Table of Contents

State/Territory Name: California

State Plan Amendment (SPA) #: 18-025

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services San Francisco Regional Office 90 Seventh Street, Suite 5-300 (5W) San Francisco, CA 94103-6706



DIVISION OF MEDICAID & CHILDREN'S HEALTH OPERATIONS

September 4, 2018

Mari Cantwell Chief Deputy Director, Health Care Programs California Department of Health Care Services P.O. Box 997413, MS 0000 Sacramento, CA 95899-7413

Dear Ms. Cantwell:

Enclosed is an approved copy of California State Plan Amendment (SPA) 18-0025, which was submitted to the Centers for Medicare & Medicaid Services (CMS) on June 29, 2018. California SPA 18-0025 makes periodontal maintenance rate adjustments and updates the Denti-Cal fee schedule effective date. This SPA also updates prior authorization language in the state plan.

The effective date of this SPA is May 16, 2018. Enclosed are the following approved SPA pages that should be incorporated into your approved state plan:

- Limitations on Attachment 3.1-A, pages 12a.1 through 12a.6
- Limitations on Attachment 3.1-B, pages 12a.1 through 12a.6
- Attachment 4.19-B, page 20b

If you have any questions, please contact Cheryl Young at 415-744-3598 or via email at Cheryl.Young@cms.hhs.gov.

Sincerely,

/s/

Hye Sun Lee Acting Associate Regional Administrator Division of Medicaid & Children's Health Operations

Enclosures

cc: Rene Mollow, California Department of Health Care Services (DHCS)
Alani Jackson, DHCS
Carolyn Brookins, DHCS
Nathaniel Emery, DHCS
Angeli Lee, DHCS

CENTERS FOR MEDICARE & MEDICARD SERVICES		-	
TRANSMITTAL AND NOTICE OF APPROVAL OF STATE PLAN MATERIAL	1. TRANSMITTAL NUMBER 1 8 - 00 2 5	2. STATE California	
FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES	3. PROGRAM IDENTIFICATION: TITLE XIX SECURITY ACT (MEDICAID)	OF THE SOCIAL	
TO: REGIONAL ADMINISTRATOR CENTERS FOR MEDICARE & MEDICAID SERVICES DEPARTMENT OF HEALTH AND HUMAN SERVICES	4. PROPOSED EFFECTIVE DATE May 16, 2018		
5. TYPE OF PLAN MATERIAL (Check One)			
☐ NEW STATE PLAN ☐ AMENDMENT TO BE CONSI	DERED AS NEW PLAN	AMENDMENT	
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMEN	IDMENT (Separate transmittal for each am	endment)	
6. FEDERAL STATUTE/REGULATION CITATION 42 U.S.C. sec. 1396a & <u>42 CFR 447, Part F</u>	Ι α. ΓΓΙ2040΄ Τ΄	, _{,939)} \$174,338 _{55,152)} \$469,121	
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT Limitations on Attachment 3.1-A, pages 12a.1-6 Limitations on Attachment 3.1-B, pages 12b.1-6 Attachment 4.19-B, page 20b	9. PAGE NUMBER OF THE SUPERSEI OR ATTACHMENT (If Applicable) Limitations on Attachment 3.1-A, pa Limitations on Attachment 3.1-B, pa Attachment 4.19-B, page 20b	ages 12a.1-6	
10. SUBJECT OF AMENDMENT To formalize periodontal maintenance rate adjustments 11. GOVERNOR'S REVIEW (Check One) GOVERNOR'S OFFICE REPORTED NO COMMENT COMMENTS OF GOVERNOR'S OFFICE ENCLOSED NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL	and prior authorization for denta	al benefits.	
13. TYPED NAME	16. RETURN TO Department of Health Care Servi ATTN: State Plan Coordinator		
	1501 Capitol Avenue, Suite 71.3	26	
Otata Marilani I Dinastan	O. Box 997417		
15. DATE SUBMITTED June 29, 2018	Sacramento, CA 95899-7417		
FOR REGIONAL OF	FICE USE ONLY		
	8. DATE APPROVED September 4, 2018		
PLAN APPROVED - ON	E COPY ATTACHED		
	20. SIGNATURE OF REGIONAL OFFICIAL /s/		
Hye Sun Lee	22. TITLE Acting Associate Administrator, Division Children's Health Operations	n of Medicaid &	
23. REMARKS			
For Box 11 "OTHER, AS Specified": Please note: The Governor's Office does not wish to review the State Plan Amendment. Box 6: Added federal regulatory citation per DHCS agreement on 8/1/18 via reponse to CMS informal questions. Box 7: Note that FFY18 projection is for the period of 5/16/18 - 9/30/18 per DHCS response to CMS questions dated 8/1/18.			

Limitations on Attachment 3.1-A

TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d6 Licensed Registered Dental Hygienists' services	All services permitted under scope of practice of a licensed Registered Dental Hygienists (RDH) as medically necessary, subject to limitations. All licensed RDHs meet Federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6). "Direct supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is required to be physically present in the treatment facility during the performance of those procedures. "General supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is not required to be physically present in the treatment facility during the performance of those procedures.	Any procedure performed or service provided by a licensed RDH that does not specifically require direct supervision shall require general supervision so long as it does not give rise to a situation in the dentist's office requiring immediate services for alleviation of severe pain, or immediate diagnosis and treatment of unforeseeable dental conditions that, if not immediately diagnosed and treated, would lead to serious disability or death. A licensed RDH may provide, without supervision, educational services, oral health training programs, and oral health screenings. A licensed RDH is authorized to provide and bill for treatment performed in the following settings and under the following conditions: In a public health program, created by federal, state, or local law; or In a public health program, administered by a federal, state, county, or local governmental entity; and, The licensed RDH shall also be employed by said program and must provide documentation from the public health program attesting to the licensed RDH's employment upon program enrollment.

*Prior authorization is not required for emergency services. **Coverage is limited to medically necessary services.

TN Number: <u>18-0025</u> Supersedes

TN Number: <u>15-005</u> Page 12a.1

Limitations on Attachment 3.1-A

	TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
d6	Licensed Registered Dental Hygienists' services (continued)		All licensed RDHs shall refer any screened patients with possible oral abnormalities to a dentist for a comprehensive examination, diagnosis, and treatment plan. All licensed RDHs shall provide documentation or an existing relationship with at least one program enrolled dentist for referral, consultation, and emergence services upon program enrollment.
			Limited to services provided under scope of practice a to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDH that are a benefit of the Medi-Cal Dental program and are permitted by the state statutes and regulations are covered.
			Dental services are administered through an agreeme with the Medi-Cal Dental program or its contractor(s). behalf of the state, the Dental contractor(s) shall approand provide payment for covered dental services performed by an enrolled dental provider. The Medi-C Dental Manual of Criteria identifies which services requirements for the above-mention services including RDHs. Prior authorization requirements are the same for EPSDT-eligible and oth beneficiaries.
	uthorization is not required for emergence		beneficiaries.

TN Number: 18-0025 Approval Date: September 4, 2018 Effective Date: May 16, 2018

Supersedes

TN Number: <u>15-005</u> Page 12a.2

**Coverage is limited to medically necessary services.

Effective Date: May 16, 2018

	TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d7	Licensed Registered Dental Hygienists in Extended Functions' services	All services permitted under scope of practice for a Licensed Registered Dental Hygienists in Extended Functions (RDHEFs) as medically necessary, subject to limitations. All RDHEFs meet federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6). "Direct supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is required to be physically present in the treatment facility during the performance of those procedures. "General supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is not required to be physically present in the treatment facility during the performance of those procedures.	Any procedure performed or service provided by a licensed RDHEF that does not specifically require direct supervision shall require general supervision so long as it does not give rise to a situation in the dentist's office requiring immediate services for alleviation of severe pain, or immediate diagnosis and treatment of unforeseeable dental conditions that, if not immediately diagnosed and treated, would lead to serious disability or death. A licensed RDHEF may provide, without supervision, educational services, oral health training programs, and oral health screenings. A licensed RDHEF is authorized to provide and bill for treatment performed in the following settings and under the following conditions: In a public health program, created by federal, state, or local law; or In a public health program, administered by a federal, state, county, or local governmental entity; and The licensed RDHEF shall also be employed by said program and must provide documentation from the public health program attesting to the licensed RDHEF's employment upon program enrollment.

^{*}Prior authorization is not required for emergency services. **Coverage is limited to medically necessary services.

TN Number: <u>18-0025</u>

Supersedes

TN Number: <u>15-005</u>

Approval Date: September 4, 2018

Page 12a.3

Limitations on Attachment 3.1-A

	TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d7	Licensed Registered Dental Hygienists in Extended Functions' services (continued)		All licensed RDHEFs shall refer any screened patients with possible oral abnormalities to a dentist for a comprehensive examination, diagnosis, and treatment plan. All licensed RDHEFs shall provide documentation of an existing relationship with at least one program enrolled dentist for referral, consultation, and emergency services upon program enrollment.
			Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDHEF that are a benefit of the Medi-Cal Dental program and are permitted by state statutes and regulations are covered.
			Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). On behalf of the state, the Dental contractor(s) approves and provides payment for covered dental services performed by an enrolled dental provider. The Medi-Cal Dental Manual of Criteria identifies which services require prior authorization including RDHEFs. Prior authorization requirements are the same for EPSDT-eligible and other beneficiaries.

^{*}Prior authorization is not required for emergency services.
**Coverage is limited to medically necessary services.

TN Number: <u>18-0025</u>

Supersedes TN Number: <u>15-005</u> Approval Date: September 4, 2018

Effective Date: May 16, 2018

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equever lice la

TN Number: 18-0025

Supersedes

TN Number: <u>15-005</u>

^{*}Prior authorization is not required for emergency services.

^{**}Coverage is limited to medically necessary services.

STATE PLAN CHART

(This chart is an overview only)

Limitations on Attachment 3.1-A

Effective Date: May 16, 2018

	TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d8	Licensed Registered Dental Hygienists in Alternative Practice's services (continued)		All licensed RDHAPs shall refer any screened patients with possible oral abnormalities to a dentist for a comprehensive examination, diagnosis, and treatment plan. All RDHAPs shall provide documentation of an existing relationship with at lea one program enrolled dentist for referral, consultation, and emergency services upon enrollment.
			Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDHAP that are a benefit of the Medi-Cal Dental program and are permitted by the state statutes and regulations are covered.
			Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). On behalf of the state, the Dental contractor(s) approves and provides payment for covered dental services performed by an enrolled dental provider. Prior authorization is required for Scaling and Root Planing. Also, the Medi-Cal Dental Manual of Criteria identifies any other Medi-Cal Dental program covered services that require prior authorization including RDHAPs. Prior authorizatio requirements are the same for EPSDT-eligible and other beneficiaries.

TN Number: 18-0025

Supersedes
TN Number: 15-005

Approval Date: September 4, 2018

Page 12a.6

^{**}Coverage is limited to medically necessary services.

Limitations on Attachment 3.1-B

TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d6 Licensed Registered Dental Hygienists' services	All services permitted under scope of practice of a licensed Registered Dental Hygienists (RDH) as medically necessary, subject to limitations. All licensed RDHs meet Federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6). "Direct supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is required to be physically present in the treatment facility during the performance of those procedures. "General supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is not required to be physically present in the treatment facility during the performance of those procedures.	Any procedure performed or service provided by a licensed RDH that does not specifically require direct supervision shall require general supervision so long as it does not give rise to a situation in the dentist's office requiring immediate services for alleviation of severe pain, or immediate diagnosis and treatment of unforeseeable dental conditions that, if not immediately diagnosed and treated, would lead to serious disability or death. A licensed RDH may provide, without supervision, educational services, oral health training programs, and oral health screenings. A licensed RDH is authorized to provide and bill for treatment performed in the following settings and under the following conditions: In a public health program, created by federal, state, or local law; or In a public health program, administered by a federal, state, county, or local governmental entity; and, The licensed RDH shall also be employed by said program and must provide documentation from the public health program attesting to the licensed RDH's employment upon program enrollment.

^{*}Prior authorization is not required for emergency services.

TN Number: <u>18-0025</u> Supersedes

TN Number: <u>15-005</u>

Approval Date: September 4, 2018

Effective Date: May 16, 2018

Page 12a.1

^{**}Coverage is limited to medically necessary services.

Limitations on Attachment 3.1-B

TYP	E OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
	ed Registered Dental nists' services (continued)		All licensed RDHs shall refer any screened patients with possible oral abnormalities to a dentist for a comprehensive examination, diagnosis, and treatment plan. All licensed RDHs shall provide documentation of an existing relationship with at least one program enrolled dentist for referral, consultation, and emergency services upon program enrollment.
			Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDH that are a benefit of the Medi-Cal Dental program and are permitted by the state statutes and regulations are covered.
			Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). Consider the state, the Dental contractor(s) shall approve and provide payment for covered dental services performed by an enrolled dental provider. The Medi-Can Dental Manual of Criteria identifies which services requirements authorization requirements for the above-mentione services including RDHs. Prior authorization requirements are the same for EPSDT-eligible and othe beneficiaries.
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TN Number: 18-0025 Approval Date: September 4, 2018 Effective Date: May 16, 2018

Supersedes

TN Number: <u>15-005</u> Page 12a.2

TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
Licensed Registered Dental Hygienists in Extended Functions' services	All services permitted under scope of practice for a Licensed Registered Dental Hygienists in Extended Functions (RDHEFs) as medically necessary, subject to limitations. All RDHEFs meet federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6). "Direct supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is required to be physically present in the treatment facility during the performance of those procedures. "General supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is not required to be physically present in the treatment facility during the performance of those procedures.	Any procedure performed or service provided by a licensed RDHEF that does not specifically require direct supervision shall require general supervision so long as it does not give rise to a situation in the dentist's office requiring immediate services for alleviation of severe pain, or immediate diagnosis and treatment of unforeseeable dental conditions that, if not immediately diagnosed and treated, would lead to serious disability or death. A licensed RDHEF may provide, without supervision, educational services, oral health training programs, and oral health screenings. A licensed RDHEF is authorized to provide and bill fo treatment performed in the following settings and under the following conditions: In a public health program, created by federal, state, or local law; or In a public health program, administered by a federal, state, county, or local governmental entity; and The licensed RDHEF shall also be employed by said program and must provide documentation from the public health program attesting to the licensed RDHEF's employment upon program enrollment.

TN Number: 18-0025 Approval Date: September 4, 2018 Effective Date: May 16, 2018

Supersedes

TN Number: <u>15-005</u> Page 12a.3

Limitations on Attachment 3.1-B

	TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d7	Licensed Registered Dental Hygienists in Extended Functions' services (continued)		All licensed RDHEFs shall refer any screened patients with possible oral abnormalities to a dentist for a comprehensive examination, diagnosis, and treatment plan. All licensed RDHEFs shall provide documentation of an existing relationship with at least one program enrolled dentist for referral, consultation, and emergency services upon program enrollment.
			Limited to services provided under scope of practice and to the extent permitted by applicable statutes and regulations. Services provided by a licensed RDHEF that are a benefit of the Medi-Cal Dental program and are permitted by state statutes and regulations are covered.
			Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). On behalf of the state, the Dental contractor(s) approves and provides payment for covered dental services performed by an enrolled dental provider. The Medi-Cal Dental Manual of Criteria identifies which services require prior authorization including RDHEFs. Prior authorization requirements are the same for EPSDT-eligible and other beneficiaries.
			authorization requirements are the same

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TN Number: <u>18-0025</u>

Supersedes TN Number: <u>15-005</u> Approval Date: September 4, 2018

Page 12a.4

Effective Date: May 16, 2018

	TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d8	Licensed Registered Dental Hygienists in Alternative Practice's services	All services permitted under scope of practice for a licensed Registered Dental Hygienists in Alternative Practice (RDHAPs) as medically necessary, subject to limitations. All RDHAPs meet federal provider qualifications as set forth in 42 CFR Part 440.60 and 42 USC 1396d (a)(6).	Any procedure performed or service provided by a licensed RDHAP that does not specifically require direct supervision shall require direct supervision shall require general supervision so long as it does not give rise to a situation in the dentist's office requiring immediate services for alleviation of severe pain, or immediate diagnosis and treatment of unforeseeable dental conditions that, if not
		"Direct supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is required to be	immediately diagnosed and treated, would lead to serious disability or death.
		physically present in the treatment facility during the performance of those procedures.	A licensed RDHAP may provide, without supervision, educational services, oral health training programs, and oral health screenings and
		"General supervision" means the supervision of dental procedures based on instructions given by a licensed dentist who is not required to be physically present in the treatment facility during the performance of those procedures.	shall be permitted to bill for said services. A licensed RDHAP may provide Scaling and Root Planing services under the general supervision of a licensed dentist, but shall be permitted to bill for said services, pursuant to state law. All licensed RDHAPs are authorized to provide and bill for treatment performed in the following settings: residences of the homebound, schools, residential facilities and other.

TN Number: 18-0025

Supersedes

TN Number: <u>15-005</u>

^{*}Prior authorization is not required for emergency services.

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STATE PLAN CHART

(This chart is an overview only)

Limitations on Attachment 3.1-B

	TYPE OF SERVICES	PROGRAM COVERAGE**	PRIOR AUTHORIZATION OR OTHER REQUIREMENTS*
6d8	Licensed Registered Dental Hygienists in Alternative Practice's services (continued)		All licensed RDHAPs shall refer any screened patients with possible oral abnormalities to a dentist for a comprehensive examination, diagnosis, and treatment plan. All RDHAPs shall provide documentation of an existing relationship with at lea one program enrolled dentist for referral, consultation, and emergency services upon enrollment.
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			Dental services are administered through an agreement with the Medi-Cal Dental program or its contractor(s). On behalf of the state, the Dental contractor(s) approves and provides payment for covered dental services performed by an enrolled dental provider. Prior authorization is required for Scaling and Root Planing. Also, the Medi-Cal Dental Manual of Criteria identifies any other Medi-Cal Dental program covered services that require prior authorization including RDHAPs. Prior authorization requirements are the same for EPSDT-eligible and other beneficiaries.

TN Number: 18-0025 Supersedes TN Number: 15-005

Approval Date: September 4, 2018

Page 12a.6

Effective Date: May 16, 2018

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT STATE: CALIFORNIA

Payment for Dental Services

The State developed fee schedule rates are the same for both public and private providers of dental services. Dental services are paid based on procedure codes. The agency's dental fee schedule and rate updates are published under Section 5, Manual Criteria and Schedule of Maximum Allowances, of the Medi-Cal Dental Program Provider Handbook, which was updated on May 16, 2018, and are effective for services on or after that date. The link to the Medi-Cal Dental Program Provider Handbook is as follows:

https://www.denti-

cal.ca.gov/DC_documents/providers/provider_handbook/handbook.pdf#page=134

TN Number: 18-0025 Approval Date: <u>September 4, 2018</u> Effective Date: <u>May 16, 2018</u>

Supersedes

TN Number: 15-005