

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Utah

ASSET VERIFICATION SYSTEM.

1940(a)
of the Act

1. The agency will provide for the verification of assets for purposes of determining or re-determining Medicaid eligibility for aged, blind and disabled Medicaid applicants and recipients using an Asset Verification System (AVS) that meets the following minimum requirements.
 - a. The request and response system must be electronic:
 - (i) Verification inquiries must be sent electronically via the internet or similar means from the agency to the financial institution (FI).
 - (ii) The system cannot be based on mailing paper-based requests.
 - (iii) The system must have the capability to accept responses electronically.
 - b. The system must be secure, based on a recognized industry standard of security (e.g., as defined by the U.S. Commerce Department's National Institute of Standards and Technology, or NIST).
 - c. The system must establish and maintain a database of FIs that participate in the agency's AVS.
 - d. Verification requests must also be sent to FIs other than those identified by applicants and recipients, based on some logic such as geographic proximity to the applicant's home address, or other reasonable factors whenever the agency determines that such requests are needed to determine or re-determine the individual's eligibility.
 - e. The verification requests must include a request for information on both open and closed accounts, going back up to five years as determined by the State.

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2. System Development

- a. The agency will develop an AVS
In 3 below, provide any additional information the agency wants to include.
- b. The agency will hire a contractor to develop an AVS.
In 3 below, provide any additional information the agency wants to include.
- c. The agency will be joining a consortium to develop an AVS
In 3 below, identify the states participating in the consortium. Also, provide any other information the agency wants to include pertaining to how the consortium will implement the AVS requirements.
- d. The agency already has a system in place that meets the requirements for an acceptable AVS.
In 3 below, describe how the existing system meets the requirements in Section 1.
- e. Other alternative not included in A. - D. above.
In 3 below, describe this alternative approach and how it will meet the requirements in Section 1.

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3. Provide the AVS implementation information requested for the implementation approach checked in Section 2, and any other information the agency may want to include

The state will issue a Request for Information (RFI) to find out what type of solutions are available that would meet the following requirements and demonstrate that the cost savings to the state will exceed the cost of implementation and operation.

- a. An electronic process for asset verification;
- b. A database of financial institutions that provide data to the entity;
- c. A five-year look back of the assets on individual applicants, recipients, spouses, and partners, when appropriate;
- d. A secure system based on a recognized industry as defined by the U.S. Commerce Department's National Institute;
- e. Verification requests will include both open and closed asset account information within the time span of the requested search;
- f. The acceptable asset verification entity will provide adequate data for the generation of all required reports expected to meet federal requirements such as the number of requests, number of responses and amounts of undisclosed assets found.

Based on the responses to the RFI, the state will seek bids and contract with a qualified contractor to set up a system meeting these requirements and producing cost savings to the state that exceed the cost of implementation and operation.

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