and CHIP eligible individuals who applied in November and whose application will be fully processed after November 30th; these individuals will be enrolled effective back to at least the date of application or the first of the month and we note that those enrollments are not reflected in this preliminary data. Similarly, the preliminary data does not likely include individuals who had medical expenses in November 2015, applied for Medicaid in a later month, and qualify for retroactive Medicaid coverage in November 2015.¹⁴ Updated enrollment data for past months, which is updated one month after the close of the reporting period, is available on the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page on Medicaid.gov.¹⁵

Child Enrollment

¹³ Connecticut, the District of Columbia, Minnesota utilized state plan authority, while California, Colorado, New Jersey, and Washington provided the coverage through Medicaid demonstration authority under section 1115 of the Social Security Act. For more information about this “early option,” please see [Medicaid and CHIP: March 2014 Monthly Applications, Eligibility Determinations, and Enrollment Report \(May 1, 2014\)](#).

¹⁴ See footnote 6 for additional information on retroactive eligibility.

¹⁵ The updated data available on Medicaid.gov is not directly comparable to the preliminary data included in this report because it contains retroactive enrollment and individuals who applied during the reporting period but were determined eligible after the close of the reporting period.

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|--|--------------------------|
| Total Medicaid child and CHIP enrollment in the 45 states reporting in November 2015¹⁶ | 29,331,597 ¹⁷ |
|--|--------------------------|

We are also reporting separately on the total number of individuals enrolled in CHIP and children enrolled in Medicaid for those states that are reporting the relevant data for each month in the six-month period between June and November 2015.¹⁸ This data appears in Table 2.¹⁹

- In the 45 states that reported relevant data for the month of November, more than 29.3 million individuals are enrolled in CHIP or are children enrolled in the Medicaid program.
- In the 45 states that reported both November 2015 total Medicaid child and CHIP enrollment and total Medicaid and CHIP enrollment data, children enrolled in the Medicaid program and individuals enrolled in CHIP make up nearly 53.3 percent of total Medicaid and CHIP program enrollment.

It is important to note that all states had already expanded coverage for children in Medicaid and/or CHIP prior to implementation of the Affordable Care Act, although that trend has continued since then.²⁰

¹⁶ This figure includes children enrolled in the Medicaid program and the total enrollment for separate CHIP programs, which is comprised mostly of children. However, several states also cover pregnant women through CHIP. As a result, some adults are included in this figure. This figure was calculated for any state that reported data on Medicaid child enrollment through the performance indicator process, subject to CMS quality review of the data. States use the definition of "child" as included in the state's Medicaid or CHIP state plan in reporting performance indicator data to CMS, which varies from state to state. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods.

¹⁷ See the notes in table 2 for state-specific caveats.

¹⁸ Arkansas did not report enrollment data in September, October, and November 2015 unlike in prior months, resulting in the reported September, October, and November 2015 child enrollment figures in table 2 appearing to be lower than other months' total enrollment figures. Although the child enrollment counts for September, October and November 2015 are comparable, they are not comparable to other months. Further, all prior month's total child enrollment figure includes data that is reported more than a month after the close of the reporting period, and thus includes some children enrolled retroactively. The preliminary November 2015 data included in this report is collected in the month after the reporting period and includes very few (if any) children enrolled retroactively, and thus is lower. An updated November figure that includes more retroactively enrolled individuals will be included in the next report in this series.

¹⁹ Children are included in the total number of individuals enrolled in Medicaid and CHIP in November 2015, as reported on page 2 and in Table 1. Total enrollment data for January 2014 through October 2015 is available on Medicaid.gov.

²⁰ Four million more children were covered by Medicaid or CHIP in 2012, compared with 2008. Kenneth Finegold and Sophia Koontz, *ASPE Issue Brief: Children's Health Coverage on the 5th Anniversary of CHIPRA*, February 4, 2014, http://aspe.hhs.gov/health/reports/2014/CHIPRA_5thAnniversary/ib_CHIPRA5thanniversary.pdf

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Medicaid and CHIP November 2015 Application and Eligibility Data Highlights

| | November 2015 Monthly in All States Reporting |
|--|--|
| <i>Applications</i> | |
| Applications for Financial Assistance Initially Received by State Medicaid and CHIP Agencies (note that more than one individual may be included on an application and some states have included renewals and account transfers from the FFM) | 1,506,297 ²¹ |
| Applications for Financial Assistance Initially Received by State-Based Marketplaces (note that more than one individual may be included on an application) | 766,824 ²² |
| <i>Eligibility Determinations</i> | |
| Individuals Determined Eligible for Medicaid and CHIP by State Agencies at Application (includes those newly eligible under the Affordable Care Act and those eligible under prior law) | 1,466,545 ²³ |

During the month of November 2015, nearly 2.3 million applications for coverage and financial assistance (including Medicaid and CHIP coverage, tax credits and subsidies) were submitted at the state level (including over 1.5 million received directly by state Medicaid and CHIP agencies and nearly 800,000 received by SBMs).²⁴ Table 3 includes a comparison of the total applications for financial assistance to state agencies (including applications for Medicaid, CHIP, and financial assistance in the Marketplace in SBM states) in November 2015 as compared to the prior month (October 2015). Note that these application numbers do not include applications received at the FFM and subsequently transferred to state Medicaid or CHIP agencies (see State-by-State Table notes for exceptions), including applications received by the FFM during the Marketplace open enrollment period that began on November 15th.²⁵

²¹ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states included some renewals, applications to SBMs, or transfers from the FFM in the data. Note that Arkansas and California did not report application data this month as they have in prior months.

²² See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states reported renewals in the data and included applications received by their SBMs in their Medicaid and CHIP agency application data instead of reporting these applications separately. .

²³ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states reported some individuals determined eligible at renewal in the data. Tennessee only provided determination data on their CHIP program.

²⁴ The following states have included renewals in their November 2015 application data: Alaska, the District of Columbia, New York, Ohio, Pennsylvania, and Virginia. South Dakota and Utah included transfers from the FFM in its November 2015 application data.

²⁵ See footnote 21.

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States reported making nearly 1.5 million eligibility determinations for Medicaid and CHIP in November 2015 for individuals applying for coverage. As described above and in prior reports, this figure includes all of state Medicaid and CHIP agencies' determinations for individuals eligible under prior law, as well as through coverage expansions under the Affordable Care Act; note that, due to limitations on the data some states are able to report, the number of determinations includes renewals in those states.²⁶ Additionally, the determination number does not represent unique individuals, as an individual may have more than one eligibility determination. It is important to keep in mind that differences in volume of administrative transfers and other types of one-time enrollment activities can affect month-to-month comparisons of eligibility data.²⁷

See the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page on [Medicaid.gov](http://www.Medicaid.gov) for preliminary and updated data on applications and determinations for October 2013 through October 2015.

Data Limitations

CMS established a common set of definitions for consistency in reporting the Medicaid and CHIP performance indicators; these definitions appear in Appendix A. States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in notes in the State-by-State tables.

State-by-State Tables

Below are 3 tables with state-specific data. The first table contains data on the total number of people enrolled in state Medicaid and CHIP programs in November 2015. The second table contains data on children enrolled in Medicaid and CHIP. The third table contains data on Medicaid and CHIP applications and eligibility determinations for the month of November 2015. The description of each data element included in the table is available in Appendix A. Unless otherwise noted, the reporting period for all elements is November 1 – 30, 2015.

²⁶ The states that have included renewals in their November 2015 determination data are: District of Columbia, Iowa, Michigan, Nevada, New Jersey, Ohio, Pennsylvania, Vermont and Virginia. Some of these renewals are conducted without the individual submitting a new application, using information already in the state's system that the individual is asked to verify.

²⁷ A number of states are employing a new tool for facilitating Medicaid enrollment to conduct an "administrative transfer" to Medicaid using income information from the Supplemental Nutritional Assistance Program (SNAP) or the CHIP program (for the parents of CHIP enrollees). The following states have implemented administrative transfers since this authority was made available: Arkansas, California, Illinois, New Jersey, Oregon, and West Virginia. No states reported conducting administrative transfers in November 2015. As of the end of April 2015, 726,597 individuals have been determined eligible for Medicaid or CHIP as a result of this transitional strategy in the six states that have implemented it and reported appropriate data. Michigan received approval to conduct administrative transfers but it has not implemented this targeted enrollment strategy.

Table 1: Medicaid and CHIP: October and November 2015 Preliminary Monthly Enrollment

| | | Enrollment | | | | | |
|--|------------------|--|--|--|--|--|---|
| States Expanding Medicaid | Marketplace Type | Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (I) | Total Medicaid and CHIP Enrollment, November 2015 (Preliminary) (II) | % Change October to November 2015 (Columns (I) and (II)) (III) | Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV) | Net Change, July-Sept. 2013 to November 2015 (Columns (IV) and (II)) (V) | % Change, July-Sept. 2013 to November 2015 (Columns (IV) and (II)) (VI) |
| Alaska | FFM | 127,028 | 126,684 | -0.27% | 122,334 | 4,350 | 3.56% |
| Arizona | FFM | 1,665,454 | 1,683,439 | 1.08% | 1,201,770 | 481,669 | 40.08% |
| Arkansas | Partnership | - | - | - | 556,851 | - | - |
| California | SBM | 1,442,006 | 1,553,713 | 0.98% | 7,637,273 | 3,916,440 | 51.28% |
| Colorado | SBM | 1,286,318 | 1,297,304 | 0.85% | 783,420 | 513,884 | 65.59% |
| Connecticut | SBM | 729,874 | 735,208 | 0.73% | - | - | - |
| Delaware | Partnership | 241,638 | 240,708 | -0.38% | 223,324 | 17,384 | 7.78% |
| District of Columbia | SBM | 257,521 | 261,237 | 1.44% | 235,786 | 25,451 | 10.79% |
| Hawaii | SBM ** | 333,629 | 334,820 | 0.36% | 288,357 | 46,463 | 16.11% |
| Illinois | Partnership | 3,114,524 | 3,102,058 | -0.40% | 2,626,943 | 475,115 | 18.09% |
| Indiana | FFM | 1,419,029 | 1,425,511 | 0.46% | 1,120,674 | 304,837 | 27.20% |
| Iowa | Partnership | 608,097 | 609,589 | 0.25% | 493,515 | 116,074 | 23.52% |
| Kentucky | SBM | 1,161,342 | 1,173,882 | 1.08% | 606,805 | 567,077 | 93.45% |
| Maryland | SBM | 1,148,568 | 1,144,286 | -0.37% | 856,297 | 287,989 | 33.63% |
| Massachusetts | SBM | 1,677,249 | 1,631,904 | -2.70% | 1,296,359 | 335,545 | 25.88% |
| Michigan | Partnership | 2,289,337 | 2,288,248 | -0.05% | 1,912,009 | 376,239 | 19.68% |
| Minnesota | SBM | 1,013,971 | 1,034,321 | 2.01% | 873,040 | 161,281 | 18.47% |
| Montana^ | Plan Management | 179,952 | 181,074 | 0.62% | 148,974 | 32,100 | 21.55% |
| Nevada | SBM ** | 587,220 | 590,363 | 0.54% | 332,560 | 257,803 | 77.52% |
| New Hampshire | Partnership | 182,233 | 182,902 | 0.37% | 127,082 | 55,820 | 43.92% |
| New Jersey | FFM | 1,729,435 | 1,714,434 | -0.87% | 1,283,851 | 430,583 | 33.54% |
| New Mexico | SBM ** | 735,391 | 732,196 | -0.43% | 457,678 | 274,518 | 59.98% |
| New York | SBM | 6,554,908 | 6,758,681 | 3.11% | 5,678,417 | 1,080,264 | 19.02% |
| North Dakota | FFM | 89,243 | 88,955 | -0.32% | 69,980 | 18,975 | 27.11% |
| Ohio | Plan Management | 2,965,107 | 2,943,443 | -0.73% | 2,341,481 | 601,962 | 25.71% |
| Oregon | SBM ** | 1,056,007 | 1,022,434 | -3.18% | 626,356 | 396,078 | 63.24% |
| Pennsylvania | FFM | 2,696,254 | 2,721,509 | 0.94% | 2,386,046 | 335,463 | 14.06% |
| Rhode Island | SBM | 272,988 | 275,996 | 1.10% | 190,833 | 85,163 | 44.63% |
| Vermont | SBM | 188,602 | 189,577 | 0.52% | 161,081 | 28,496 | 17.69% |
| Washington | SBM | 1,743,423 | 1,752,591 | 0.53% | 1,117,576 | 635,015 | 56.82% |
| West Virginia | Partnership | 547,365 | 546,848 | -0.09% | 354,544 | 192,304 | 54.24% |
| Subtotal for All States Expanding Medicaid | | 48,043,713 | 48,343,915 | 0.62% | 36,111,216 | 12,054,342 | 33.90% |
| Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month | | 47,863,761 | 48,162,841 | 0.62% | 35,962,242 | 12,022,242 | 33.96% |
| Subtotal for States Expanding Medicaid that Reported in October and November 2015 | | 48,043,713 | 48,343,915 | Difference October to November 2015 300,202 | | | |
| Subtotal for States Expanding Medicaid that Reported in November 2015 and July-Sept. 2013 | | | 47,608,707 | | 35,554,365 | Difference July-Sept 2013 to November 2015 12,054,342 | |

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^=Montana's effective date for implementing the expansion is January 1, 2016. MT is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month."

**= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both October and November 2015 data.

Columns V and VI are calculated for only those states that reported data from both November 2015 and the July-Sept. 2013 period.

The subtotals for states reporting data from both November 2015 and the July-Sept. 2013 period exclude AR and CT.

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|----------------------|-----------------|--|
| Arkansas | (I), (II) | Includes Private Option enrollees. |
| California | (I), (II) | Includes individuals transferred from the Low Income Health Program section I 115 demonstration. |
| California | (I), (II) | Includes retroactive enrollment. |
| California | (IV) | Includes approximately 650,000 individuals transferred from the Low Income Health Program section I 115 demonstration. |
| California | (I), (II), (IV) | Corrected. |
| District of Columbia | (I), (II), (IV) | Includes limited benefit dual eligible individuals and individuals enrolled in the locally funded DC Health Alliance. |
| Illinois | (IV) | Includes individuals who received retroactive coverage (unlike columns (I) and (II)). |
| Indiana | (I), (II), (IV) | Includes partial benefit dual eligible individuals. |
| Minnesota | (IV) | May include duplicates (unlike columns (I) and (II)). |
| New Jersey | (I), (II), (IV) | Includes individuals eligible at any point in the month. |
| New York | (I), (II) | Includes estimated retroactive enrollment. |
| North Dakota | (IV) | Data is from July 2013 only. |
| Oregon | (IV) | Includes emergency Medicaid population. |

Table 1: Medicaid and CHIP: October and November 2015 Preliminary Monthly Enrollment

| | | Enrollment | | | | | |
|--|------------------|--|--|--|--|--|---|
| States Not Expanding Medicaid | Marketplace Type | Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (I) | Total Medicaid and CHIP Enrollment, November 2015 (Preliminary) (II) | % Change October to November 2015 (Columns (I) and (II)) (III) | Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV) | Net Change, July-Sept. 2013 to November 2015 (Columns (IV) and (II)) (V) | % Change, July-Sept. 2013 to November 2015 (Columns (IV) and (II)) (VI) |
| Alabama | FFM | 878,405 | 883,458 | 0.58% | 799,176 | 84,282 | 10.55% |
| Florida | FFM | 3,585,392 | 3,580,569 | -0.13% | 3,104,996 | 475,573 | 15.32% |
| Georgia | FFM | 1,736,302 | 1,741,241 | 0.28% | 1,535,090 | 206,151 | 13.43% |
| Idaho | SBM | 279,855 | 280,061 | 0.07% | 238,150 | 41,911 | 17.60% |
| Kansas | Plan Management | 396,270 | 400,146 | 0.98% | 378,160 | 21,986 | 5.81% |
| Louisiana | FFM | 1,085,461 | 1,079,805 | -0.52% | 1,019,787 | 60,018 | 5.89% |
| Maine | Plan Management | 280,587 | 280,083 | -0.18% | - | - | - |
| Mississippi | FFM/SBM-SHOP | 694,963 | 691,818 | -0.45% | 637,229 | 54,589 | 8.57% |
| Missouri | FFM | 934,722 | 924,503 | -1.09% | 846,084 | 78,419 | 9.27% |
| Nebraska | Plan Management | 233,410 | 231,344 | -0.89% | 244,600 | -13,256 | -5.42% |
| North Carolina | FFM | 1,919,830 | 1,928,216 | 0.44% | 1,595,952 | 332,264 | 20.82% |
| Oklahoma | FFM | 803,914 | 796,544 | -0.92% | 790,051 | 6,493 | 0.82% |
| South Carolina | FFM | 951,988 | 937,533 | -1.52% | 889,744 | 47,789 | 5.37% |
| South Dakota | Plan Management | 118,234 | 118,046 | -0.16% | 115,501 | 2,545 | 2.20% |
| Tennessee | FFM | 1,540,536 | 1,552,133 | 0.75% | 1,244,516 | 307,617 | 24.72% |
| Texas | FFM | 4,684,783 | 4,687,531 | 0.06% | 4,441,605 | 245,926 | 5.54% |
| Utah | FFM/SBM-SHOP | 310,097 | 301,975 | -2.62% | 294,029 | 7,946 | 2.70% |
| Virginia | Plan Management | 959,790 | 956,600 | -0.33% | 935,434 | 21,166 | 2.26% |
| Wisconsin | FFM | 1,045,475 | 1,043,555 | -0.18% | 985,531 | 58,024 | 5.89% |
| Wyoming | FFM | 65,061 | 64,605 | -0.70% | 67,518 | -2,913 | -4.31% |
| Subtotal for All States Not Expanding Medicaid | | 22,505,075 | 22,479,766 | -0.11% | 20,163,153 | 2,036,530 | 10.10% |
| Subtotal for States Not Expanding Medicaid that Reported in October and November 2015 | | 22,505,075 | 22,479,766 | Difference October to November 2015 -25,309 | | | |
| Subtotal for States Not Expanding Medicaid that Reported in November 2015 and July-Sept. 2013 | | | 22,199,683 | | 20,163,153 | Difference July-Sept 2013 to November 2015 2,036,530 | |

Table 1: Medicaid and CHIP: October and November 2015 Preliminary Monthly Enrollment

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both October and November 2015 data.

Columns V and VI are calculated for only those states that reported data from both November 2015 and the July-Sept. 2013 period.

The subtotals for states reporting data from both November 2015 and the July-Sept. 2013 period exclude ME.

| | | |
|-----------|-----------------|--|
| Alabama | (IV) | Data is from September 2013 only. |
| Florida | (I), (II) | Does not include SSI recipients enrolled in Medicaid. |
| Utah | (I), (II), (IV) | Includes service limited Medicare program beneficiaries (SLMBs). |
| Wisconsin | (IV) | Does not include retroactive enrollment. |

Table 1: Medicaid and CHIP: October and November 2015 Preliminary Monthly Enrollment

| Total Enrollment | | | | | | |
|--|---|---|---|---|---|--|
| All States | Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (I) | Total Medicaid and CHIP Enrollment, November 2015 (Preliminary) (II) | % Change October to November 2015 (Columns (I) and (II)) (III) | Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV) | Net Change, July-Sept. 2013 to November 2015 (Columns (IV) and (II)) (V) | % Change, July-Sept. 2013 to November 2015 (Columns (IV) and (II)) (VI) |
| Total Across All States | 70,548,788 | 70,823,681 | 0.39% | 56,274,369 | 14,090,872 | 25.29% |
| Total for States that Reported in October and November 2015 | 70,548,788 | 70,823,681 | Difference October to November 2015 274,893 | | | |
| Total for States that Reported in November 2015 and July-Sept. 2013 | | 69,808,390 | | 55,717,518 | Difference July-Sept 2013 to November 2015 14,090,872 | |

Column III is calculated for only those states that reported both October and November 2015 data.
 Columns V and VI are calculated for only those states that reported data from both November 2015 and the July-Sept. 2013 period.
 Totals for states reporting data from both November 2015 and the July-Sept. 2013 period exclude AR, CT and ME.

Table 2: Medicaid and CHIP: November 2015 Preliminary Monthly Medicaid and CHIP Child Enrollment

| Enrollment | | | | | | | | |
|-----------------------------------|------------------------------------|-------------------|--------------------|----------------------|-------------------|------------------------------------|--|-------------------------------------|
| State | Medicaid and CHIP Child Enrollment | | | | | Total Medicaid and CHIP Enrollment | Medicaid and CHIP Child Enrollment as a % of Total Enrollment (Columns (VI) and (VII)) | |
| | June, 2015 (I) | July, 2015 (II) | August, 2015 (III) | September, 2015 (IV) | October, 2015 (V) | November, 2015 (Preliminary) (VI) | November, 2015 (Preliminary) (VII) | November, 2015 (Preliminary) (VIII) |
| Alabama | 624,942 | 631,880 | 633,982 | 633,005 | 626,816 | 626,090 | 883,458 | 70.87% |
| Alaska | 72,335 | 72,528 | 72,947 | 72,778 | 72,032 | 68,357 | 126,684 | 53.96% |
| Arizona | - | - | - | - | - | - | 1,683,439 | - |
| Arkansas | 430,402 | 434,362 | 421,997 | - | - | - | - | - |
| California | - | - | - | - | - | - | 11,553,713 | - |
| Colorado | 593,550 | 597,780 | 601,432 | 603,965 | 605,620 | 605,011 | 1,297,304 | 46.64% |
| Connecticut | 299,633 | 293,733 | 283,634 | 279,853 | 291,832 | 292,396 | 735,208 | 39.77% |
| Delaware | 105,062 | 105,559 | 105,953 | 105,540 | 105,780 | 105,358 | 240,708 | 43.77% |
| District of Columbia | - | - | - | - | - | - | 261,237 | - |
| Florida | 2,371,021 | 2,391,150 | 2,405,590 | 2,408,626 | 2,407,910 | 2,406,679 | 3,580,569 | 67.21% |
| Georgia | 1,253,601 | 1,249,976 | 1,252,127 | 1,246,408 | 1,241,366 | 1,219,408 | 1,741,241 | 70.03% |
| Hawaii | 143,359 | 143,635 | 144,842 | 145,126 | 142,127 | 143,736 | 334,820 | 42.93% |
| Idaho | 204,680 | 205,186 | 206,424 | 207,367 | 207,374 | 206,923 | 280,061 | 73.88% |
| Illinois | 1,487,715 | 1,487,746 | 1,485,437 | 1,485,641 | 1,483,364 | 1,466,563 | 3,102,058 | 47.28% |
| Indiana | 754,190 | 772,633 | 777,521 | 781,031 | 773,437 | 759,950 | 1,425,511 | 53.31% |
| Iowa | 293,483 | 293,559 | 295,354 | 295,057 | 295,902 | 297,530 | 609,589 | 48.81% |
| Kansas | 287,136 | 282,257 | 285,662 | 283,678 | 286,863 | 282,517 | 400,146 | 70.60% |
| Kentucky | 522,615 | 523,181 | 530,870 | 535,515 | 537,869 | 541,852 | 1,173,882 | 46.16% |
| Louisiana | 774,235 | 775,438 | 777,848 | 779,248 | 779,133 | 775,066 | 1,079,805 | 71.78% |
| Maine | 119,383 | 117,954 | 118,258 | 118,219 | 119,165 | 117,871 | 280,083 | 42.08% |
| Maryland | 579,578 | 572,644 | 570,142 | 561,376 | 571,419 | 552,155 | 1,144,286 | 48.25% |
| Massachusetts | 656,689 | 656,892 | 665,344 | 670,614 | 678,135 | 637,918 | 1,631,904 | 39.09% |
| Michigan | 1,004,144 | 1,009,894 | 1,002,210 | 993,127 | 981,526 | 962,154 | 2,288,248 | 42.05% |
| Minnesota | 493,678 | 493,824 | 498,651 | 499,831 | 504,016 | 499,673 | 1,034,321 | 48.31% |
| Mississippi | 484,031 | 481,599 | 481,177 | 479,336 | 476,709 | 471,289 | 691,818 | 68.12% |
| Missouri | 587,924 | 591,552 | 587,908 | 588,581 | 595,679 | 589,841 | 924,503 | 63.80% |
| Montana^ | 109,740 | 110,293 | 110,693 | 111,308 | 111,795 | 111,155 | 181,074 | 61.39% |
| Nebraska | 158,873 | 158,815 | 159,306 | 159,585 | 159,231 | 154,892 | 231,344 | 66.95% |
| Nevada | 273,306 | 276,479 | 280,391 | 281,779 | 284,873 | 285,502 | 590,363 | 48.36% |
| New Hampshire | 93,609 | 95,033 | 95,076 | 95,416 | 95,141 | 93,936 | 182,902 | 51.36% |
| New Jersey | 844,106 | 839,872 | 839,201 | 837,593 | 837,921 | 822,867 | 1,714,434 | 48.00% |
| New Mexico | - | - | - | - | - | - | 732,196 | - |
| New York | 2,435,086 | 2,440,385 | 2,442,913 | 2,443,197 | 2,645,823 | 2,639,655 | 6,758,681 | 39.06% |
| North Carolina | 1,377,021 | 1,364,647 | 1,360,402 | 1,362,924 | 1,362,143 | 1,327,961 | 1,928,216 | 68.87% |
| North Dakota | 41,713 | 41,476 | 41,376 | 41,383 | 41,676 | 41,480 | 88,955 | 46.63% |
| Ohio | 1,281,927 | 1,275,188 | 1,291,942 | 1,262,788 | 1,253,418 | 1,244,020 | 2,943,443 | 42.26% |
| Oklahoma | 526,924 | 529,385 | 525,577 | 521,521 | 517,743 | 513,499 | 796,544 | 64.47% |
| Oregon | 436,346 | 431,771 | 437,378 | 434,094 | 433,017 | 409,039 | 1,022,434 | 40.01% |
| Pennsylvania | 1,326,484 | 1,329,938 | 1,333,359 | 1,338,424 | 1,340,944 | 1,335,473 | 2,721,509 | 49.07% |
| Rhode Island | 108,839 | 109,835 | 112,331 | 110,968 | 110,470 | 111,188 | 275,996 | 40.29% |
| South Carolina | 661,041 | 649,844 | 634,489 | 612,656 | 608,527 | 596,337 | 937,533 | 63.61% |
| South Dakota | 80,571 | 80,863 | 80,593 | 80,698 | 80,722 | 80,714 | 118,046 | 68.38% |
| Tennessee | - | - | - | - | - | - | 1,552,133 | - |
| Texas | 3,427,141 | 3,430,098 | 3,448,311 | 3,466,456 | 3,478,340 | 3,454,280 | 4,687,531 | 73.69% |
| Utah | 216,980 | 218,176 | 218,609 | 218,606 | 218,270 | 212,756 | 301,975 | 70.45% |
| Vermont | 67,566 | 67,885 | 68,018 | 68,327 | 68,527 | 68,313 | 189,577 | 36.03% |
| Virginia | 662,213 | 663,036 | 661,979 | 661,808 | 659,350 | 643,280 | 956,600 | 67.25% |
| Washington | 796,070 | 802,614 | 807,418 | 812,128 | 817,126 | 816,437 | 1,752,591 | 46.58% |
| West Virginia | 209,002 | 209,836 | 210,902 | 210,428 | 209,673 | 209,222 | 546,848 | 38.26% |
| Wisconsin | 492,565 | 492,247 | 491,699 | 491,047 | 491,307 | 490,424 | 1,043,555 | 47.00% |
| Wyoming | 40,993 | 40,301 | 40,348 | 40,477 | 41,146 | 40,830 | 64,605 | 63.20% |
| Total For All States | 29,811,502 | 29,842,979 | 29,897,621 | 29,437,533 | 29,651,257 | 29,331,597 | 70,823,681 | 53.29% |
| Number of States Reporting | 46 | 46 | 46 | 45 | 45 | 45 | 50 | 45 |

Table 2: Medicaid and CHIP: November 2015 Preliminary Monthly Medicaid and CHIP Child Enrollment

For general notes on enrollment data, see Table 1: Medicaid and CHIP: October and November 2015 Preliminary Monthly Enrollment

(-)=State has not reported data or data submitted was incomplete.

States that provide coverage to pregnant women through CHIP and whose child enrollment figures include pregnant women are NJ, RI, CO, AR, CA, LA, MA, MI, MN, NE, OK, OR, TN, VA, WA, WI.

Column VIII is calculated for only those states that reported both November 2015 child enrollment data and November 2015 Total Medicaid and CHIP enrollment data .

| | | |
|----------|-------------|--|
| Iowa | (I) - (VI) | Data are preliminary. |
| New York | (I) - (VII) | Includes estimated retroactive enrollment. |

Table 3: Medicaid and CHIP: November 2015 Monthly Applications and Eligibility Determinations

| States Expanding Medicaid | Marketplace Type | Applications | | | | | Determinations | | |
|--|------------------|---|--|---|---|--|---|--|--|
| | | New Applications Submitted to Medicaid and CHIP Agencies, November 2015 (Preliminary) (I) | Applications for Financial Assistance Submitted to the State Based Marketplace, November 2015 (Preliminary) (II) | Total Applications for Financial Assistance Submitted at State Level, November 2015 (Preliminary) (III) | Total Applications for Financial Assistance Submitted at State Level, October 2015 (Preliminary) (IV) | % Change October to November 2015 (Columns (IV) and (III)) (V) | Individuals Determined Eligible for Medicaid at Application, November 2015 (Preliminary) (VI) | Individuals Determined Eligible for CHIP at Application, November 2015 (Preliminary) (VII) | Total New Determinations, November 2015 (Preliminary) (VIII) |
| Alaska | FFM | 3,911 | N/A | 3,911 | 4,447 | -12.05% | 4,750 | - | 4,750 |
| Arizona | FFM | - | N/A | - | - | - | - | - | - |
| Arkansas | Partnership | - | N/A | - | - | - | - | - | - |
| California | SBM | - | - | - | 57,512 | - | - | - | - |
| Colorado | SBM | 25,375 | 13,236 | 38,611 | 20,163 | 91.49% | 21,105 | 383 | 21,488 |
| Connecticut | SBM | 12,778 | 11,709 | 24,487 | 17,293 | 41.60% | 17,833 | 374 | 18,207 |
| Delaware | Partnership | 2,996 | N/A | 2,996 | 1,527 | 96.20% | 548 | 21 | 569 |
| District of Columbia | SBM | 2,069 | 5,932 | 8,001 | 8,007 | -0.07% | 10,606 | - | 10,606 |
| Hawaii | SBM ** | 3,945 | - | 3,945 | 4,289 | -8.02% | 3,371 | 148 | 3,519 |
| Illinois | Partnership | 86,712 | N/A | 86,712 | 73,093 | 18.63% | 43,466 | 13,641 | 57,107 |
| Indiana | FFM | 77,834 | N/A | 77,834 | 90,308 | -13.81% | 33,845 | 2,636 | 36,481 |
| Iowa | Partnership | 20,829 | N/A | 20,829 | 24,025 | -13.30% | 2,467 | - | 2,467 |
| Kentucky | SBM | 8,882 | 16,082 | 24,964 | 26,333 | -5.20% | 17,821 | 1,090 | 18,911 |
| Maryland | SBM | 6,284 | 194,947 | 201,231 | 78,314 | 156.95% | 35,275 | 5,152 | 40,427 |
| Massachusetts | SBM | 15,430 | 13,421 | 28,851 | 29,700 | -2.86% | - | - | - |
| Michigan | Partnership | 67,821 | N/A | 67,821 | 73,012 | -7.11% | 71,258 | 3,024 | 74,282 |
| Minnesota | SBM | 5,084 | 39,511 | 44,595 | 21,678 | 105.72% | 22,258 | 46 | 22,304 |
| Montana^ | Plan Management | 3,837 | N/A | 3,837 | 3,137 | 22.31% | 4,177 | 277 | 4,454 |
| Nevada | SBM ** | 21,582 | - | 21,582 | 17,659 | 22.22% | 10,610 | 46 | 10,656 |
| New Hampshire | Partnership | 7,129 | N/A | 7,129 | 8,014 | -11.04% | 5,247 | 512 | 5,759 |
| New Jersey | FFM | 34,434 | N/A | 34,434 | 33,974 | 1.35% | 12,728 | 4,771 | 17,499 |
| New Mexico | SBM ** | 9,033 | N/A | 9,033 | 9,289 | -2.76% | - | - | - |
| New York | SBM | - | 363,710 | 363,710 | 383,233 | -5.09% | 129,907 | 10,148 | 140,055 |
| North Dakota | FFM | - | N/A | - | - | - | 5,675 | 195 | 5,870 |
| Ohio | Plan Management | 145,614 | N/A | 145,614 | 145,895 | -0.19% | 208,932 | - | 208,932 |
| Oregon | SBM ** | 23,038 | - | 23,038 | 29,523 | -21.97% | 45,019 | - | 45,019 |
| Pennsylvania | FFM | 152,989 | N/A | 152,989 | 135,142 | 13.21% | 50,148 | 6,833 | 56,981 |
| Rhode Island | SBM | 2,457 | - | 2,457 | 1,650 | 48.91% | 9,752 | 1,821 | 11,573 |
| Vermont | SBM | 2,840 | 1,890 | 4,730 | 4,444 | 6.44% | 1,630 | 13 | 1,643 |
| Washington | SBM | 37,804 | 106,386 | 144,190 | 87,897 | 64.04% | 44,874 | 1,208 | 46,082 |
| West Virginia | Partnership | 20,263 | N/A | 20,263 | 24,840 | -18.43% | 12,556 | 624 | 13,180 |
| Subtotal for All States Expanding Medicaid | | 800,970 | 766,824 | 1,567,794 | 1,414,398 | 15.54% | 825,858 | 52,963 | 878,821 |
| Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month | | 797,133 | 766,824 | 1,563,957 | 1,411,261 | 15.53% | 821,681 | 52,686 | 874,367 |
| Subtotal for States Expanding Medicaid that Reported in October and November 2015 | | | | 1,567,794 | 1,356,886 | Difference October to November 2015 210,908 | | | |

^=Montana's effective date for implementing the expansion is January 1, 2016. MT is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month."

**= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported October and November 2015 Applications data (subtotals exclude AR, AZ, CA, ND).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

† Reported value is less than 10, excluded from data set to ensure privacy.

Table 3: Medicaid and CHIP: November 2015 Monthly Applications and Eligibility Determinations

| | | |
|----------------------|---------------------|---|
| Alaska | (I), (III), (IV) | Includes renewals converting to MAGI methodology. |
| Alaska | (VI), (VIII) | MAGI determinations include CHIP. |
| Arkansas | (VI) | Includes CHIP. |
| California | (I) | Reflects primarily newly-determined and likely eligible Medicaid applicants, as well as some ongoing caseload activity conducted via the state's health exchange automation system. |
| California | (II) | Includes applications to SBM that did not request financial assistance. |
| California | (I), (II) | Includes updates to reporting methodology to remove duplicates. |
| California | (IV) | Reflects primarily newly-determined and likely eligible Medicaid applicants, as well as some ongoing caseload activity conducted via the state's health exchange automation system. |
| California | (VI) | Reflects primarily newly-determined and likely eligible Medicaid applicants as well as some ongoing caseload activity conducted via the state's health exchange automation system. |
| California | (I) | State Medicaid agency hosts SBM portal; number includes all applications for insurance affordability programs. |
| Connecticut | (I), (III), (IV) | Data may include some duplication of applications between Medicaid and CHIP. |
| Connecticut | (II) | Data may contain duplicate applications, and may include some change in circumstance updates that are not new applications for coverage. |
| Connecticut | (VI) | Count is of households, not individuals. |
| District of Columbia | (III) | The count of applications received by the Medicaid agency and the State Based Marketplace may overlap; total may contain some duplicates. |
| District of Columbia | (VI) | Includes all determinations (e.g., renewals); includes CHIP. |
| District of Columbia | (III), (IV) | Includes renewals. |
| Hawaii | (I) | Number includes all applications for insurance affordability programs. |
| Iowa | (VI) | Includes MAGI populations only. |
| Iowa | (VI), (VII), (VIII) | Data are incomplete; does not include all determinations. |
| Iowa | (VI), (VII) | Includes renewals. |
| Maryland | (VI), (VII), (VIII) | Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 11/1 - 11/30. |
| Michigan | (VI), (VII) | Includes renewals. |
| Minnesota | (VI) | Includes CHIP. |
| Nevada | (I), (III), (IV) | Includes renewals. |
| Nevada | (VI) | Count is of households, not individuals. Includes renewals. |
| New Jersey | (I), (III), (IV) | Includes applications received at county welfare agencies. |
| New Jersey | (VI), (VII), (VIII) | Does not include all eligibility determinations; county welfare agencies determinations are not included in this data. |
| New York | (III), (VI) | Includes renewals. |
| Ohio | (I), (III), (IV) | Includes renewals. May include some applications only for other benefits, not for Medicaid and CHIP. |
| Ohio | (VI) | Includes CHIP. |
| Ohio | (VI), (VIII) | Includes renewals. |
| Oregon | (VI) | Count is of households, not individuals; includes CHIP. |
| Oregon | (VI), (VIII) | Includes MAGI populations only. |
| Pennsylvania | (I), (III), (IV) | Includes renewals. |
| Pennsylvania | (VI), (VIII) | Includes renewals. |
| Rhode Island | (VI), (VII) | Includes only determinations through new MAGI system. |
| Vermont | (VI) | Includes renewals. |

Table 3: Medicaid and CHIP: November 2015 Monthly Applications and Eligibility Determinations

| States Not Expanding Medicaid | Marketplace Type | Applications | | | | | Determinations | | |
|--|------------------|---|--|---|---|--|---|--|--|
| | | New Applications Submitted to Medicaid and CHIP Agencies, November 2015 (Preliminary) (I) | Applications for Financial Assistance Submitted to the State Based Marketplace, November 2015 (Preliminary) (II) | Total Applications for Financial Assistance Submitted at State Level, November 2015 (Preliminary) (III) | Total Applications for Financial Assistance Submitted at State Level, October 2015 (Preliminary) (IV) | % Change October to November 2015 (Columns (IV) and (III)) (V) | Individuals Determined Eligible for Medicaid at Application, November 2015 (Preliminary) (VI) | Individuals Determined Eligible for CHIP at Application, November 2015 (Preliminary) (VII) | Total New Determinations, November 2015 (Preliminary) (VIII) |
| Alabama | FFM | 16,641 | N/A | 16,641 | 17,171 | -3.09% | 22,363 | 3,494 | 25,857 |
| Florida | FFM | 279,069 | N/A | 279,069 | 365,486 | -23.64% | 135,751 | 15,787 | 151,538 |
| Georgia | FFM | 82,849 | N/A | 82,849 | 102,383 | -19.08% | 41,586 | 669 | 42,255 |
| Idaho | SBM | 12,518 | - | 12,518 | 10,181 | 22.95% | 2,287 | 204 | 2,491 |
| Kansas | Plan Management | - | N/A | - | - | - | - | - | - |
| Louisiana | FFM | 22,290 | N/A | 22,290 | 22,856 | -2.48% | 23,629 | 1,493 | 25,122 |
| Maine | Plan Management | 1,458 | N/A | 1,458 | 1,533 | -4.89% | 10,374 | 354 | 10,728 |
| Mississippi | FFM/SBM-SHOP | 17,034 | N/A | 17,034 | 17,366 | -1.91% | 9,676 | 348 | 10,024 |
| Missouri | FFM | 19,112 | N/A | 19,112 | 22,042 | -13.29% | 11,242 | - | 11,242 |
| Nebraska | Plan Management | 6,506 | N/A | 6,506 | 6,954 | -6.44% | 5,542 | 641 | 6,183 |
| North Carolina | FFM | 19,887 | N/A | 19,887 | 23,550 | -15.55% | 63,779 | 7,921 | 71,700 |
| Oklahoma | FFM | 39,127 | N/A | 39,127 | 42,049 | -6.95% | 32,757 | 4,880 | 37,637 |
| South Carolina | FFM | 25,460 | N/A | 25,460 | 27,396 | -7.07% | 5,483 | 118 | 5,601 |
| South Dakota | Plan Management | 3,454 | N/A | 3,454 | 2,563 | 34.76% | 2,405 | - | 2,405 |
| Tennessee | FFM | 1,310 | N/A | 1,310 | 1,622 | -19.24% | - | 752 | 752 |
| Texas | FFM | 90,964 | N/A | 90,964 | 100,246 | -9.26% | 85,178 | 14,153 | 99,331 |
| Utah | FFM/SBM-SHOP | 23,835 | N/A | 23,835 | 23,177 | 2.84% | 44,955 | - | 44,955 |
| Virginia | Plan Management | 22,042 | N/A | 22,042 | 25,947 | -15.05% | 17,408 | 780 | 18,188 |
| Wisconsin | FFM | 20,339 | N/A | 20,339 | 21,726 | -6.38% | 17,840 | 2,323 | 20,163 |
| Wyoming | FFM | 1,432 | N/A | 1,432 | 1,525 | -6.10% | 1,343 | 209 | 1,552 |
| Subtotal for All States Not Expanding Medicaid | | 705,327 | - | 705,327 | 835,773 | -15.60% | 533,598 | 54,126 | 587,724 |
| Subtotal for States Not Expanding Medicaid that Reported in October and November 2015 | | | | 705,327 | 835,773 | Difference October to November 2015 -130,446 | | | |
| Total Across All States | | 1,506,297 | 766,824 | 2,273,121 | 2,250,171 | 3.69% | 1,359,456 | 107,089 | 1,466,545 |
| Total for States that Reported in October and November 2015 | | | | 2,273,121 | 2,192,659 | Difference October to November 2015 80,462 | | | |

Table 3: Medicaid and CHIP: November 2015 Monthly Applications and Eligibility Determinations

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported October and November 2015 Applications data (subtotals exclude KS; totals exclude AR, AZ, CA, KS, ND).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFM.

Percent change figures in the subtotal of column V exclude TN, which only reports CHIP data.

† Reported value is less than 10, excluded from data set to ensure privacy.

| | | |
|--------------|------------------|---|
| Alabama | (I), (III) | Reporting capacity in joint eligibility and enrollment system increased in November. Includes some applications from joint eligibility and enrollment system. |
| Alabama | (VIII) | Reporting capacity in joint eligibility and enrollment system increased in November. |
| Kansas | (I), (III), (IV) | Includes MAGI populations only. |
| Kansas | (VI) | Includes MAGI populations only. |
| South Dakota | (I), (III), (IV) | Includes account transfers from the FFM. |
| South Dakota | (VI) | Includes CHIP. |
| Tennessee | (I), (III), (IV) | Data are from CHIP agency only. Medicaid reporting capability in development. |
| Tennessee | (V) | Excluded because data is only from CHIP agency. |
| Utah | (I), (III), (IV) | Includes applications for non-health coverage programs. |
| Utah | (I), (III) | Includes account transfers from the FFM. |
| Virginia | (I), (III), (IV) | Includes renewals. |
| Virginia | (VI), (VII) | Includes renewals. |
| Wisconsin | (VI), (VII) | Count is of unique individuals receiving determinations, not unique determinations. |

APPENDIX A: Description of Data Elements in Tables

Table 1: Medicaid and CHIP: October and November 2015 Preliminary Monthly Enrollment

Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (I)

Total Medicaid and CHIP Enrollment, November 2015 (Preliminary) (II)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The November 2015 data was submitted in December and is considered preliminary.²⁸ The October 2015 data in this table was submitted in November and is also preliminary. October data that was updated in December (which may include more individuals with retroactive eligibility) is posted separately on Medicaid.gov. Other reporting on Medicaid and CHIP enrollment by states and researchers often may include some beneficiaries excluded in this data (because comprehensive coverage is not provided), or otherwise use a different methodology.

Percent Change October to November 2015 (III)

The percentage change in **Total Medicaid and CHIP Enrollment, November 2015 (Preliminary) (II)** as compared to **Total Medicaid and CHIP Enrollment, October 2015 (Preliminary) (I)** is calculated for states that provided data for both periods. In cases where there is a negative percentage change, this may be due to a number of factors. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)

The average number of individuals enrolled in Medicaid and CHIP for each month during the July-September 2013 period using an average of enrollment numbers submitted by the states for each month in this period. Not all states submitted three months of data; the average is calculated using any months of baseline data that were provided. The unit of measurement is individuals enrolled as of the last day of the reporting period (month). This baseline data includes more retroactive enrollments than the November 2015 data, which makes change between the baseline data and the November preliminary data look smaller than it would be if retroactive enrollments were excluded from the data for the July-September 2013 period.²⁹ Such exclusions were not possible.

²⁸ In Medicaid, individuals are potentially eligible for retroactive eligibility to cover incurred health care costs for up to 90 days prior to the date of application. Under the Performance Indicator process, states initially submit their monthly enrollment data just a week after the month closes, and then update their data one month later. Therefore, the Performance Indicator enrollment counts will always be slightly understated, as they will not include all individuals granted retroactive eligibility.

²⁹ See footnote 28.

Net Change, July-Sept. 2013 to November 2015 (V)

The net change in **Total Medicaid and CHIP Enrollment, November 2015 (preliminary) (II)** as compared to **Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)** is calculated for states that provided data for both periods and represents the difference in enrollment between these two periods. A negative net change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Percentage Change, July-Sept. 2013 to November 2015 (VI)

The percentage change in **Total Medicaid and CHIP Enrollment, November 2015 (Preliminary) (II)**, compared to **Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)**, is calculated for states that provided data for both periods. A negative percentage change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Note: Updated enrollment data for January 2014 through October 2015 is available on the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page on Medicaid.gov. This data is updated more than 30 days after the close of the reporting period, to account for retroactive Medicaid eligibility and other updates to the preliminary data provided soon after the close of the reporting period, which forms the basis for this report.

Table 2: Medicaid and CHIP: November 2015 Preliminary Monthly Medicaid Child and CHIP Enrollment

Medicaid Child and CHIP Enrollment, June - October 2015 ((I)-(V))

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.³⁰ These figures may have been updated by states more than 30 days after the close to the reporting period to include enrollees with retroactive coverage and other updates.

Medicaid Child and CHIP Enrollment, November 2015 (Preliminary) (VI)

³⁰ The states which provide complete coverage to pregnant women through CHIP are New Jersey, Rhode Island, and Colorado. In addition, the following states provide certain services to pregnant women through CHIP: Arkansas, California, Louisiana, Massachusetts, Michigan, Minnesota, Nebraska, Oklahoma, Oregon, Rhode Island, Tennessee, Texas, Virginia, Washington, and Wisconsin. Benefits offered vary by state.

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As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.³¹ The November 2015 data was submitted in December and is considered preliminary.³²

Total Medicaid and CHIP Enrollment, November 2015 (Preliminary) (VII)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The November data was submitted in December 2015 and is considered preliminary.³³ This data is the same as the data reported in column (II) in Table 1: Medicaid and CHIP: October and November 2015 Preliminary Monthly Enrollment.

Medicaid and CHIP Child Enrollment as a % of Total Enrollment (VIII)

Medicaid and CHIP Child Enrollment, November 2015 (Preliminary) (VI) as a percentage of Total Medicaid and CHIP Enrollment, November 2015 (Preliminary) (VII).

³¹ See footnote 30.

³² See footnote 28.

³³ See footnote 28.

Table 3: Medicaid and CHIP: November 2015 Monthly Applications and Eligibility Determinations

Application Data Elements

New Applications Submitted to Medicaid and CHIP Agencies, November 2015 (Preliminary) (I)

Number of applications received by the Medicaid agency, a separate CHIP agency (if one exists in the state), or both during the reporting period. Includes applications received online, via mail, in person or phone. Does not include applications submitted to a State-Based Marketplace (SBM) or the Federally-Facilitated Marketplace (FFM) (the SBM number is reported in column IV).³⁴ It should be noted that data reflected in this performance indicator are not a count of individuals, as more than one person may be included on a single application. As states are transitioning to new systems, they may not be able to report applications received across all aspects of their programs or systems. See the state-specific notes in the table for further information about state limitations in this area.³⁵ The November 2015 data was submitted in December and is considered preliminary.³⁶

Applications for Financial Assistance Submitted to the State-Based Marketplace, November 2015 (Preliminary) (II)

This element is the number of applications requesting financial assistance (Medicaid, CHIP or the Advanced Premium Tax Credit and Cost-Sharing Reductions) that have been received by the SBM during the reporting period. The November 2015 data was submitted in December and is considered preliminary.³⁷

Total Applications for Financial Assistance Submitted at the State Level, November 2015 (Preliminary) (III)

Total Applications for Financial Assistance Submitted at the State Level, October 2015 (Preliminary) (IV)

For states with an SBM, the data reflects the total of **Applications Submitted to Medicaid and CHIP Agencies, November 2015 (Preliminary)** plus **Applications for Financial Assistance Submitted to the State-Based Marketplace, November 2015 (Preliminary)**. For FFM states, the data reflects **Applications Submitted to Medicaid and CHIP Agencies, November 2015 (Preliminary)**. For SBM states, the data includes all applications for financial assistance; individuals on these applications will be determined eligible for Medicaid, CHIP or Advanced Premium Tax Credits and Cost-Sharing Reductions, or determined ineligible for financial assistance. The November 2015 data was submitted in December and is considered preliminary. The October 2015 data in this table was submitted in November 2015 and is also preliminary. October data that was updated in December (which may include additional individuals who applied in October, but who were not captured in the preliminary data) is posted separately on Medicaid.gov.

³⁴ As described in the state-specific notes in the tables, some states included account transfers from the FFM in the total count of applications received by Medicaid and CHIP agencies.

³⁵ As described in the state-specific notes in the tables, some states included renewals in the total count of applications received by Medicaid and CHIP agencies.

³⁶ See footnote 28.

³⁷ See footnote 28.

Percentage Change October to November 2015 (V)

The percentage change in **Total Applications for Financial Assistance Submitted at the State Level, November 2015 (Preliminary) (III)** as compared to **Total Preliminary Applications Submitted at the State Level, October 2015 (Preliminary) (IV)** is calculated for states that provided data for both periods.

Eligibility Determination Data Elements

Individuals Determined Eligible for Medicaid at Application, November 2015 (VI)

Total number of individuals determined eligible for Medicaid (under title XIX of the Social Security Act) during the reporting period based on applications for coverage submitted to any state agency (Medicaid, CHIP or the SBM) or based on an administrative determination authorized under a targeted enrollment strategy approved by CMS.³⁸ The unit of measurement is a count of individuals (as compared to the application numbers, which may include multiple people in one application). This number includes all determinations on applications made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. This does not include determinations made at a periodic redetermination or because of a change in circumstance for a current beneficiary.³⁹ The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals because an individual may have more than one determination within the reporting period., individuals are first determined based on MAGI, and if needed, may request a subsequent determination based on non-MAGI factors. A list of which eligibility groups are MAGI and which are non-MAGI is available on [Medicaid.gov](http://www.Medicaid.gov).

As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the notes in the table for further information about state limitations in this area.

In states receiving account transfers from the FFM in November where the FFM makes an eligibility assessment (and the state then completes the Medicaid determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make Medicaid eligibility determinations, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing the determinations, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see [Medicaid.gov](http://www.Medicaid.gov).

Individuals Determined Eligible for CHIP at Application, November 2015 (VII)

³⁸ Information on targeted enrollment strategies and the states approved for these strategies is available here: <http://www.medicaid.gov/medicaid-chip-program-information/program-information/targeted-enrollment-strategies/targeted-enrollment-strategies.html>.

³⁹ As described in the state-specific notes in the tables, some states could not provide data that met our specifications. Notes are provided in cases where the state included renewals in this data element.

Total number of individuals determined eligible for CHIP (under title XXI of the Social Security Act) during the reporting period that follows the applicant submitting an application for coverage to any state agency (Medicaid, CHIP, or the SBM). This number includes all determinations at application made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the footnotes in the table for further information about state limitations in this area. The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals.

In states receiving account transfers from the FFM in November where the FFM makes an eligibility assessment (and the state then completes the CHIP determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make a CHIP eligibility determination, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing a determination, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see Medicaid.gov.

Total New Determinations, November 2015 (VIII)

The total of **Individuals Determined Eligible for Medicaid at Application** plus **Individuals Determined Eligible for CHIP at Application**.

A Note about Federally-Facilitated Marketplace Types: For the purposes of the report, we refer to State Partnership Marketplaces (Partnership), States performing Plan Management functions (Plan Management), and the State-Based Small Business Health Options Program (SB-SHOP) as Federally-Facilitated Marketplaces (FFMs). The state-by-state tables contain information on the specific marketplace type for each state.