#### DEPARTMENT OF HEALTH & HUMAN SERVICES

Centers for Medicare & Medicaid Services 7500 Security Boulevard, Mail Stop S2-26-12 Baltimore, Maryland 21244-1850



# Medicaid & CHIP: July 2014 Monthly Applications, Eligibility Determinations and Enrollment Report September 22, 2014

#### **Background**

This monthly report on state Medicaid and Children's Health Insurance Program (CHIP) data represents state Medicaid and CHIP agencies' eligibility activity for the calendar month of July 2014. The data included in this report was submitted to CMS from state Medicaid and CHIP agencies as part of the Medicaid and CHIP Performance Indicator process.

Through the Medicaid and CHIP Performance Indicator process, states report on a common set of indicators designed to provide information to support program management and policy-making. State Medicaid and CHIP programs submit data to CMS on a range of indicators related to application, eligibility and enrollment processes. It is important to note that states are still transitioning to the standardized data definitions described in Appendix A, which limits the conclusions that can be drawn from the data.

As with previous reports, this month's report focuses on those indicators that relate to the application and enrollment process:

- The number of applications submitted directly to Medicaid and CHIP agencies, including those received through State-Based Marketplaces (SBMs);
- The number of eligibility determinations made by Medicaid and CHIP agencies on the
  applications described above, as well as applications to the Federally-Facilitated Marketplace
  (FFM) in states for which the FFM makes an eligibility assessment (and the state then completes
  the Medicaid or CHIP determination); and
- The total number of individuals enrolled in the Medicaid and CHIP programs who are receiving comprehensive benefits, including details on the total number of children enrolled in these programs.

As with each monthly report, given that states are reporting monthly data to CMS soon after the close of the month, the July 2014 data presented in this report should be considered preliminary. We have also published updated data for June 2014 applications, eligibility determinations and enrollment on Medicaid.gov, which includes a more complete data set than the preliminary June data reported last month.

Medicaid and CHIP are longstanding programs that serve many populations in addition to those that might be newly eligible for Medicaid under the new low-income adult group established by the Affordable Care Act. Therefore, this report, which measures eligibility and enrollment activity for the entire Medicaid and CHIP program, necessarily captures data beyond the newly eligible individuals in states that have expanded Medicaid coverage. In addition, this report includes data from all states, not

just those that have adopted the new low-income adult group. Changes in eligibility and enrollment processes ushered in by the Affordable Care Act, which are discussed below, are in effect in all states and are likely to promote coverage among previously eligible but uninsured adults and children. The data elements are explained more fully in Appendix A.

# Medicaid and CHIP July 2014 Enrollment Data Highlights<sup>2</sup>

Total Individuals Enrolled in Medicaid and CHIP	
in July 2014 in All States Reporting July Data	_
(includes all individuals enrolled in the program	67,199,362 <sup>4</sup>
on the last day of the reporting period).3	

- Across the 51 states (including the District of Columbia) that provided enrollment data for July 2014, states reported that over 67 million individuals were enrolled in Medicaid and CHIP. <sup>5</sup> This enrollment count is point-in-time (on the last day of the month) and includes all enrollees in the Medicaid and CHIP programs who are receiving a comprehensive benefit package.
- 373,386 additional people were enrolled in July 2014 as compared to June 2014 in the 50 states that reported both July and June data. (North Dakota is not included in this count.)<sup>6</sup>

<sup>1</sup> As of July 2014, twenty-six states and the District of Columbia had implemented the expansion of Medicaid coverage under the Affordable Care Act to adults under age 65 with incomes up to 133 percent of the Federal Poverty Level in 2014. (New Hampshire's expansion went into effect on July 1, 2014, with coverage becoming effective August 15, 2014, and Pennsylvania will implement the Medicaid expansion on January 1, 2015). There is no deadline for when a state must decide whether to expand and states are continuing to consider their options. The number of people impacted by the Medicaid expansion varies; some of these states had previously expanded coverage to parents and other adults at income levels above the level required under Federal law before the Affordable Care Act. Many other states previously covered parents only at the minimum required income levels and often did not cover other adults without disabilities who are under age 65 at all.

<sup>&</sup>lt;sup>2</sup> This enrollment data may differ from other published state and national enrollment figures because it only includes individuals with comprehensive benefits. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid section 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods. See Appendix A for more information on this methodology.

<sup>&</sup>lt;sup>3</sup> See the notes in Table 1 for state-specific caveats regarding the reported data. It is important to note that the enrollment measure is a total count of enrollment in Medicaid and CHIP, not solely a count of those newly enrolled during the reporting period. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods.

<sup>&</sup>lt;sup>4</sup> This number is not directly comparable to prior months' numbers because the states' reporting differs. For example, North Dakota and Maine reported enrollment data for inclusion in this report but did not report data for the *Medicaid & CHIP: June 2014 Monthly Applications, Eligibility Determinations and Enrollment Report*, published on August 8, 2014.

<sup>&</sup>lt;sup>5</sup> See footnote 3.

<sup>&</sup>lt;sup>6</sup> See the notes in Table 1 for state-specific caveats regarding the reported data. North Dakota did not submit enrollment data for June 2014.

- Looking at the additional enrollment since October 2013 when the Marketplace open enrollment began, among the 49 states reporting both July 2014 enrollment data and data from July-September of 2013, approximately 8 million additional individuals are enrolled in Medicaid and CHIP, nearly a 14 percent increase over the average monthly enrollment for July through September of 2013.<sup>7</sup> (Connecticut and Maine are not included in this count.)<sup>8</sup>
- Among states with Medicaid expansions that were in effect and providing coverage to enrollees in July 2014, Medicaid and CHIP enrollment rose by over 20 percent compared to the July-September 2013 baseline period, while states that have not, to date, expanded Medicaid reported an increase of approximately 5 percent over the same period.<sup>9</sup>
- Ten of the 26 states whose Medicaid expansions were in effect and providing benefits to enrollees in July 2014, and that reported relevant data for both July and the pre-open enrollment baseline period, experienced an enrollment increase of 30 percent or more.<sup>10</sup>

<sup>&</sup>lt;sup>7</sup> The 49 states reporting both July 2014 enrollment data and data from July-September 2013 report total enrollment in July of approximately 66.2 million individuals, and July-September 2013 average enrollment of 58.2 million. For July 2014, we are reporting growth of approximately 8 million compared to July-September 2013, which is about 800,000 higher than the 7.2 million growth in enrollment, June 2014 to July-September 2013, that was included in the Medicaid and CHIP: June 2014 Applications, Eligibility Determinations, and Enrollment Report. This difference does not match the 373,386 figure reported above for June to July 2014 growth because more states are reporting this month, compared to the data that was previously published for June 2014, and because a few states made updates this month to better align their reporting with our data specifications. These changes mean that the summary statistics in the June 2014 report are not perfectly comparable to the figures in this report. See the notes in table 1 for state-specific caveats regarding the reported data, which is calculated including only the states that provided data for both periods. Because the pre-open enrollment period data may contain individuals with retroactive coverage, and the June and July 2014 data in this report is preliminary, the difference reported here between July and pre-open enrollment period is likely understated. The data is preliminary because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in July 2014 after the close of the month due to retroactive eligibility under Medicaid or similar reasons. In Medicaid, individuals are potentially eligible for retroactive coverage for incurred health care costs dating back to 90 days prior to the date of application.

<sup>&</sup>lt;sup>8</sup> Connecticut and Maine did not submit enrollment data for the July-September 2013 baseline period.

<sup>&</sup>lt;sup>9</sup> Percentage calculations are based only on states reporting in both July 2014 and the July through September 2013 baseline period. New Hampshire is not included in these percentages because, although its Medicaid expansion was implemented as of July 2014, individuals in New Hampshire will not begin receiving coverage under the expansion until August 15, 2014. Pennsylvania is not included in these percentages because the expansion in that state was not yet implemented as of July 2014. These percentages are not directly comparable to the figures reported in previous months because a different set of states is reporting this month. See footnote 7 for additional information.

<sup>&</sup>lt;sup>10</sup> Medicaid expansion states that reported data with a greater than 30 percent increase in enrollment are: Arkansas, Colorado, Kentucky, Maryland, Nevada, Oregon, Rhode Island, Vermont, Washington, and West Virginia (8 of these 10 states also run State-based Marketplaces). Among expansion states, the percent change in the number of individuals enrolled varies based on the size of the coverage expansion that is occurring in 2014. States that previously offered comprehensive coverage to many adults with incomes under 133 percent of the FPL will likely see a smaller increase than those who previously offered only coverage for very low-income parents. Additionally, in most states that provided Medicaid coverage to adults with incomes above 133 percent of the FPL prior to 2014, those individuals are no longer eligible for or enrolled in Medicaid and instead may be eligible to purchase qualified health plan coverage through the Marketplace, and may be eligible for advanced payments of

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• These enrollment counts are in addition to the enrollment increases from the nearly 950,000 individuals who gained coverage as a result of the Affordable Care Act before open enrollment began. Seven states implemented an "early option" to expand Medicaid coverage to adults with incomes up to 133 percent of the FPL between April 1, 2010 and January 1, 2014, using new state plan authority provided by the Affordable Care Act or a section 1115 demonstration building upon that authority.

It is important to note that, as with previous reports, multiple factors contribute to the change in enrollment between July 2014 and the July-September 2013 baseline period, including but not limited to changes attributable to the Affordable Care Act. The enrollment numbers included in this report understate total Medicaid and CHIP enrollment because not all states are reporting and the data is preliminary. The preliminary data, which is submitted approximately a week after the close of the reporting period, generally does not include Medicaid and CHIP eligible individuals who applied in July and whose application will be fully processed after July 31<sup>st</sup>; these individuals will be enrolled effective back to at least the date of application or the first of the month and we note that those enrollments are not reflected in this preliminary data. Similarly, the preliminary data does not likely include individuals who had medical expenses in July, applied for Medicaid in a later month, and qualify for retroactive Medicaid coverage in July. <sup>13</sup> Updated enrollment data for past months, which is updated one month after the close of the reporting period, is available on the Eligibility Data tab on the Medicaid Moving Forward page on Medicaid.gov. <sup>14</sup>

premium tax credits and cost-sharing reductions; this change will reduce their overall Medicaid enrollment (however, please note that this enrollment change may not be reflected in this enrollment data, as it excludes the limited benefit programs that some states used to serve individuals with incomes above 133 percent of the FPL). New Hampshire is not included in the count of expansion states providing coverage because, although its Medicaid expansion was implemented as of July 2014, individuals in New Hampshire will not begin receiving coverage under the expansion until August 15, 2014. Pennsylvania is not included in the count of expansion states because the expansion in that state was not yet implemented as of July 2014.

<sup>11</sup> Enrollment data for California, Colorado, the District of Columbia, Minnesota, and New Jersey was reported to CMS by the state and is from the end of 2013. Data for Connecticut and Washington is from 2012 (Source: Sommers, B. D., Arntson, E., Kenney, G. M., et al., "Lesson from Early Medicaid Expansions under Health Reform: Interviews with Medicaid Officials", *Medicare & Medicaid Research Review*, 2013: Volume 3, number 4 (<a href="http://www.cms.gov/mmrr/Downloads/MMRR2013">http://www.cms.gov/mmrr/Downloads/MMRR2013</a> 003 04 a02.pdf).

<sup>12</sup> Connecticut, the District of Columbia, Minnesota utilized state plan authority, while California, Colorado, New Jersey, and Washington provided the coverage through Medicaid section 1115 demonstration authority. For more information about this "early option," please see <a href="Medicaid and CHIP: March 2014 Monthly Applications, Eligibility Determinations">Medicaid and CHIP: March 2014 Monthly Applications, Eligibility Determinations</a>, and <a href="Enrollment Report">Enrollment Report</a> (May 1, 2014).

<sup>&</sup>lt;sup>13</sup> See footnote 7 for additional information on retroactive eligibility.

<sup>&</sup>lt;sup>14</sup> The updated data available on Medicaid.gov is not directly comparable to the preliminary data included in this report because it contains retroactive enrollment and individuals who applied during the reporting period but were determined eligible after the close of the reporting period.

# **Child Enrollment**

Total Medicaid and CHIP child enrollment in the	27.717.127
43 states reporting in July, 2014 <sup>15</sup>	27,717,127

Similar to last month, we are also reporting separately on the total number of children enrolled in Medicaid and CHIP for those states that are reporting the relevant data for each month in 2014. <sup>16</sup> This data appears in Table 2.

- In the 43 states that reported relevant data for the month of July, over 27 million individuals are enrolled in CHIP or were children enrolled in the Medicaid program in those states.
- Based on the July 2014 data, children enrolled in the Medicaid program and CHIP make up approximately 55 percent of total Medicaid and CHIP program enrollment.<sup>17</sup>
- Most states reporting have steady enrollment in their CHIP and Medicaid child populations
  from month-to-month during 2014, with an average monthly growth rate of approximately 0.6
  percent across all states reporting for multiple months in 2014. All states had already
  expanded coverage for children in Medicaid and/or CHIP as part of the implementation of the
  CHIP program in 1997.<sup>18</sup>

<sup>&</sup>lt;sup>15</sup> This figure includes children enrolled in the Medicaid program and the total enrollment for the CHIP program, which is comprised mostly of children. However, several states also cover pregnant women through CHIP. As a result, some adults may be included in this figure. This figure was calculated for any state that reported data on Medicaid child enrollment through the performance indicator process. Forty-one states reported such data for July 2014, as can be seen in Table 2: *Medicaid and CHIP: July 2014 Preliminary Monthly Medicaid CHIP Child Enrollment*. States use the definition of "child" as included in the state's Medicaid or CHIP state plan in reporting performance indicator data to CMS. This definition varies from state to state. See the notes on Table 2 for state-specific caveats regarding the reported data. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods.

<sup>&</sup>lt;sup>16</sup> Children are included in the total number of individuals enrolled in Medicaid and CHIP in July 2014, as reported on page 2 and in Table 1. Total enrollment data for January 2014 through June 2014 is available on Medicaid.gov. <sup>17</sup> This percentage is not directly comparable to the percentage that was published in the *Medicaid and CHIP: June 2014 Applications, Eligibility Determinations, and Enrollment Report*, which did not include data from Maine and North Dakota, which is included in this report.

<sup>&</sup>lt;sup>18</sup> Four million more children were insured in Medicaid or CHIP in 2012, compared with 2008. Kenneth Finegold and Sophia Koontz, *ASPE Issue Brief: Children's Health Coverage on the 5<sup>th</sup> Anniversary of CHIPRA*, February 4, 2014, http://aspe.hhs.gov/health/reports/2014/CHIPRA 5thAnniversary/ib CHIPRA5thanniversary.pdf

# Medicaid and CHIP July 2014 Application and Eligibility Data Highlights

	July 2014 Monthly in All States Reporting
Applications	
Total Applications for Financial Assistance Initially	
Received by State Medicaid and CHIP Agencies (note	
that more than one individual may be included on an	2,239,889 <sup>19</sup>
application and some states have included renewals	
and transfers from the FFM)	
Total Applications for Financial Assistance Initially	
Received by State Based Marketplaces (note that	522.00220
more than one individual may be included on an	522,863 <sup>20</sup>
application)	
Eligibility Determinations	
Total Individuals Determined Eligible for Medicaid	
and CHIP by State Agencies (includes those newly	
eligible under the Affordable Care Act and those	2,365,715 <sup>21</sup>
eligible under prior law and, for some states,	
renewals)	

During the month of July 2014, more than 2.7 million applications for coverage and financial assistance (including Medicaid and CHIP coverage, tax credits and subsidies) were submitted at the state level (including over 2.2 million received directly by state Medicaid and CHIP agencies and more than 500,000 received by SBMs).<sup>22</sup> Table 3 includes a comparison of the total applications for financial assistance to state agencies (including applications for Medicaid, CHIP, and financial assistance in the Marketplace in SBM states) in July 2014 as compared to the prior month (June 2014). Note that these application

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<sup>&</sup>lt;sup>19</sup> See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability some states included some renewals, applications to SBMs, or transfers from the FFM in the data. Missouri and North Dakota did not provide July 2014 Medicaid and CHIP agency application data. Tennessee only provided application data on their CHIP program.

<sup>&</sup>lt;sup>20</sup> See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability some states reported renewals in the data and included applications received by their SBMs in their Medicaid and CHIP agency application data. New York is reporting all applications through their SBM. Tennessee only provided applications data on their CHIP program.

See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability some states reported some renewals in the data. Massachusetts and Missouri did not provide July 2014 determination data. Tennessee only provided determination data on their CHIP program.

The following states have included renewals in their July 2014 application data: Alaska, Nevada, New Mexico, Ohio, Oregon, Pennsylvania, and Virginia. The following states have included transfers from the FFM in their July 2014 application data: Illinois, Florida, and South Dakota. Subtotals do not sum to total due to rounding.

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numbers do not include applications received at the FFM and subsequently transferred to state Medicaid or CHIP agencies (see State-by-State Table notes for exceptions).<sup>23</sup>

States reported making more than 2.3 million eligibility determinations for Medicaid and CHIP in July 2014 for individuals applying for coverage: approximately 1.4 million determinations in states with Medicaid expansions in effect and approximately 900,000 in other states. As described above and in prior reports, this figure includes all of state Medicaid and CHIP agencies' determinations for individuals eligible under prior law, as well as through coverage expansions under the Affordable Care Act; note that, due to limitations on the data some states are able to report, the number of determinations includes renewals in those states. Additionally, the determination number does not represent unique individuals, as an individual may have more than one eligibility determination. It is important to keep in mind that differences in volume of administrative transfers and other types of one-time enrollment activities can affect month-to-month comparisons of eligibility data.

See the <u>Eligibility Data</u> tab on Medicaid.gov for preliminary and updated data on applications and determinations for October 2013 through June 2014.

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<sup>&</sup>lt;sup>23</sup> See footnote 19.

<sup>&</sup>lt;sup>24</sup> The states that have included renewals in their July 2014 determination data are: District of Columbia, Iowa, Maryland, Michigan, Nevada, New Mexico, Pennsylvania, Rhode Island, South Dakota, Texas, Vermont and Virginia. Some of these renewals are conducted without the individual submitting a new application, using information already in the state's system that the individual is asked to verify.

<sup>&</sup>lt;sup>25</sup> A number of states are employing a new tool for facilitating Medicaid enrollment to conduct an "administrative transfer" to Medicaid using income information from the Supplemental Nutritional Assistance Program (SNAP) or the CHIP program (for the parents of CHIP enrollees). The following states have implemented administrative transfers since this authority was made available: Arkansas, California, Illinois, New Jersey, Oregon, and West Virginia. New Jersey, Oregon, and California conducted transfers in July 2014. As of the end of July, 689,410 individuals have been determined eligible for Medicaid or CHIP as a result of this transitional strategy in the six states that have implemented it. Michigan recently received approval to conduct administrative transfers but it has not yet implemented this targeted enrollment strategy.

#### **Data Limitations**

CMS established a common set of definitions for consistency in reporting the Medicaid and CHIP performance indicators; these definitions appear in Appendix A. States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in notes in the State-by-State table.

# **State-by-State Tables**

Below are 3 tables with state-specific data. The first table contains data on the total number of people enrolled in state Medicaid and CHIP programs in July 2014. The second table contains data on children enrolled in Medicaid and CHIP. The third table contains data on Medicaid and CHIP applications and eligibility determinations for the month of July 2014. The description of each data element included in the table is available in Appendix A. Unless otherwise noted, the reporting period for all elements is July 1 - 31, 2014.

# **Future Reports**

In future months, we will continue to expand the number of performance indicators that will be included in this report.

Table 1: Medicaid and CHIP: June and July 2014 Preliminary Monthly Enrollment

		Enrollment									
States Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, June 2014 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (II)	% Change June to July (Columns (I) and (II)) (III)	Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July- Sept 2013) (IV)	Net Change Pre-Open Enrollment to July (Columns (IV) and (II)) (V)	% Change Pre-Open Enrollment to July (Columns (IV) and (II)) (VI)				
Arizona	FFM	1,427,080	1,463,723	2.57%	1,201,770	261,953	21.80%				
Arkansas	Partnership	772,337	784,335	1.55%	556,851	227,484	40.85%				
California	SBM	10,900,000	10,900,000	0.00%	9,157,000	1,743,000	19.03%				
Colorado	SBM	1,077,464	1,097,856	1.89%	783,420	314,436	40.14%				
Connecticut	SBM	735,767	749,159	1.82%	-	-	-				
Delaware	Partnership	233,471	233,706	0.10%	223,324	10,382	4.65%				
District of Columbia	SBM	246,413	250,446	1.64%	235,786	14,660	6.22%				
Hawaii	SBM	310,822	302,238	-2.76%	288,357	13,881	4.81%				
Illinois	Partnership	2,931,871	2,954,902	0.79%	2,626,943	327,959	12.48%				
Iowa	Partnership	598,873	556,147	-7.13%	493,515	62,632	12.69%				
Kentucky	SBM	1,054,115	1,048,285	-0.55%	606,805	441,480	72.75%				
Maryland	SBM	1,146,851	1,144,722	-0.19%	856,297	288,425	33.68%				
Massachusetts	SBM	1,461,702	1,468,545	0.47%	1,296,359	172,186	13.28%				
Michigan	Partnership	2,188,716	2,197,820	0.42%	1,912,009	285,811	14.95%				
Minnesota	SBM	1,039,357	1,052,464	1.26%	873,040	179,424	20.55%				
Nevada	SBM	507,572	528,048	4.03%	332,560	195,488	58.78%				
New Hampshire^	Partnership	137,163	137,934	0.56%	127,082	10,852	8.54%				
New Jersey	FFM	1,534,404	1,562,483	1.83%	1,283,851	278,632	21.70%				
New Mexico	Supported SBM	691,524	705,128	1.97%							
New York	SBM	6,119,037	6,107,088	-0.20%			7.55%				
North Dakota	FFM	-	79,076	-	69,980		13.00%				
Ohio	Plan Management	2,634,261	2,708,484	2.82%		367,003					
Oregon	SBM	971,620	983,025	1.17%							
Pennsylvania*	FFM	2,403,735	2,417,392	0.57%							
Rhode Island	SBM	253,927					35.14%				
Vermont	SBM	188,422		10.76%							
Washington	SBM	1,520,502				•					
West Virginia	Partnership	514,188									
Subtotal for All States Exp	anding <b>M</b> edicaid	43,601,194	43,957,025	0.63%	36,319,475	6,888,391	18.97%				
	Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month^*		41,401,699	0.64%	33,806,347	6,846,193	20.25%				
Subtotal for States Expand Reported in July and the Co	•	43,601,194	43,207,866	Difference June to July 2014 276,755		Difference July-Sept 2013 to July 2014 6,888,391					

^=The New Hampshire Health Protection Program (NH's expansion) began accepting applications on July 1, 2014, and individuals in NH began to receive benefits under the expansion on August 15, 2014. NH is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of July 2014.

\*=Pennsylvania's requested effective date for implementing the expansion is January 1, 2015. PA is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of July 2014.

(-)=state has not reported data.

Partnership, Plan Management, FFM/SBM-SHOP, Supported SBM are all types of FFMs.

Column III is calculated for only those states that reported both July data and June data (subtotals exclude ND).

Column V and VI is calculated for only those states that reported both monthly data and pre-Open Enrollment period data (subtotals exclude CT).

#=Subtotal for columns I and III is for states submitting both June and July data. July subtotal for expansion states submitting June data is 43,877,949.

#=Subtotal for columns II, IV, V, and VI is for states submitting both July 2014 and July-September, 2013 data.

Arkansas	(I), (II)	Includes Private Option enrollees.
California	(I), (II)	Data are preliminary.
California		Includes individuals transferred from the Low Income Health Program
California		section III5 demonstration (also in pre-Open Enrollment Period data)
California		Includes estimated retroactive enrollment.
California		Includes applicants likely eligible for Medicaid or CHIP,
California		but whose applications are still pending verification.
California	(IV)	Includes individuals in the Low Income Health Program section 1115 demonstration.
District of Columbia	(I), (II), (IV)	Includes limited benefit dual eligible individuals and individuals enrolled in the locally funded DC Health Alliance.
Illinois	(IV)	Includes individuals who received retroactive coverage (unlike columns (I) and (II)).
lowa	(I), (II)	Data are preliminary.
Kentucky	<b>(I)</b>	Corrected.
Massachusetts	(I), (II)	Does not include individuals receiving temporary transitional coverage.
Nevada	(I), (II)	Data are preliminary.
Nevada	(I), (IV)	Includes partial benefit dual eligible individuals.
New Jersey	(I), (II), (IV)	Includes individuals eligible at any point in the month.
New York	(I), (II)	Includes estimated retroactive enrollment.
North Dakota	(IV)	Data is from July 2013 only.
Rhode Island	(I), (II)	Includes only enrollments based on determinations through new MAGI system.

Table 1: Medicaid and CHIP: June and July 2014 Preliminary Monthly Enrollment

		Enrollment								
States Not Expanding Medicaid	States Not Expanding Medicaid Marketplace Type		Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (II)	% Change June to July (Columns (I) and (II)) (III)	Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July- Sept 2013) (IV)	Net Change Pre-Open Enrollment to July (Columns (IV) and (II)) (V)	% Change Pre-Open Enrollment to July (Columns (IV) and (II)) (VI)			
Alabama	FFM	768,099	769,498	0.18%	799,176	-29,678	-3.71%			
Alaska	FFM	125,558	125,254	-0.24%	120,946	4,308	3.56%			
Florida	FFM	3,327,915	3,343,988	0.48%	3,104,996	238,992	7.70%			
Georgia	FFM	1,781,028	1,739,141	-2.35%	1,535,090	204,051	13.29%			
Idaho	Supported SBM	273,757	275,399	0.60%	251,926	23,473	9.32%			
Indiana	FFM	1,177,471	1,180,925	0.29%	1,120,674	60,251	5.38%			
Kansas	Plan Management	404,319	401,980	-0.58%	397,989	3,991	1.00%			
Louisiana	FFM	1,033,090	1,037,136	0.39%	1,019,787	17,349	1.70%			
Maine	Plan Management	299,549	296,206	-1.12%	-	-	-			
Mississippi	FFM/SBM-SHOP	679,078	685,059	0.88%	637,229	47,830	7.51%			
Missouri	FFM	808,824	812,785	0.49%	846,084	-33,299	-3.94%			
Montana	Plan Management	164,306	163,551	-0.46%	139,604	23,947	17.15%			
Nebraska	Plan Management	232,217	233,555	0.58%	244,600	-11,045	-4.52%			
North Carolina	FFM	1,809,993	1,830,303	1.12%	1,744,160	86,143	4.94%			
Oklahoma	FFM	797,155	803,577	0.81%	790,051	13,526	1.71%			
South Carolina	FFM	840,753	857,322	1.97%	790,229	67,093	8.49%			
South Dakota	Plan Management	115,927	116,174	0.21%	115,501	673	0.58%			
Tennessee	FFM	1,334,403	1,345,642	0.84%	1,244,516	101,126	8.13%			
Texas	FFM	4,535,706	4,575,968	0.89%	4,441,605	134,363	3.03%			
Utah	FFM/SBM-SHOP	332,542	334,726	0.66%	322,442	12,284	3.81%			
Virginia	Plan Management	1,058,517	1,063,877	0.51%	1,003,266	60,611	6.04%			
Wisconsin	FFM	1,178,405	1,182,413	0.34%	1,161,876	20,537	1.77%			
Wyoming	FFM	67,094	67,858	1.14%	67,518	340	0.50%			
Subtotal for All States Not	Expanding Medicaid	23,145,706	23,242,337	0.42%	21,899,265	1,046,866	4.78%			
Subtotal for States Not Expanding Medicaid who				Difference June to July 2014		Difference July-Sept 2013 to July 2014				
Reported in July and the Comparison Period#		23,145,706	22,946,131	96,631	21,899,265	1,046,866				
Total Across All States		66,746,900	67,199,362	0.56%	58,218,740	7,935,257	13.63%			
Total for States who Repor	ted in July and the			Difference June to July 2014		Difference July-Sept 2013 to July 2014				
Comparison Period#		66,746,900	66,153,997	373,386	58,218,740	7,935,257				

# (-)=state has not reported data.

Partnership, Plan Management, FFM/SBM-SHOP, Supported SBM are all types of FFMs.

Column III is calculated for only those states that reported both July data and June data (totals exclude ND).

Column V and VI is calculated for only those states that reported both monthly data and pre-Open Enrollment period data.

Column V and VI subtotals exclude ME; totals exclude ME and CT.

#=Subtotals and totals for columns I and III is for states submitting both June and July data.

#=July subtotal for non-expansion states submitting June data is 23,242,337.

#=Subtotals and totals for columns II, IV, V, and VI is for states submitting both July 2014 and July-September, 2013 data.

Alabama	(I), (II)	Enrollment does not include MCHIP expansion enrollees.
Alabama	(IV)	Data is from September 2013 only.
Florida	(I), (II)	Does not include SSI recipients enrolled in Medicaid.
Indiana	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Kansas	<b>(I)</b>	Updated to exclude limited benefit populations.
Kansas	(IV)	Includes partial benefit dual eligible individuals (unlike columns (I) and (II)).
Montana	<b>(I)</b>	Corrected.
Texas	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Utah	(I), (II), (IV)	Includes service limited Medicare program beneficiaries (SLMBs).
Wisconsin	(I), (II), (IV)	Includes all Medicaid/BadgerCare Plus programs and subprograms,
Wisconsin		including partial benefit dual eligible individuals, and other limited benefit populations.

Table 2: Medicaid and CHIP: July 2014 Preliminary Monthly Medicaid and CHIP Child Enrollment

	Enrollment									
			Medicai	Average Month to Month Change	Total Medicaid and CHIP Enrollment	Medicaid and CHIP Child Enrollment as a % of Total Enrollment (Columns (VII) and (IX))				
State	January, 2014 (I)	February, 2014 (II)	March, 2014 (III)	April, 2014 (IV)	May, 2014 (V)	June, 2014 (VI)	July, 2014 (Preliminary) (VII)	January - July, 2014 (VIII)	July, 2014 (Preliminary) (IX)	July, 2014 (Preliminary) (X)
Alabama	572,891	567,522	571,086	561,239	560,765	561,895	561,261	-0.34%	769,498	72.94%
Alaska	72,990	71,916	72,853	74,166	74,453	74,345	73,780	0.19%	125,254	
Arizona	-	-	-	-	-	-	-	-	1,463,723	
Arkansas	-	-	-	-	-	-	-	-	784,335	
California	-	-		-			-	-	10,900,000	
Colorado	521,062	529,769	541,290	546,828	549,172	553,934	557,433			
Connecticut	-	-	-	-		309,332	312,589		749,159	
Delaware	100,714	101,431	102,192	102,215	101,445	101,729	101,969	0.21%	233,706	
District of Columbia	2,076,132	2,113,370	2,183,679	2,233,244	2,248,73 I	- 2,253,690	- 2,263,868	- 1.46%	250,446 3,343,988	
Florida Georgia	2,076,132	1,143,673	1,179,741	1,272,754	1,233,020	1,251,719	1,230,907	1.55%	1,739,141	
Hawaii	143,899	145,758	147,101	1,272,734	137,562	1,231,717	133,222		302,238	
Idaho	181,082	183,520	186,204	187,351	188,421	189,432	189,901		275,399	
Illinois	-	-	-	1,558,215	1,553,912	1,530,373	1,501,530		3,016,663	
Indiana	696,562	674,961	700,190	685,900	708,953	711,794	696,768		1,180,925	
Iowa	-	-	-	292,324	329,738	290,741	340,395		594,961	
Kansas	_	-	-	290,846	290,375	290,028	288,341	-0.29%	401,980	
Kentucky	527,034	535,332	547,251	556,387	539,248	523,537	506,659	-0.62%	1,048,285	
Louisiana	724,246	726,446	728,619	731,717	734,341	739,388	742,144	0.41%	1,037,136	71.56%
Maine	128,110	127,945	127,618	126,507	125,731	124,119	123,011	-0.67%	296,206	41.53%
Maryland	567,867	578,164	589,893	590,931	599,057	601,851	593,652	0.75%	1,144,722	51.86%
Massachusetts	583,723	581,602	583,761	584,611	583,740	587,932	584,953	0.04%	1,468,545	39.83%
Michigan	1,024,050	1,030,715	1,041,543	1,053,194	1,061,866	1,066,526	1,058,743	0.56%	2,197,820	48.17%
Minnesota	427,164	452,379	445,330	452,703	456,951	465,467	462,894	1.37%	1,052,464	43.98%
Mississippi	428,745	432,827	451,945	458,118	463,138	467,716	467,535			68.25%
Missouri	509,173	502,096	504,210	501,850	500,974	504,057	508,010		·	
Montana	108,019	108,752	109,112	108,047		106,163				
Nebraska	158,177	157,047	157,890	158,230	158,049	157,454			·	
Nevada	-		-			,	275,337			
New Hampshire <sup>^</sup>	87,055	89,365	90,701	91,833		92,427			·	
New Jersey	744,535	751, <del>4</del> 93	757,066	766,502		781,640	789,130	0.97%		
New Mexico	2 220 270	2 222 457	2 2/2 5/7			2 270 401	2 271 500	- 0.379/	705,128	
New York	2,320,379	2,322,657	2,363,567	2,346,594		2,370,481	2,371,500			
North Carolina North Dakota	1,126,665	1,096,013	1,098,630	1,089,239	1,103,822	1,118,773	1,178,967 40,073		1,830,303 79,076	
Ohio	- 1,162,668	- 1,162,877	1,172,101	1,183,390		- 1,208,772	1,222,720		2,708,484	
Oklahoma	507,824	515,027	522,646	501,218	502,688	506,175				
Oregon	399,782	413,470	421,810	425,536		431,745				
Pennsylvania*	1,264,811	1,267,629	1,284,539	1,280,233		1,266,100				
Rhode Island	-	-	-	-		- 1,230,100	- 1,27	-	257,884	
South Carolina	578,153	585,301	592,291	598,453	606,035	614,297	617,707	1.11%		
South Dakota	78,165	78,209	78,685	79,075		78,884	78,989	0.18%	116,174	67.99%
Tennessee	-	-	-	-	-	-	-	-	1,345,642	-
Texas	3,245,861	3,246,854	3,254,461	3,286,347	3,317,991	3,324,633	3,358,478	0.57%	4,575,968	73.39%
Utah	232,107	233,066	221,914	204,978	205,500	204,630	205,170	-1.98%	334,726	61.29%
Vermont	67,765	-	68,916	65,765	66,406	61,250	71,455	1.32%	208,699	34.24%
Virginia	648,786	652,919	655,135	653,922	656,380	657,693	645,860	-0.07%	1,063,877	60.71%
Washington	738,337	742,798	745,233	743,874	745,665	746,462	742,330	0.09%	1,537,764	48.27%
West Virginia	203,216	205,974	208,856	211,699	209,187	209,888	209,881	0.54%	519,672	40.39%
Wisconsin	-	-	-	-		-	-	-	1,182,413	
Wyoming	44,831	45,223	45,565	45,551	45,368	44,455	44,850	0.01%	67,858	
Total For All States	23,002,580	24,174,100	24,553,624	26,843,565	27,275,216	27,593,566	27,717,127	0.60%	67,304,991	54.98%
Number of States Reporting	36	36	37	40	41	42	43	42	51	43

For general notes on enrollment data, see Table I: Medicaid and CHIP: June and July 2014 Preliminary Monthly Enrollment (-)=State has not reported data or data submitted was incomplete.

Alabama	(I)-(VII)	Enrollment does not include MCHIP expansion enrollees
Iowa	(IV)-(VII)	Data are preliminary.
Maryland	(I)-(VII)	Includes limited benefit dual eligible individuals (unlike column (VIII)). All data is preliminary.
New York	(I)-(VII)	Includes estimated retroactive enrollment.
Utah	(1)	Includes some duplicates.

Table 3: Medicaid and CHIP: July 2014 Monthly Applications and Eligibility Determinations

				<b>Applications</b>			Determinations			
States Expanding Medicaid	Marketplace Type	New Applications Submitted to Medicaid and CHIP Agencies, July 2014 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, July 2014 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, July 2014 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, June 2014 (Preliminary) (IV)	% Change June 2014 to July 2014 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, July 2014 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, July 2014 (Preliminary) (VII)	Total New Determinations, July 2014 (Preliminary) (VIII)	
Arizona	FFM	158,135	N/A	158,135	152,296	3.83%	104,345	-	104,345	
Arkansas	Partnership	32,917	N/A	32,917	32,062	2.67%	24,346	-	24,346	
California	SBM	135,000	168,000	303,000	302,000	0.33%	361,000	-	361,000	
Colorado	SBM	23,586	-	23,586	23,896	-1.30%	21,361	1,109	22,470	
Connecticut	SBM	11,685	9,601	21,286	24,969	-14.75%	8,957	3	8,960	
Delaware	Partnership	3,271	N/A	3,271	2,853	14.65%	1,807	52	1,859	
District of Columbia	SBM	4,748	1,772	6,520	6,843	-4.72%	5,223	-	5,223	
Hawaii	SBM	6,359	-	6,359	5,748	10.63%	6,337	316	6,653	
Illinois	Partnership	68,577	N/A	68,577	121,055	-43.35%	73,935	17,401	91,336	
Iowa	Partnership	21,395	N/A	21,395	21,646	-1.16%	117,655	22,513	140,168	
Kentucky	SBM	1,076	21,432	22,508	22,480	0.12%	4,889	4,680	9,569	
Maryland	SBM	34,595	7,249	41,844	33,060	26.57%	53,741	11,725	65,466	
Massachusetts	SBM	24,288	8,853	33,141	31,416	5.49%				
Michigan	Partnership	76,209	N/A	76,209	75,512	0.92%	72,335	6,814	79,149	
Minnesota	SBM	22,684		22,684	23,227	-2.34%	15,527		15,527	
Nevada	SBM	13,750	-	13,750	13,027	5.55%	14,832	39	14,871	
New Hampshire^	Partnership	4,364	N/A	4,364	3,342	30.58%	3,014	-	3,014	
New Jersey	FFM	24,039	N/A	24,039	29,628	-18.86%	7,523	3,876	11,399	
New Mexico	Supported SBM	41,584	N/A	41,584	42,802	-2.85%	19,218	-	19,218	
New York	SBM		178,189	178,189	171,657	3.81%	113,398	9,799	123,197	
North Dakota	FFM		N/A		616	-	4,892	2,534	7,426	
Ohio	Plan Management	233,049	N/A	233,049	210,283	10.83%	188,007	-	188,007	
Oregon	SBM	603	15,626	16,229	14,235	14.01%	18,484	-	18,484	
Pennsylvania*	FFM	201,424	N/A	201,424	186,989	7.72%	59,249	7,513	66,762	
Rhode Island	SBM	12,596	-	12,596	1,505	736.94%	10,692	1,092	11,784	
Vermont	SBM	7,057	4,834	11,891	18,110	-34.34%	2,915		2,915	
Washington	SBM	20,085	107,307	127,392	135,209	-5.78%	73,956	2,082	76,038	
West Virginia	Partnership	24,930	N/A	24,930	22,021	13.21%	12,912	647	13,559	
Subtotal for All States Expanding Medicaid		1,208,006	522,863	1,730,869	1,728,487	0.17%	1,400,550	92,195	1,492,745	
Subtotal for All States with	th Expansions in Effect and									
Providing Coverage in Re	porting Month <sup>*</sup>	1,002,218	522,863	1,525,081	1,538,156		1,338,287	84,682	1,422,969	
Subtotal for States Expan	•					Difference June to July 2014				
Reported in June and Jul 2	2014			1,730,869	1,727,871	2,998				

<sup>^=</sup>The New Hampshire Health Protection Program (NH's expansion) began accepting applications on July 1, 2014, and individuals in NH began to receive benefits under the expansion on August 15, 2014. NH is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of July 2014.

Partnership, Plan Management, FFM/SBM-SHOP, Supported SBM are all types of FFMs.

<sup>\*=</sup>Pennsylvania's requested effective date for implementing the expansion is January 1, 2015. PA is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of July 2014.

(-)=state has not reported data.

Column V is calculated for only those states that reported June and July 2014 Applications data (subtotals exclude ND).

Arkansas (VI) Includes CHIP.

California **(I)** Data are preliminary and will be not be fully reconciled until August 2014. California Reflects primarily newly-determined and likely eligible Medicaid applicants,

California as well as some ongoing caseload activity conducted via the state's health exchange automation system.

California (II) Data are preliminary and will be not be fully reconciled until August 2014. California Includes applications to SBM that did not request financial assistance.

California (IV) Data are preliminary and will be not be fully reconciled until July 2014. California

Reflects primarily newly-determined and likely eligible Medicaid applicants,

California as well as some ongoing caseload activity conducted via the state's health exchange automation system.

California (VI) Data are preliminary and will be not be fully reconciled until August 2014. California Determinations 'at application' is derived by considering prior coverage. California Includes those determined eligible and 'contingently eligible.' Includes CHIP. California Includes 24,000 individuals eligible via targeted enrollment strategy.

Reflects primarily newly-determined and likely eligible Medicaid applicants

California as well as some ongoing caseload activity conducted via the state's health exchange automation system.

California (VII) Data reflects only those determinations made by the separate CHIP agency and does not reflect all CHIP determinations.

Colorado **(I)** State Medicaid agency hosts SBM portal; number includes all applications for insurance affordability programs.

(I), (III), (IV) Connecticut Data may include some duplication of applications between Medicaid and CHIP.

Connecticut (II) SBM applications data not submitted as of date of publication.

(VI) Connecticut Count is of households, not individuals. Includes determinations of some non-title XIX programs made by the Medicaid agency.

Connecticut (state funded medical cases, the AIDS Drug Assistance program and refugee cases).

Connecticut Only includes determinations made by the Medicaid agency. Connecticut Excludes those determined Medicaid-eligible by the SBM. (VI) **District of Columbia** Includes all determinations (e.g., renewals); includes CHIP.

Hawaii **(I)** State Medicaid agency hosts SBM portal; number includes all applications for insurance affordability programs.

Illinois (I), (III), (IV) Includes account transfers from the FFM.

Illinois (IV) Bulk account transfers from the FFM were loaded during this month.

Iowa (I), (III), (IV)Data are preliminary. (VI), (VII), (VIII) Iowa Data are preliminary. (VI) lowa Includes renewals. Iowa (VII) Includes renewals.

(II) Maryland Includes State Medicaid Agency data and SBM data from 7/I - 7/31. Maryland (II), (III), (IV)Includes all applications not only those requesting financial assistance.

Maryland (VI) Includes renewals. Includes State Medicaid Agency data and SBM data from 7/1 - 7/31. Maryland (VII) Includes renewals. Includes State Medicaid Agency data and SBM data from 7/1 - 7/31. Maryland (VIII) Includes renewals. Includes State Medicaid Agency data and SBM data from 7/1 - 7/31.

(VI) Massachusetts Data are preliminary and are derived.

Michigan (VI) Includes renewals. (VII) Michigan Includes renewals.

Minnesota (I), (III), (IV)Count is of persons applying, not applications. Does not include applications to the new eligibility and enrollment system

Minnesota (VI) Includes CHIP.

Table 3: Medicaid and CHIP: July 2014 Monthly Applications and Eligibility Determinations

Nevada (I), (III), (IV) Includes renewals.

New Hampshire (VI) Count is of households, not individuals. Includes renewals.

New Hampshire (VI) Data is derived by considering prior coverage; includes CHIP.

New Jersey (I), (III), (IV) Includes applications received at county welfare agencies.

New Jersey (VI), (VII), (VIII) Does not include all eligibility determinations; county welfare agencies determinations are not included in this data.

New Jersey (VI) Includes 3,698 individuals determined eligible via Targeted Enrollment Strategy.

New Mexico (I), (III), (IV) Includes renewals.

New Mexico (VI) Includes all determinations (e.g., renewals); includes CHIP.

New York(VI)Data are preliminary.New York(VII)Data are preliminary.New York(VIII)Data are preliminary.

North Dakota (VI), (VIII) Reporting methodology varies from prior months due to change in data collection processes by state.

Ohio (I), (III), (IV) Includes renewals. May include some applications only for other benefits, not for Medicaid and CHIP.

Ohio(VI)Includes CHIP.Ohio(VI), (VIII)Includes renewalsOregon(I), (II), (III), (IV)Includes renewals

Oregon (VI) Count is of households, not individuals; includes CHIP.

Oregon Includes 256 individuals determined eligible via Targeted Enrollment Strategy.

Oregon Includes MAGI populations only.

Oregon (VI), (VIII) Includes MAGI populations only.

Pennsylvania (I), (III), (IV) Includes renewals.
Pennsylvania (VI), (VIII) Includes renewals.

Rhode Island (I) Includes applications submitted to SBM.

Rhode Island
(I), (III)
Applications include delayed renewals being transitioned into the new eligibility system.

Rhode Island
(I), (III), (IV)
Does not include applications in legacy system for certain non-MAGI populations.

Rhode Island
(VI)
Includes only determinations through new MAGI system. Includes renewals.

Rhode Island (VII) Includes only determinations through new MAGI system.

Vermont (VI) Includes renewals.

Table 3: Medicaid and CHIP: July 2014 Monthly Applications and Eligibility Determinations

				<b>Applications</b>			Determinations			
States Not Expanding Medicaid	Marketplace Type	New Applications Submitted to Medicaid and CHIP Agencies, July 2014 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, July 2014 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, July 2014 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, June 2014 (Preliminary) (IV)	% Change June 2014 to July 2014 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, July 2014 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, July 2014 (Preliminary) (VII)	Total New Determinations, July 2014 (Preliminary) (VIII)	
Alabama	FFM	18,069	N/A	18,069	17,918	0.84%	27,707	-	27,707	
Alaska	FFM	4,935	N/A	4,935	5,448	-9.42%	2,581	-	2,581	
Florida	FFM	383,769	N/A	383,769	365,486	5.00%	117,252	15,726	132,978	
Georgia	FFM	91,376	N/A	91,376	94,604	-3.41%	44,604	680	45,284	
Idaho	Supported SBM	4,582	N/A	4,582	4,210	8.84%	7,585	343	7,928	
Indiana	FFM	96,288	N/A	96,288	125,543	-23.30%	41,248	2,864	44,112	
Kansas	Plan Management	8,224	N/A	8,224	7,042	16.79%	6,989	822	7,811	
Louisiana	FFM	27,403	N/A	27,403	25,853	6.00%	24,118	1,447	25,565	
Maine	Plan Management	1,133	N/A	1,133	-	-	13,328	282	13,610	
Mississippi	FFM/SBM-SHOP	19,511	N/A	19,511	19,111	2.09%	11,895	403	12,298	
Missouri	FFM		N/A	-	-	-	-	-	-	
Montana	Plan Management	3,202	N/A	3,202	3,326	-3.73%	2,626	187	2,813	
Nebraska	Plan Management	7,205	N/A	7,205	6,688	7.73%	8,852	971	9,823	
North Carolina	FFM	81,171	N/A	81,171	76,193	6.53%	79,092	5,349	84,441	
Oklahoma	FFM	44,235	N/A	44,235	44,210	0.06%	38,485	5,372	43,857	
South Carolina	FFM	37,717	N/A	37,717	32,103	17.49%	46,061	2,609	48,670	
South Dakota	Plan Management	1,873	N/A	1,873	1,563	19.83%	1,394	-	1,394	
Tennessee	FFM	1,546	N/A	1,546	1,480		-	1,098	1,098	
Texas	FFM	115,503	N/A	115,503	106,724	8.23%	242,988	-	242,988	
Utah	FFM/SBM-SHOP	24,508	N/A	24,508	26,326	-6.91%	48,003	820	48,823	
Virginia	Plan Management	28,667	N/A	28,667	26,161	9.58%	39,559	3,105	42,664	
Wisconsin	FFM	29,828	N/A	29,828	28,136	6.01%	23,792	1,927	25,719	
Wyoming	FFM	1,138	N/A	1,138	852		707	99	806	
Subtotal for All States Not	Expanding Medicaid	1,031,883	N/A	1,031,883	1,018,977	1.15%	828,866	44,104	872,970	
Subtotal for States Not Expanding Medicaid who Reported in June and July 2014				1,030,750	1,018,977	Difference June to July 2014 11,773				
, 2				,,,,,,,,	,,,,,,,,,	,				
Total Across All States		2,239,889	522,863	2,762,752	2,747,464		2,229,416	136,299	2,365,715	
						Difference June to July 2014				
Total for States who Repor	ted in June and July 2014			2,761,619	2,746,848	14,771				

<sup>(-)=</sup>state has not reported data.

Column V is calculated for only those states that reported June and July 2014 Applications data (subtotals exclude ME, MO; totals exclude ME, MO, and ND).

Partnership, Plan Management, FFM/SBM-SHOP, Supported SBM are all types of FFMs.

# Percent change figures in the subtotal of column V exclude TN, which only reports CHIP data.

Table 3: Medicaid and CHIP: July 2014 Monthly Applications and Eligibility Determinations

Alaska (I), (III), (IV) Includes renewals converting to MAGI methodology.

Alaska (VI), (VIII) Count is of households, not individuals; includes CHIP.

Alabama (VII) Data for Medicaid and CHIP applications processed in the E&E system is not available at this time.

Florida (I), (III), (IV) Includes account transfers from the FFM.

Kansas (I), (III), (IV) Includes MAGI populations only.

Kansas (VI) Includes MAGI populations only.

South Dakota (I), (III), (IV) Includes account transfers from the FFM.

South Dakota (VI) Includes all determinations (e.g., renewals); includes all determinati

South Dakota (VI) Includes all determinations (e.g., renewals); includes CHIP.

Tennessee (I), (III), (IV) Data are from CHIP agency only. Medicaid reporting capability in development.

Tennessee (V) Excluded because data is only from CHIP agency.

Texas (VI) Includes renewals and CHIP.

Utah (I), (III), (IV) Includes applications for non-health coverage programs.

Virginia(I), (III), (IV)Includes renewals.Virginia(VI)Includes renewals.Virginia(VII)Includes renewals.

Wisconsin (VI), (VII) Count is of unique individuals receiving determinations, not unique determinations.

# **APPENDIX A: Description of Data Elements in Tables**

#### Table 1: Medicaid and CHIP: June and July Preliminary Monthly Enrollment

# Total Medicaid and CHIP Enrollment, June 2014 (Preliminary) (I) Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (II)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Medicaid section 1115 demonstration populations are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The July 2014 data was submitted in August and is considered preliminary. <sup>26</sup> The June 2014 data in this table was submitted in July and is also preliminary. June data that was updated in August (which may include more individuals with retroactive eligibility) is posted separately under the Eligibility Data tab on Medicaid.gov. Other reporting on Medicaid and CHIP enrollment by states and researchers often may include some beneficiaries excluded in this data (because comprehensive coverage is not provided), or otherwise use a different methodology.

#### Percent Change June to July (III)

The percentage change in **Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (II)** as compared to **Total Medicaid and CHIP Enrollment, June 2014 (Preliminary) (II)** is calculated for states that provided data for both periods. In cases where there is a negative percentage change, this may be due to a number of factors. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

# Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July-Sept 2013) (IV)

The average number of individuals enrolled in Medicaid and CHIP for each month during the July-September 2013 period using an average of enrollment numbers submitted by the states for each month in this period. Not all states submitted three months of data; the average is calculated using any months of baseline data that were provided. The unit of measurement is individuals enrolled as of the last day of the reporting period (month). This baseline data includes more retroactive enrollments than the June data, which makes change between the July through September period and the June preliminary data look smaller than it would be if

<sup>&</sup>lt;sup>26</sup> In Medicaid, individuals are potentially eligible for retroactive eligibility to cover incurred health care costs for up to 90 days prior to the date of application. Under the Performance Indicator process, states initially submit their monthly enrollment data just a week after the month closes, and then update their data one month later. Therefore, the Performance Indicator enrollment counts will always be slightly understated, as they will not include all individuals granted retroactive eligibility.

retroactive enrollments were excluded from the data for the July-September 2013 period.<sup>27</sup> Such exclusions were not possible.

#### Net Change Pre-Open Enrollment (V) to July

The net change in Total Medicaid and CHIP Enrollment, July 2014 (preliminary) (II) as compared to Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July-Sept 2013) (IV) is calculated for states that provided data for both periods and represents the difference in enrollment between these two periods. A negative net change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

#### Percentage Change From Pre-Open Enrollment to July 2014 (VI)

The percentage change in Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (II) compared to Pre-Open Enrollment Monthly Average Medicaid and CHIP Enrollment (July-Sept 2013) (IV) is calculated for states that provided data for both periods. A negative percentage change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Note: Updated enrollment data for January through June of 2014 is available on the Eligibility Data tab on Medicaid.gov. This data is updated more than 30 days after the close of the reporting period, to account for retroactive Medicaid eligibility and other updates to the preliminary data provided soon after the close of the reporting period, which forms the basis for this report.

# Table 2: Medicaid and CHIP: July 2014 Preliminary Monthly Medicaid CHIP Child Enrollment

# Medicaid and CHIP Child Enrollment, January - June, 2014 ((I)-(IV))

As of the last day of the calendar month—

- the total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.<sup>28</sup> These figures may have been updated by

<sup>&</sup>lt;sup>27</sup> See footnote 26.

<sup>&</sup>lt;sup>28</sup> The states which provide complete coverage to pregnant women through CHIP are New Jersey, Rhode Island, and Colorado. In addition, the following states provide certain services to pregnant women through CHIP: Arkansas, California, Louisiana, Massachusetts, Michigan, Minnesota, Nebraska, Oklahoma, Oregon, Rhode Island, Tennessee, Texas, Washington, and Wisconsin. Benefits offered vary by state.

states more than 30 days after the close to the reporting period to include enrollees with retroactive coverage and other updates.

#### Medicaid and CHIP Child Enrollment, July, 2014 (Preliminary) (V)

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults. The July 2014 data was submitted in August and is considered preliminary. The July 2014 data was submitted in August and is considered preliminary.

# Average Month to Month Change, January-July, 2014 (VI)

This column calculates the month to month change in Medicaid and CHIP enrollment for each month between January 2014 and July 2014 (columns (I)-(V)) and displays an average of those changes.

# Total Medicaid and CHIP Enrollment, July 2014 (Preliminary) (VII)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Medicaid section 1115 demonstration populations are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The July 2014 data was submitted in August and is considered preliminary. This data is the same as the data reported in column (II) in Table 1: Medicaid and CHIP: June and July Preliminary Monthly Enrollment.

Medicaid and CHIP Child Enrollment as a % of Total Enrollment (VIII)

Medicaid and CHIP Child Enrollment, July 2014 (Preliminary) (V) as a percentage of Total

Medicaid and CHIP Enrollment, July 2014 (Preliminary) (VIII).

<sup>30</sup> See footnote 26.

<sup>&</sup>lt;sup>29</sup> See footnote 28.

<sup>&</sup>lt;sup>31</sup> See footnote 26.

# Table 3: Medicaid and CHIP: July 2014 Monthly Applications and Eligibility Determinations

#### **Application Data Elements**

New Applications Submitted to Medicaid and CHIP Agencies, July 2014 (Preliminary) (I)

Number of applications received by the Medicaid agency, a separate CHIP agency (if one exists in the state), or both during the reporting period. Includes applications received online, via mail, in person or phone. Does not include applications submitted to a State-Based Marketplace (SBM) or the Federally-Facilitated Marketplace (FFM) (the SBM number is reported in column IV). 32 It should be noted that data reflected in this performance indicator are not a count of individuals, as more than one person may be included on a single application. As states are transitioning to new systems, they may not be able to report applications received across all aspects of their programs or systems. See the state-specific notes in the table for further information about state limitations in this area. 33 The July 2014 data was submitted in August and is considered preliminary.34

# Applications for Financial Assistance Submitted to the State-Based Marketplace, July 2014 (Preliminary) (II)

This element is the number of applications requesting financial assistance (Medicaid, CHIP or the Advanced Premium Tax Credit and Cost-Sharing Reductions) that have been received by the SBM during the reporting period. The July 2014 data was submitted in July and is considered preliminary.<sup>35</sup>

Total Applications for Financial Assistance Submitted at the State Level, July 2014 (Preliminary) (III)

Total Applications for Financial Assistance Submitted at the State Level, June 2014 (Preliminary) (IV)

For states with an SBM, the data reflects the total of Applications Submitted to Medicaid and CHIP Agencies, July 2014 (Preliminary) plus Applications for Financial Assistance Submitted to the State-Based Marketplace, July 2014 (Preliminary). For FFM states, the data reflects Applications Submitted to Medicaid and CHIP Agencies, July 2014 (Preliminary). For SBM states, the data includes all applications for financial assistance; individuals on these applications will be determined eligible for Medicaid, CHIP or Advanced Premium Tax Credits and Cost-Sharing Reductions, or determined ineligible for financial assistance. The July 2014 data was submitted in August and is considered preliminary. The June 2014 data in this table was submitted in July and is also preliminary. June data that was updated in August (which may include additional individuals who applied in June, but who were not captured in the preliminary data) is posted separately under the Eligibility Data tab on Medicaid.gov.

<sup>&</sup>lt;sup>32</sup> As described in the state-specific notes in the tables, some states included account transfers from the FFM in the total count of applications received by Medicaid and CHIP agencies.

<sup>33</sup> As described in the state-specific notes in the tables, some states included renewals in the total count of applications received by Medicaid and CHIP agencies.

<sup>&</sup>lt;sup>34</sup> See footnote 26.

<sup>35</sup> See footnote 26.

#### Percentage Change June 2014 to July 2014 (V)

The percentage change in **Total Applications for Financial Assistance Submitted at the State Level, July 2014 (Preliminary) (III)** as compared to **Total Preliminary Applications Submitted at the State Level, June 2014 (Preliminary) (IV)** is calculated for states that provided data for both periods.

#### **Eligibility Determination Data Elements**

# Individuals Determined Eligible for Medicaid at Application, July 2014 (VI)

Total number of individuals determined eligible for Medicaid (under title XIX of the Social Security Act) during the reporting period based on applications for coverage submitted to any state agency (Medicaid, CHIP or the SBM) or based on an administrative determination authorized under a targeted enrollment strategy approved by CMS. The unit of measurement is a count of individuals (as compared to the application numbers, which may include multiple people in one application). This number includes all determinations on applications made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. This does not include determinations made at a periodic redetermination or because of a change in circumstance for a current beneficiary. The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals because an individual may have more than one determination within the reporting period. For example, individuals are first determined based on MAGI, and if needed, may request a subsequent determination based on non-MAGI factors. A list of which eligibility groups are MAGI and which are non-MAGI is available on Medicaid.gov.

As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the notes in the table for further information about state limitations in this area.

In states receiving account transfers from the FFM in July where the FFM makes an eligibility assessment (and the state then completes the Medicaid determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make Medicaid eligibility determinations, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing the determinations, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the

<sup>&</sup>lt;sup>36</sup> Information on targeted enrollment strategies and the states approved for these strategies is available here: <a href="http://www.medicaid.gov/AffordableCareAct/Medicaid-Moving-Forward-2014/medicaid-moving-forward-2014.html">http://www.medicaid.gov/AffordableCareAct/Medicaid-Moving-Forward-2014/medicaid-moving-forward-2014.html</a>.

<sup>&</sup>lt;sup>37</sup> As described in the state-specific notes in the tables, some states, due to data limitations, could not provide data that met our specifications. Notes are provided in cases where the state included renewals in this data element.

FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see <u>Medicaid.gov</u>.

#### Individuals Determined Eligible for CHIP at Application, July 2014 (VII)

Total number of individuals determined eligible for CHIP (under title XXI of the Social Security Act) during the reporting period that follows the applicant submitting an application for coverage to any state agency (Medicaid, CHIP, or the SBM). This number includes all determinations at application made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the footnotes in the table for further information about state limitations in this area. The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals.

In states receiving account transfers from the FFM in July where the FFM makes an eligibility assessment (and the state then completes the CHIP determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make a CHIP eligibility determination, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing a determination, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see Medicaid.gov.

# **Total New Determinations, July 2014 (VIII)**

The total of Individuals Determined Eligible for Medicaid at Application plus Individuals Determined Eligible for CHIP at Application.

A Note about Federally-Facilitated Marketplace Types: Federally-Facilitated Marketplaces (FFMs) can take several forms, including the State Partnership Marketplace (Partnership), States performing Plan Management functions (Plan Management), Supported SBMs, and the State-Based Small Business Health Options Program (SB-SHOP). These models are referenced in the state-by-state tables. All of these models are referred to as an "FFM" in this report.