Table of Contents

State/Territory Name: New York

State Plan Amendment (SPA) #: 12-0013

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services New York Regional Office 26 Federal Plaza, Room 37-100 New York, NY 10278



DIVISION OF MEDICAID AND CHILDREN'S HEALTH OPERATIONS

DMCHO: PM:SPA-NY-12-0013-FCA

April 26, 2017

Jason A. Helgerson State Medicaid Director Deputy Commissioner Office of Health Insurance Programs NYS Department of Health Empire State Plaza Corning Tower (OCP-1211) Albany, NY 12237

RE: TN 12-0013

Dear Commissioner Helgerson:

This is to notify you that New York State Plan Amendment (SPA) #12-0013 has been approved for adoption into the State Medicaid Plan with an effective date of April 1, 2012. This SPA amends and updates the State's APG system for Outpatient Hospital Services.

Enclosed are copies of SPA #12-0013 and the CMS-179 form, as approved.

If you have any questions, please contact Peter Marra at 518-396-3810, ext 104, or Rob Weaver at 410-786-5914.

Sincerely,

Michael Melendez, LMSW Associate Regional Administrator Division of Medicaid and Children's Health Operations

Enclosures

cc. J. Ulberg

R. Devette

L. Tavener

R. Weaver

J. Guhl

R. Holligan

P. Marra

M. Lopez

TRANSMITTAL AND NOTICE OF APPROVAL OF	1. TRANSMITTAL NUMBER:	2. STATE	
STATE PLAN MATERIAL	12-0013	N - N - 1	
FOR: HEALTH CARE FINANCING ADMINISTRATION	New York 3. PROGRAM IDENTIFICATION: TITLE XIX OF T SOCIAL SECURITY ACT (MEDICAID)		
TO: REGIONAL ADMINISTRATOR HEALTH CARE FINANCING ADMINISTRATION DEPARTMENT OF HEALTH AND HUMAN SERVICES 5. TYPE OF PLAN MATERIAL (Check One):	4. PROPOSED EFFECTIVE DATE April 1, 2012		
□ NEW STATE PLAN □ AMENDMENT TO BE CON	SIDERED AS NEW PLAN	X AMENDMENT	
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMEN			
6. FEDERAL STATUTE/REGULATION CITATION: §1902(a)(30) of the Social Security Act and 42 CFR Part 447.204	7. FEDERAL BUDGET IMPACT: (a. FFY 04/01/12-09/30/12 S 0 b. FFY 10/01/12-09/30/13 S 0	in thousands)	
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT: Attachment 4.19-B: 1(e)(2), 1(e)(2.1), 1(e)(2.2)	9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If Applicable):		
	Attachment 4.19-B: 1(e)(2), 1(e)(2,	1), 1(e)(2.2)	
10. SUBJECT OF AMENDMENT: April and July 2012 Hospital OP APG Weight Adjustments (FMAP = 50%) 11. GOVERNOR'S REVIEW (Check One): ☐ GOVERNOR'S OFFICE REPORTED NO COMMENT ☐ COMMENTS OF GOVERNOR'S OFFICE ENCLOSED	OTHER. AS SPE	ECIFIED:	
NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL 12. SIGNA E A GENCY OFFICIAL: 13. TYPED NAME: Jason A. Higgerson 14. TITLE: Medicaid Director Department of Health 15. DATE SUBMITTED:	16. RETURN TO: New York State Department of He Division of Finance & Rate Setting 99 Washington Ave – One Comme Suite 1432 Albany, NY 12210		
June 25, 2012			
FOR REGIONAL OFF	FICE USE ONLY		
17. DATE RECEIVED:	18. DATE APPROVED: APRIL 26, 2017		
PLAN APPROVED - ONE	COPY ATTACHED		
19. EFFECTIVE DATE OF APPROVED MATERIAL: APRIL 01, 2012	22. TITLE: ASSOCIATE REGION	NAL ADMINSTRATO	
21. TYPED NAME: MELENDEZ	DIVISION OF MEDICAID & CH	ILDREN'S HEALTH	
23. REMARKS:			

New York 1(e)(2)

APG Reimbursement Methodology – Hospital Outpatient

The following links direct users to the various definitions and factors that comprise the APG reimbursement methodology, which can also be found in aggregate on the APG website at http://www.health.ny.gov/health_care/medicaid/rates/apg/index.htm. In addition, prior period information associated with these links is available upon request to the Department of Health.

Contact Information:

http://www.health.ny.gov/health_care/medicaid/rates/apg/index.htm Click on "Contacts."

3M APG Crosswalk, version 3.7; updated as of [01/01/12] 04/01/12 and 07/01/12:

http://www.health.ny.gov/health_care/medicaid/rates/crosswalk/index.htm http://dashboard.emedny.org/CrossWalk/html/cwAgreement.html Click on "Accept" at bottom of page to gain access.

APG Alternative Payment Fee Schedule; updated as of 01/01/11:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Alternative Payment Fee Schedule."

APG Consolidation Logic; logic is from the version of 04/01/08, updated as of 10/01/11: http://www.health.ny.gov/health_care/medicaid/rates/bundling/ Click on "2011."

APG 3M Definitions Manual Versions; updated as of [01/01/12] 04/01/12 and 07/01/12: http://www.health.ny.gov/health_care/medicaid/rates/crosswalk/index.htm

APG Investments by Rate Period; updated as of 01/01/11:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Investments by Rate Period."

APG Relative Weights; updated as of [01/01/12] 04/01/12 and 07/01/12:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Weights, Proc Weights, and APG Fee Schedule Amounts" file.

Associated Ancillaries; updated as of 01/01/11:

TN	#12-0013	Approval Date	APRIL 26, 2017
Superse	des TN <u>#12-0001</u>	Effective Date _	APRIL 01, 2012

New York 1(e)(2.1)

Carve-outs; updated as of 01/01/1	Carve-outs	updated	as of	01	/01	/11:
-----------------------------------	------------	---------	-------	----	-----	------

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Carve Outs."

Coding Improvement Factors (CIF); updated as of [01/01/11] 07/01/12:

If Stand Alone, Do Not Pay APGs; updated as of [07/01/11] 07/01/12:

http://www.health.state.ny.us/health_care/medicaid/rates/methodology/index.htm Click on "If Stand Alone, Do Not Pay APGs."

If Stand Alone, Do Not Pay Procedures; updated as of 04/01/11:

http://www.health.state.ny.us/health_care/medicaid/rates/methodology/index.htm Click on "If Stand Alone, Do Not Pay Procedures."

Modifiers; updated as of [01/01/11] <u>07/01/12</u>:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Modifiers."

Never Pay APGs; updated as of [01/01/11] <u>07/01/12</u>:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Never Pay APGs."

Never Pay Procedures; updated as of [01/01/12] <u>04/01/12</u> and <u>07/01/12</u>:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Never Pay Procedures."

No-Blend APGs; updated as of 04/01/10:

No-Blend Procedures; updated as of 01/01/11:

TN	#12-0013	Approval Date _	APRIL 26, 2017
Supersed	des TN <u>#12-0001</u>	Effective Date _	APRIL 01, 2012

New York 1(e)(2.2)

No Capital Add-on APGs; updated as of 01/01/11:

Non-50% Discounting APG List; updated as of [01/01/12] <u>07/01/12</u>:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Non-50% Discounting APG List."

Rate Codes Carved Out of APGs; updated as of 01/01/11:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Rate Codes Carved Out of APGs for Article 28 facilities."

Rate Codes Subsumed by APGs; updated as of 07/01/11:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Rate Codes Subsumed by APGs – Hospital Article 28."

Statewide Base Rate APGs; updated as of 01/01/12:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Statewide Base Rate APGs."

Uniform Packaging Ancillaries; updated as of 01/01/12:

http://www.health.ny.gov/health_care/medicaid/rates/methodology/index.htm Click on "Uniform Packaging APGs."

Supersedes TN #12-0001 Effective Date APRIL 01, 2012	