Table of Contents

State/Territory Name: New York

State Plan Amendment (SPA) #: 17-0024-A

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Forms
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES

Centers for Medicare & Medicaid Services New York Regional Office 26 Federal Plaza, Room 37-100 New York, NY 10278



DIVISION OF MEDICAID AND CHILDREN'S HEALTH OPERATION

DMCHO-PV-SPA-NY-17-0024-A- Approvals

June 14, 2018

Donna Frescatore Medicaid Director Office of Health Insurance Programs NYS Department of Health One Commerce Plaza, Suite 1211 Albany, New York 12210

Re: NY SPAs 17-0024 A, B, C and D

Dear Ms. Frescatore:

We reviewed the proposed amendment to Attachment 4.19-B of your Medicaid State plan submitted under transmittal numbers (TN) 17-0024—A. The purpose of this letter is to inform you of their approvals effective January 1, 2017. The amendment proposes to apply a Medicaid incentive payment to certain evaluation and management codes for eligible Advance Primary Care (Physicians and/or Nurse Practitioners) who obtain and maintain recognition as an advanced care practitioner in New York's statewide Advanced Primary Care (APC) medical home model.

We conducted our review of the submittal according to the statutory requirements at sections 1902(a)(2), 1902(a)(13), 1902(a)(30), 1903(a) and 1923 of the Social Security Act and the regulations at 42 CFR 447 Subpart C. Please see enclosed forms CMS-179 and the approved plan pages.

If you have any questions, please contact Patricia I Vasquez at (212) 616-2470.

Sincerely.

Michael Melendez, LMSW Associate Regional Administrator Division of Medicaid and Children's Health Operations

cc: R. Deyette

P. LaVenia

R. Holligan

R. Weaver

P. Vasquez

M. Tabakov

M. Lopez

TRANSMITTAL AND NOTICE OF APPROVAL OF		ГАТЕ
STATE PLAN MATERIAL	17-0024-A	
	New	York
FOR: HEALTH CARE FINANCING ADMINISTRATION	3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)	
TO: REGIONAL ADMINISTRATOR	4. PROPOSED EFFECTIVE DATE	
HEALTH CARE FINANCING ADMINISTRATION	January 1, 2017	
DEPARTMENT OF HEALTH AND HUMAN SERVICES	January 1, 2017	1
5. TYPE OF PLAN MATERIAL (Check One):		
3. I TPE OF PLAN MATERIAL (Check One).		
□ NEW STATE PLAN □ AMENDMENT TO BE CONSIDERED AS NEW PLAN □ AMENDMENT		
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMEND		
6. FEDERAL STATUTE/REGULATION CITATION:	7. FEDERAL BUDGET IMPACT: (in thousa	inds)
Section 1902(a) of the Social Security Act	a. FFY 01/01/17-09/30/17 \$ 345.60	
42 CFR 447.204	b. FFY 10/01/17-09/30/18 \$ 460.80	
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:	9. PAGE NUMBER OF THE SUPERSEDED PLAN	
	SECTION OR ATTACHMENT (If Applicable):	
Att. 4.19-B Page 1(A)(i)(1)		
1110 1115 5 1 ago 1(11)(1)(1)		
I0. SUBJECT OF AMENDMENT:	\	
Advanced Primary Care		
(FMAP = 50%)		
11. GOVERNOR'S REVIEW (Check One):		
☐ GOVERNOR'S OFFICE REPORTED NO COMMENT	OTHER, AS SPECIFIED:	:
COMMENTS OF GOVERNOR'S OFFICE ENCLOSED	_	
NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL		
NO KEI ET KECEIVED WITHIN 43 DATE OF SOBINITIAL		
	16. RETURN TO:	
	New York State Department of Health	
	Bureau of Federal Relations & Provider Assessments	
NAN		
\	00 Washington Ave. One Commerce Plans	
	99 Washington Ave – One Commerce Plaza	
14. TITLE: Mediçaid Director	Suite 1432	
14. TITLE: Medicaid Director Department of Health		
Department of Health	Suite 1432	
	Suite 1432	
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017	Suite 1432 Albany, NY 12210	
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI	Suite 1432 Albany, NY 12210 ICE USE ONLY	
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017	Suite 1432 Albany, NY 12210	
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED:	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018	
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED	
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE OF STREET OF APPROVED MATERIAL:	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018	
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE OF APPROVED MATERIAL: JANUARY 01, 2017	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL	
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE OF APPROVED MATERIAL: JANUARY 01, 2017	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE of STREET OF APPROVED MATERIAL: JANUARY 01, 2017 21. TYPED NAME: MICHAEL MELENDEZ	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE OF APPROVED MATERIAL: JANUARY 01, 2017	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE of STREET OF APPROVED MATERIAL: JANUARY 01, 2017 21. TYPED NAME: MICHAEL MELENDEZ	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE of STREET OF APPROVED MATERIAL: JANUARY 01, 2017 21. TYPED NAME: MICHAEL MELENDEZ	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE of STREET OF APPROVED MATERIAL: JANUARY 01, 2017 21. TYPED NAME: MICHAEL MELENDEZ	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE of STREET OF APPROVED MATERIAL: JANUARY 01, 2017 21. TYPED NAME: MICHAEL MELENDEZ	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE of STREET OF APPROVED MATERIAL: JANUARY 01, 2017 21. TYPED NAME: MICHAEL MELENDEZ	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE of STREET OF APPROVED MATERIAL: JANUARY 01, 2017 21. TYPED NAME: MICHAEL MELENDEZ	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE of SUBMITTED: 19. EFFECTIVE DATE OF APPROVED MATERIAL: JANUARY 01, 2017 21. TYPED NAME: MICHAEL MELENDEZ	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:
Department of Health 15. DATE SUBMITTED: MAR 3 1 2017 FOR REGIONAL OFFI 17. DATE RECEIVED: PLAN APPROVED - ONE of SUBMITTED: 19. EFFECTIVE DATE OF APPROVED MATERIAL: JANUARY 01, 2017 21. TYPED NAME: MICHAEL MELENDEZ	Suite 1432 Albany, NY 12210 ICE USE ONLY 18. DATE APPROVED: JUNE 14, 2018 COPY ATTACHED 20. SIGNATURE OF REGIONAL OFFICIAL 22. TITLE: ASSOCIATE REGIONAL ADMI	L:

New York 1(A)(i)(1)

<u>Advanced Primary Care – Physicians and/or Nurse Practitioners</u>

Fee schedules developed by the Department of Health and approved by the Division of Budget will be augmented by incentive payments to physicians and/or nurse practitioners
recognized by the Department as Advanced Primary Care (APC) practices.
Effective for periods on and after January 1, 2017, the Commissioner of Health is authorized to recognize physicians' and nurse practitioners' practices as advanced primary care practices to improve health outcomes and efficiency through patient care continuity and coordination of health services. Recognized providers will be eligible for incentive payments for perimary care services provided to recipients eligible for Medicaid Fee-For-Service (FFS).
To improve access to high quality primary care services, the Medicaid Advanced Primary Care initiative will provide incentive payments to Physicians and/or Nurse Practitioners that meet advanced primary care standards established by the Department. The Department developed the Advanced Primary Care model in consultation with diverse stakeholders as part of the State Health Innovation Plan. The Advanced Primary Care model is a statewide integrated primary care delivery and payment model that was created as part of a Centers for Medicare and Medicaid Innovation (CMMI) State Innovation Model (SIM) Testing grant. The model requires providers to obtain and maintain specific capabilities around patient care quality, access, and outcomes.
Per visit incentive payments will be made to physicians' and/or nurse practitioners' practices that meet the Department standards for recognition as an advanced primary care practice. There are three levels that correspond to providers' capabilities: Levels 1, 2, and 3. Eligible providers will receive a per-visit incentive payment commensurate with their level of Advanced Primary Care recognition. Incentive payments will be added to claims from recognized advanced primary care providers for visits with evaluation and management codes identified by the Department as primary care. Advanced primary care incentive payments are only applicable to claims when Medicaid is the primary payer.
Appropriate incentive payment amounts will be aligned with established incentive payments for primary care services for Medicaid FFS. Incentive payment amounts were determined based on historic data from an established incentive payment paid to primary care providers. Factors that were part of the determination included average primary care visits per year, panel size, and level of advanced primary care practice transformation.
Except as otherwise noted in the plan, state-developed fee schedule rates are the same for both governmental and private providers recognized as Advanced Primary Care practices. The agency's fee schedule rates were set as of January 1, 2017, and are effective for services provided on or after that date. All rates are published on the State Department of Health's website, https://www.health.ny.gov/technology/innovation_plan_initiative/pcmh/docs/ffs_incentive_payment_rates.pdf.
To maintain eligibility for incentive payments physicians' and/or nurse practitioners' practices must provide data to the Department of Health to permit the Commissioner to evaluate
the impact of advanced primary care practices on quality, outcomes, and cost.
TN#17-0024-A Approval Date06/14/2018

Supersedes TN #NEW Effective Date 01/01/2017