

State Planning Grants to Promote Continuity of Care for Medicaid and CHIP Beneficiaries Following Incarceration Notice of Funding Opportunity (NOFO) Webinar

Center for Medicaid and CHIP Services (CMCS)
October 10, 2024

Housekeeping & Logistics



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Agenda

This webinar provides detailed information related to the application process for state planning grants authorized under section 206 of the Consolidated Appropriations Act (CAA), 2024. The following topics will be discussed:

1 | Welcome and Introductions

2 | Sec. 206 State Planning Grants Background

3 | Sec. 206 State Planning Grants NOFO Overview

4 | Federal Award Information

5 | Application Process

6 | Federal Award Administration

7 | Question and Answer Session

8 | Closing & Resources

Audience Poll

Please respond to the live poll using the Zoom platform.



When Does Your State Intend to Apply?

- a. NOFO (Cohort 1)
- b. NOFO (Cohort 2)
- c. I intend on applying, but am unsure which cohort to apply to
- d. I do not intend on applying
- e. NA – I am ineligible to apply

What Agency from Your State Plans on Applying?

- a. State Medicaid Agency
- b. State CHIP Agency
- c. Unsure
- d. I do not intend on applying
- e. NA – I am ineligible to apply

Sec. 206 State Planning Grants Background

Section 206 State Planning Grants – Statutory Background

- Section 206(a) of the Consolidated Appropriations Act (CAA), 2024, appropriated funds for awarding and administering grants to support states and territories with developing operational capabilities to promote continuity of care for Medicaid and CHIP-eligible individuals who are inmates of a public institution.
- Funding is available for activities and expenses related to complying with the requirements under section 5121 of the CAA, 2023, and section 205 of the CAA, 2024, that:
 - A state shall provide certain Medicaid and CHIP services to eligible juveniles in the period surrounding their release from a public institution, effective January 1, 2025; and
 - A state shall not terminate eligibility for medical assistance for individuals who are inmates of a public institution, effective January 1, 2026.
- Funding is also available to support states and territories with adopting Medicaid state plan options under section 5122 of the CAA, 2023, related to providing Medicaid and CHIP services for eligible juveniles pending disposition of charges.

Section 206 State Planning Grants – Section 5121 Background

- Section 5121 of the CAA, 2023 **requires** state Medicaid programs **to have a plan in place** and in accordance with such plan, cover the following for an eligible juvenile **who is within 30 days of their scheduled date of release** from a public institution **following adjudication**:
 - **In the 30 days prior to release**, or not later than one week, or as soon as practicable after release, any **screenings and diagnostic services** which meet reasonable standards of medical and dental practice, as determined by the state, or as otherwise indicated as medically necessary, in accordance with the Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) requirements, including behavioral health screenings or diagnostic services; and
 - **In the 30 days prior to release and for at least 30 days following release, targeted case management (TCM) services** including referrals to appropriate care and services available in the geographic region of the home or residence for the eligible juvenile, where feasible.

Section 206 State Planning Grants – Section 5121 Background (continued)

- Section 5121 of the CAA, 2023 includes three provisions that impact the eligibility of incarcerated children under CHIP:
 - First, section 5121 applies generally similar **pre-release case management, screening, and diagnostic services and timeframe requirements** under Medicaid to incarcerated children under CHIP.
 - EPSDT is not required in separate CHIPs, so there will be differences across states based on the screening and diagnostic services they cover under their CHIP state plan.
 - Second, section 5121(c) also **aligns CHIP rules with existing Medicaid rules regarding suspension rather than termination of coverage** while a child is an inmate of a public institution and related requirements regarding redeterminations of coverage.
 - Third, for purposes of section 5121, **children within 30 days of their release from incarceration are no longer considered to be subject to the eligibility exclusion** at section 2110(b) of the Act.

Section 206 State Planning Grants – Section 5122 Background

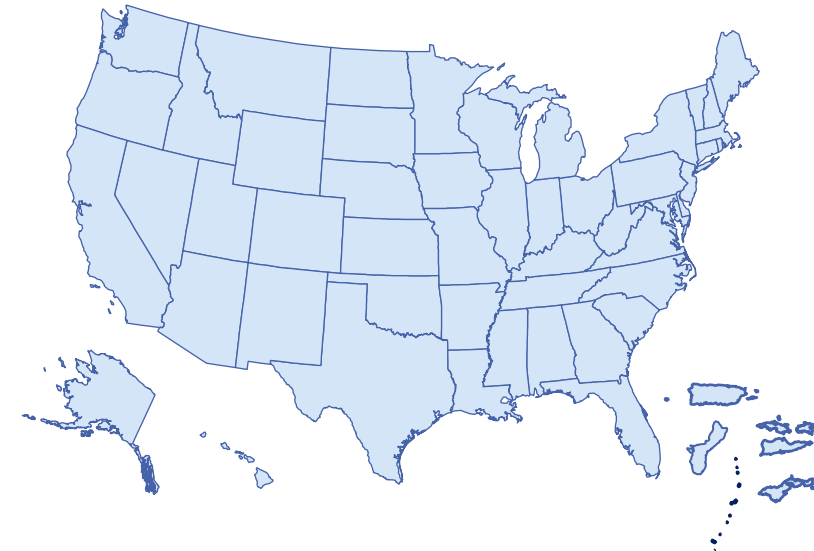
- Under section 5122 of the CAA, 2023, states will have the **option to provide Medicaid coverage** (of all services to which an eligible juvenile would be entitled absent the inmate exclusion) **to eligible juveniles** who are inmates of a public institution **pending disposition of charges** and receive FFP under Medicaid for such services that are provided.
- Additionally, in CHIP, instead of applying the eligibility exclusion at section 2110(b)(2)(A) of the Act, states will have the **option to consider children who are inmates pending disposition of charges as eligible for CHIP** during that time.

Section 206 State Planning Grants – Overview

- **Overview:** The Centers for Medicare & Medicaid Services (CMS) is seeking applications from eligible state Medicaid and CHIP agencies for planning grants to develop operational capabilities to promote continuity of care for individuals who meet both of the following criteria:
 - Are inmates of a public institution; and
 - Are eligible for medical assistance under the state Medicaid program or for child health assistance or pregnancy-related assistance under the state Children's Health Insurance Program (CHIP)
- Planning grants will also assist in meeting new requirements that prohibit the termination of eligibility for Medicaid and CHIP due to incarceration for all beneficiaries beginning January 1, 2026
- **Goals:**
 - To promote continuity of care for individuals following incarceration and strengthen equitable access to health care.
 - Provide states with funding needed to comply with statutory requirements

Section 206 Planning Grants – Who Can Apply

- Eligible applicants are state governments, District of Columbia, and territorial governments, specifically Medicaid and CHIP agencies.
- The term “state Medicaid agency” means the single state agency for medical assistance provided under Title XIX of the Social Security Act (the Act), while the term “state CHIP agency” means the state agency responsible for administering the state CHIP plan under Title XXI of the Act.
- States who administer Medicaid and CHIP through separate agencies may have either agency apply, with the submission of a letter of agreement from the non-applying agency in their single application.
- A Tribal organization must come under the auspices of and work with the state Medicaid and CHIP agency (or agencies, if separate) in the implementation of this initiative.

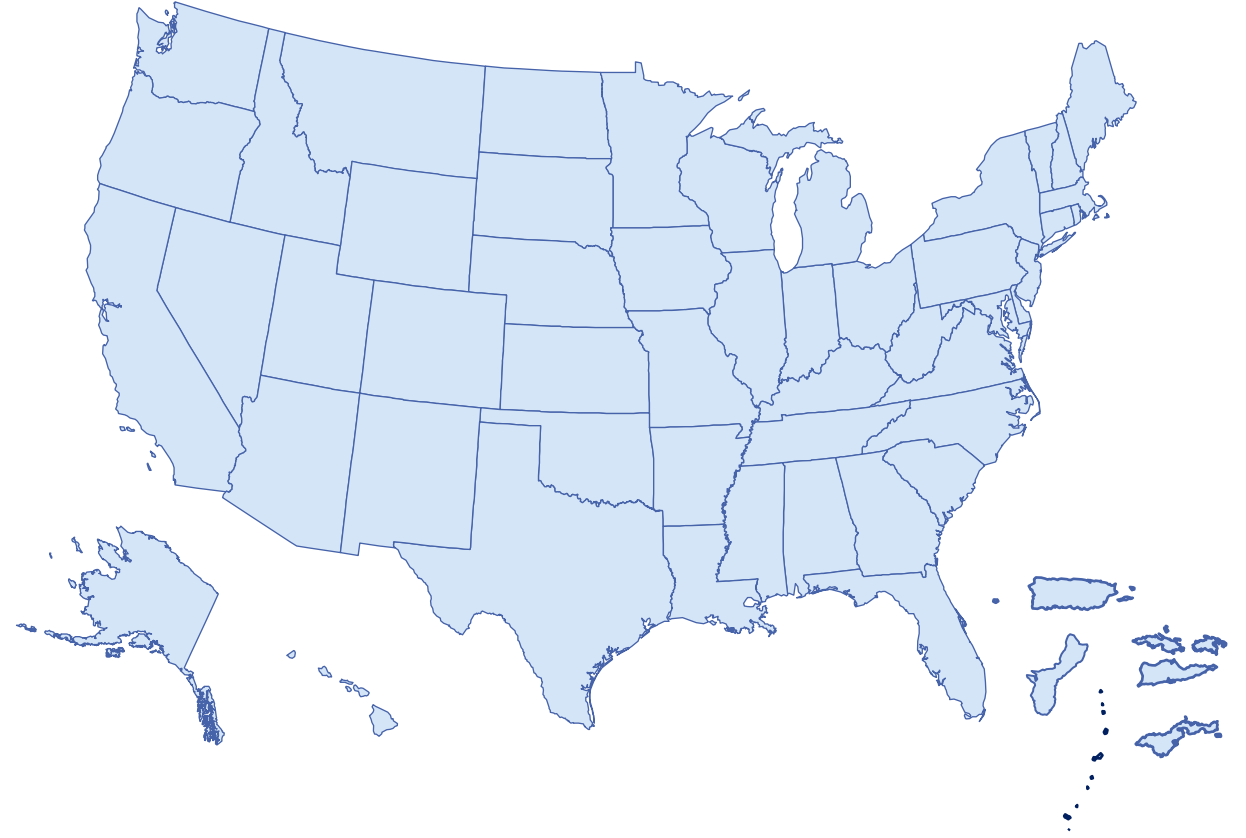


Section 206 State Planning Grants – Who Can Apply (continued)

STATE MEDICAID or CHIP AGENCIES



- CMS will **select up to 56** state Medicaid or CHIP agencies to participate.
- State Medicaid or CHIP agencies are anticipated to receive **\$1 million to \$5 million dollars** cooperative agreement awards over the **4-year period of performance**.



Section 206 State Planning Grants – Application Cohorts

- This funding opportunity has two separate application deadlines:
 - **Cohort 1:** November 26, 2024, by 11:59 pm EST
 - **Cohort 2:** March 17, 2025, by 11:59 pm EST
- 50% of funds will be reserved for awards for the second cohort.
- State Medicaid or CHIP agencies can apply for either or both cohorts.
- State Medicaid or CHIP agencies are eligible for a ***single award only*** under this NOFO.
- If a state applies under the first cohort and is not awarded funds, the state may apply again during the second cohort using the same application or an updated application.

Section 206 State Planning Grants – Allowable Use of Funds

Allowable Activities Include:

- Identifying and addressing operational gaps to comply with statutory requirements, including working with relevant entities to meet these needs;
- Establishing standardized processes and automated systems for activities such as:
 - Determining Medicaid or CHIP enrollment status of inmates of a public institution at incarceration;
 - Allowing inmates of a public institution to apply to enroll or renew coverage in Medicaid or CHIP prior to the individual's release;
 - Establishing claims processing and prior authorization request protocols; and
 - Restoring coverage upon the individual's release when an individual's coverage under Medicaid or CHIP was suspended while an inmate of a public institution.
- Investing in information technology to enable bi-directional information sharing between relevant entities to support care transitions and coordination of treatment; and
- Establishing oversight and monitoring processes to ensure public institutions and state Medicaid and CHIP contracted entities are compliant with applicable requirements.

Funds may not be used to:

- Pay for or directly administer health care services to an individual under Medicaid or CHIP programs; or
- Build prisons, jails, or other carceral facilities or pay for their related improvements.
- Any improvements that are for directly meeting the health care needs of individuals who are incarcerated and eligible for medical assistance under Medicaid or CHIP is an allowable exception to facility related improvements.

Federal Award Information

Federal Award Information

The type of award issued under this NOFO is a Cooperative Agreement, which differs from a grant in that it has a higher degree of federal programmatic involvement. A Cooperative Agreement will require a substantial level of collaboration and cooperation between CMS and participating states.

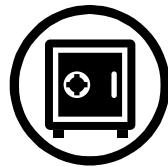
Section 206 State Planning Grants Cooperative Agreement

CMS anticipates awarding up to fifty-six cooperative agreement awards of **\$1 million to \$5 million in anticipated funding** each, pending federal availability of funds. The amount of each award* will depend on the following:



Total Budget

The total proposed budget submitted by the state in response to the NOFO



Available Funds

The model will have up to \$106.5 million to support states, subject to availability of funds



Costs Proposed

Funding amounts will be determined based on the allowability and reasonableness of the costs proposed



Funding Need

State need for funding as demonstrated in the application submitted in response to the NOFO

*The state Medicaid or CHIP agency with the authority and capacity to accept the award funding can be the recipient of the cooperative agreement.

Section 206 State Planning Grants Timeline

The Period of Performance for Section 206 State Planning Grants is four (4) years, operating from 2025 through 2029. Your timeline should include the entirety of the planning phase as the Period of Performance. Each cohort has a unique timeline.

Cohort Timeline	Cohort 1	Cohort 2
Period of Performance	4 years (3/1/2025 – 2/28/2029)	4 years (8/1/2025 – 7/30/2029)

Funding by Budget Period

All award recipients will receive an initial Cooperative Agreement Notice of Award (reflected as Budget Period 1 on the Notice of Award), followed by subsequent awards through a non-competing continuation application process (reflected as Budget Periods 2-4 on each respective Notice of Award).*

	Cohort 1	Cohort 2
	Timeframe	Timeframe
Budget Period 1	3/1/2025 – 2/28/2026	8/1/2025 – 7/31/2026
Budget Period 2	3/1/2026 – 2/28/2027	8/1/2026 – 7/31/2027
Budget Period 3	3/1/2027 – 2/29/2028	8/1/2027 – 7/31/2028
Budget Period 4	3/1/2028 – 2/28/2029	8/1/2028 – 7/30/2029

Note: CMS anticipates funding awarded range of \$1M to \$5M for each awarded state for the entire period of performance. This amount and budget period amounts are subject to change pending funding availability between both cohorts.

**States will have the opportunity to apply for a no-cost extension at the end of Budget Period 4, at the end of the Period of Performance.*

Application Process

Application Submission Process

CMS strongly recommends that you do not wait until the application due date to begin the application submission process.



Application Materials

- All application materials are available at <https://www.grants.gov/search-results-detail/356168>
Funding Opportunity Number: **CMS-2T2-25-001**



Registration Process

- You may start the registration process by visiting <https://www.grants.gov/search-results-detail/356168>



Application Due Dates

- Optional Letters of Intent (LOI) are due by October 28, 2024, for the first application period and February 17, 2025, for the second application period. Mail to: PlanningGrantsPCC@cms.hhs.gov
- Submit applications to <https://www.grants.gov/search-results-detail/356168> by the deadline date of November 26, 2024, for the first cohort, or March 17, 2025, for the second cohort.

Application Timeline



CMS strongly recommends that you do not wait until the application due date to begin the application submission process.
See Step 5: Submit Your Application



Potential applicants can submit their Letters of Intent by email at: PlanningGrantsPCC@cms.hhs.gov

Application Submission

The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with Grants.gov to obtain a username and password.

Application Submission Requirements	
<div><u>Application Requirements</u></div> <ul style="list-style-type: none">• Have a valid Employer Identification Number (EIN) / Taxpayer Identification Number (TIN)• Have a Unique Entity Identifier (UEI).• Register in the System for Award Management (SAM) database to be able to submit an application. This registration must be annually renewed.• Have a Login.gov account.	<div><u>Electronic Signature</u></div> <p>The electronic signature of the individual who is logged in and submits the application to Grants.gov will automatically populate throughout the application. The electronic signature <u>must</u> match the AOR named on the SF-424.</p>

The AOR must submit the application to Grants.gov. The AOR is the individual, named by the applicant/recipient organization, who is authorized to act for the applicant/recipient and to assume the obligations imposed by the federal laws, regulations, requirements, and conditions that apply to grant applications or awards.

Application Submission (continued)

Please reference the NOFO sections highlighted below for application submission criteria and formatting requirements.



Applicants should review **Step 3: Prepare your Application** of the Notice of Funding Opportunity for instructions on how to submit a complete application. Please follow the application instructions.

Application Instructions



Applicants must adhere to the formatting and content requirements included in **Step 3: Prepare your Application** (e.g., font size, formatting, page limitations, required forms and documents, etc.) to ensure that you have an eligible application.

Formatting Requirements



Application Criteria Review

Applicants should review **Step 4: Learn about Review and Award** for application review criteria. This section explains how applications will be assessed.

Application Submission (continued)

All applications must include the following standard forms:



Project Abstract Summary

A one-page abstract serves as a succinct description of the proposed project and includes the goals of the project, the total budget, a description of how the funds will be used, and the names of any subrecipients or sub-awardees (if applicable).



SF424: Official Application for Federal Assistance

Used to apply for Federal grants. The Federal awarding agencies and OMB use information reported on this form for general management of federal assistance awards programs. The Authorized Organizational Representative (AOR) completes and signs this form.



SF424A: Budget Information Non-Construction

Used to budget and request grant funds for non-construction programs. The federal awarding agencies and OMB use information reported on this form for general management of federal assistance awards programs.

Application Submission (continued)

All applications must include the following standard forms:



SF LLL: Disclosure of Lobbying Activities

All applicants must submit this SF-LLL form. If your entity does not engage in lobbying, please insert "Non-Applicable" on the form and include the required AOR name, contact information, and signature.






Project / Performance Site Location

All applicants must submit this Project/Performance Site Location form.

Application Submission (continued)

All applications must have the following additional forms:

	Project Narrative	The applicant provides a Project Narrative that articulates in detail the proposed goals, measurable objectives, timeline, and milestones in accordance with the instructions and content requirements provided in this section of the NOFO, consistent with the criteria described in Step 1: Review the Opportunity, <i>Program Requirements</i> . Maximum 25 pages.
	Budget Narrative	Applicants supplement Form SF-424A with a Budget Narrative that includes a breakdown of costs, for each line item outlined in the SF-424A, according to the budget period. Applicants include a clear description of the proposed set of services covered with award funds for each activity/cost within the line item. Maximum of 10 pages.
	Business Assessment of Applicant Organization	As required by 45 CFR §75.205 for Cooperative Agreements, CMS evaluates the risk posed by an applicant before they receive an award. This analysis of risk includes items such as financial stability, quality of management systems, internal controls, and the ability to meet the management standards prescribed in 45 CFR Part 75.

Project Narrative Requirements – Individuals in Public Institutions

Applicants must provide the following information describing individuals in public institutions:

- The number of individuals in the state who were inmates of non-federal public institutions and were eligible for Medicaid at any time in calendar year 2022.*
- The number of non-federal public institutions in the state with the documented data source supporting this number.
- The state's current progress in developing, implementing, and operating initiatives to promote continuity of care for individuals who are inmates of a public institution and are eligible for Medicaid or CHIP.
 - Indicate progress and barriers to promoting continuity in care for such individuals.

*** CMS will consider the number of inmates enrolled in Medicaid at any point in 2022 to serve as a proxy for the number of eligible inmates. See Step 3: Prepare Your Application, Project narrative for specific guidance.**

Project Narrative Requirements (if applicable) –Subrecipients & Contractors

State Medicaid agencies and state CHIP agencies will administer funding awarded under this NOFO. If applicable, describe the anticipated role of any subrecipients or contractors.

- While not a requirement, CMS recommends state Medicaid agencies and state CHIP agencies collaborate with pertinent parties such as:
 - Non-federal public institutions
 - State human services agencies
 - Medicaid managed care plans
 - Providers
 - Community-based organizations
- If applicable, the narrative section should describe how funding will be used to promote the collaboration needed to address the new requirements for Medicaid and CHIP beneficiaries in public institutions and following incarceration, as described in the **program requirements** section of the NOFO.

See Step 3: Prepare Your Application, Project narrative for additional details.

Project Narrative Requirements – Program Oversight

Applicants will need to include the following information in the project narrative section of the NOFO:

- **Oversight and monitoring:** Include the oversight and monitoring processes to ensure public institutions and entities with which you subaward are compliant with any applicable Medicaid and CHIP requirements as well as 45 CFR 75.351 Subrecipient and contractor determinations and 75.352 Requirements for pass-through entities *(if applicable)*.
- **Management Controls:** Provide a description of the management controls and coordination mechanisms that will be used to ensure the timely and successful execution and operation of the planning grant.

See Step 3: Prepare Your Application, Project narrative.

Project Narrative Requirements – Work Plan

Requirements of the work plan are as follows:

- Describe your goals, measurable objectives, activities, and milestones for this planning grant.
- Describe other activities to promote continuity of care for any other individuals who are inmates of a public institution and are eligible for Medicaid program or CHIP coverage.
- Describe the amount, duration, and scope of the state planning grant activities, including target populations and plans and metrics for assessing progress in meeting work plan objectives.
- Include a timeline describing your measurable objectives

See Step 3: Prepare Your Application, Project narrative

Application Submission (continued)

All applications must have the following additional forms:



Negotiated Indirect Cost Rate Agreement (NICRA) or Cost Allocation Plan (CAP)

If the applicant entity has a current negotiated indirect cost rate agreement (NICRA) or Cost Allocation Plan (CAP) and is requesting indirect costs, a copy of the current NICRA/CAP must be submitted with the application.



Appendices

Maximum of 6 Attachments

- Letters of Support from relevant parties (optional)
- Letter of agreement when Medicaid and CHIP are administered separately
- Indirect cost agreement/cost allocation plan if indirect costs that are not the de minimis rate are included in the budget
- Resumes and/or curriculum vitae (**required** for identified managers, Project Director, and all other Key Personnel identified at the time of application; applicant should cross-reference to the Project Narrative, as appropriate)
 - Job Descriptions, if not included in the Projective Narrative
- Organization Chart, if not included in the Project Narrative
- Business assessment of applicant organization (12 pages)

Federal Award Administration

Federal Award Administration Information

If successful, applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer.

The NoA is the legal document authorizing the Cooperative Agreement award and issued to the applicant as listed on the SF-424.



NoA

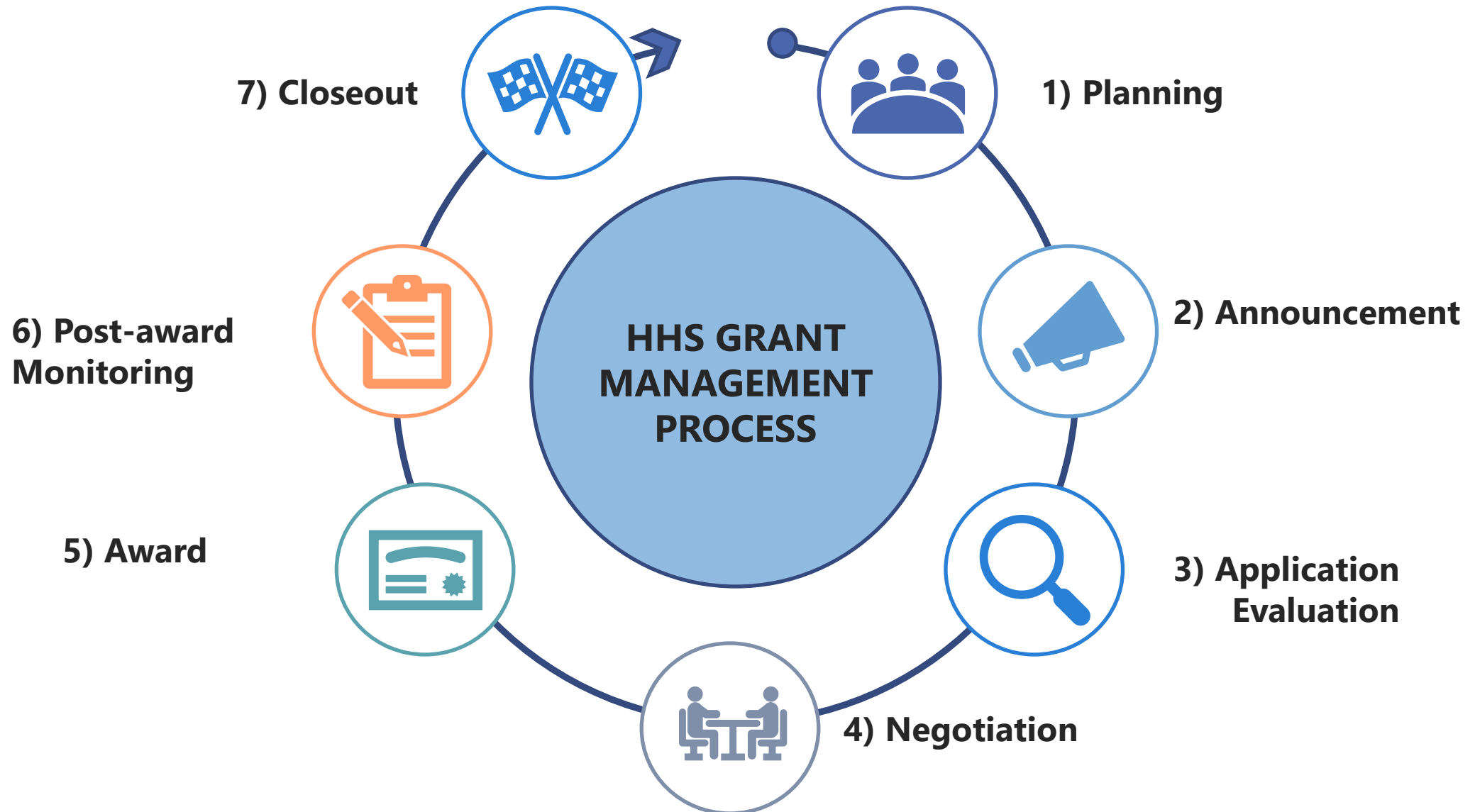
NoA Administration

- The NoA is available to the applicant organization through the online grants management system. GrantSolutions is used by CMS and Recipient Organizations.
- Any communication between CMS and applicant prior to issuance of the NoA is not an authorization to begin performance of a project.

If unsuccessful, CMS notifies the applicant electronically via the email address listed on its SF-424, within 30 days of the award date.

HHS Grant Management Process

The Grant Management Process describes the steps related to the management of competitive grant awards.



Grant Regulation and Policy

The sources cited below address regulatory and policy requirements which apply to federal grant and cooperative agreement awards.

Grant Policy



- **Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards**
 - 45 CFR Subpart 75 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards
- **HHS Grants Policy Statement**
- **Sam.gov**
 - Excluded Parties List System (EPLS)
 - Central Contractor Registration (CCR)
 - Responsibility/Qualification (R/Q)

Question and Answer Session

Questions?



Please submit questions via the Q&A chat to the right of your screen.

Closing and Resources

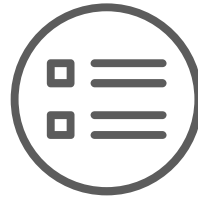
Applicant Resources

The section 206 state planning grant team has created many resources to support applicants.



Email

PlanningGrantsPCC@cms.hhs.gov



Additional Resources:

[State Planning Grants to Promote Continuity of Care for Medicaid & CHIP Beneficiaries following Incarceration Webpage](#)

[Provision of Medicaid and CHIP Services to Incarcerated Youth SHO Letter](#)

[Re-entry1115 Demonstration Resource Page](#)



Letter of Intent

Download the Full Announcement
[CMS-2T2-25-001](#)



[Grants.gov Workspace](#)

For completing grants.gov applications with collaborative features

All states interested in applying to participate in Section 206 state planning grants will submit applications to [Grants.gov](#).

Thank you!



Thank you for your time and interest!

Please feel free to reach out to our mailbox at PlanningGrantsPCC@cms.hhs.gov
with additional questions pertaining to this funding opportunity.