Table of Contents

State/Territory Name: California

State Plan Amendment (SPA) #: 11-041

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services San Francisco Regional Office 90 Seventh Street, Suite 5-300 (5W) San Francisco, CA 94103-6706



DIVISION OF MEDICAID & CHILDREN'S HEALTH OPERATIONS

FEB 1 9 2014

Toby Douglas, Director California Department of Health Care Services P.O. Box 997413, MS 0000 Sacramento, CA 95899-7413

Dear Mr. Douglas:

Enclosed is an approved copy of California State Plan Amendment (SPA) 11-041. SPA 11-041, submitted to my office on December 29, 2011, expands the scope of services offered under the State's existing 1915(i) State plan section that serves persons with developmental disabilities that require a level of care that is less stringent than institutional criteria.

The effective date of this SPA is October 1, 2011. Enclosed are the following approved SPA pages to be incorporated into your approved State Plan:

- Attachment 3.1-i, pages 62a-62y
- Attachment 4.1-B. pages 78-80

If you have any questions, please contact Cynthia Nanes at (415) 744-2977 or by email at Cynthia.Nanes@cms.hhs.gov.

Sincerely,

/s/

Gloria Nagle, Ph.D., MPA Associate Regional Administrator Division of Medicaid & Children's Health Operations

Enclosure

cc: John Shen, Chief, LTCD, CA DHCS Mark Helmar, LTCD, CA DHCS Besti Howard, LTCD, CA DHCS Jim Knight, CA DDS Michele MacKenzie, CMS, CMCS

DEPARTMENT OF HEALTH AND HUMAN SERVICES		FORM APPROVED
HEALTH CARE FINANCING ADMINISTRATION		OMB NO. 0938-0193
TRANSMITTAL AND NOTICE OF APPROVAL OF STATE PLAN MATERIAL	1. TRANSMITTAL NUMBER: 11-041	2. STATE CA
FOR: HEALTH CARE FINANCING ADMINISTRATION	3. PROGRAM IDENTIFICATION: TIT SOCIAL SECURITY ACT (MEDICA	
TO: REGIONAL ADMINISTRATOR	4. PROPOSED EFFECTIVE DATE	
HEALTH CARE FINANCING ADMINISTRATION DEPARTMENT OF HEALTH AND HUMAN SERVICES	July 1, 2011	October 1, 2011
5. TYPE OF PLAN MATERIAL (Check One):		
Image: New State Plan Image: Amendment to be complete blocks 6 thru 10 if this is an amendment	ONSIDERED AS NEW PLAN	
6. FEDERAL STATUTE/REGULATION CITATION:	7. FEDERAL BUDGET IMPACT;	amenament)
Section 1915(i) of the Social Security Act	a. FFY 2011 2012 \$6,430,00	90 \$9,392,000 90 \$9,200,00
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:	9. PAGE NUMBER OF THE SUPERS OR ATTACHMENT (If Applicable):	EDED PLAN SECTION
Attachment 4.19-B, pages 67-72 78-80	None	
Attachment 3.1-C, pages 28-53 Attachment 3.1-i, pages 62a-62y	•	
10. SUBJECT OF AMENDMENT:		<u> </u>
Additional Services under 1915(i) SPA		
11. GOVERNOR'S REVIEW (Check One): GOVERNOR'S OFFICE REPORTED NO COMMENT COMMENTS OF GOVERNOR'S OFFICE ENCLOSED NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL	OTHER, AS SPEC The Governor's Of wish to review the	
12. SIGNATURE OF STATE AGENCY OFFICIAL	16. RETURN TO:	
13. TYPED NAME: 7	Department of Health (Care Services
Toby Douglas	Attn: State Plan Coord	linator
14. TITLE:	1501 Capitol Avenue, S	uite 71.326
Director	P.O. Box 997417 Sacramento, CA 95899-	.7417
15. DATE SUBMITTED: 12-12-11		
FOR REGIONAL OF	FICE USE ONLY	
December 29, 2011	18, DATE APPROVED:	9 2014
PLAN APPROVED - ON 19. EFFECTIVE DATE OF APPROVED MATERIAL October 1, 2041	E COPY ATTACHED 20. SIGNATURE OF REGIONAL OFF	TCIAL,
21 TYPED NAME Gloria Nagle, PhD, MPA	22. TITUE Associate Regional Adm	
23 REMARKS		
Pen and the changes made by DHCS to boxes 4, 1	7 and 8	

•

Services

1. State plan HCBS. (Continued from service list beginning on page 13 and ending on page 62.)

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Speech, Hearing and Language Services

Service Definition (Scope):

Speech, Hearing and Language services are defined in Title 22, California Code of Regulations, Sections 51096, 51098, and 51094.1 as speech pathology, audiological services, and hearing aids, respectively. Speech pathology services mean services for the purpose of identification, measurement and correction or modification of speech, voice or language disorders and conditions, and counseling related to such disorders and conditions. Audiological services means services for the measurement, appraisal, identification and counseling related to hearing and disorders of hearing; the modification of communicative disorders resulting from hearing loss affecting speech, language and auditory behavior; and the recommendation and evaluation of hearing aids. Hearing aid means any aid prescribed for the purpose of aiding or compensating for impaired human hearing loss.

These services will be provided to individuals age 21 and older as described in the approved Medicaid State plan for individuals under the age of 21. The provider qualifications listed in the plan will apply, and are hereby incorporated into this request by reference. 1915(i) HCBS SPA Speech, Hearing and Language services will supplement and not supplant services available through the approved Medicaid State plan or the EPSDT benefit.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

□ Categorically needy (*specify limits*):

□ Medically needy (specify limits):

Provider Qualifications (For each type of provider. Copy rows as needed):

Provider Type (Specify):	License (Specify):	Certification (Specify):	Other Standard (Specify):
Speech Pathologist	Business & Professions Code §§ 2532-2532.8	N/A	N/A
	As appropriate, a business license as required by the local jurisdiction where the business is located.		

Audiology	Business & Professions Code §§ 2532-2532.8	N/A	N/A
	As appropriate, a business license as required by the local jurisdiction where the business is located.		
Hearing and Audiology Facilities	No state licensing category. As appropriate, a business license as required by the local jurisdiction where the business is located.	N/A	 An audiology facility: 1. Employs at least one audiologist who is licensed by the Speech Pathology and Audiology Examining Committee of the Medical Board of California; and 2. Employs individuals, other than 1. above, who perform services, all of whom shall be: Licensed audiologists; or Obtaining required professional experience, and whose required professional experience application has been approved by the Speech Pathology and Audiology Examining Committee of the Medical Board of California.

Verification of Pr	ovider Qualifications (For each provider type listed	above. Copy rows as needed):
Provider Type (Specify):	Entity Responsible for Verification (Specify):	Frequency of Verification (Specify):
All Speech, Hearing and Language providers	Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.	Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.
Speech Pathologist	Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board	Biennially.
Audiology	Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board	Biennially if non-dispensing audiologist; annually if dispensing.
Hearing and Audiology Facilities	Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board	Biennially.

Service Delivery Method. (Check each that applies):

TN No. <u>11-041</u> Supersedes TN No. <u>None</u> Approval Date: <u>February 19, 2014</u>

Effective Date: October 1, 2011

	SIAL	E/1EKKIIOKI.	JALIFORNIA
D Participant-d	irected		Provider managed
Service Specifica plans to cover):	ations (Specify a serv	ice title for the HC	CBS listed in Attachment 4.19-B that the State
Service Title:	Dental Services		
Service Definitio			
services performe disease or defects and physical eval These services w plan for individua	ed or provided by dent s of the alveolar proces uation; consultations; ill be provided to indi- als under the age of 21	ists including diag ss, gums, jaws and home, office and i viduals age 21 and The provider qua	older as described in the approved Medicaid State alifications listed in the plan will apply, and are
) HCBS SPA Dental Services will supplement and licaid State plan or the EPSDT benefit.
Additional needs	-based criteria for rece	eiving the service,	if applicable (specify):
		and all the second	
Specify limits (if	any) on the amount, d	luration. or scope of	of this service for (chose each that applies):
14	y needy (specify limits		
	<u>j moo _ j (op o _ j)</u>		
Medically n	eedy (specify limits):		
	eedy (specify units).		
	ications (For each typ		
Provider Type	License	Certification	Other Standard
(Specify): Dentist	(Specify): Business &	(Specify): N/A	(Specify):
Demuse	Professions		
	Code §§ 1600-	A CONTRACT OF THE OWNER	
and the second	1976		
	As appropriate.		
	a business		and the second second second second
States and	license as		
	· · · · · · · · · · · · · · · · · · ·		
	Code §§ 1600- 1976 As appropriate, a business		

Approval Date: <u>February 19, 2014</u> Effective Date: <u>October 1, 2011</u>

Frequency of Verification

(Specify):

Verification of Provider Qualifications (For each provider type listed above. Copy rows as needed):

Entity Responsible for Verification

(Specify):

Provider Type (Specify):

where the business is located.

Dentists	Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.	Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.	
Dentists	Dental Board of California	Biennially	
Service Delive	ry Method. (Check each that applies):		
D Participan	t-directed Provider mana	ged	

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Optometric/Optician Services

Service Definition (Scope):

Optometric/Optician Services are defined in Title 22, California Code of Regulations, Sections 51093 and 51090, respectively. Optometric services means any services an optometrist may perform under the laws of this state. Dispensing optician means an individual or firm which fills prescriptions of physicians for prescription lenses and kindred products and fits and adjusts such lenses and spectacle frames. A dispensing optician is also authorized to act on the advice, direction and responsibility of a physician or optometrist in connection with the fitting of a contact lens or contact lenses.

These services will be provided to individuals age 21 and older as described in the approved State plan for individuals under the age of 21. The provider qualifications listed in the plan will apply, and are hereby incorporated into this request by reference. 1915(i) HCBS SPA Optometric/Optician services will supplement and not supplant services available through the approved Medicaid State plan or the EPSDT benefit.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (*chose each that applies*): Categorically needy (*specify limits*):

□ Medically needy (specify limits):

Provider Qualifications (For each type of provider. Copy rows as needed):

Provider Type (Specify):	License (Specify):	Certification (Specify):	Other Standard (Specify):
Orthoptic	Business and	An orthoptic	N/A
Technician	Professions	technician is	
	Codes in	validly certified	
	Chapter 7,	by the American	

	Article 3 Sections 3041, 3041.3, 3056, 3057	Orthoptic Council		
Optometrist	An optometrist is validly licensed as an optometrist by the California State Board of Optometry	N/A	N/A	
	As appropriate, a business license as required by the local jurisdiction where the business is located.			
				above. Copy rows as needed):
Provider Type (Specify):	Entity Res	ponsible for Ve (Specify):	rification	Frequency of Verification (Specify):
All Optometric/Optici an service providers	Regional centers, through the vendorization process, verify providers meet requirements/qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.		Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.	
Orthoptic Technician	American Orthoptic Council		Every three years	
Optometrist	California State B	oard of Optome	etry	Biennially
Service Delivery M	Iethod. (Check eac	ch that applies):	graan 500 g	
Participant-dire	ected		Provider man	aged

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Prescription Lenses and Frames Service Definition (Scope):

Approval Date: <u>February 19, 2014</u>

Effective Date: October 1, 2011

Prescription Lens/Frames are defined in Title 22, California Code of Regulations, Section 51162. Eyeglasses, prosthetic eyes and other eye appliances means those items prescribed by a physician or optometrist for medical conditions related to the eye.

These services will be provided to individuals age 21 and older as described in the approved Medicaid State plan for individuals under the age of 21. The provider qualifications listed in the plan will apply, and are hereby incorporated into this request by reference. 1915(i) HCBS SPA Prescription Lenses and Frames will supplement and not supplant services available through the approved Medicaid State plan or the EPSDT benefit.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

Categorically needy (specify limits):

Medically needy (specify limits):

Provider Qualifications (For each type of provider. Copy rows as needed):

Provider Type (Specify):	License (Specify):	Certification (Specify):	Other Standard (Specify):
Dispensing Optician	Business and Professions Code §§ 2550- 2560.	Registered as a dispensing optician by the Division of Allied Health	N/A
	As appropriate, a business license as required by the local jurisdiction where the business is located.	Professions of the Medical Board of California	

Verification of Provider Qualifications (For each provider type listed above. Copy rows as needed):

Provider Type (Specify):	Entity Responsible for Verification (Specify):	Frequency of Verification (Specify):
All Prescription Lens/ Frame providers	Regional centers, through the vendorization process, verify providers meet requirements/qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and	Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.

TN No. <u>11-041</u> Supersedes TN No. <u>None</u> Approval Date: <u>February 19, 2014</u>

Effective Date: October 1, 2011

A State of State of State	service design.	Lesson and the second		1. A. A.
Dispensing Optician	Medical Board of Califor	nia	Biennially	
Service Deliver	y Method. (Check each that a	applies):		
Participant-	directed	Der Provider	managed	

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Psychology Services

Service Definition (Scope):

Psychology Services are defined in Title 22, California Code of Regulations, Section 51099 as the services of a person trained in the assessment, treatment, prevention, and amelioration of emotional and mental health disorders.

These services will be provided to individuals age 21 and older as described in the approved Medicaid State plan for individuals under the age of 21. The provider qualifications listed in the plan will apply, and are hereby incorporated into this request by reference. 1915(i) HCBS SPA Psychology Services will supplement and not supplant services available through the approved Medicaid State plan or the EPSDT benefit.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

Categorically needy (specify limits):

Medically needy (specify limits):

Provider Qualifications (For each type of provider. Copy rows as needed):

Provider Type (Specify):	License (Specify):	Certification (Specify):	Other Standard (Specify):
Clinical Psychologist	Business and Professions Code, §§2940- 2948	N/A	N/A
	As appropriate, a business license as required by the local jurisdiction where the business is located.		

Verification of Pr	ovider Qualifications (For each provider type listed	above. Copy rows as needed):		
Provider Type (Specify):	Entity Responsible for Verification (Specify):	Frequency of Verification (Specify):		
Clinical Psychologists	Regional centers, through the vendorization process, verify providers meet requirements/qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.	Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.		
Clinical Psychologist	Board of Psychology	Biennially		
Service Delivery	Method. (Check each that applies):			
Participant-di				

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Chore Services

Service Definition (Scope):

Services needed to maintain the home in a clean, sanitary and safe environment. This service includes heavy household chores such as washing floors, windows and walls, tacking down loose rugs and tiles, moving heavy items of furniture in order to provide safe access and egress, and minor repairs such as those which could be completed by a handyman. These services will be provided only in cases where neither the individual, nor anyone else in the household, is capable of performing or financially providing for them, and where no other relative, caregiver, landlord, community/volunteer agency, or third party payor is capable of or responsible for their provision. In the case of rental property, the responsibility of the landlord, pursuant to the lease agreement, will be examined prior to any authorization of service.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

□ Categorically needy (specify limits):

□ Medically needy (*specify limits*):

Provider Qualifications (For each type of provider. Copy rows as needed):

Provider Type	License	Certification	Other Standard
(Specify):	(Specify):	(Specify):	(Specify):
Individual	As appropriate	N/A	Individual chore service providers shall possess
	for the services		the following minimum qualifications:

	to be done. As appropriate, a business license as required by the local jurisdiction where the business is located.	required	ity to perform the functions in the individual plan of care; trate dependability and personal
Verification of Pro	ovider Qualifications (For	each provider type listed	above. Copy rows as needed):
Provider Type (Specify):	Entity Responsible		Frequency of Verification (Specify):

(Specify):	(Specify):	(Specify):
Individual	 Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310, including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design. 	Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.
Service Deliver	y Method. (Check each that applies):	
Participant-	directed	ged

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Communication Aides

Service Definition (Scope):

Communication aides are those human services necessary to facilitate and assist persons with hearing, speech, or vision impairment to be able to effectively communicate with service providers, family, friends, co-workers, and the general public. The following are allowable communication aides, as specified in the recipient's plan of care:

- 1. Facilitators;
- 2. Interpreters and interpreter services; and
- 3. Translators and translator services.

Communication aide services include evaluation for communication aides and training in the use of communication aides.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

Categorically needy (specify limits):

 Medically nee 	dy (spacify limits):		eli) suo i		
	edy (specify limits):			ust <i>Clay</i> t st	
Provider Qualific	ations (For each type	of provider. Cop	y rows as need	led):	
Provider Type (Specify):	License (Specify):	Certification (Specify):		Other Standard (Specify):	
Facilitators	No state licensing category. An appropriate business license as required by the local jurisdiction for the adaptations to be completed.	N/A	Qualification	ns and training as appropriate.	
Interpreter	No state licensing category. An appropriate business license as required by the local jurisdiction for the adaptations to be completed.	N/A	other tha 2. The abili	other than English; and 2. The ability to read and write accurately in both English and a language other than	
Translator	No state licensing category. An appropriate business license as required by the local jurisdiction for the adaptations to be completed.	N/A	 Fluency in both English and a language other than English; and The ability to read and write accurately both English and a language other than English. 		
Verification of Pi	ovider Qualifications	(For each provi	der type listed	above. Copy rows as needed):	
Provider Type (Specify):	Entity Responsible for Verification (Specify):		Frequency of Verification (Specify):		
All Communication Aid providers	Regional centers, through the vendorization process, verify providers meet requirements/qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and		Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.		

TN No. <u>11-041</u> Supersedes TN No. <u>None</u> Approval Date: <u>February 19, 2014</u>

Effective Date: October 1, 2011

service design.	
Service Delivery Method. (Check each	that applies):
Participant-directed	Provider managed

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Environmental Accessibility Adaptatio

Service Definition (Scope):

Those physical adaptations to the home, required by the individual's plan of care, which are necessary to ensure the health, welfare and safety of the individual, or which enable the individual to function with greater independence in the home, and without which, the individual would require institutionalization. Such adaptations may include the installation of ramps and grab-bars, widening of doorways, modification of bathroom facilities, or installation of specialized electric and plumbing systems which are necessary to accommodate the medical equipment and supplies which are necessary for the welfare of the individual. Excluded are those adaptations or improvements to the home which are of general utility, and are not of direct medical or remedial benefit to the individual, such as carpeting, roof repair, central air conditioning, etc. Adaptations which add to the total square footage of the home are excluded form this benefit. All services shall be provided in accordance with applicable State or local building codes.

It may be necessary to make environmental modifications to an individual's home before he/she transitions from an institution to the community. Such modifications may be made while the person is institutionalized. Environmental modifications, included in the individual's plan of care, may be furnished up to 180 days prior to the individual's discharge from an institution. However, such modifications will not be considered complete until the date the individual leaves the institution and is determined eligible for 1915(i) State Plan Services.

In the event an individual dies before the relocation can occur, but after the modifications have been made, the State will claim FFP at an administrative rate for services that would have been necessary for relocation to have taken place.

Additional needs-based criteria for receiving the service, if applicable (specify):

3.0

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

Categorically needy (specify limits):

□ | Medically needy (specify limits):

Provider Qualifications (For each type of provider. Copy rows as needed):

Provider Type (Specify):	License (Specify):	Certification (Specify):	Other Standard (Specify):
Contractor	A current	See "License"	N/A
	license,		
	certification or		

registration w the State of California as appropriate fo the type of modification being purchas			
Verification of Provider Qualifications (For each provider type listed above. Copy rows as needed):			

Provider Type (Specify):	Entity Responsible for Verification (Specify):	Frequency of Verification (Specify):
Contractor appropriate for the type of adaption to be completed.	Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.	Verified upon application for vendorization and ongoing as needed/ required.
Service Delivery M	Iethod. (Check each that applies): cted Image: Comparison of the second seco	

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Non-Medical Transportation

Service Definition (Scope):

Service offered in order to enable individuals eligible for 1915(i) State Plan Services to gain access to other community services, activities and resources, specified by the plan of care. This service is offered in addition to medical transportation required under 42 CFR 431.53 and transportation services under the Medicaid State plan, defined in 42 CFR 440.170(a) (if applicable), and shall not replace them.

Transportation services shall be offered in accordance with the individual's plan of care and shall include transportation aides and such other assistance as is necessary to assure the safe transport of the recipient. Private, specialized transportation will be provided to those individuals who cannot safely access and utilize public transportation services (when available.) Whenever possible, family, neighbors, friends, or community agencies which can provide this service without charge will be utilized. A regional center may offer vouchers to family members or adult consumers to allow the families and consumers to procure their own transportation services.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

□ Categorically needy (specify limits):

Medically need	ly (specify limits):		and the second	
Provider Qualifica			by rows as need	
Provider Type (Specify):	License (Specify):	Certification (Specify):		Other Standard (Specify):
Individual Transportation Provider	Valid California driver's license	N/A	Welfare and	Institutions Code Section 4648.
	As appropriate, a business license as required by the local jurisdiction where the business is located.			
Transportation Company: Transportation Broker; Transportation Provider-Add- itional Component	As appropriate, a business license as required by the local jurisdiction where the business is located.	N/A	Welfare and Institutions Code Section 4648.3.	
Public Transit Authority	As appropriate, a business license as required by the local jurisdiction where the business is located.	N/A	Welfare and Institutions Code Section 4648.3	
Verification of Pro	vider Qualificatio	ns (For each prov	ider type listed o	above. Copy rows as needed):
Provider Type (Specify):	Entity Responsible for Verification (Specify):		Frequency of Verification (Specify):	
All Transportation Providers	Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications		Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.	

Provider Type	Entity Responsible for Verification	Frequency of Verification
(Specify):	(Specify):	(Specify):
All Transportation Providers	Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.	Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.

Service Delivery Method. (Check each that applies):

Approval Date: <u>February 19, 2014</u>

Effective Date: October 1, 2011

□ Participant-directed

Provider managed

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Nutritional Consultation

Service Definition (Scope):

Nutritional Consultation includes the provision of consultation and assistance in planning to meet the nutritional and special dietary needs of the consumers. These services are consultative in nature and do not include specific planning and shopping for, or preparation of meals for consumers.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

□ Categorically needy (specify limits):

Medically needy (specify limits):

Provider Qualifications (For each type of provider. Con	py rows as needed):
---------------------------------------------------------	---------------------

Provider Type (Specify):	License (Specify):	Certification (Specify):	Other Standard (Specify):
Dietitian; Nutritionist	No state licensing category. As appropriate, a business license as required by the local jurisdiction where the	Dietician: Valid registration as a member of the American Dietetic Association	Nutritionist must possess a Master's Degree in one of the following: a. Food and Nutrition; b. Dietetics; or c. Public Health Nutrition; or is employed as a nutritionist by a county health department.
	business is located.		

Verification of Provider Qualifications (For each provider type listed above. Copy rows as needed):

Provider Type (Specify):	Entity Responsible for Verification (Specify):	Frequency of Verification (Specify):
All Nutritional Consultation providers	Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications	Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.

	and duty statements; and	service design.		34 ₆
		ana di Bassi Zulla		
Service Delivery M	lethod. (Check each that a	applies):		
Participant-dire	cted	🗹 Provid	ler managed	
Service Specificati	ons (Specify a service title	for the HCBS lis	ted in Attachment 4.19-B that the State	
plans to cover):				
	illed Nursing			
Service Definition (·····		
			the State's Nurse Practice Act and are	
			l or vocational nurse under the supervision ICBS SPA Skilled Nursing Services will	SI.,
			oved Medicaid State plan or the EPSDT	
benefit.	supplant out to contract at an an	and Bu mu off.		
Additional needs-ba	ased criteria for receiving t	he service, if appli	icable (specify):	
Specify limits (if or	w) on the amount duration	or scope of this	correct for (chose each that applies):	99259
		i, or scope of this s	service for (chose each that applies):	
	needy (specify limits):			0.00
		and the second		11.1
Medically nee	dy (specify limits):			CORDALIN:
Provider Qualifica	ations (For each type of pr	ovider. Copy row	s as needed):	
Provider Type	License (Specify):	Certification	Other Standard	
(Specify):		(Specify):	(Specify):	
Registered Nurse	Business and	N/A	N/A	, all i
(RN)	Professions Code, §§			and the second
	2725-2742			1
	TH- 22 CCP 8 51067			in de serve
	Title 22, CCR, § 51067			
	As appropriate, a			
	business license as			
	required by the local	ALL AND		Marine
	jurisdiction where the			
	business is located.			10
Licensed	Business and	N/A	N/A	restar.
Vocational Nurse	Professions Code, §§			
(LVN)	2859-2873.7			
	Title 22, CCR, § 51069			ų,
	1100 22, CON, 3 51005	The sale of the sale		
	As appropriate, a			

Home Health Agency: RN or LVN	business license as required by the local jurisdiction where the business is located. Title 22, CCR, §§ 74600 et. seq. RN: Business and Professions Code, §§ 2725-2742 Title 22, CCR, § 51067 LVN: Business and Professions Code, §§ 2859-2873.7 Title 22, CCR, § 51069 As appropriate, a business license as required by the local jurisdiction where the business is located.	Medi-Cal Certification using Medicare standards Title 22, CCR, §§ 51069- 51217.	N/A	
Verification of Pro Provider Type		 each provider type le for Verification 	e listed (above. Copy rows as needed): Frequency of Verification
(Specify):		cify):		(Specify):
All Skilled Nursing Providers	Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.			Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.
Registered Nurse	Board of Registered Nursing, Licensing and regional centers			Every two years
Licensed	Board of Vocational Nu	sing and Psychiatr	ic	Every two years

Technicians, Licensing and regional centers **Service Delivery Method.** (Check each that applies):

Provider managed $\mathbf{\nabla}$

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: **Specialized Medical Equipment and Supplies**

> Approval Date: __February 19, 2014 Effective Date: October 1, 2011

Vocational Nurse

Participant-directed

Service Definition (Scope):

Specialized Medical Equipment and Supplies include: (a) devices, controls, or appliances, specified in the plan of care, that enable participants to increase their ability to perform activities of daily living; (b) devices, controls, or appliances that enable the participant to perceive, control, or communicate with the environment in which they live; (c) items necessary for life support or to address physical conditions along with ancillary supplies and equipment necessary to the proper functioning of such items; (d) such other durable and non-durable medical equipment not available under the approved Medicaid State plan that is necessary to address participant functional limitations; and, (e) necessary medical supplies not available under the approved Medicaid State plan. The repair, maintenance, installation, and training in the care and use, of these items is also included. Funding for items reimbursed by this State Plan Amendment are in addition to any medical equipment and supplies furnished under the approved Medicaid State plan and exclude those items that are not of direct medical or remedial benefit to the participant. All items shall meet applicable standards of manufacture, design, and installation, and must meet Underwriter's Laboratory or Federal Communications Commission codes, as applicable. Repairs to and maintenance of such equipment shall be performed by the manufacturer's authorized dealer where possible.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

□ Categorically needy (*specify limits*):

□ Medically needy (specify limits):

Provider Qualifications (For each type of provider. Copy rows as needed):

Provider Type (Specify):	License (Specify):	Certification (Specify):	Other Standard (Specify):
Durable Medical Equipment Dealer	If applicable, a current license with the State of California as appropriate for the type of equipment or supplies being purchased. As appropriate, a business license as	If applicable, a current certification with the State of California as appropriate for the type of equipment or supplies being purchased.	Be authorized by the manufacturer to install, repair and maintain such systems if such a manufacturer's program exists.
	required by the local jurisdiction where the business is located.		

vernication of Flowider Quantications (For each provider type issee above. Copy rows as needed):				
Provider Type	Entity Responsible for Verification	Frequency of Verification		
(Specify):	(Specify):	(Specify):		

All Specialized Medical Equipment and Supplies Providers	Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.	Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.
Service Delivery	Method. (Check each that applies):	
Participant-di	rected Provider mana	aged

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Specialized Therapeutic Services

Service Definition (Scope):

Specialized Therapeutic Services are services that provide physical, behavioral/social-emotional health, and or dental health care that have been adapted to accommodate the unique complexities presented by HCBS enrolled individuals. These complexities include requiring:

- 1. Additional time with the health care professional to allow for effective communication with patients to ensure the most effective treatment;
- 2. Additional time with the health care professional to establish the patient's comfort and receptivity to treatment to avoid behavioral reactions that will further complicate treatment;
- 3. Additional time for diagnostic efforts due to the masking effect of some developmental disabilities on health care needs;
- 4. Specialized expertise and experience of the health care professional in diagnosing health care needs that may be masked or complicated by a developmental disability;
- 5. Treatment to be provided in settings that are more conducive to the patient's ability to effectively receive treatment, either in specialized offices or facilities that offer better structured interaction with the patient or which may provide additional comfort and support which is needed to reduce patient anxiety that is related to his or her developmental disabilities.

All of these additional elements to Specialized Therapeutic Services are designed and proven effective in ensuring the health and safety of the consumers. They are also designed or adapted with specialized expertise, experience or supports to ensure that the impact of a person's developmental disability does not impede the practitioner's ability to effectively provide treatment. The design features and/or expertise levels required by these consumers have been developed through years of experience and are not available through existing Medicaid State plan services. These features are critical to maintain, preserve, or improve the health status and developmental progress of each individual who is referred to these Specialized Therapeutic Services.

Specialized Therapeutic Services include:

Oral Health Services: Diagnostic, Prophylactic, Restorative, Oral Surgery
 Services for Maladaptive Behaviors/Social-Emotional Behavior Impairments (MB/SEDI) Due

to/Associated with a Developmental Disability: Individual and group interventions and counseling Physical Health Services: Physical Therapy, Occupational Therapy, Speech Therapy, Respiratory 3. Therapy, Diagnostic and Treatment, Physician Services, Nursing Services, Diabetes Self-Management The need for a Specialized Therapeutic Service must be identified in the Individual Program Plan, also known as a Plan of Care, and is to be provided only when the individual's regional center planning team has: Determined the reason why other generic or approved Medicaid State plan services can not/do not 1. meet the unique oral health, behavioral/social-emotional health, physical health needs of the consumer as a result of his/her developmental disability and the impact of the developmental disability on the delivery of therapeutic services; Determined that a provider with specialized expertise/knowledge in serving individuals with 2. developmental disabilities is needed, i.e., a provider of Medicaid State Plan services does not have the appropriate qualifications to provide the service; Determined that the individual's needs cannot be met by an approved Medicaid State plan provider 3. delivering routine approved Medicaid State plan services; Determined that the Specialized Therapeutic Service is a necessary component of the overall Plan of 4. Care that is needed to avoid institutionalization; and Consulted with a Regional Center clinician. 5. The need to continue the Specialized Therapeutic Service will be evaluated during the mandatory annual review of the individual's IPP in order to determine if utilization is appropriate and progress is being made as a result of the service being provided. The following specify the differences between Specialized Therapeutic Services and services available under the approved Medicaid State Plan: 1. Provider qualifications. 2. The scope (what is provided). The services will be offered either at the consumer's home, the program site, or when appropriate, the 3. provider's site. Providers of Specialized Therapeutic Services must hold a current State license or certificate to practice in the respective clinical field for which they are vendored and have at least one year of experience working providing direct care in the field of licensure with persons with developmental disabilities, validation of which must be obtained by the regional center prior to vendorization and maintained in the regional center vendor file. This expanded qualification requirement differentiates providers of Specialized Therapeutic Services from approved Medicaid State plan providers. These providers include physicians/surgeons, nurse practitioners, registered nurses, licensed vocational nurses, psychologists, social workers, speech therapists,

physical therapists, physical therapy assistants, dental hygienists, dentists, and marriage and family therapists. Certified occupational therapists, occupational therapy assistants, respiratory therapists, and chemical addiction counselors are also included.

Scope of Services: When provided as a home and community-based service, a Specialized Therapeutic Service may require one or more of the following if determined critical to the ongoing maintenance of the oral care, health care, or behavioral/social-emotional health care of the individuals in his/her residence or

			Specialized Therapeutic Service differentiates it
specialized therapeu effectively access se	tic service and are or rvices, interpret car	designed to improve re instructions, or pr	the consumer or caregiver's capacity to rovide care as directed by the clinical
professional. Each of service provided to a			rectly associated with a specialized therapeutic proved plan of care.
1. Family support	t and counseling - (Critical to a full und	erstanding of the impact of involved
developmental practitioner de may need to pr with other phy treatment and s	disabilities on the plivering the health, ovide family support sicians or involved support in the perso	presenting health ca dental, or behaviora ort and/or counseling professionals, in or on's home environm	The need and effective treatment. The health care al/social-emotional health specialized services g, as well as consumer training and consultation der to ensure the proper understanding of the tent and that it is critical to effective treatment of
 If cost-effectiv provider to pro Consultation w 	wide the care at a lovith other involved	e regional center ma ocation that is neces professionals in me	ay include the cost of travel in order to allow the sary due to the disabilities of the individual; eting the physical, behavioral/social-emotional ough specialized therapeutic services. This
allows the clin care givers wh	ical provider of spe o deliver services i	ecialized therapeutic n accordance with the	services to properly involve other professional he individual's plan of care;
			ire additional training by a specialized therapeutic
health, or healt			n impact of the oral, behavioral/social-emotional riately licensed or certified provider, as defined
health, or health above, will pro	th care treatment provide this training.	ovided. An approp	
health, or health above, will pro Additional needs-ba	th care treatment pr ovide this training. sed criteria for rece	rovided. An approp	riately licensed or certified provider, as defined applicable (specify):
health, or health above, will pro Additional needs-ba Specify limits (if an	th care treatment pr poide this training. sed criteria for rece y) on the amount, d	ovided. An approp eiving the service, if luration, or scope of	riately licensed or certified provider, as defined
health, or health above, will pro Additional needs-ba Specify limits (if an	th care treatment pr ovide this training. sed criteria for rece	ovided. An approp eiving the service, if luration, or scope of	riately licensed or certified provider, as defined applicable (specify):
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n	th care treatment pr poide this training. sed criteria for rece y) on the amount, d	ovided. An approp eiving the service, if luration, or scope of	riately licensed or certified provider, as defined applicable (specify):
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Medically need	th care treatment provide this training. sed criteria for rece y) on the amount, d needy (specify limits) by (specify limits):	ovided. An approp eiving the service, if luration, or scope of s):	riately licensed or certified provider, as defined applicable (<i>specify</i>): this service for (<i>chose each that applies</i>):
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Medically need Provider Qualifica	th care treatment provide this training. sed criteria for rece y) on the amount, d needy (specify limits) by (specify limits):	ovided. An appropriation of solution of so	riately licensed or certified provider, as defined applicable (<i>specify</i>): f this service for (<i>chose each that applies</i>): y rows as needed):
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Medically need	th care treatment provide this training. sed criteria for rece y) on the amount, d leedy (specify limits) ly (specify limits): tions (For each typ	ovided. An approp eiving the service, if luration, or scope of s):	riately licensed or certified provider, as defined applicable (<i>specify</i>): this service for (<i>chose each that applies</i>):
health, or healt above, will pro Additional needs-ba	th care treatment provide this training. sed criteria for reconsection y) on the amount, di eedy (specify limits) ly (specify limits): tions (For each typ License (Specify): Business and	ovided. An appropriation of solution of solution of solution of solution of solution of solution of the soluti	riately licensed or certified provider, as defined applicable (specify): this service for (chose each that applies): y rows as needed): Other Standard (Specify): Providers of Specialized Therapeutic Services
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Medically need Provider Qualifica Provider Type (Specify): Dentist Dental Hygienist	th care treatment provide this training. sed criteria for rece y) on the amount, d eeedy (specify limits) dy (specify limits): tions (For each typ License (Specify): Business and Professions	ovided. An appropriation of solution of solution of scope of solution of solution of solution of solution of solution (Specify): Chemical Addition	riately licensed or certified provider, as defined applicable (specify): this service for (chose each that applies): y rows as needed): Other Standard (Specify): Providers of Specialized Therapeutic Services must hold a current State license or certificate to
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Medically need Provider Qualifica Provider Type (Specify): Dentist Dental Hygienist Psychologist	th care treatment provide this training. sed criteria for reconsection y) on the amount, di eedy (specify limits) ly (specify limits): tions (For each typ License (Specify): Business and	ovided. An appropriation of service, if duration, or scope of solution of scope of provider. Copy Certification (Specify): Chemical Addition Counselor -	riately licensed or certified provider, as defined applicable (specify): this service for (chose each that applies): y rows as needed): Other Standard (Specify): Providers of Specialized Therapeutic Services must hold a current State license or certificate to practice in the respective clinical field for which
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Medically need Provider Qualifica Provider Type (Specify): Dentist Dental Hygienist Psychologist Marriage and	th care treatment provide this training. sed criteria for received of the sed	ovided. An appropriation of solution of solution of scope of solution of solution of solution of solution (Specify): Chemical Addition Counselor - certified in	riately licensed or certified provider, as defined applicable (specify): this service for (chose each that applies): y rows as needed): Other Standard (Specify): Providers of Specialized Therapeutic Services must hold a current State license or certificate to practice in the respective clinical field for which they are vendored and have at least one year of
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Medically need Provider Qualifica Provider Type (Specify): Dentist Dental Hygienist Psychologist Marriage and Family	th care treatment provide this training. sed criteria for record y) on the amount, dieedy (specify limits) by (specify limits): tions (For each type License (Specify): Business and Professions Code: Dentist: §1628-	ovided. An appropriation of the service, if the service, if the service, if the service of the s	riately licensed or certified provider, as defined applicable (specify): this service for (chose each that applies): y rows as needed): Other Standard (Specify): Providers of Specialized Therapeutic Services must hold a current State license or certificate to practice in the respective clinical field for which they are vendored and have at least one year of experience working providing direct care in the
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Medically need Provider Qualifica Provider Type (Specify): Dentist Dental Hygienist Psychologist Marriage and Family Therapist	th care treatment provide this training. sed criteria for rece y) on the amount, d eedy (specify limits) by (specify limits): tions (For each typ License (Specify): Business and Professions Code: Dentist: §1628- 1635	ovided. An appropriation of service, if the service, if the service, if the service, if the service of the serv	riately licensed or certified provider, as defined applicable (specify): This service for (chose each that applies): This service for (chose each that applies): Yows as needed): Other Standard (Specify): Providers of Specialized Therapeutic Services must hold a current State license or certificate to practice in the respective clinical field for which they are vendored and have at least one year of experience working providing direct care in the field of licensure with persons with
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Medically need Provider Qualifica Provider Type (Specify): Dentist Dental Hygienist Psychologist Marriage and Family Therapist Social Worker	th care treatment provide this training. sed criteria for recer- y) on the amount, di- eeedy (specify limits): dy (specify limits): tions (For each type License (Specify): Business and Professions Code: Dentist: §1628- 1635 Dental	ovided. An appropriation of the service, if the service, if the service, if the service of the s	riately licensed or certified provider, as defined applicable (specify): this service for (chose each that applies): y rows as needed): Other Standard (Specify): Providers of Specialized Therapeutic Services must hold a current State license or certificate to practice in the respective clinical field for which they are vendored and have at least one year of experience working providing direct care in the
health, or healt above, will pro Additional needs-ba	th care treatment provide this training. sed criteria for received of the second secon	ovided. An appropriation of service, if duration, or scope of function of solution of solution of the service of provider. Copy Certification (Specify): Chemical Addition Counselor - certified in accordance with Title 9 CCR § 9846-13075	riately licensed or certified provider, as defined applicable (specify): This service for (chose each that applies): This service for (chose each that applies): Yows as needed): Other Standard (Specify): Providers of Specialized Therapeutic Services must hold a current State license or certificate to practice in the respective clinical field for which they are vendored and have at least one year of experience working providing direct care in the field of licensure with persons with
health, or healt above, will product Additional needs-baa Specify limits (if an Categorically n Medically need Provider Qualifica Provider Type (Specify): Dentist Dental Hygienist Psychologist Marriage and Family Therapist Social Worker Chemical Addiction	th care treatment provide this training. sed criteria for received of the sed	ovided. An appropriation of the service, if the service, if the service, if the service of the s	riately licensed or certified provider, as defined applicable (specify): This service for (chose each that applies): This service for (chose each that applies): Yows as needed): Other Standard (Specify): Providers of Specialized Therapeutic Services must hold a current State license or certificate to practice in the respective clinical field for which they are vendored and have at least one year of experience working providing direct care in the field of licensure with persons with
health, or healt above, will pro Additional needs-ba Specify limits (if an Categorically n Categorically n Medically need Provider Qualifica Provider Type (Specify): Dentist Dental Hygienist Psychologist Marriage and Family Therapist Social Worker Chemical	th care treatment provide this training. sed criteria for received of the second secon	ovided. An appropriation of service, if duration, or scope of function of solution of solution of the service of provider. Copy Certification (Specify): Chemical Addition Counselor - certified in accordance with Title 9 CCR § 9846-13075	riately licensed or certified provider, as defined applicable (specify): This service for (chose each that applies): This service for (chose each that ap

Approval Date: <u>February 19, 2014</u>

Effective Date: October 1, 2011

Occupational	Marriage &	Code, §2080-			
Therapist	Family	2085			
Occupational	Therapist: §4986.2				na de la companya de
Therapy Assistant	Social Worker:				
Physical Therapist	§4996.1-				
Physical Therapy	4996.2				
Assistant	Physician/Surge				
Respiratory Therapist	on: §2080-2096				
RN	Speech				
LVN	Therapist:				
Nurse Practitioner	§2532.1- 2532.6				
	Occupational				
	Therapist				
	and				
	Assistant: §2570.6				
	Physical				
	Therapist:				
	§2636.5 Physical				
a salah na s	Therapy				
	Assistant:				
	§2655				
	Respiratory Therapist:				
	§3733-3737				
	RN § 2725-2742				
	LVN § 2859- 2873.7				
Contracting and Contracting	Nurse				
	Practitioner:				
	§2834- 2837				
	2001				
	As appropriate,				
	a business				
	license as required by the				en e
	local jurisdiction				
	where the				
	business is located.				
	Toeaceu.	/ - 1	1	C	and a d b

Verification of Provider Qualifications (For each provider type listed above. Copy rows as needed):

TN No. <u>11-041</u> Supersedes TN No. <u>None</u>

Provider Type (Specify):	Entity Responsible for Verification (Specify):	Frequency of Verification (Specify):
All Specialized Therapeutic Services providers	Regional centers, through the vendorization process, verify providers meet requirements/ qualifications outlined in Title 17, CCR, § 54310 including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.	Verified upon application for vendorization and ongoing thereafter through oversight and monitoring activities.
Service Delivery M	Iethod. (Check each that applies):	
Participant-dire		iged

Service Specifications (Specify a service title for the HCBS listed in Attachment 4.19-B that the State plans to cover):

Service Title: Transition Set Up Expenses

Service Definition (Scope):

Transition/Set Up Expenses are one-time, non-recurring set-up expenses to assist individuals who are transitioning from an institution to their own home. These expenses fund some of the initial set-up costs that are associated with obtaining and securing an adequate living environment and address the individual's health and safety needs when he or she enters a new living environment.

"Own home" is defined as any dwelling, including a house, apartment, condominium, trailer, or other lodging that is owned, leased, or rented by the individual.

This service includes necessary furnishings, household items and services that an individual needs for successful transition to community living and may include:

- Security deposits that are required to obtain a lease on an apartment or home;
- Moving expenses;
- Health and safety assurances, such as pest eradication, allergen control or one-time cleaning prior to occupancy;
- Set up fees or non-refundable deposits for utilities (telephone, electricity, heating by gas);
- Essential furnishings to occupy and use a community domicile, such as a bed, table, chairs, window blinds, eating utensils, food preparation items, etc.

These services exclude:

- Items designed for diversionary/recreational/entertainment purposes, such as hobby supplies, television, cable TV access, or VCRs and DVDs.
- Room and board, monthly rental or mortgage expense, regular utility charges, household appliances, and food.

Items purchased through this service are the property of the individual receiving the service and the individual takes the property with him/her in the event of a move to another residence.

Some of these expenses may be incurred before the individual transitions from an institution to the community. In such cases, the Transition/Set Up expenses incurred while the person was institutionalized are not considered complete until the date the individual leaves the institution. Transition/Set Up expenses included in the individual's plan of care may be furnished up to 180 days prior to the individual's discharge from an institution. However, such expenses will not be considered complete until the date the individual leaves the institution. Bowever, such expenses will not be considered complete until the date the individual leaves the institution and is determined eligible for 1915(i) State Plan Services.

In the event an individual dies before the relocation can occur, but after the expenses have been incurred, the State will claim FFP at the administrative rate for services which would have been necessary for relocation to have taken place.

Additional needs-based criteria for receiving the service, if applicable (specify):

Specify limits (if any) on the amount, duration, or scope of this service for (chose each that applies):

Categorically needy (specify limits):

Medically needy (specify limits):

Provider Qualifications (For each type of provider. Copy rows as needed):

Provider Type (Specify):	License (Specify):	Certification (Specify):		Other Standard (Specify):	
Public Utility Agency Retail and Merchandise Company Health and Safety agency	As appropriate, a business license as required by the local jurisdiction where the business is located.	N/A	N/A		
Individual (landlord, property management) Moving Company					
	vider Qualificatio	ns (For each provid	ler type listed a	above. Copy rows	as needed):
Provider Type (Specify):		sponsible for Verifi		Frequency of (Spec	Verification

(Specify):	(Specify):	(Specify):
All Transition/Set	Regional centers, through the vendorization	Verified upon application for
Up Providers	process, verify providers meet requirements/	vendorization and ongoing
	qualifications outlined in Title 17, CCR, § 54310	thereafter through oversight and

Approval Date: <u>February 19, 2014</u> Effective Date: <u>October 1, 2011</u>

	including the following, as applicable: any license, credential, registration, certificate, permit, or academic degree required for the performance or operation of the service; the staff qualifications and duty statements; and service design.	monitoring activities.
Service Delivery	Method. (Check each that applies):	ged

Methods and	Standards	for Establishing	Payment Rates

1. Services Provided Under Section 1915(i) of the Social Security Act. For each optional service, describe the methods and standards used to set the associated payment rate. (Check each that applies, and describe methods and standards to set rates):

See attachment 4.19-B for descriptions of the rate setting methodologies for the services identified below.

	HCBS Case Management								
	HCE	3S Homemaker							
an a									
	НСЕ	3S Home Health Aide							
	: "								
Ő	HCI	3S Personal Care							
100									
0	НСЕ	3S Adult Day Health							
	НСІ	3S Habilitation							
19288 85000 935 935									
	HCI	3S Respite Care							
98 - 199 199									
Ø	Othe	er Services							
	☑	HCBS Speech, Hearing and Language Services							
		HCBS Dental Services							
		HCBS Optometric/Optician Services							
	 HCBS Prescription Lenses and Frames HCBS Psychology Services HCBS Chore Services HCBS Communication Aides HCBS Environmental Accessibility Adaptations 								
15.									
		 ✓ HCBS Non-Medical Transportation ✓ HCBS Nutritional Consultation ✓ HCBS Skilled Nursing 							
	Ø								
	Ø	HCBS Specialized Therapeutic Services							
- 41 - 124	Ø	HCBS Transition/Set-Up Expenses							
For Ir	dividu	als with Chronic Mental Illness, the following services:							
		HCBS Day Treatment or Other Partial Hospitalization Services							
	D	HCBS Psychosocial Rehabilitation							
	D	HCBS Clinic Services (whether or not furnished in a facility for CMI)							

Approval Date: February 19, 2014 Effective Date: October 1, 2011

REIMBURSEMENT METHODOLOGY FOR SPEECH, HEARING LANGUAGE SERVICES

DHCS Fee Schedules - As described on page 70, above. The fee schedule, effective May 15, 2013 can be found at the following link: <u>http://files.medi-</u>cal.ca.gov/pubsdoco/Rates/rates_download.asp

REIMBURSEMENT METHODOLOGY FOR DENTAL SERVICES

DHCS Fee Schedules - As described on page 70, above. The fee schedule, effective May 15, 2013 can be found at the following link: <u>http://files.medi-cal.ca.gov/pubsdoco/Rates/rates_download.asp</u>

REIMBURSEMENT METHODOLOGY FOR OPTOMETRIC/OPTICIAN SERVICES

DHCS Fee Schedules - As described on page 70, above. The fee schedule, effective May 15, 2013 can be found at the following link: <u>http://files.medi-cal.ca.gov/pubsdoco/Rates/rates_download.asp</u>

REIMBURSEMENT METHODOLOGY FOR PRESCRIPTION LENSES AND FRAMES

DHCS Fee Schedules - As described on page 70, above. The fee schedule, effective May 15, 2013 can be found at the following link: <u>http://files.medi-</u> cal.ca.gov/pubsdoco/Rates/rates_download.asp

REIMBURSEMENT METHODOLOGY FOR PSYCHOLOGY SERVICES

DHCS Fee Schedules - As described on page 70, above. The fee schedule, effective May 15, 2013 can be found at the following link: <u>http://files.medi-cal.ca.gov/pubsdoco/Rates/rates_download.asp</u>

REIMBURSEMENT METHODOLOGY FOR CHORE SERVICES

Usual and Customary Rate Methodology - As described on page 70, above.

Approval Date: February 19, 2014 Effective date: October 1, 2011

REIMBURSEMENT METHODOLOGY FOR COMMUNICATION AIDES

There are two methodologies to determine the monthly rate for this service.

- 1) Usual and Customary Rate Methodology As described on page 70, above. If the provider does not have a usual and customary rate, then rates are set using #2 below.
- 2) Median Rate Methodology As described on page 70, above.

REIMBURSEMENT METHODOLOGY FOR ENVIRONMENTAL ACCESSIBILITY ADAPTATIONS

Usual and Customary Rate Methodology - As described on page 70, above.

REIMBURSEMENT METHODOLOGY FOR NON-MEDICAL TRANSPORTATION

There are three methodologies to determine the monthly rate for this service (except individual transportation providers – see Rate based on Regional Center Employee Travel Reimbursement below).

- 1) Usual and Customary Rate Methodology As described on page 70, above. If the provider does not have a usual and customary rate, then rates are set using #2 below.
- 2) Median Rate Methodology As described on page 70, above.

3) Rate based on Regional Center Employee Travel Reimbursement – The maximum rate paid to individual transportation provider is established as the travel rate paid by the regional center to its own employees. This rate is used only for services provided by an individual transportation provider.

REIMBURSEMENT METHODOLOGY FOR NUTRITIONAL CONSULTATION

Usual and Customary Rate Methodology - As described on page 70, above.

Approval Date: February 19, 2014 Effective date: October 1, 2011

REIMBURSEMENT METHODOLOGY FOR SKILLED NURSING

DHCS Fee Schedules - As described on page 70, above. The fee schedule, effective May 15, 2013 can be found at the following link: <u>http://files.medi-</u>cal.ca.gov/pubsdoco/Rates/rates_download.asp

REIMBURSEMENT METHODOLOGY FOR SPECIALIZED MEDICAL EQUIPMENT AND SUPPLIES

DHCS Fee Schedules - As described on page 70, above. The fee schedule, effective May 15, 2013 can be found at the following link: <u>http://files.medi-</u> cal.ca.gov/pubsdoco/Rates/rates_download.asp

REIMBURSEMENT METHODOLOGY FOR SPECIALIZED THERAPEUTIC SERVICES

(including reimbursement for travel, which must be necessary and cost-effective, to a provider for providing Specialized Therapeutic Services that are outside of the individual's residence or program environment due to the disabilities of the individual)

Median Rate Methodology - As described on page 70, above.

REIMBURSEMENT METHODOLOGY FOR TRANSITION/SET-UP EXPENSES

Usual and Customary Rate Methodology - As described on page 70, above.

Approval Date: February 19, 2014 Effective date: October 1, 2011