

## **Table of Contents**

**State/Territory Name: Maine**

**State Plan Amendment (SPA) #: 20-0010**

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

**DEPARTMENT OF HEALTH & HUMAN SERVICES**

Centers for Medicare & Medicaid Services  
601 E. 12th St., Room 355  
Kansas City, Missouri 64106



Medicaid and CHIP Operations Group

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April 15, 2020

**VIA E-MAIL**

Michelle Probert, Director  
MaineCare Services  
Maine Department of Health and Human Services  
11 State House Station  
Augusta, Maine 04333-0011

Dear Ms. Probert:

Enclosed is an approved copy of the Maine State Plan Amendment (SPA) 20-0010, received on March 27, 2020 proposing to revise the educational requirements for behavioral health professionals providing children's home- and community-based treatment. The effective date for this SPA is January 1, 2020, as requested by your agency.

If you have questions concerning this letter, please contact Gilson DaSilva, Division of Program Operations (South Branch) at (617) 565-1227 or via e-mail at [Gilson.dasilva@cms.hhs.gov](mailto:Gilson.dasilva@cms.hhs.gov).

Sincerely,

/s/

James G. Scott, Director  
Division of Program Operations

cc: Kristin Merrill, State Plan Manager, Office of MaineCare Services

**TRANSMITTAL AND NOTICE OF APPROVAL OF  
STATE PLAN MATERIAL  
FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES**1. TRANSMITTAL NUMBER  
**20-0010**2. STATE  
**Maine**3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL  
SECURITY ACT (MEDICAID)TO: REGIONAL ADMINISTRATOR  
CENTERS FOR MEDICARE & MEDICAID SERVICES  
DEPARTMENT OF HEALTH AND HUMAN SERVICES4. PROPOSED EFFECTIVE DATE  
**01/01/2020**

5. TYPE OF PLAN MATERIAL (Check One)

☐ NEW STATE☐ AMENDMENT TO BE CONSIDERED AS NEW PLAN☒ AMENDMENT

COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate transmittal for each amendment)

6. FEDERAL STATUTE/REGULATION CITATION  
**42 CFR 430.10**

7. FEDERAL BUDGET IMPACT

a. FFY **2020** \$ **none**b. FFY **2021** \$ **none**

8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT

**Attachment 3.1A Page 5(a)(xxiv) and Attachment 3.1A  
Page 5(a)(xxiv)(1)**9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION  
OR ATTACHMENT (If Applicable)**Page 2 of Attachment 3.1 A Page 5**

10. SUBJECT OF AMENDMENT

**Amends Behavioral Health Professional (BHP) educational requirements pursuant to Resolves 2019 ch. 99,  
Resolve, To Change the Educational Requirements of Certain Behavioral Health Professionals**

11. GOVERNOR'S REVIEW (Check One)

☐ GOVERNOR'S OFFICE REPORTED NO COMMENT☐ COMMENTS OF GOVERNOR'S OFFICE ENCLOSED☐ NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL☒ OTHER, AS SPECIFIED:**Michelle Probert, Director,  
MaineCare Services**

12. SIGNATURE OF STATE AGENCY OFFICIAL

13. TYPED NAME

**Michelle Probert**

14. TITLE

**Director, MaineCare Services**

15. DATE SUBMITTED

**3/27/2020**

16. RETURN TO:

**Michelle Probert  
Director, MaineCare Services  
#11 State House Station  
242 State Street  
Augusta, Maine 04333-0011****FOR REGIONAL OFFICE USE ONLY**17. DATE RECEIVED **03/27/2020**18. DATE APPROVED **04/15/2020****PLAN APPROVED - ONE COPY ATTACHED**19. EFFECTIVE DATE OF APPROVED MATERIAL **01/01/2020**

20. SIGNATURE OF REGIONAL OFFICIAL /s/

21. TYPED NAME **James G. Scott**22. TITLE **Director, Division of Program Operations**

23. REMARKS

**OFFICIAL**  
**STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT**

STATE: Maine

Attachment 3.1

A

Page 5(a)(xxiv)

**AMOUNT, DURATION, AND SCOPE OF MEDICAL  
AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY**

**Unlicensed Providers**

- Behavioral Health Professional (BHP): complete BHP certification within one year of hire, be at least 18 years of age, have high school diploma or equivalent, and complete at least one of the following (A) or (B):
  - A. Home and Community-based Treatment:**
    - A minimum of 60 higher education credit hours in a related field of social services, human services, health or education; or
    - A minimum of 90 higher education credit hours in an unrelated field with the provider required to have a specific plan for supervision and training documented in the personnel file of the employee; or
    - A high school diploma or equivalent and a minimum of 3 years of direct experience working with children in a behavioral health children's services program with the provider required to have a specific plan for supervision and training documented in the personnel file of the employee.
  - B. Behavioral Health Day Treatment:**
    - Complete ninety (90) documented college credit hours or Continuing Education Units (CEU's)
- Board Certified Behavior Analyst (BCBA): Master's or Doctoral level degree from accredited college or university with completion of acceptable graduate coursework in behavior analysis. BCBAs must take and pass a BCBA exam and must be certified by the Behavior Analyst Certification Board.
- Certified Alcohol and Drug Counselor: At least 18 years of age, take and pass board exam, pay application and certification fee, and meet one of the following education requirements: high school diploma or equivalent and minimum of 4,000 hours documented clinically supervised work experience as an alcohol and drug counseling aide consisting of at least 3 of the following functions: clinical evaluation consisting of intake screening and differential assessment, treatment planning including initial, ongoing and discharge planning, counseling of individuals, groups, couples or families, case management, and/or client and family education OR at a minimum, course work as defined by board rule or an associate degree from accredited college or university in behavioral sciences, addiction counseling or a related field as defined by board rule.
- Certified Employment Specialist: completed Association of Community Rehabilitation Educators (ACRE) approved course, or other employment specialist training approved by Department of Health and Human Services (DHHS) and maintains certification
- Certified Rehabilitation Counselor: national certification from Commission on Rehabilitation Counselor Certification
- Certified Intentional Peer Support Specialist: completed DHHS Office of Substance Abuse and Mental Health Services (SAMHS) curriculum for CIPSS and receives and maintains certification

TN No. 20-0010

Approval Date: 04/15/2020

Effective Date: 1/1/2020

Supersedes

TN No. 10-014

## STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

STATE: Maine

Attachment 3.1

A

Page 5(a)(xxiv)(1)

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AMOUNT, DURATION, AND SCOPE OF MEDICAL  
AND REMEDIAL CARE AND SERVICES PROVIDED TO THE CATEGORICALLY NEEDY

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- Certified Residential Medication Aide: certification from DHHS as CRMA, which requires 40-hour certified CRMA training program.
- Certified Therapeutic Recreation Specialist: completed 4-year program in therapeutic recreation from accredited college or university and be certified as a therapeutic recreation specialist under National Council for Therapeutic Recreation Certification
- Employment Specialist: other qualified individual who has completed DHHS approved employment specialist training.
- Mental Health Rehabilitation Technician (MHRT/1): Be at least 18 years old, Complete 35-hour Mental Health Support Specialist training program, complete an approved behavioral intervention program, Complete the 40-hour Certified Residential Medication Aide (CRMA) training program, Complete CPR and first-aid training

TN No. 20-0010Approval Date: 04/15/2020Effective Date: 1/1/2020

Supersedes

TN No. 10-014