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# Oklahoma Health Care Authority



## **§1115(a) SoonerCare Research and Demonstration Waiver Amendment Request**

**Project Number: 11-W-00048/6**

**Submitted  
March 4, 2016**

## **I. EXECUTIVE SUMMARY**

Oklahoma's single state Medicaid agency, the Oklahoma Health Care Authority (OHCA), operates the §1115(a) SoonerCare Research and Demonstration Waiver, which was initially approved in 1995. The waiver authorizes the SoonerCare Choice and Insure Oklahoma (IO) demonstrations.

With this amendment request, OHCA seeks approval of the following amendments to the demonstration for the 2016 renewal period:

1. Modify the evaluation design for the existing Insure Oklahoma Employer-Sponsored Insurance (ESI) plan and Individual Plan (IP) to add outcomes reporting; and,
2. Incorporate the Insure Oklahoma Sponsor's Choice Option as outlined below.

### **Insure Oklahoma Sponsor's Choice Option**

#### **Background:**

Census data for Oklahoma show 80,000 uninsured American Indians, representing 26.58 % of the total State's uninsured population.<sup>1</sup> With proposed coverage up to 200% of the federal poverty level (FPL), OHCA projects that this amendment to the program could bring additional enrollment of approximately 50,000 potentially eligible members with an estimated 10,000 signing up in the first year. This will provide Medicaid reimbursement for premium assistance, and afford care to American Indians in the State who have no other form of coverage.

The United States has a federal trust responsibility to provide health care coverage to American Indians and Alaska Natives (AI/AN) at no cost. While the new Health Insurance Marketplaces provide premium tax credits to individuals below 200% of FPL and AI/ANs are eligible for special cost-sharing reductions when they enroll in those plans, the Marketplaces do not exempt AI/ANs from paying premiums as does the Medicaid program, 42 U.S.C. 1396o(j). Because AI/ANs have a right to receive care through the Indian health system at no cost, they have been reluctant to enroll in the Marketplaces because of the cost of premiums, even when the cost of those premiums has been reduced through advanced payment of premium tax credits. Recent data from CMS demonstrate that only 60,000 AI/ANs have enrolled in the Exchanges nationally, as compared to a total AI/AN population of nearly 2 million. As a result, the Marketplaces have not relieved the stress on the Indian health system, which is underfunded yet required to provide services to AI/ANs, including AI/ANs eligible for Medicaid. This proposed waiver option is required to ensure that the Indian health system can provide access to quality care to AI/ANs through the Medicaid program in Oklahoma. Further, Federal and state governments share the same goals: to improve the health of citizens and to decrease the number of uninsured, especially those with health disparities. The Centers for Medicare and Medicaid Services have formalized this emphasis with the three part aim – achieving better care for beneficiaries, better health for communities and lowering costs through health care system improvements. The Sponsor's Choice Option authorized by this waiver amendment will help Oklahoma to accomplish these same goals.

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<sup>1</sup> 2014 Census Bureau data for Oklahomans identifying as NA alone.

CMS's State Medicaid Director's Letter # 01-024 states, "As set forth in the Constitution of the United States, treaties, statutes, Executive Orders, and court decisions, it has been long recognized that the United States has a unique relationship with Tribal Governments. This government-to-government relationship recognizes the right of Tribes to tribal sovereignty, self-government and self-determination."

Whereas Congress has declared "it is a major national goal of the United States to provide the quantity and quality of health services which will permit the health status of Indians to be raised to the highest possible level and to encourage the maximum participation of Indians in the planning and management of those services" ( 25 U.S.C. §1601); 25 U.S.C. § 1642, grants tribes new authority to purchase health care coverage using federal health care resources, as amounts are made available under law. The State desires to comport with the intent of the federal government to supply American Indians and Alaska Natives with access to quality health care. It is the intent of the OHCA to add a new Sponsor's Choice Option to the existing Insure Oklahoma ESI program for citizens served through Indian Health Service, Tribal Facilities and Urban Indian Clinics (I/T/U).

**Proposed Effective Date:**

OHCA recognizes that CMS requires amendment requests to be made at least 120 days in advance of planned implementation and that there is no regulatory timeframe for amendment approvals. Therefore, OHCA submits this amendment within specified requirements and respectfully requests an amendment be approved by CMS no later than August 2, 2016.

Systems enhancements to convert Insure Oklahoma applications to the online eligibility process and automate business functions are under way to meet strategic planning objectives. The intent of the OHCA is to have actual implementation on or after January 1, 2017.

**II. PROPOSED CHANGES for SPONSOR'S CHOICE OPTION**

OHCA proposes the following changes to the approved Project Number: 11-W-00048/6 2016 Special Terms and Conditions (STC).

1. Amend the IO Demonstration STCs to add provisions for qualified sponsors for the Insure Oklahoma Sponsor's Choice option.

To qualify for participation, a sponsor must:

- Be a tribally-operated health facility in Oklahoma; or
- Be an Indian Health Service unit in Oklahoma; or
- Be an Urban Indian Health Service unit in Oklahoma; and
- Sponsor a qualified individual before they may enroll in the Insure Oklahoma Sponsor's Choice program.

2. Amend the Insure Oklahoma Demonstration Eligibility populations at STC #21 to add:

- Individuals sponsored for enrollment in the Insure Oklahoma Sponsor's Choice Option

To qualify for participation, a member must:

- Be an uninsured individual not enrolled in any comprehensive health coverage or Medicaid, Medicare, Tricare, Veterans Administration; and
- Be sponsored by a qualified sponsor ; and
- Meet income guidelines up to and including 200% of the FPL; and
- Be an United States Citizen or qualified alien; and
- Be an Oklahoma Resident; and
- Be age 19 through 64.

Coverage is also available for dependent spouses and children. Dependent children may be eligible in households with income between 186% through 200% of the FPL. Eligibility criteria for dependent coverage are as follows:

- Be a dependent of Sponsor's Choice member; and
- Be sponsored by a participating sponsor; and
- Be an United States Citizen or qualified alien; and
- Be an Oklahoma Resident; and
- Be age 19 through 64 for dependent spouses.

3. Amend the Insure Oklahoma Premium Assistance and Cost Sharing STC Section VI to add provisions that outline cost-sharing requirements for the Insure Oklahoma Sponsor's Choice Option as follows:

Zero Cost Sharing (enrollment fees, premium charges, deductibles, copayment, cost-sharing or similar charges) for Indians in accordance with 42 CFR §447.56(a)(1) (x), Section 5006(a) of the ARRA of 2009 and 25 U.S.C. § 1396o (1)

4. Amend the CMS Expenditure Authority to add the Sponsor's Choice Population.

5. Amend the Insure Oklahoma STC Section VI, #29 to change the title to include Sponsor's Choice qualifying plans and to add criteria for Certification of Qualifying Health Plans for the Insure Oklahoma Sponsor's Choice Option. Criteria include:

- Sponsor's Choice Qualifying Health Plans must promptly pay the OMB Encounter Rate to I/T/Us for services rendered to sponsored individuals whether or not the I/T/U is enrolled as a participating provider in the Sponsor's Choice Qualifying Health Plan as a condition of certification as a Sponsor's Choice Qualifying Health Plan.
- Sponsor's Choice Qualifying Health Plans must accept referrals and premium payments from the I/T/U on a bundled basis on behalf of all of the individuals sponsored by the Qualified Sponsor's Choice sponsor.

### **III. MODIFICATION OF EVALUATION DESIGN**

With the addition of the Insure Oklahoma Sponsor's Choice Option, the OHCA will add these hypotheses for the 2016 demonstration extension periods.

1. Determine the net increase in Medicaid payments made to qualifying Insure Oklahoma Sponsor's Choice sponsors between the baseline year and subsequent years.
2. Determine whether qualifying Insure Oklahoma Sponsor's Choice sponsors are able to maintain and/or increase their staffing due to participation in Sponsor's Choice between the baseline year and subsequent years.

3. The health outcomes of members served in the Insure Oklahoma Sponsor's Choice Option and served at an ITU will improve between the baseline year and subsequent years. Specifically, measures defined by the National Quality Forum related to commercial tobacco use, commercial tobacco cessation, obesity, diabetes, and hypertension will be used to study program effectiveness. Measureable data will be provided through the commercial insurer and their Health Information Exchange partners.

#### **IV. BUDGET NEUTRALITY**

The OHCA provides to CMS updated budget neutrality for the Insure Oklahoma Sponsor's Choice Option. Please refer to the attached budget neutrality documents, which reflect increased enrollment in Insure Oklahoma with the addition of this option, and corresponding expenditures.

The budget neutrality projections in this amendment take into account all previous CMS-approved amendments and modifications to the demonstration.

#### **V. PUBLIC NOTICE**

In accordance with STC #16, *Public Notice, Tribal Consultation and Consultation with Interested Parties*, Oklahoma has provided meaningful public notice to the Oklahoma American Indian Tribes by providing a tribal consultation forum and comment period regarding this proposed amendment.

The OHCA and the Oklahoma State Department of Health on February 4, 2015(Attachment 1) hosted an ad hoc tribal consultation meeting to discuss the possibility of an Insure Oklahoma tribal coverage option. Participants reviewed the current Insure Oklahoma employer-sponsored insurance plan, which offers commercial insurance to enrollees. Improving access to care and addressing uncompensated care at Indian health care facilities were identified as some of the objectives this initiative could accomplish. Some 68 attendees, including representatives from Indian Health Service, Urban Indian clinics, sovereign nations and the Inter-Tribal Health Board were in attendance.

The discussion continued with formal Tribal Consultation on March 3, 2015(Attachment 2), also at the OHCA. Copies of the email invitations to both of these meetings are attached.

At the March 3, 2015, meeting, discussion continued to be very favorable, and with momentum building, the participants determined that an intensive work session to develop the actual waiver request would be helpful. Interested parties and state representatives agreed to meet on March 16 and 17, 2015, to begin drafting documents for possible submission to CMS. Collaborative work continued on the amendment draft in order to prepare a document for consideration at the May 5, 2015, Tribal Consultation meeting.

A Resolution supporting the Insure Oklahoma Sponsor's Choice Option was adopted by the Inter-Tribal Council of the Five Civilized Tribes (Attachment 3) on April 10, 2015.

A summary of the proposed Insure Oklahoma Sponsor's Choice Option was included in the Tribal Consultation meeting on May 5, 2015 (Attachment 4). Comments were as follows:

*Comment: How receptive is CMS to this waiver amendment?*

*Response: They have been very responsive. They have already seen a draft and sent us comments informally. They want to help make it happen. I am very optimistic. I believe they are working with us to help get an approvable document.*

*Comment: Can I have a copy of the latest draft?*

*Response: Yes, we will email them today. We are also going to post the draft, including the resolution of support and the white paper, on the Tribal Consultation web page tomorrow. The draft will be posted for 30 days of comment. After that, the Health Department and OHCA leadership will review the document. We will also submit the final version to the Governor's office before sending to CMS.*

*Comment: When will we hear back on our draft?*

*Response: We will send CMS our rough draft today, to get more comments. We have a special call about this draft proposal scheduled for May 21, 2015. We are trying to do the leg work early to expedite approval.*

*Comment: Will you let us know if there is anything else we can do to help?*

*Response: Yes, thank you. As Dana indicated earlier, this has been a wonderful collaboration of the state agencies and the tribal nations. Thank you for all your work in going through this process.*

Refer to the May 5, 2015 Tribal Consultation agenda (Attachment 5) and sign-in sheet (Attachment 6) for verification of the discussion.

A draft of the waiver amendment and rules was posted to the OHCA web page for public comment June 12, 2015 through July 31, 2015. In summary, the comments reflected...

*Comment: The top leading causes of AI/AN death are heart disease, cancer, unintentional injuries and diabetes Indian Health Service (IHS). Outside of I/T/U services, if an uninsured Native American patient was found to need a level of care beyond primary care such as a health center, they may face challenges or delay in accessing such specialty care. Many of these chronic disease cases could be prevented with earlier health monitoring and intervention. Oklahoma Primary Care Association (OKPCA) strongly supports efforts such as insurance coverage to improve the health status of all Oklahomans including American Indians and Alaska Natives.*

*Response: Thank you for your endorsement of the IO Sponsor's Choice draft Amendment. Please know the Oklahoma Health Care Authority values and appreciates your input into our draft IO Sponsor's Choice amendment. Please be assured that all input will be considered before a final amendment is submitted.*

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# Oklahoma Health Care Authority



## SOONERCARE

### §1115(a) Research and Demonstration Waiver Demonstration Project No. 11-W-0048/6

Proposed Extension through  
December 31, 2018

*Attachment B, BUDGET NEUTRALITY*  
*March 4, 2016*

## Budget Neutrality

This chapter contains updated enrollment and expenditure projections for the *SoonerCare* program through the upcoming 2016 - 2018 extension period. There are 23 exhibits, as delineated below and described in greater detail in this document. The exhibits also have been provided in their original worksheet format, with additional information concerning the OHCA's methodology.

Exhibit	Title	Page
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7	Budget Neutrality for NDWA MEG: ESI and IP Combined through 2013	10
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13	Budget Neutrality for Full-Time College Student MEG: ESI – 2014 to 2018	16
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18	Full-Time College Students MEG: IP – 2014 to 2018	21
19	Budget Neutrality for Foster Parent MEG: IP	22
20	Not-for-Profit Employees MEG: IP	23
21	Health Access Network Expenditures	24
22	Health Management Program Expenditures	25
23	Aggregate Budget Neutrality (All MEGs)	26

The exhibits incorporate full-year enrollment and expenditure data through calendar year 2014 (demonstration year 19). Data for 2015 is annualized based on actual enrollment and expenditures for the first nine months of the year. Expenditures reflect C-Report amounts.



Projections for 2016 – 2018 are based on Medicaid Eligibility Group (MEG) specific assumptions, as described in detail throughout the chapter. Updates to worksheets previously submitted are described in text boxes included at the top of each worksheet (where applicable).

## **Budget Neutrality Data for Individual MEGs**

The *SoonerCare* program includes four traditional MEGs that in combination provide the “without waiver” expenditure estimates for calculation of the budget neutrality cap. They are:

- TANF – Urban
- TANF – Rural
- ABD – Urban
- ABD – Rural

The “with waiver” expenditure estimates also include the following demonstration populations<sup>1</sup>:

- Non-Disabled Working Adults (NDWA) – Employer Sponsored Insurance (ESI)
- Working Disabled Adults (WDA) – ESI
- TEFRA Children
- Full-Time College Students – ESI
- Foster Parents – ESI
- Not-for-Profit Employees – ESI
- Non-Disabled Working Adults – Individual Plan (IP)
- Working Disabled Adults – IP
- Full-Time College Students – IP
- Foster Parents – IP
- Not-for-Profit Employees – IP
- Demonstration Expenses 1 – Health Access Network (HAN) Expenditures
- Demonstration Expenses 2 – Health Management Program (HMP) Expenditures

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<sup>1</sup> One additional population, CHIP Medicaid Expansion, is reported separately.

## Traditional MEGs

Budget neutrality exhibits for the four traditional MEGs are presented starting on page 6. Each exhibit includes enrollment, expenditure and budget neutrality data. Expenditures consist of both paid claims and non-claim medical expenses.

The exhibits include complete historical enrollment and expenditure data for calendar year 2004 through 2014. (MEG-specific data was not produced prior to 2004.) Calendar year 2015 enrollment and expenditures are estimated by annualizing actual data for the first nine months of the year.

Calendar year 2016 – 2018 member months for each MEG are projected based on the 2010 – 2015 historical member month growth trend, as shown in exhibit 1 on the second following page. Calendar year 2016 – 2018 per member per month (PMPM) expenditures are trended forward using OMB trend factors of 4.40 percent for the TANF MEGs and 4.20 percent for the ABD MEGs, as shown in exhibit 2 on the second following page.

“Demonstration Expenses 2 – HMP” expenditures are included within the four traditional MEGs. Expenditures are prorated based on each MEG’s percentage of total enrollment.

Budget neutrality data for the traditional MEGs is presented in exhibits 3 – 6.

## Demonstration MEGs

Budget neutrality data for the additional demonstration populations and for HAN and HMP expenditures is presented in exhibits 7 – 22. Member month and expenditure data for all MEGs has been prepared using the same methodology as for the traditional MEGs, with the following exceptions:

- “Demonstration Expenses 1 – HAN Expenditures” and “Demonstration Expenses 2 – HMP Expenditures” relate to allowable expenditures for populations enrolled in the traditional MEGs. Treatment of these expenditures is described in more detail within their respective worksheets.
- The OHCA began to report separately ESI and IP expenditures for the NDWA, WDA and Full Time College Student populations in 2014. The budget neutrality tables for these populations present aggregated data through 2013, followed by separate historical and projected data for 2014 – 2018.
- The ESI component of Insure OK was opened to employers between 100 and 250 workers in size in 2015, which has resulted in enrollment growth in the program after an extended period of decline. Enrollment projections for 2016 – 2018 are based on the trend from 2014 – 2015, rather than the longer look back used for other MEGs.
- Enrollment in the WDA MEG has declined to a small number of member months and is expected to remain at the current low level through 2018. Historically, all WDA MEG enrollment has been within the IP component of the program. The OHCA has requested discontinuation of the WDA-ESI MEG, although it continues to be shown pending approval from CMS.
- Enrollment in the Foster Parents and Not-for-Profit Employees MEGs has not begun and is not expected to occur during the extension period. ESI and IP tables are included for these MEGs but with zero enrollment or expenditures.

## Aggregate Budget Neutrality Data

Exhibit 23 on the last page provides updated aggregate budget neutrality projections through calendar year 2018. As the exhibit illustrates, the *SoonerCare* demonstration is projected to continue generating savings throughout the remainder of the current waiver period and during the three-year extension.

**Exhibit 1 – Enrollment Trends by MEG**

MEG	2010	2011	2012	2013	2014	2015 (Annualized)	Annual Trend	Trending Years
TANF - Urban	3,333,170	3,357,000	3,620,263	3,741,817	4,001,208	4,123,944	4.35%	2010 - 2015
TANF - Rural	2,429,264	2,433,324	2,565,123	2,618,683	2,745,120	2,823,017	3.05%	2010 - 2015
ABD - Urban	327,267	344,575	348,935	360,205	365,630	362,284	2.05%	2010 - 2015
ABD - Rural	278,093	285,113	285,622	290,965	291,806	287,529	0.67%	2010 - 2015
NDWA - ESI							10.77%	2015
NDWA - IP							-14.99%	2015
WDA	90	114	66	42	-	-	-100.00%	2010 - 2015
TEFRA	4,018	4,514	4,978	5,326	6,148	6,728	10.86%	2010 - 2015
College - ESI							11.88%	2015
College - IP							0.00%	2015

**Exhibit 2 – PMPM Expenditure Trends by MEG**

Year	TANF – Urban	TANF – Rural	ABD- Urban	ABD – Rural	NDWA	WDA	TEFRA	College Students
2015	4.40%	4.40%	4.20%	4.20%	4.40%	4.20%	4.20%	4.40%
2016	4.40%	4.40%	4.20%	4.20%	4.40%	4.20%	4.20%	4.40%
2017	4.40%	4.40%	4.20%	4.20%	4.40%	4.20%	4.20%	4.40%

**Exhibit 3 – Budget Neutrality for TANF-Urban MEG**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical	1	1996	1,248,591	\$ 121.60	\$ 151,828,666				
	2	1997	1,201,538	\$ 129.52	\$ 155,618,588				
	3	1998	1,299,675	\$ 137.95	\$ 179,287,128				
	4	1999	1,489,962	\$ 146.93	\$ 218,917,218	<b>See Exhibit 23 (Aggregate)</b>			
	5	2000	1,575,250	\$ 156.49	\$ 246,515,710				
	6	2001	1,988,010	\$ 166.68	\$ 331,363,038				
	7	2002	2,159,002	\$ 177.53	\$ 383,291,270				
	8	2003	2,319,441	\$ 189.09	\$ 438,580,782				
	9	2004	2,426,341	\$ 201.40	\$ 488,661,911	\$ 136.70	\$ 331,669,473	\$ 156,992,438	\$ 156,992,438
	10	2005	2,528,654	\$ 214.51	\$ 542,420,938	\$ 188.11	\$ 475,653,511	\$ 66,767,427	\$ 223,759,865
	11	2006	2,643,157	\$ 228.47	\$ 603,893,538	\$ 213.25	\$ 563,645,766	\$ 40,247,772	\$ 264,007,637
	12	2007	2,808,278	\$ 240.19	\$ 674,520,293	\$ 217.74	\$ 611,465,158	\$ 63,055,135	\$ 327,062,772
	13	2008	2,772,622	\$ 252.51	\$ 700,119,625	\$ 237.40	\$ 658,219,711	\$ 41,899,914	\$ 368,962,686
	14	2009	3,029,870	\$ 265.47	\$ 804,339,589	\$ 249.71	\$ 756,593,334	\$ 47,746,255	\$ 416,708,941
	15	2010	3,333,170	\$ 279.09	\$ 930,249,786	\$ 234.68	\$ 782,242,482	\$ 148,007,304	\$ 564,716,244
	16	2011	3,357,000	\$ 293.41	\$ 984,968,363	\$ 252.31	\$ 847,000,007	\$ 137,968,356	\$ 702,684,600
	17	2012	3,620,263	\$ 308.46	\$ 1,116,703,111	\$ 251.66	\$ 911,062,393	\$ 205,640,718	\$ 908,325,319
Current	18	2013	3,741,817	\$ 322.03	\$ 1,204,977,329	\$ 260.87	\$ 976,119,115	\$ 228,858,214	\$ 1,137,183,532
	19	2014	4,001,208	\$ 336.20	\$ 1,345,206,130	\$ 254.89	\$ 1,019,875,339	\$ 325,330,791	\$ 1,462,514,323
	20	2015 (3 qtrs annualized)	4,123,944	\$ 350.99	\$ 1,447,463,105	\$ 239.60	\$ 988,100,096	\$ 459,363,008	\$ 1,921,877,331
Extension	21	2016 (proj)	4,303,322	\$ 366.44	\$ 1,576,909,221	\$ 243.55	\$ 1,048,064,922	\$ 528,844,299	\$ 2,450,721,630
	22	2017 (proj)	4,490,502	\$ 382.56	\$ 1,717,901,466	\$ 255.17	\$ 1,145,830,113	\$ 572,071,353	\$ 3,022,792,983
	23	2018 (proj)	4,685,824	\$ 399.40	\$ 1,871,499,899	\$ 267.29	\$ 1,252,487,099	\$ 619,012,800	\$ 3,641,805,783

**Exhibit 4 – Budget Neutrality for TANF-Rural MEG**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996	1,088,941	\$ 123.34	\$ 134,309,983				
	2	1997	1,081,206	\$ 131.37	\$ 142,037,420				
	3	1998	1,250,830	\$ 139.92	\$ 175,018,115				
	4	1999	1,510,946	\$ 149.03	\$ 225,177,007				
	5	2000	1,522,229	\$ 158.73	\$ 241,627,007				
	6	2001	1,915,864	\$ 169.07	\$ 323,907,157				
	7	2002	2,014,674	\$ 180.07	\$ 362,786,430				
	8	2003	1,941,227	\$ 191.79	\$ 372,317,080				
	9	2004	1,984,722	\$ 204.28	\$ 405,440,105	\$ 149.19	\$ 296,093,830	\$ 109,346,275	\$ 109,346,275
	10	2005	2,015,932	\$ 217.58	\$ 438,624,903	\$ 159.74	\$ 322,029,702	\$ 116,595,201	\$ 225,941,475
	11	2006	2,036,491	\$ 231.74	\$ 471,943,801	\$ 190.64	\$ 388,233,610	\$ 83,710,191	\$ 309,651,667
	12	2007	2,130,548	\$ 243.63	\$ 519,065,409	\$ 195.93	\$ 417,441,223	\$ 101,624,186	\$ 411,275,853
	13	2008	2,078,460	\$ 256.13	\$ 532,352,258	\$ 208.78	\$ 433,930,540	\$ 98,421,718	\$ 509,697,571
	14	2009	2,246,021	\$ 269.27	\$ 604,780,677	\$ 220.17	\$ 494,500,235	\$ 110,280,442	\$ 619,978,012
	15	2010	2,429,264	\$ 283.08	\$ 687,678,542	\$ 213.70	\$ 519,126,643	\$ 168,551,899	\$ 788,529,911
	16	2011	2,433,324	\$ 297.60	\$ 724,164,719	\$ 224.38	\$ 545,999,493	\$ 178,165,226	\$ 966,695,137
	17	2012	2,565,123	\$ 312.87	\$ 802,550,338	\$ 230.22	\$ 590,533,873	\$ 212,016,465	\$ 1,178,711,602
	18	2013	2,618,683	\$ 326.64	\$ 855,366,615	\$ 230.12	\$ 602,610,415	\$ 252,756,200	\$ 1,431,467,803
	19	2014	2,745,120	\$ 341.01	\$ 936,113,371	\$ 229.99	\$ 631,345,478	\$ 304,767,893	\$ 1,736,235,696
	20	2015 (3 qtrs annualized)	2,823,017	\$ 356.01	\$ 1,005,022,401	\$ 210.86	\$ 595,248,233	\$ 409,774,168	\$ 2,146,009,864
Extension	21	2016 (proj)	2,909,118	\$ 371.67	\$ 1,081,231,821	\$ 214.44	\$ 623,825,303	\$ 457,406,517	\$ 2,603,416,381
	22	2017 (proj)	2,997,844	\$ 388.02	\$ 1,163,233,988	\$ 224.78	\$ 673,845,667	\$ 489,388,322	\$ 3,092,804,702
	23	2018 (proj)	3,089,277	\$ 405.10	\$ 1,251,455,318	\$ 235.56	\$ 727,725,171	\$ 523,730,147	\$ 3,616,534,849

**See Exhibit 23 (Aggregate)**

**Exhibit 5 – Budget Neutrality for ABD-Urban MEG**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999	96,785	\$ 536.14	\$ 51,889,826				
	5	2000	190,315	\$ 567.55	\$ 108,013,756				
	6	2001	279,689	\$ 600.81	\$ 168,040,252				
	7	2002	306,526	\$ 636.02	\$ 194,956,243				
	8	2003	233,742	\$ 673.29	\$ 157,375,990				
	9	2004	244,590	\$ 712.74	\$ 174,330,070	\$ 489.16	\$ 119,644,174	\$ 54,685,896	\$ 54,685,896
	10	2005	255,066	\$ 754.51	\$ 192,450,068	\$ 668.41	\$ 170,487,472	\$ 21,962,596	\$ 76,648,492
	11	2006	259,473	\$ 798.73	\$ 207,247,624	\$ 858.00	\$ 222,627,081	\$ (15,379,457)	\$ 61,269,036
	12	2007	268,332	\$ 840.26	\$ 225,468,646	\$ 894.55	\$ 240,036,203	\$ (14,567,557)	\$ 46,701,479
	13	2008	283,834	\$ 883.96	\$ 250,898,901	\$ 962.43	\$ 273,171,226	\$ (22,272,325)	\$ 24,429,154
	14	2009	301,034	\$ 929.92	\$ 279,937,423	\$ 1,003.30	\$ 302,026,587	\$ (22,089,164)	\$ 2,339,990
	15	2010	327,267	\$ 978.28	\$ 320,157,269	\$ 960.84	\$ 314,450,856	\$ 5,706,413	\$ 8,046,403
	16	2011	344,575	\$ 1,029.15	\$ 354,617,902	\$ 931.12	\$ 320,839,827	\$ 33,778,075	\$ 41,824,478
	17	2012	348,935	\$ 1,082.66	\$ 377,778,436	\$ 932.40	\$ 325,345,676	\$ 52,432,760	\$ 94,257,239
	18	2013	360,205	\$ 1,128.13	\$ 406,358,067	\$ 974.58	\$ 351,048,325	\$ 55,309,742	\$ 149,566,981
	19	2014	365,630	\$ 1,175.51	\$ 429,801,721	\$ 1,055.90	\$ 386,068,587	\$ 43,733,135	\$ 193,300,115
	20	2015 (3 qtrs annualized))	362,284	\$ 1,224.89	\$ 443,758,049	\$ 1,089.49	\$ 394,706,303	\$ 49,051,745	\$ 242,351,861
Extension	21	2016 (proj)	369,725	\$ 1,276.34	\$ 471,894,507	\$ 1,102.10	\$ 407,475,263	\$ 64,419,244	\$ 306,771,104
	22	2017 (proj)	\$ 377,318	\$ 1,329.95	\$ 501,813,140	\$ 1,149.30	\$ 433,650,553	\$ 68,162,587	\$ 374,933,691
	23	2018 (proj)	\$ 385,068	\$ 1,385.80	\$ 533,628,646	\$ 1,198.46	\$ 461,490,257	\$ 72,138,390	\$ 447,072,081

**See Exhibit 23 (Aggregate)**

**Exhibit 6 – Budget Neutrality for ABD-Rural MEG**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999	103,533	\$ 427.26	\$ 44,235,510				
	5	2000	209,188	\$ 452.30	\$ 94,615,196				
	6	2001	329,747	\$ 478.80	\$ 157,883,545	<b>See Exhibit 23 (Aggregate)</b>			
	7	2002	343,627	\$ 506.86	\$ 174,170,735				
	8	2003	222,348	\$ 536.56	\$ 119,303,455				
	9	2004	231,151	\$ 568.00	\$ 131,294,780				
	10	2005	238,426	\$ 601.29	\$ 143,363,035	\$ 639.45	\$ 152,460,934	\$ (9,097,899)	\$ (16,284,596)
	11	2006	241,661	\$ 636.52	\$ 153,823,267	\$ 793.03	\$ 191,644,246	\$ (37,820,979)	\$ (54,105,575)
	12	2007	244,220	\$ 669.62	\$ 163,534,596	\$ 834.57	\$ 203,819,587	\$ (40,284,991)	\$ (94,390,566)
	13	2008	251,088	\$ 704.44	\$ 176,876,491	\$ 871.89	\$ 218,920,196	\$ (42,043,705)	\$ (136,434,272)
	14	2009	262,857	\$ 741.07	\$ 194,795,734	\$ 930.09	\$ 244,480,172	\$ (49,684,438)	\$ (186,118,709)
	15	2010	278,093	\$ 779.61	\$ 216,803,202	\$ 943.82	\$ 262,470,486	\$ (45,667,284)	\$ (231,785,993)
	16	2011	285,113	\$ 820.15	\$ 233,834,396	\$ 958.77	\$ 273,358,100	\$ (39,523,704)	\$ (271,309,697)
	17	2012	285,622	\$ 862.79	\$ 246,432,947	\$ 938.53	\$ 268,063,880	\$ (21,630,933)	\$ (292,940,630)
	18	2013	290,965	\$ 899.03	\$ 261,586,264	\$ 970.21	\$ 282,298,187	\$ (20,711,923)	\$ (313,652,553)
	19	2014	291,806	\$ 936.79	\$ 273,360,943	\$ 1,011.24	\$ 295,085,785	\$ (21,724,842)	\$ (335,377,395)
	20	2015 (3 qtrs annualized)	287,529	\$ 976.14	\$ 280,668,883	\$ 1,033.20	\$ 297,076,576	\$ (16,407,693)	\$ (351,785,088)
Extension	21	2016 (proj)	289,455	\$ 1,019.09	\$ 294,980,371	\$ 1,045.21	\$ 302,540,934	\$ (7,560,563)	\$ (359,345,651)
	22	2017 (proj)	291,393	\$ 1,061.89	\$ 309,427,748	\$ 1,090.01	\$ 317,621,882	\$ (8,194,134)	\$ (367,539,785)
	23	2018 (proj)	293,344	\$ 1,106.49	\$ 324,582,720	\$ 1,136.69	\$ 333,441,597	\$ (8,858,877)	\$ (376,398,662)



**Exhibit 7 – Budget Neutrality for NDWA MEG: ESI and IP Combined through 2013**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006	9,744			\$ 198.81	\$ 1,937,239	\$ (1,937,239)	\$ (1,937,239)
	12	2007	38,417			\$ 204.54	\$ 7,857,843	\$ (7,857,843)	\$ (9,795,082)
	13	2008	139,822			\$ 239.38	\$ 33,470,013	\$ (33,470,013)	\$ (43,265,095)
	14	2009	172,594			\$ 437.73	\$ 75,549,419	\$ (75,549,419)	\$ (118,814,514)
	15	2010	392,065			\$ 284.10	\$ 111,386,167	\$ (111,386,167)	\$ (230,200,681)
	16	2011	392,772			\$ 314.00	\$ 123,330,328	\$ (123,330,328)	\$ (353,531,009)
	17	2012	391,031			\$ 309.32	\$ 120,952,327	\$ (120,952,327)	\$ (474,483,336)
	18	2013	388,005			\$ 297.14	\$ 115,291,324	\$ (115,291,324)	\$ (589,774,660)
	19	2014							
20	2015								
Extension	21	2016 (proj)							
	22	2017 (proj)							
	23	2018 (proj)							

See Exhibit 8 for ESI 2014 and later  
See Exhibit 16 for IP 2014 and later

**Exhibit 8 – Budget Neutrality for NDWA MEG: ESI – 2014 to 2018**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
	18	2013							
	19	2014	273,146			\$ 72.50	\$ 19,802,018	\$ (19,802,018)	\$ (375,706,118)
	20	2015 (3 qtrs annualized)	155,987			\$ 280.97	\$ 43,827,503	\$ (43,827,503)	\$ (419,533,620)
Extension	21	2016 (proj)	172,788			\$ 293.33	\$ 50,684,409	\$ (50,684,409)	\$ (470,218,029)
	22	2017 (proj)	191,400			\$ 306.24	\$ 58,614,092	\$ (58,614,092)	\$ (528,832,121)
	23	2018 (proj)	212,016			\$ 319.71	\$ 67,784,392	\$ (67,784,392)	\$ (596,616,513)

**Exhibit 9 – Budget Neutrality for WDA MEG: ESI and IP Combined through 2013**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006	-				\$ -	\$ -	\$ -
	12	2007	-				\$ 24	\$ (24)	\$ (24)
	13	2008	-				\$ 34,024	\$ (34,024)	\$ (34,048)
	14	2009	110			\$ 1,175.11	\$ 129,262	\$ (129,262)	\$ (163,310)
	15	2010	90			\$ 1,517.03	\$ 136,533	\$ (136,533)	\$ (299,843)
	16	2011	114			\$ 907.56	\$ 103,462	\$ (103,462)	\$ (403,305)
	17	2012	66			\$ 1,429.38	\$ 94,339	\$ (94,339)	\$ (497,644)
	18	2013	42			\$ 1,243.31	\$ 52,219	\$ (52,219)	\$ (549,863)
19	2014								
20	2015								
Extension	21	2016 (proj)							
	22	2017 (proj)							
	23	2018 (proj)							

See Exhibit 10 for ESI 2014 and later  
See Exhibit 17 for IP 2014 and later

**Exhibit 10 – Budget Neutrality for WDA MEG: ESI – 2014 to 2018<sup>2</sup>**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
	18	2013							
	19	2014							
	20	2015 (3 qtrs annualized)	-			\$ -	\$ -	\$ -	\$ -
Extension	21	2016 (proj)	-			\$ -	\$ -	\$ -	\$ -
	22	2017 (proj)	-			\$ -	\$ -	\$ -	\$ -
	23	2018 (proj)	-			\$ -	\$ -	\$ -	\$ -

<sup>2</sup> All WDA enrollment has occurred within the IP component of the program.

**Exhibit 11 – TEFRA Children MEG**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005					\$ 5,427	\$ (5,427)	\$ (5,427)
	11	2006	931			\$ 943.85	\$ 878,723	\$ (878,723)	\$ (884,150)
	12	2007	1,813			\$ 1,055.94	\$ 1,914,413	\$ (1,914,413)	\$ (2,798,563)
	13	2008	2,515			\$ 914.81	\$ 2,300,738	\$ (2,300,738)	\$ (5,099,301)
	14	2009	3,299			\$ 1,393.11	\$ 4,595,873	\$ (4,595,873)	\$ (9,695,174)
	15	2010	4,018			\$ 1,128.02	\$ 4,532,385	\$ (4,532,385)	\$ (14,227,559)
	16	2011	4,514			\$ 1,007.97	\$ 4,549,994	\$ (4,549,994)	\$ (18,777,553)
	17	2012	4,978			\$ 1,209.69	\$ 6,021,818	\$ (6,021,818)	\$ (24,799,371)
	18	2013	5,326			\$ 1,038.85	\$ 5,532,926	\$ (5,532,926)	\$ (30,332,297)
	19	2014	6,148			\$ 1,018.70	\$ 6,262,962	\$ (6,262,962)	\$ (36,595,259)
	20	2015 (3 qtrs annualized)	6,728			\$ 1,061.48	\$ 7,163,260	\$ (7,163,260)	\$ (43,758,519)
Extension	21	2016 (proj)	7,459			\$ 1,072.88	\$ 8,002,290	\$ (8,002,290)	\$ (51,760,809)
	22	2017 (proj)	8,269			\$ 1,117.95	\$ 9,243,942	\$ (9,243,942)	\$ (61,004,751)
	23	2018 (proj)	9,167			\$ 1,164.90	\$ 10,678,251	\$ (10,678,251)	\$ (71,683,003)

**Exhibit 12 – Budget Neutrality for Full-Time College Student MEG: ESI and IP Combined through 2013**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009	873			\$ 65.14	\$ 56,867	\$ (56,867)	\$ (56,867)
	15	2010	3,972			\$ 150.85	\$ 599,168	\$ (599,168)	\$ (656,035)
	16	2011	5,493			\$ 147.65	\$ 811,060	\$ (811,060)	\$ (1,467,095)
	17	2012	6,724			\$ 162.45	\$ 1,092,335	\$ (1,092,335)	\$ (2,559,430)
	18	2013	5,630			\$ 191.36	\$ 1,077,362	\$ (1,077,362)	\$ (3,636,792)
19	2014								
20	2015								
Extension	21	2016 (proj)							
	22	2017 (proj)							
	23	2018 (proj)							

See Exhibit 13 for ESI 2014 and later  
See Exhibit 18 for IP 2014 and later

**Exhibit 13– Budget Neutrality for Full-Time College Student MEG: ESI – 2014 to 2018**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
18	2013								
	19	2014	1,299			\$ 181.60	\$ 235,903	\$ (235,903)	\$ (1,832,266)
	20	2015 (3 qtrs annualized)	1,179			\$ 260.20	\$ 306,687	\$ (306,687)	\$ (2,138,953)
Extension	21	2016 (proj)	1,319			\$ 271.65	\$ 358,222	\$ (358,222)	\$ (2,497,175)
	22	2017 (proj)	1,475			\$ 283.60	\$ 418,418	\$ (418,418)	\$ (2,915,593)
	23	2018 (proj)	1,651			\$ 296.08	\$ 488,728	\$ (488,728)	\$ (3,404,321)

**Exhibit 14– Budget Neutrality for Foster Parent MEG: ESI<sup>3</sup>**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
Extension	18	2013							
	19	2014							
	20	2015							
	21	2016 (proj)							
	22	2017 (proj)							
	23	2018 (proj)							

<sup>3</sup> The OHCA is not projecting any enrollment for this MEG during the extension period.



**Exhibit 15– Not-for-Profit Employees MEG: ESI<sup>4</sup>**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
	18	2013							
	19	2014							
	20	2015							
Extension	21	2016 (proj)							
	22	2017 (proj)							
	23	2018 (proj)							

<sup>4</sup> The OHCA is not projecting any enrollment for this MEG during the extension period.

**Exhibit 16 – NDWA MEG: IP – 2014 to 2018**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
	18	2013							
	19	2014	12,712		\$ 4,478.15	\$ 56,926,254	\$ (56,926,254)	\$ (290,796,815)	
	20	2015 (3 qtrs annualized)	49,305		\$ 584.11	\$ 28,799,788	\$ (28,799,788)	\$ (319,596,603)	
Extension	21	2016 (proj)	41,912		\$ 609.81	\$ 25,558,658	\$ (25,558,658)	\$ (345,155,260)	
	22	2017 (proj)	35,628		\$ 636.64	\$ 22,682,285	\$ (22,682,285)	\$ (367,837,545)	
	23	2018 (proj)	30,286		\$ 664.66	\$ 20,129,619	\$ (20,129,619)	\$ (387,967,164)	

**Exhibit 17 – WDA MEG: IP – 2014 to 2018**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
	18	2013							
	19	2014	2			\$ 3,121.50	\$ 6,243	\$ (6,243)	\$ (556,106)
	20	2015 (3 qtrs annualized)	13			\$ 3,405.10	\$ 45,401	\$ (45,401)	\$ (601,507)
Extension	21	2016 (proj)	12			\$ 3,548.11	\$ 42,577	\$ (42,577)	\$ (644,085)
	22	2017 (proj)	12			\$ 3,697.13	\$ 44,366	\$ (44,366)	\$ (688,450)
	23	2018 (proj)	12			\$ 3,852.41	\$ 46,229	\$ (46,229)	\$ (734,679)

**Exhibit 18 – Full-Time College Students MEG: IP – 2014 to 2018**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
	18	2013							
	19	2014	2,043		\$ 143.51	\$ 293,200	\$ (293,200)	\$ (2,333,629)	
	20	2015 (3 qtrs annualized)	2,121		\$ 184.79	\$ 391,999	\$ (391,999)	\$ (2,725,627)	
Extension	21	2016 (proj)	2,121		\$ -	\$ -	\$ -	\$ (2,725,627)	
	22	2017 (proj)	2,121		\$ -	\$ -	\$ -	\$ (2,725,627)	
	23	2018 (proj)	2,121		\$ -	\$ -	\$ -	\$ (2,725,627)	

**Exhibit 19 – Budget Neutrality for Foster Parent MEG: IP<sup>5</sup>**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
	18	2013							
	19	2014							
	20	2015							
Extension	21	2016 (proj)							
	22	2017 (proj)							
	23	2018 (proj)							

<sup>5</sup> The OHCA is not projecting any enrollment for this MEG during the extension period.

**Exhibit 20 – Not-for-Profit Employees MEG: IP<sup>6</sup>**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010							
	16	2011							
	17	2012							
Extension	18	2013							
	19	2014							
	20	2015							
Extension	21	2016 (proj)							
	22	2017 (proj)							
	23	2018 (proj)							

<sup>6</sup> The OHCA is not projecting any enrollment for this MEG during the extension period.

**Exhibit 21 – Health Access Network Expenditures**

	DY	CY	Client Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996							
	2	1997							
	3	1998							
	4	1999							
	5	2000							
	6	2001							
	7	2002							
	8	2003							
	9	2004							
	10	2005							
	11	2006							
	12	2007							
	13	2008							
	14	2009							
	15	2010 (6 mos)	149,104			\$ 5.00	\$ 745,520	\$ (745,520)	\$ (745,520)
	16	2011	428,898			\$ 5.00	\$ 2,144,490	\$ (2,144,490)	\$ (2,890,010)
	17	2012	542,657			\$ 5.00	\$ 2,713,285	\$ (2,713,285)	\$ (5,603,295)
	18	2013	1,010,286			\$ 5.00	\$ 5,051,430	\$ (5,051,430)	\$ (10,654,725)
	19	2014	1,396,342			\$ 5.00	\$ 6,981,710	\$ (6,981,710)	\$ (17,636,435)
	20	2015 (3 qtrs annualized)	1,457,078			\$ 5.00	\$ 7,374,347	\$ (7,374,347)	\$ (25,010,782)
Extension	21	2016 (proj)	1,520,456			\$ 5.00	\$ 7,602,281	\$ (7,602,281)	\$ (32,613,063)
	22	2017 (proj)	1,586,591			\$ 5.00	\$ 7,932,955	\$ (7,932,955)	\$ (40,546,018)
	23	2018 (proj)	1,655,602			\$ 5.00	\$ 8,278,012	\$ (8,278,012)	\$ (48,824,029)

**Exhibit 22 – Health Management Program Expenditures<sup>7</sup>**

	DY	CY	Traditional MEG Client Months				HMP Expenditures (Prorated across MEGs based on Client Months)					
			TANF-U	TANF-R	ABD-U	ABD-R	Total Client Months	TANF-U	TANF-R	ABD-U	ABD-R	Total Expenditures
Historical and Current	1	1996										
	2	1997										
	3	1998										
	4	1999										
	5	2000										
	6	2001										
	7	2002										
	8	2003										
	9	2004										
	10	2005										
	11	2006										
	12	2007										
	13	2008										
	14	2009										
	15	2010										
	16	2011										
	17	2012										
	18	2013	3,741,817	2,618,683	360,205	290,965	7,011,670	\$ 3,118,501	\$ 2,182,460	\$ 300,202	\$ 242,496	\$ 5,843,658
	19	2014	4,001,208	2,745,120	365,630	291,806	7,403,764	\$ 8,334,149	\$ 5,717,833	\$ 761,574	\$ 607,805	\$15,421,361
	20	2015 (3 qtrs annualized)	4,123,944	2,823,017	362,284	287,529	7,596,775	\$ 3,771,134	\$ 2,581,504	\$ 331,290	\$ 262,931	\$ 6,946,859
Extension	21	2016 (proj)	4,303,322	2,909,118	369,725	289,455	7,871,619	\$ 3,911,699	\$ 2,644,374	\$ 336,078	\$ 263,113	\$ 7,155,264
	22	2017 (proj)	4,490,502	2,997,844	377,318	291,393	8,157,057	\$ 4,057,180	\$ 2,708,560	\$ 340,908	\$ 263,274	\$ 7,369,922
	23	2018 (proj)	4,685,824	3,089,277	385,068	293,344	8,453,513	\$ 4,207,740	\$ 2,774,085	\$ 345,780	\$ 263,415	\$ 7,591,020

<sup>7</sup> Presented for informational purposes only. Expenditures are included within the four traditional MEG exhibits.



**Exhibit 23 – Aggregate Budget Neutrality (All MEGs)**

	DY	CY	Member Months	Budget Neutrality Limit		Actual/Projected Expenditures		Savings/ (Deficit)	Cumulative Savings/ (Deficit)
				PMPM	Aggregate	PMPM	Aggregate		
Historical and Current	1	1996	2,337,532	\$ 122.41	\$ 286,138,649	\$ 170.69	\$ 398,999,423	\$ (112,860,774)	\$ (112,860,774)
	2	1997	2,282,744	\$ 130.39	\$ 297,656,008	\$ 134.54	\$ 307,126,525	\$ (9,470,517)	\$ (122,331,291)
	3	1998	2,550,505	\$ 138.92	\$ 354,305,243	\$ 106.62	\$ 271,927,279	\$ 82,377,964	\$ (39,953,328)
	4	1999	3,201,226	\$ 168.75	\$ 540,219,561	\$ 144.65	\$ 463,050,620	\$ 77,168,941	\$ 37,215,613
	5	2000	3,496,982	\$ 197.53	\$ 690,771,669	\$ 171.75	\$ 600,600,099	\$ 90,171,570	\$ 127,387,183
	6	2001	4,513,310	\$ 217.40	\$ 981,193,992	\$ 129.19	\$ 583,054,043	\$ 398,139,949	\$ 525,527,133
	7	2002	4,823,829	\$ 231.19	\$ 1,115,204,678	\$ 176.23	\$ 850,117,611	\$ 265,087,067	\$ 790,614,200
	8	2003	4,716,758	\$ 230.58	\$ 1,087,577,307	\$ 194.45	\$ 917,157,855	\$ 170,419,452	\$ 961,033,652
	9	2004	4,886,804	\$ 245.50	\$ 1,199,726,867	\$ 181.28	\$ 885,888,955	\$ 313,837,912	\$ 1,274,871,564
	10	2005	5,038,078	\$ 261.38	\$ 1,316,858,944	\$ 222.43	\$ 1,120,637,046	\$ 196,221,898	\$ 1,471,093,461
	11	2006	5,180,782	\$ 277.35	\$ 1,436,908,230	\$ 264.24	\$ 1,368,966,665	\$ 67,941,565	\$ 1,539,035,027
	12	2007	5,451,378	\$ 290.31	\$ 1,582,588,944	\$ 271.96	\$ 1,482,534,451	\$ 100,054,493	\$ 1,639,089,520
	13	2008	5,386,004	\$ 308.25	\$ 1,660,247,275	\$ 300.79	\$ 1,620,046,448	\$ 40,200,827	\$ 1,679,290,347
	14	2009	5,839,782	\$ 322.59	\$ 1,883,853,423	\$ 321.58	\$ 1,877,931,749	\$ 5,921,674	\$ 1,685,212,021
	15	2010	6,367,794	\$ 338.40	\$ 2,154,888,798	\$ 313.40	\$ 1,995,690,240	\$ 159,198,558	\$ 1,844,410,579
	16	2011	6,420,012	\$ 357.88	\$ 2,297,585,380	\$ 329.93	\$ 2,118,136,761	\$ 179,448,619	\$ 2,023,859,198
	17	2012	6,819,943	\$ 372.95	\$ 2,543,464,833	\$ 326.38	\$ 2,225,879,926	\$ 317,584,907	\$ 2,341,444,105
18	2013	7,011,670	\$ 389.11	\$ 2,728,288,274	\$ 333.60	\$ 2,339,081,302	\$ 389,206,972	\$ 2,730,651,077	
19	2014	7,403,764	\$ 403.10	\$ 2,984,482,165	\$ 327.25	\$ 2,422,883,479	\$ 561,598,686	\$ 3,292,249,763	
20	2015 (3 qtrs annualized)	7,596,775	\$ 418.19	\$ 3,176,912,438	\$ 311.06	\$ 2,363,040,193	\$ 813,872,245	\$ 4,106,122,008	
Extension	21	2016 (proj)	7,871,619	\$ 435.11	\$ 3,425,015,919	\$ 314.31	\$ 2,474,154,860	\$ 950,861,059	\$ 5,056,983,067
	22	2017 (proj)	8,157,057	\$ 452.66	\$ 3,692,376,342	\$ 327.31	\$ 2,669,884,272	\$ 1,022,492,070	\$ 6,079,475,137
	23	2018 (proj)	8,453,513	\$ 470.95	\$ 3,981,166,583	\$ 340.99	\$ 2,882,549,354	\$ 1,098,617,229	\$ 7,178,092,366