# **Table of Contents**

**State/Territory Name: MN** 

State Plan Amendment (SPA) #: 18-0001

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

Department of Health & Human Services Centers for Medicare & Medicaid Services 233 North Michigan Avenue, Suite 600 Chicago, Illinois 60601-5519



September 28, 2018

Marie Zimmerman, State Medicaid Director Minnesota Department of Human Services P.O. Box 64983 St. Paul, MN 55164-0983

Dear Ms. Zimmerman:

Enclosed for your records is an approved copy of the following State Plan Amendment:

Transmittal #18-0001

--Proposes to cover prenatal and postpartum home visits by a Public Health Nurse or a RN under the supervision of a Public Health Nurse.

-- Effective Date: January 1, 2018

-- Approval Date: September 28, 2018

If you have any additional questions, please have a member of your staff contact Sandra Porter at (312) 353-8310 or via e-mail at Sandra.Porter@cms.hhs.gov.

Sincerely,

/s/

Ruth A. Hughes Associate Regional Administrator Division of Medicaid and Children's Health Operations

#### **Enclosures**

cc: Ann Berg, DHS Sean Barrett, DHS

DEPARTMENT OF HEALTH AND HUMAN SERVICES CENTERS FOR MEDICARE & MEDICAID SERVICES		FORM APPROVED OMB NO. 0938-0193
TRANSMITTAL AND NOTICE OF APPROVAL OF	1. TRANSMITTAL NUMBER:	2. STATE
STATE PLAN MATERIAL		
FOR: CENTER FOR MEDICARE & MEDICAID SERVICES	18-01	Minnesota
	3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)	
TO: REGIONAL ADMINISTRATOR	4. PROPOSED EFFECTIVE DATE	
CENTER FOR MEDICARE & MEDICAID SERVICES		4
DEPARTMENT OF HEALTH AND HUMAN SERVICES	January 1, 2018	
5. TYPE OF PLAN MATERIAL (Check One):		
□ NEW STATE PLAN □ AMENDMENT TO BE C	ONSIDERED AS NEW PLAN	X AMENDMENT
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AME	NDMENT (Separate Transmittal for each	
6. FEDERAL STATUTE/REGULATION CITATION:	7. FEDERAL BUDGET IMPACT (in	
42 CFR § 440.60	a. FFY '18 \$100	
	b. FFY '19 \$200	****
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:	9. PAGE NUMBER OF THE SUPERS	
Attachment 3.1-A, page 26 – 26b	OR ATTACHMENT (If Applicable,	):
Attachment 3.1-B, page 25 – 25b	Same	
Attachment 4.19-B, page 17 – 17.1		
10. SUBJECT OF AMENDMENT:		
Services Provided by a Public Health Nurse		
11. GOVERNOR'S REVIEW (Check One):	AND THE STATE OF T	4 (1974)
x GOVERNOR'S OFFICE REPORTED NO COMMENT	☐ OTHER, AS SPECIF	TED:
□ COMMENTS OF GOVERNOR'S OFFICE ENCLOSED		-
☐ NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL		
12. SIGNATURE OF STATE AGENCY OFFICIAL:	16. RETURN TO:	·
2. SIGNATURE OF STATE AGENCY OFFICIAL.	Sean Barrett	
	Minnesota Department of Human Services	
	Federal Relations Unit	
·	PO Box 64983	
	St. Paul, MN 55164-0983	
13. TYPED NAME:		
Ann Berg		
14. TITLE:		
Deputy Medicaid Director  15. DATE SUBMITTED:		
March 9, 2018		
FOR REGIONAL OF	FICEUSE ONLY	
17. DATE RECEIVED:	18. DATE APPROVED:	
March 9, 2018		nber 28, 2018
PLAN APPROVED – ON	E COPY ATTACHED	11001 20, 2010
19. EFFECTIVE DATE OF APPROVED MATERIAL:	20. SIGNATURE OF REGIONAL OF	FICIAL:
January 1, 2018		/s/
21. TYPED NAME:	22. TITLE:	
Ruth A. Hughes	Associate Regional A	dministrator
23. REMARKS:		
	·	

STATE: MINNESOTA ATTACHMENT 3.1-A Page 26

Effective: January 1, 2018

TN: 18-01

Approved: 9/28/18

Supersedes: 10-19 (08-13, 05-11(a), 03-27, 01-13)

6.d. Other practitioners' services. (continued)

#### В. Public health nursing services

Medical Assistance covers public health nursing services that are medically necessary and within the public health nurse's scope of practice. are limited to:

Medical Assistance Covers prenatal and postnatal home visits provided in accordance with Department-approved, evidence-based treatment models. Postpartum visits can be provided up to three years after the birth of the child. The Department has approved the following models: Nurse Family Partnership, Healthy Families America, Family Spirit, and Family Connects.

- 1) Nursing assessment and diagnostic testing;
- 2) Health promotion and counseling;
- 3) Developing a care plan to promote good health and self-management of health conditions, and directing and overseeing the implementation of the care plan by a community health worker when a certified public health nurse operates under the direct authority of a city, county, tribe or school district.
- 4) Nursing treatment;
- 5) Immunization;
- 6) Administration of injectable medications;
- 7) Medication management and the direct observation of the intake of drugs prescribed to treat tuberculosis;
- 8) Tuberculosis case management, which means:
- a) assessing an individual's need for medical services to treat tuberculosis;
- b) developing a care plan that addresses the needs identified in subitem a);
- c) assisting the individual in accessing medical services identified in the care plan; and
- d) monitoring the individual's compliance with the care plan to ensure completion of tuberculosis therapy; and

Public health nurses perform Aassessments, reassessments, and service updates to determine medical necessity for personal care services. Assessments, reassessments, and service updates An initial assessment for personal care services must be conducted face-to-face-are conducted by county public health nurses or certified public health nurses under contract with the county. A face-to-face assessment is also required when there is a significant change in health status, when the recipient uses PCA Choice, and after two phone service updates assessments have been completed by phone.

Assessments for personal care services must be conducted initially, in person, for people who have never had a public health nurse assessment. The initial assessment must include:

STATE: MINNESOTA ATTACHMENT 3.1-A Page 26a

Effective: January 1, 2018

TN: 18-01

Approved: 9/28/18

Supersedes: 10-05 (09-28, 08-13, 05-11(a),03-27,01-13)

6.d. Other practitioners' services. (continued)

#### В. Public health nursing services, continued.

- a) documentation of:
  - a. dependencies in activities of daily living;
  - b. presence of complex health-related needs; and
  - c. presence of specific behaviors including physical aggression towards self or others, or destruction of <del>property;</del>
- b) determination of need, including meeting access criteria as described on page 78z;
- c) identification of appropriate services;
- d) service plan development;
- e) coordination of services;
- f) referrals and follow up to appropriate payers and community resources;
- g) completion of required reports;
- h) if a need is determined, recommendation and receipt of service authorization; and
- i) recipient education.

Reassessments for personal care services are conducted face-to-face, and at least annually or when there is a significant change in the recipient's condition and need for services. A service update may substitute for a reassessment as described below. The reassessment includes:

- a) a review of initial baseline data;
- b) an evaluation of service effectiveness;
- c) a redetermination of need for service;
- d) a modification of the service plan, if necessary, and appropriate referrals;
- e) an update of the initial forms;
- f) if a need is redetermined, recommendation and receipt of service authorization; and
- ongoing recipient education. g)

Service updates for personal care services are conducted in lieu of an annual face-to-face-reassessment when a recipient's condition or need for personal care assistant services has not changed substantially, or between required assessments when the recipient or provider requests a temporary increase in services until an face-to-face in-person review is conducted. The service update includes all the elements listed in items a) through g), above, but does not require an face-to-face in-<del>person</del> visit.

STATE: MINNESOTA ATTACHMENT 3.1-A

Effective: January 1, 2018 Page 26b

TN: 18-01

Approved: 9/28/18

Supersedes: 08-13 (05-11(a), 03-27, 01-13)

6.d. Other practitioners' services. (continued)

## B. Public health nursing services, continued.

Public health nurses who administer pediatric vaccines, as noted in item 5.a., Physicians' services, within the scope of their licensure must enroll in the Minnesota Vaccines for Children Program.

STATE: MINNESOTA ATTACHMENT 3.1-B

Page 25

Effective: January 1, 2018

TN: 18-01

Approved: 9/28/18

Supersedes: 10-19 (08-13, 05-11(a), 03-27, 01-13)

6.d. Other practitioners' services. (continued)

### B. Public health nursing services

Medical Assistance covers public health nursing services that are medically necessary and within the public health nurse's scope of practice. are limited to:

Medical Assistance Covers prenatal and postnatal home visits provided in accordance with Department-approved, evidence-based treatment models. Postpartum visits can be provided up to three years after the birth of the child. The Department has approved the following models:

Nurse Family Partnership, Healthy Families America, Family Spirit, and Family Connects.

- 1) Nursing assessment and diagnostic testing;
- 2) Health promotion and counseling;
- 3) Developing a care plan to promote good health and self-management of health conditions, and directing and overseeing the implementation of the care plan by a community health worker when a certified public health nurse operates under the direct authority of a city, county, tribe or school district.
- 4) Nursing treatment;
- 5) Immunization;
- 6) Administration of injectable medications;
- 7) Medication management and the direct observation of the intake of drugs prescribed to treat tuberculosis;
- 8) Tuberculosis case management, which means:
- e) assessing an individual's need for medical services to treat tuberculosis;
- f) developing a care plan that addresses the needs identified in subitem a);
- g) assisting the individual in accessing medical services identified in the care plan; and
- h) monitoring the individual's compliance with the care plan to ensure completion of tuberculosis therapy; and

<u>Public health nurses perform</u> <u>Aa</u>ssessments, reassessments, and service updates to determine medical necessity for personal care services.

Assessments, reassessments, and service updates <u>An initial assessment</u> for personal care services must be conducted face-to-face—<u>are conducted by county public health nurses or certified public health nurses under contract with the county</u>. A face-to-face assessment is also required when there is a significant change in health status, when the recipient uses PCA Choice, and after two <u>phone</u> service updates <u>assessments</u> have been completed <u>by phone</u>.

Assessments for personal care services must be conducted initially, in person, for people who have never had a public health nurse assessment. The initial assessment must include:

STATE: MINNESOTA ATTACHMENT 3.1-B

Effective: January 1, 2018 Page 25a

TN: 18-01

Approved: 9/28/18

Supersedes: 10-05 (09-28, 08-13, 05-11(a),03-27,01-13)

6.d. Other practitioners' services. (continued)

#### B. Public health nursing services, continued.

- a) documentation of:
  - a. dependencies in activities of daily living;
  - b. presence of complex health-related needs; and
  - c. presence of specific behaviors including physical
     aggression towards self or others, or destruction of
     property;
- b) determination of need, including meeting access criteria as described on page 78z;
- c) identification of appropriate services;
- d) service plan development;
- e) coordination of services;
- f) referrals and follow-up to appropriate payers and community resources;
- g) completion of required reports;
- h) if a need is determined, recommendation and receipt of service authorization; and
- i) recipient education.

Reassessments for personal care services are conducted face-to-face, and at least annually or when there is a significant change in the recipient's condition and need for services. A service update may substitute for a reassessment as described below. The reassessment includes:

- a) a review of initial baseline data;
- b) an evaluation of service effectiveness;
- c) a redetermination of need for service;
- d) a modification of the service plan, if necessary, and appropriate referrals;
- e) an update of the initial forms;
- g) ongoing recipient education.

Service updates for personal care services are conducted in lieu of an annual face-to-face—reassessment when a recipient's condition or need for personal care assistant services has not changed substantially, or between required assessments when the recipient or provider requests a temporary increase in services until an face-to-face in-person review is conducted. The service update includes all the elements listed in items a) through g), above, but does not require an face-to-face in-person visit.

STATE: MINNESOTA ATTACHMENT 3.1-B

Effective: January 1, 2018 Page 25b

TN: 18-01

Approved: 9/28/18

Supersedes: 08-13 (05-11(a), 03-27, 01-13)

6.d. Other practitioners' services. (continued)

## B. Public health nursing services, continued.

Public health nurses who administer pediatric vaccines as noted in item 5.a., Physicians' services, within the scope of their licensure must enroll in the Minnesota Vaccines for Children Program.

STATE: MINNESOTA ATTACHMENT 4.19-B

Effective: January 1, 2018 Page 17

TN: 18-01

Approved: 9/28/18

Supersedes: 17-11 (14-11a,11-19,11-02,09-25,08-13,08-03,07-08,06-

19,05-21,02-20, 01-13)

6.d. Other practitioners' services. (continued)

- B. Effective for services provided on or after July 1, 1991, public health nursing services are paid the lower of:
  - 1) submitted charge; or
  - 2) State agency established rates based on comparable rates for services provided by a nurse practitioner in an office setting, or by a home health nurse in a home setting, or by a nurse providing perinatal <u>services to women considered</u> highrisk, <u>services</u> under item 20, Extended services to pregnant women.

Effective for services provided on or after July 1,  $2008 - \frac{7/1/08}{1008}$ , services provided by a community health worker, are paid using the same methodology that applies to community health workers in item 5.a., Physicians' services.

Effective for services provided on or after January 1, 2018, bundled services provided as part of an evidence-based, home visit are paid the lower of:

- 1) Submitted charge; or
- 2) \$140 per visit.

Providers must provide services in accordance with the requirements of the model in order to receive payment.

The Department will periodically monitor the actual provision of services to ensure that beneficiaries receive the types, quantity, and intensity of services required to meet their medical needs and to ensure that the rates remain economic and efficient based on the services that are actually provided.

Public health nurses who administer pediatric vaccines as described in item 5.a., physicians' services, available through the Minnesota Vaccines for Children Program pursuant to §1928 of the Act, are paid for administering the vaccine using the same methodology described in item 5.a.

STATE: MINNESOTA ATTACHMENT 4.19-B

Effective: January 1, 2018 Page 17.1

TN: 18-01

Approved: 9/28/18

Supersedes: 14-11a, 11-02

6.d. Other practitioners' services. (continued)

The base rate as described in this item is adjusted by the following clauses of Supplement 2 of this Attachment:

- A. IHS/638 Facilities
- B. Critical Access Hospitals
- C. TPL
- D. MinnesotaCare Tax Rate Adjustment
- E. Modifiers
- F. Family Planning
- G. Community and Public Health Clinic
- H. Medicare Cap
- I. Exceptions to payment methodology and reconstructing a rate
- J. Copay converted to \$3.00 provider rate reduction
- U. Facility services rate decrease 2009
- aa. Miscellaneous services and materials rate decrease 2011
- gg. Miscellaneous services and materials rate increase effective September 1, 2014