

**TRANSMITTAL AND NOTICE OF APPROVAL OF
STATE PLAN MATERIAL**

FOR: HEALTH CARE FINANCING ADMINISTRATION

1. TRANSMITTAL NUMBER:

11-09

2. STATE

Louisiana

3. PROGRAM IDENTIFICATION: TITLE XIX OF THE
SOCIAL SECURITY ACT (MEDICAID)

4. PROPOSED EFFECTIVE DATE

January 1, 2012

TO: REGIONAL ADMINISTRATOR
HEALTH CARE FINANCING ADMINISTRATION
DEPARTMENT OF HEALTH AND HUMAN SERVICES

5. TYPE OF PLAN MATERIAL (Check One):

☐ NEW STATE PLAN ☐ AMENDMENT TO BE CONSIDERED AS NEW PLAN ☒ AMENDMENT

COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate Transmittal for each amendment)

6. FEDERAL STATUTE/REGULATION CITATION:

**42 CFR 438 Subpart A, 440 Subpart B, 441 Subpart B
1902, 1905, and 1932 of the Social Security Act**

7. FEDERAL BUDGET IMPACT:

a. FFY 2012 \$0.00
b. FFY 2013 \$0.00

8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:

**Preprint Page 11
Preprint Page 22
Preprint Page 41
Preprint Pages 45(a), 45(b)
Preprint Page 46
Preprint Page 50a
Preprint Page 55
Attachment 2.2-A, Page 10a
Attachment 4.30, Page 2**

9. PAGE NUMBER OF THE SUPERSEDED PLAN
SECTION OR ATTACHMENT (If Applicable):

**Same (TN 08-10)
Same (TN 97-16)
Same (TN 95-15)
Same (TN 91-28)
Same (TN 88-22)
Same (TN 87-24)
Same (TN 95-26)
Same (TN 03-33)
None (New Page)**

10. SUBJECT OF AMENDMENT: **This amendment is part of the CSoC behavioral health service package. This amendment includes the miscellaneous state plan compliance pages.**

11. GOVERNOR'S REVIEW (Check One):

☐ GOVERNOR'S OFFICE REPORTED NO COMMENT
☐ COMMENTS OF GOVERNOR'S OFFICE ENCLOSED
☐ NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL

☒ OTHER, AS SPECIFIED:

The Governor does not review state plan material.

12. SIGNATURE OF STATE AGENCY OFFICIAL:

13. TYPED NAME:

Bruce D. Greenstein

14. TITLE:

Secretary

15. DATE SUBMITTED:

March 10, 2011

16. RETURN TO:

**Don Gregory, Medicaid Director
Department of Health and Hospitals
628 N. 4th Street
PO Box 91030
Baton Rouge, LA 70821-9030**

FOR REGIONAL OFFICE USE ONLY

17. DATE RECEIVED:
10 March, 2011

18. DATE APPROVED:
1 June, 2011

PLAN APPROVED - ONE COPY ATTACHED

19. EFFECTIVE DATE OF APPROVED MATERIAL:
1 January, 2012

20. SIGNATURE OF REGIONAL OFFICIAL:

21. TYPED NAME:
Bill Brooks

22. TITLE: **Associate Regional Administrator
Division of Medicaid & Children's Health**

23. REMARKS:

Revision: HCFA-PM- 93-2
MARCH 1993 (MB)

11

STATE <u>Louisiana</u>	A
DATE REC'D <u>3-10-11</u>	
DATE APPV'D <u>6-1-11</u>	
DATE EFF <u>1-1-12</u>	
HCFA 179 <u>11-09</u>	

State/Territory: Louisiana

Citation

42 CFR

435.914

1902(a)(34)

of the Act

2.1(b) (1) Except as provided in items 2.1(b)(2) and (3) below, individuals are entitled to Medicaid services under the plan during the three months preceding the month of application, if they were, or on application would have been, eligible. The effective date of prospective and retroactive eligibility is specified in Attachment 2.6-A.

1902(e)(8) and
1905(a) of the
Act

(2) For individuals who are eligible for Medicare cost-sharing expenses as qualified Medicare beneficiaries under section 1902(a)(10)(E)(i) of the Act, coverage is available for services furnished after The end of the month which the individual is first determined to be a qualified Medicare beneficiary. Attachment 2.6-A specifies the requirements for determination of eligibility for this group.

1902(a)(47) and
1920 of the Act

_____(3) Pregnant women are entitled to ambulatory prenatal care under the plan during a presumptive eligibility period in accordance with section 1920 of the Act. Attachment 2.6-A specifies the requirements for determination of eligibility for this group.

42 CFR 438.6

(c) The Medicaid agency elects to enter into a risk contract --- that complies with 42 CFR 438.6, and that is procured through an open, competitive procurement process that is consistent with 45 CFR Part 92. The risk contract is with (check all that apply):

- ____ Qualified under title XIII 1310 of the Public Health Service Act.
- X a Managed Care Organization that meets the definition of 1903(m) of the Act and 42 CFR 438.2
- X a Prepaid Inpatient Health Plan that meets the definition of 42 CFR 438.2
- ____ a Prepaid Ambulatory Health Plan that meets the definition of 42 CFR 438.2
- ____ Not applicable.

TN #

11-09
Supersedes TN # 08-10

Effective Date January 1, 2012

Approval Date 6-1-11

SUPERSEDES: TN- 08-10

Revision: HCFA-PM-91-
1991

(BPD)

OMB No.: 0938-

State: LouisianaCitation 3.1(a)(9) Amount, Duration, and Scope of Services: EPSDT
Services (continued)42 CFR 441.60 ☒ The Medicaid agency has in effect agreements with continuing care providers. Described below are the methods employed to assure the providers' compliance with their agreements.**

42 CFR 440.240 and 440.250 (a)(10) Comparability of Services

1902(a) and 1902
(a)(10), 1902(a)(52),
1903(v), 1915(g),
1925(b)(4), and 1932
of the Act Except for those items or services for which sections
1902(a), 1902(a)(10), 1903(v), 1915, 1925, and 1932 of the
Act, 42 CFR 440.250, and section 245A of the
Immigration and Nationality Act, permit exceptions:

- (i) Services made available to the categorically needy are equal in amount, duration, and scope for each categorically needy person.
- (ii) The amount, duration, and scope of services made available to the categorically needy are equal to or greater than those made available to the medically needy.
- (iii) Services made available to the medically needy are equal in amount, duration, and scope for each person in a medically needy coverage group.
- (iv) Additional coverage for pregnancy-related service and services for conditions that may complicate the pregnancy are equal for categorically and medically needy.

** Describe here.

CommunityCARE, Louisiana Behavioral Health Services Waiver with a risk payment for adults and non-risk payment for children's services in a Prepaid Ambulatory Health Plan (PIHP)

☒ The continuing care provider submits monthly encounter data reflecting the number of examinations completed, the number of examinations where a referable condition was identified, and the number of follow-up treatment encounters. Medicaid staff make periodic on-site reviews to monitor the provider's record of case management.TN # 11-09
Supersedes TN # 97-16Effective Date January 1, 2012
Approval Date 6-1-11SUPERSEDES: TN- 97-16

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HCFA 179 <u>11-09</u>	

Revision: HCFA-PM-91-1-4 (BPD)
DECEMBER 1991

Attachment 2.2-A
Page 10a

State: Louisiana

Agency*	Citation(s)	Groups Covered
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B. Optional Groups Other Than Medically Needy
(continued)

1932(a)(4) of
Act

The Medicaid Agency may elect to restrict the disenrollment of Medicaid enrollees of MCOs, PIHPs, PAHPs, and PCCMs in accordance with the regulations at 42 CFR 438.56.
This requirement applies unless a recipient can demonstrate good cause for disenrolling or if he/she moves out of the entity's service area or becomes ineligible.

X Disenrollment rights are restricted for a period
of 12 months (not to exceed 12 months).

During the first three months of each enrollment period the recipient may disenroll without cause. The State will provide notification, at least once per year, to recipients enrolled with such organization of their right to and restrictions of terminating such enrollment.

 No restrictions upon disenrollment rights.

1903(m)(2)(H),
1902(a)(52) of
the Act
P.L. 101-508
42 CFR 438.56(g)

In the case of individuals who have become ineligible for Medicaid for the brief period described in section 1903(m)(2)(H) and who were enrolled with an MCO, PIHP, PAHP, or PCCM when they became ineligible, the Medicaid agency may elect to reenroll those individuals in the same entity if that entity still has a contract.

X The agency elects to reenroll the above
individuals who are eligible in a month but in the succeeding
two months become eligible, into the same entity in which
they were enrolled at the time eligibility was lost.

 The agency elects not to reenroll above
individuals into the same entity in which they were
previously enrolled.

* Agency that determines eligibility for coverage.

TN # 11-09
Supersedes TN # 03-33

Effective Date January 1, 2012
Approval Date 6-1-11

SUPERSEDES: TN- 03-33

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HCFA 179 <u>11-09</u>	

New: HCFA-PM-99-3
JUNE 1999

State: Louisiana

Citation

42 CFR 431.51
AT 78-90
46 FR 48524
48 FR 23212
1902(a)(23)
of the Act
P.L. 100-93
(section 8(f))
(Section 4113)
P.L. 100-203

4.10 Free Choice of Providers

- (a) Except as provided in paragraph (b), the Medicaid agency assures that an individual eligible under the plan may obtain Medicaid services from any institution, agency, pharmacy person, or organization that is qualified to perform the services, including of the Act an organization that provides these services or arranges for their availability on a prepayment basis.
- (b) Paragraph (a) does not apply to services furnished to an individual –
- (1) Under an exception allowed under 42 CFR 431.54, subject to the limitations in paragraph (c), or
 - (2) Under a waiver approved under 42 CFR 431.55, subject to the limitations in paragraph (c), or
 - (3) By an individual or entity excluded from participation in accordance with section 1902(p) of the Act,
 - (4) By individuals or entities who have been convicted of a felony under Federal or State law and for which the State determines that the offense is inconsistent with the best interests of the individual eligible to obtain Medicaid services, or
 - (5) Under an exception allowed under 42 CFR 438.50 or 42 CFR 440.168, subject to the limitations in paragraph (c).
- (c) Enrollment of an individual eligible for medical assistance in a primary care case management system described in section 1905(t), 1915(a), 1915(b)(1), or 1932(a); or managed care organization, prepaid inpatient health plan, a prepaid ambulatory health plan, or a similar entity shall not restrict the choice of the qualified person from whom the individual may receive emergency services or services under section 1905 (a)(4)(c).

Section 1902(a)(23)
of the Social
Security Act
P.L. 105-33

Section 1932(a)(1)
Section 1905(t)

TN # 11-09
Supersedes TN # 99-15

Effective Date January 1, 2012
Approval Date 6-1-11

SUPERSEDES: TN- 99-15

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HCFA 179 <u>11-09</u>	

Revision: HCFA-PM-91-9
October 1991

45(a)
(MB)

OMB No.:

State/Territory: Louisiana

Citation

1902 (a)(58)

1902(w)

4.13 (e)

For each provider receiving funds under the plan, all the requirements for advance directives of section 1902(w) are met:

(1) Hospitals, nursing facilities, providers of home health care or personal care services, hospice programs, managed care organizations, prepaid inpatient health plans, prepaid ambulatory health plans (unless the PAHP excludes providers in 42 CFR 489.102), and health insuring organizations are required to do the following:

- (a) Maintain written policies and procedures with respect to all adult individuals receiving medical care by or through the provider or organization about their rights under State law to make decisions concerning medical care, including the right to accept or refuse medical or surgical treatment and the right to formulate advance directives.
- (b) Provide written information to all adult individuals on their policies concerning implementation of such rights;
- (c) Document in the individual's medical records whether or not the individual has executed an advance directive;
- (d) Not condition the provision of care or otherwise discriminate against an individual based on whether or not the individual has executed an advance directive;
- (e) Ensure compliance with requirements of State Law (whether

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Effective Date January 1, 2012
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SUPERSEDES: TN- 91-28

Revision: HCFA-PM-91-9
October 1991

45(b)
(MB)

OMB No.:

State/Territory: _____ [State Name] _____

statutory or recognized by the courts) concerning advance directives; and

- (f) Provide (individually or with others) for education for staff and the community on issues concerning advance directives.

- (2) Providers will furnish the written information described in paragraph (1)(a) to all adult individuals at the time specified below:

- (a) Hospitals at the time an individual is admitted as an inpatient.
- (b) Nursing facilities when the individual is admitted as a resident.
- (c) Providers of home health care or personal care services before the individual comes under the care of the provider;
- (d) Hospice program at the time of initial receipt of hospice care by the individual from the program; and
- (e) Managed care organizations, health insuring organizations, prepaid inpatient health plans, and prepaid ambulatory health plans (as applicable) at the time of enrollment of the individual with the organization.

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- (3) Attachment 4.34A describes law of the State (whether statutory or as Recognized by the courts of the State) concerning advance directives.

Not applicable. No State law
Or court decision exist regarding
advance directives.

TN # 11-09
Supersedes TN # 91-28

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SUPERSEDES: TN- 91-28

Revision: HCFA-PM-91-10 (MB)
DECEMBER 1991

State/Territory: Louisiana

Citation 4.14 Utilization/Quality Control
42 CFR 431.60 (a) A Statewide program of surveillance and
42 CFR 456.2 utilization control has been implemented that
50 FR 15312 safeguards against unnecessary or inappropriate
1902(a)(30)(C) and use of Medicaid services available under this
1902(d) of the plan and against excess payments, and that
Act, P.L. 99-509 assesses the quality of services. The
(Section 9431) requirements of 42 CFR Part 456 are met:

XX Directly

By undertaking medical and utilization review requirements through a contract with a Utilization and Quality Control Peer Review Organization (PRO) designated under 42 CFR Part 462. The contract with the PRO —

(1) Meets the requirements of §434.6(a):

(2) Includes a monitoring and evaluation plan to ensure satisfactory performance;

(3) Identifies the services and providers subject to PRO review;

(4) Ensures that PRO review activities are not inconsistent with the PRO review of Medicare services; and

(5) Includes a description of the extent to which PRO determinations are considered conclusive for payment purposes.

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1932(c)(2) and 1902(d) of the
ACT, P.L. 99-509 (section 9431)

XX A qualified External Quality Review Organization performs an annual External Quality Review that meets the requirements of 42 CFR 438 Subpart E each managed care organization, prepaid inpatient health plan, and health insuring organizations under contract, except where exempted by the regulation

TN # 11-09
Supersedes TN # 88-22

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SUPERSEDES: TN- 88-22

Revision: HCFA-PM-91-10 (MB)
December 1991

State/Territory: Louisiana

Citation 4.14 Utilization/Quality Control (Continued)

42 CFR 438.356(e)

- (f) For each contract, the State must follow an open, competitive procurement process that is in accordance with State law and regulations and consistent with 45 CFR part 74 as it applies to State procurement of Medicaid services.

42 CFR 438.354

42 CFR 438.356(b) and (d)

The State must ensure that an External Quality Review Organization and its subcontractors performing the External Quality Review or External Quality Review-related activities meets the competence and independence requirements.

___ Not applicable.

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SUPERSEDES: TN- 87-24

TN # 11-09
Supersedes TN # 87-24

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Revision: HCFA-PM-91-4 (BPD)
AUGUST 1991

OMB No.: 0938-

State/Territory: _____ [State Name] _____

Citation 4.18(b)(2) (Continued)

42 CFR 447.51
through
447.58

(iii) All services furnished to pregnant women.
women.

☐ Not applicable. Charges apply for services to
pregnant women unrelated to the pregnancy.

(iv) Services furnished to any individual who is an inpatient in a
hospital, long-term care facility, or other medical institution,
if the individual is required, as a condition of receiving
services in the institution to spend for medical care costs all
but a minimal amount of his or her income required for
personal needs.

(v) Emergency services if the services meet the requirements in
42 CFR 447.53(b)(4).

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(vi) Family planning services and supplies furnished to
individuals of childbearing age.

(vii) Services furnished by a managed care organization, health
insuring organization, prepaid inpatient health plan, or
prepaid ambulatory health plan in which the individual is
enrolled, unless they meet the requirements of 42 CFR
447.60.

42 CFR 438.108
42 CFR 447.60

☐ Managed care enrollees are charged
deductibles, coinsurance rates, and copayments in an
amount equal to the State Plan service cost-sharing.

☒ Managed care enrollees are not charged deductibles,
coinsurance rates, and copayments.

1916 of the Act,
P.L. 99-272,
(Section 9505)

(viii) Services furnished to an individual receiving
hospice care, as defined in section 1905(o) of
the Act.

TN # 11-09
Supersedes TN # 95-26

Effective Date January 1, 2012
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SUPERSEDES: TN- 95-26

State: Louisiana

Citation

1932(e)
42 CFR 438.726

Sanctions for MCOs and PCCMs

- (a) The State will monitor for violations that involve the actions and failure to act specified in 42 CFR Part 438 Subpart I and to implement the provisions in 42 CFR 438 Subpart I, in manner specified below:
- (b) The State uses the definition below of the threshold that would be met before an MCO is considered to have repeatedly committed violations of section 1903(m) and thus subject to imposition of temporary management:
- (c) The State's contracts with MCOs provide that payments provided for under the contract will be denied for new enrollees when, and for so long as, payment for those enrollees is denied by CMS under 42 CFR 438.730(e).

Not applicable; the State does not contract with MCOs, or the State does not choose to impose intermediate sanctions on PCCMs.

SUPERSEDES: NONE - NEW PAGE

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