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State/Territory Name: OK

State Plan Amendment (SPA) #: 20-0006

This file contains the following documents in the order listed:

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
601 East 12th Street, Suite 355
Kansas City, Missouri 64106-2898

CENTERS FOR MEDICARE & MEDICAID SERVICES
CENTER FOR MEDICAID & CHIP SERVICES

Medicaid and CHIP Operations Group

May 8, 2020

Melody Anthony, Medicaid Director
State of Oklahoma
Oklahoma Health Care Authority
4345 N. Lincoln Boulevard
Oklahoma City, OK 73105

Dear Ms. Anthony:

On April 24, 2020, the Centers for Medicare & Medicaid Services (CMS) received Oklahoma State Plan (SPA) No. 20-0006. This SPA was submitted to reflect Oklahoma's membership in the Enhanced Nurse Licensure Compact (eNCL) Agreement. This agreement allows a nurse's license to be portable between member states of the compact to increase access to care by allowing nurses to practice in other states without obtaining additional licenses.

We are pleased to inform you that SPA 20-0006 was approved on May 7, 2020, with an effective date of September 1, 2020, as requested by the State. Enclosed is a copy of the CMS 179 summary form, as well as the approved pages for incorporation into the Oklahoma State Plan.

If you have any questions regarding this matter you may contact Deborah Read (816) 426-5925 or by e-mail at Deborah.read@cms.hhs.gov.

Sincerely,

James G. Scott, Director
Division of Program Operations

Enclosures

cc: Kasie McCarty, Oklahoma Health Care Authority
Megan Buck, Program Branch Manager

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

FORM APPROVED
OMB No. 0938-0193

TRANSMITTAL AND NOTICE OF APPROVAL OF STATE PLAN MATERIAL

FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES

1. TRANSMITTAL NUMBER: 20 — 0006

2. STATE: Oklahoma

3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)

TO: REGIONAL ADMINISTRATOR
CENTERS FOR MEDICARE & MEDICAID SERVICES
DEPARTMENT OF HEALTH AND HUMAN SERVICES

4. PROPOSED EFFECTIVE DATE
September 1, 2020

5. TYPE OF PLAN MATERIAL *(Check One)*

☐ NEW STATE PLAN ☐ AMENDMENT TO BE CONSIDERED AS NEW PLAN ☒ AMENDMENT

COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT *(Separate transmittal for each amendment)*

6. FEDERAL STATUTE/REGULATION CITATION
42 CFR § 440.166

7. FEDERAL BUDGET IMPACT
a. FFY 2020 $ 0
b. FFY 2021 $ 0

8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT

Attachment 3.1-A, Page 6a-1.5
Attachment 3.1-A, Page 6a-1.11
Supplement 1 to Attachment 3.1-A, Page 1e
Supplement 1 to Attachment 3.1-A, Page 8b

9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT *(If Applicable)*

Attachment 3.1-A, Page-6a-1.5; TN# 15-06
Attachment 3.1-A, Page-6a-1.11; TN# 19-0010
Supplement 1 to Attachment 3.1-A, Page 1e; TN# 13-13
Supplement 1 to Attachment 3.1-A, Page 8b; TN# 08-09

10. SUBJECT OF AMENDMENT

Reflecting Oklahoma's membership in the Enhanced Nurse Licensure Compact (eNLC) agreement

11. GOVERNOR'S REVIEW *(Check One)*

☐ GOVERNOR'S OFFICE REPORTED NO COMMENT
☐ COMMENTS OF GOVERNOR'S OFFICE ENCLOSED
☐ NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL
☒ OTHER, AS SPECIFIED

12. SIGNATURE OF STATE AGENCY OFFICIAL

13. TYPED NAME
Melody Anthony

14. TITLE
State Medicaid Director

15. DATE SUBMITTED
April 24, 2020

16. RETURN TO
Oklahoma Health Care Authority
Attn: Traylor Rains
4345 N. Lincoln Blvd.
Oklahoma City, OK 73105

FOR REGIONAL OFFICE USE ONLY

17. DATE RECEIVED
April 24, 2020

18. DATE APPROVED
May 7, 2020

PLAN APPROVED - ONE COPY ATTACHED

19. EFFECTIVE DATE OF APPROVED MATERIAL
September 1, 2020

20. SIGNATURE OF REGIONAL OFFICIAL

21. TYPED NAME
James G. Scott

22. TITLE
Director, Division of Program Operations

23. REMARKS

Instructions on Back

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED CATEGORICALLY NEEDY

13.d Rehabilitative Services
13.d.2 Program of Assertive Community Treatment (PACT)
B. **Multidisciplinary Team** *(continued)*

Provider Type	Individual Provider Qualifications
Behavioral Health Professionals (BHPs)	***Level 1:*** **(A) Psychiatrists** - Allopathic or Osteopathic physicians with a current license and board certification in psychiatry or board eligible in the state in which services are provided, **or** **(B) Advanced Practice Registered Nurses (APRNs) -** Registered nurse with current licensure and certification of recognition from the board of nursing in the state in which services are provided and certified in a psychiatric mental health specialty; **or** **(C) Clinical Psychologists -** A clinical psychologist who is duly licensed to practice by the State Board of Examiners of Psychologists; **or** **(D) Current resident in psychiatry; or** **(E) Physician Assistants (PA) -** An Individual licensed in good standing in Oklahoma and has received specific training for and is experienced in performing mental health therapeutic, diagnostic, or counseling functions
	Level 2: **(A) Licensed, Master's Prepared**- Practitioners with a Master's degree and fully licensed to practice in the state in which services are provided, as determined by one of the licensing boards listed below: (1) Licensed Clinical Social Workers (LCSWs); (2) Licensed Professional Counselors (LPC) (3) Licensed Marriage & Family Therapists (LMFTs); (4) Licensed Behavioral Practitioners (LBPs); (5) Licensed Alcohol and Drug Counselor (LADCs) **(B) Licensure Candidates–** An individual with a Master's degree or higher, actively and regularly receiving board approved supervision, and extended supervision by a fully licensed clinician if board's supervision requirement is met by one of the licensing boards listed in (A) above. **(C) Psychological Clinicians** – Professionals with a Master's degree or higher with certification to provide behavioral health services
Nurses	**(A)** Registered Nurse; **(B)** License Practical Nurse • Individual must be currently licensed and in good standing in the state in which services are provided; • Each nurse shall have at least one (1) year of mental health experience or work a total of forty (40) hours at a psychiatric medication clinic within the first three (3) months of employment.
Qualified Behavioral Health Technician (QBHT)	Bachelor's Degree and**:** • Certification as Behavioral Health Case Manager 1 or II; or • Certification as Alcohol and Drug Counselor **For substance abuse services:** Must meet the minimum requirements for a QBHT, **AND** • Certification as an Alcohol and Drug Counselor, and successful completion of at least two years full time work experience; or; • LBHP with certification, training; and competency in alcohol and or substance abuse, OR • Be a registered nurse with current licensure.
Certified Peer Recovery Support Specialist (PRSS)	**Peer Recovery Support Specialist (PRSS)** • Be at least 18 years of age; • Have demonstrated recovery from a mental illness, substance abuse disorder or both • Be willing to self-disclose about their own recovery.

AMOUNT, DURATION AND SCOPE OF MEDICAL AND REMEDIAL CARE AND SERVICES PROVIDED CATEGORICALLY NEEDY

13.d Rehabilitative Services
13.d. Certified Community Behavioral Health (CCBH) Services *(continued)*

A. Interdisciplinary Treatment Team Qualifications

Provider Type	Individual Provider Qualifications
Behavioral Health Professionals (BHPs)	***Level 1:*** **A. Psychiatrists** – Allopathic or Osteopathic physicians with a current license and board certification in psychiatry or board eligible in the state in which services are provided, **or** **B. Advanced Practice Registered Nurses (APRNs)** – Registered nurse with current licensure and certification of recognition from the board of nursing in the state in which services are provided and certified in a psychiatric mental health specialty; **or** **C. Clinical Psychologists** – A clinical psychologist who is duly licensed to practice by the State Board of Examiners of Psychologists; **or** **D. Current resident in psychiatry; or** **E. Physician Assistants (PA)** – An Individual licensed in good standing in Oklahoma and has received specific training for and is experienced in performing mental health therapeutic, diagnostic, or counseling functions
	Level 2: **A. Licensed, Master's Prepared**- Practitioners with a master's degree and fully licensed to practice in the state in which services are provided, as determined by one of the licensing boards listed below: (1) Licensed Clinical Social Workers (LCSWs); (2) Licensed Professional Counselors (LPC) (3) Licensed Marriage & Family Therapists (LMFTs); (4) Licensed Behavioral Practitioners (LBPs); (5) Licensed Alcohol and Drug Counselor (LADCs) **B. Licensure Candidates**– An individual with a master's degree or higher eligible to pursue licensure in one of the specialties listed in (A) above, actively and regularly receiving board approved supervision, and extended supervision by a fully licensed practitioner listed in Level 2 A. (1) through (5) if board's supervision requirement is met by one of the licensing boards listed in (A) above. **C. Psychological Clinicians** – Professionals with a master's degree or higher with certification to provide behavioral health services
Nurses	**A.** Registered Nurse; **B.** License Practical Nurse • Individual must be currently licensed and in good standing in the state in which services are provided; • Each nurse shall have at least one (1) year of mental health experience or work a total of forty (40) hours at a psychiatric medication clinic within the first three (3) months of employment.

CASE MANAGEMENT SERVICES *(continued)*
Target Group: Chronically and/or severely mentally ill age 18 years and older or children who are at imminent risk of out-of home placement due to psychiatric or substance abuse reasons.

Qualifications of providers:
Case managers performing the service must be:

1. Licensed Behavioral Health Professional (LBHP) as described on Attachment 3.1-A Page 1a-6.11;
2. Currently Certified Alcohol and Drug Counselor (CADC); or
3. Currently certified as a Behavioral Health Case Manager through the Oklahoma Department of Mental Health and Substance Abuse Services (ODMHSAS). In order to obtain certification as a case manager, individuals must meet the following requirements:
    a. Case Manager II – meets the following:
        i. Qualifications.
            I. A bachelor's or master's degree in a behavioral health related field, earned from a regionally accredited college or university recognized by the United States Department of Education or a bachelor's or master's degree in education with at least nine (9) hours of college credit in a behavioral health related field; or
            II. Current licensure as a registered nurse and in good standing in the state in which services are provided with experience in behavioral health care; or
            III. A Bachelor's or master's degree in a non-behavioral health related field and current certification as a Psychiatric Rehabilitation Practitioner (CPRP).
        ii. Training Requirements.
            I. Behavioral health case management web-based training as specified by ODMHSAS,
            II. Individuals who have not received a certificate in children's psychiatric rehabilitation from the US Psychiatric Rehabilitation Association (USPRA) must complete the behavioral health rehabilitation web-based training as specified by ODMHAS,
            III. Complete one day of face-to-face behavioral health case management training, and
            IV. Individuals not certified as Psychiatric Rehabilitation Practitioners (CPRP) must complete two days of face-to-face behavioral health rehabilitation training.
        iii. Exam
            I. Successful completion of web-based competency exams for behavioral health rehabilitation and behavioral health case management is required in order to be certified.
            II. CPRP applicants for certification need only successfully complete the web-based competency exam for behavioral health case management.
        iv. Case Manager II certifications issued on or before June 30, 2013 will continue to be recognized until June 30, 2014.
    b. Case Manager I – meets the following:
        i. Has a high school diploma and:
            I. 60 college credit hours; or
            II. 36 total months of experience working with persons who have a mental illness and/or substance abuse issues (documentation of experience must be on file with ODMHSAS).
        ii. Complete two days of case management training as specified by ODMHSAS.
        iii. Successful completion of web-based competency exam for behavioral health case management.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

Targeted Case Management *(continued)*
Definition of services *(continued)*
Monitoring and follow-up activities *(continued)*

The case manager must provide documentation to supplement the plan of care which includes:

1. information supporting the selection of outcomes;
2. information supporting the approaches selected;
3. information supporting case management decisions and actions;
4. documentation of communication with the client and, as appropriate, his/her representative;
5. documentation of linkages with resources;
6. documentation of follow-up and monitoring of the plan;
7. other factual information relevant to the case.

X Case management may include contacts with non-eligible individuals that are directly related to identifying the eligible individual's needs and care, for the purposes of helping the eligible individual access services; identifying needs and supports to assist the eligible individual in obtaining services; providing case managers with useful feedback; and alerting case managers to changes in the eligible individual's needs. [42 CFR 440.169(e)]

Qualifications of providers [42 CFR 441.18(a)(8)(v) and 42 CFR 441.1B(b)]: Case Management Agency Qualifications:
The provider agency must:

1. meet applicable State and Federal laws governing the participation of providers in the Medicaid program.
2. be certified by the OHCA as a qualified DDSD Provider.

Case Manager Qualifications:

1. Possess a bachelor's degree in human services field and one year of professional experience working directly with persons with intellectual or other developmental disabilities or in social work, case management, special education, psychology, counseling, vocational rehabilitation, physical therapy, occupational therapy, speech therapy, nursing or a closely related field; or
2. Possess a valid permanent license as approved by a member-state participating in the Enhanced Nurse Licensure Compact (eNLC) to practice professional nursing (an interim work permit or a temporary license issued by a member-state of the Enhanced Nurse Licensure Compact (eNLC) will be accepted as long as it remains valid; however, a valid permanent license must be obtained prior to the completion of the probationary period), and one year of professional nursing experience working directly with persons with intellectual or other developmental disabilities; or one year of professional nursing experience, and one year working directly with persons with intellectual or other developmental disabilities.