Table of Contents

State/Territory Name: Arkansas

State Plan Amendment (SPA) #: 16-0009

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form
- 3) Superseding Page Listing
- 4) Approved Page(s)

DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services Dallas Regional Office 1301 Young Street, Suite 833 Dallas, Texas 75202



DIVISION OF MEDICAID & CHILDREN'S HEALTH - REGION VI

July 12, 2017

Our Reference: SPA AR 16-009

Ms. Dawn Stehle
State Medicaid Director
Arkansas Department of Health and Human Services
Division of Medical Services
P.O. Box 1437
Little Rock, Arkansas 72203-1437

Dear Ms. Stehle:

Enclosed is a copy of approved Arkansas State Plan Amendment (SPA) 16-009, with an effective date of July 1, 2017. This amendment was submitted to establish a limit on the weekly amount of Medicaid funded speech therapy, occupational therapy, and physical therapy that are available to eligible beneficiaries, and to allow for extensions based on medical necessity.

This letter affirms that AR 16-009 is approved effective July 1, 2017 as requested by the State.

We are enclosing the CMS-179 and the following amended plan pages:

- Attachment 3.1-A, Pages 10 and 1p
- Attachment 3.1-A, Page 4a
- Attachment 3.1-B, Pages 2n and 2o
- Attachment 3.1-B, Page 4b

If you have any questions, please contact Stacey Shuman of my staff at (214) 767-6479 or by email at stacey.shuman@cms.hhs.gov.

Sincerely,

Bill Brooks

Bill Brooks Associate Regional Administrator

Cc: Billy Bob Farrell, DMCH Kirsten Jensen, CMS Baltimore Erick Carrera, CMS Baltimore

FORM APPROVED

HEALTH CARE FINANCING ADMINISTRATION		OMB NO: 0938-0193
TRANSMITTAL AND NOTICE OF APPROVAL OF	1. TRANSMITTAL NUMBER:	2. STATE
STATE PLAN MATERIAL	***************************************	
	2016-009	ARKANSAS
FOR: HEALTH CARE FINANCING ADMINISTRATION	3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)	
TO: REGIONAL ADMINISTRATOR	4. PROPOSED EFFECTIVE DATE	
HEALTH CARE FINANCING ADMINISTRATION	*	
DEPARTMENT OF HEALTH AND HUMAN SERVICES	July 1, 2017	
5. TYPE OF PLAN MATERIAL (Check One):		
DINEW STATE DIANI DAMENDMENT TO DE CONSIDERED AS NEW DIANI MANDMENT		
□ NEW STATE PLAN □ AMENDMENT TO BE CONSIDERED AS NEW PLAN □ AMENDMENT COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate Transmittal for each amendment)		
	NDMENT (Separate Transmittal for each 7. FEDERAL BUDGET IMPACT:	amendment)
6. FEDERAL STATUTE/REGULATION CITATION:	a. FFY 2017 (\$ 2,836,582)	
	b. FFY 2018 (\$11,538,444)	
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:	9. PAGE NUMBER OF THE SUPERS	EDED PLAN SECTION
or modification and analysis of the modification of the modificati	OR ATTACHMENT (If Applicable)	
Please see attached	Please see attached	
		,
	, ·	
10. SUBJECT OF AMENDMENT:		
The Arkansas Title XIX State Plan has been amended to establi		
therapy, occupational therapy and physical therapy that may be	provided to an eligible beneficiary. I	Extensions of the benefit
limits will be provided if medically necessary.		
LL COURNIANTS PRIVING L. C.		
11. GOVERNOR'S REVIEW (Check One):		
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ATTACHED LISTING FOR ARKANSAS STATE PLAN TRANSMITTAL #2016-009

8. Number of the Plan Section or Attachment

Attachment 3.1-A, Pages 10 & 1p

Attachment 3.1-A, Page 4a

Attachment 3.1-B, Pages 2n & 2o

Attachment 3.1-B, Page 4b

9. Number of the Superseded Plan Section or Attachment

Attachment 3.1-A, Pages 10 & 1p Approved 02-28-08, TN 07-20

Attachment 3.1-A, Page 4a Approved 08-03-01, TN 01-15

Attachment 3.1-A, Pages 2n & 2o Approved 02-28-08, TN 07-20

Attachment 3.1-B, Page 4b Approved 08-03-01, TN 01-15

State: Arkansas

Date Received: 20 December, 2016

Date Approved: 12 July, 2017 Effective Date: 1 July, 2017 Transmittal Number: 16-09

ATTACHMENT 3.1-A Page 10

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised:

July 1, 2017

CATEGORICALLY NEEDY

- 4.b. Early and Periodic Screening and Diagnosis of Individuals Under 21 Years of Age, and Treatment of Conditions Found. (Continued)
 - (14) RESERVED
 - (15) <u>Physical Therapy and Related Services</u>
 - a. Physical Therapy
 - (1) Services are limited to eligible Medicaid recipients in the Child Health Services (EPSDT) Program.
 - (2) Effective for dates of service on or after October 1, 1999, evaluations are limited to four (4) units per State Fiscal Year (July 1 through June 30). One unit equals 30 minutes. Extensions of the benefit limit will be provided if medically necessary.
 - (3) Services must be prescribed by a physician and provided by or under the supervision of a qualified physical therapist.

A qualified physical therapist assistant may provide services under the supervision of a licensed physical therapist.

All therapies' service definitions and providers must meet the requirements of 42 C.F.R. § 440.110.

(4) Effective for dates of service on or after **July 1, 2017**, individual and group therapy are limited to **six** (6) units per **week.** One unit equals 15 minutes. Extensions of the benefit limit will be provided if medically necessary.

State: Arkansas

Date Received: 20 December, 2016

Date Approved: 12 July, 2017 Effective Date: 1 July, 2017 Transmittal Number: 16-09

TN: 16-09

ATTACHMENT 3.1-A Page 1p

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised:

July 1, 2017

CATEGORICALLY NEEDY

- 4.b. Early and Periodic Screening and Diagnosis of Individuals Under 21 Years of Age, and Treatment of Conditions Found. (Continued)
 - (15) <u>Physical Therapy and Related Services</u> (Continued)
 - b. Occupational Therapy
 - (1) Services are limited to eligible Medicaid recipients in the Child Health Services (EPSDT) Program.
 - (2) Services must be prescribed by a physician and provided by or under the supervision of a qualified occupational therapist.

State: Arkansas

Date Received: 20 December, 2016

Date Approved: 12 July, 2017 Effective Date: 1 July, 2017 Transmittal Number: 16-09 A qualified occupational therapist assistant may provide services under the supervision of a licensed occupational therapist.

All therapies' service definitions and providers must meet the requirements of 42 C.F.R. § 440.110.

- (3) Effective for dates of service on or after October 1, 1999, evaluations are limited to four (4) units per State Fiscal Year (July 1 through June 30). One unit equals 30 minutes. Extensions of the benefit limit for the evaluation will be provided if medically necessary.
- (4) Effective for dates of service on or after **July 1, 2017**, individual and group occupational therapy are limited to **six** (6) units per **week**. One unit equals 15 minutes. Extensions of the benefit limit will be provided if medically necessary.
- c. Services for individuals with speech, hearing and language disorders (provided by or under the supervision of a speech pathologist or audiologist)
 - (1) Speech language pathology services are limited to Medicaid recipients in the Child Health Services (EPSDT) Program.
 - (2) Speech pathology services must be referred by a physician and provided by or under the supervision of a qualified speech pathologist.

A qualified speech therapist assistant may provide services under the supervision of a licensed speech therapist.

All therapies' service definitions and providers must meet the requirements of 42 C.F.R. \S 440.110.

- (3) Evaluations are limited to four (4) units per State Fiscal Year (July 1 through June 30). One (1) unit equals 30 minutes. Extensions of the benefit limit will be provided if medically necessary.
- (4) Effective for dates of service on or after **July 1, 2017**, individual and group speech language pathology services are limited to **six (6)** units per **week**. One unit equals 15 minutes. Extensions of the benefit limit will be provided if medically necessary.

TN: 16-09

Supersedes: TN 07-20 Approval Date: 07/12/2017 Effective Date: 07/01/2017

ATTACHMENT 3.1-A Page 4a

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised:

July 1, 2017

CATEGORICALLY NEEDY

9. Clinic Services

(1) Developmental Day Treatment Clinic Services (DDTCS)

Limited to comprehensive day treatment centers offering the following scope of services:

- a. Diagnosis and evaluation
- b. Habilitative training
- c. Provision of noon meal

Core services are provided at three separate levels of care:

- a. Early Intervention 1 encounter per day; ages birth to school age.
- b. Pre-School 5 units per day, 1 hour each; ages birth to school age.
- c. Adult Development 5 units per day, 1 hour each; ages 18 or above.

Optional Services available through DDTCS in conjunction with core services are as follows:

- a. Physical therapy Services must be prescribed by a physician and provided by or under the supervision of a qualified physical therapist.
- b. Speech therapy Services must be referred by a physician and provided by or under the supervision of a qualified speech pathologist.
- c. Occupational therapy Services must be prescribed by a physician and provided by or under the supervision of a qualified occupational therapist.

Effective for dates of services on or after **July 1, 2017**, individual and group therapy are limited to **six** (6) units per **week**. One unit equals 15 minutes. Evaluations are limited to four (4) units per State Fiscal Year (July 1 through June 30). One unit equals 30 minutes. Extensions of the benefit limit will be provided if medically necessary.

State: Arkansas

Date Received: 20 December, 2016

Date Approved: 12 July, 2017 Effective Date: 1 July, 2017 Transmittal Number: 16-09

TN: 16-09

Supersedes: TN 01-15 Approval Date: 07/12/2017 Effective Date: 07/01/2017

ATTACHMENT 3.1-B Page 2n

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised:

July 1, 2017

MEDICALLY NEEDY

4 h Forty and Pariodic Screening and Diagnosis of Individuals Under 21 Years of Age, and Treatment of

- 4.b. Early and Periodic Screening and Diagnosis of Individuals Under 21 Years of Age, and Treatment of Conditions Found. (Continued)
 - (14) RESERVED
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 - (2) For dates of service on or after October 1, 1999, evaluations are limited to four (4) units per State Fiscal Year (July 1 through June 30). One unit equals 30 minutes. Extensions of the benefit limit will be provided if medically necessary.
 - (3) Services must be prescribed by a physician and provided by or under the supervision of a qualified physical therapist.

A qualified physical therapist assistant may provide services under the supervision of a licensed physical therapist.

All therapies' service definitions and providers must meet the requirements of $42\ C.F.R.\ \S\ 440.110.$

(4) Effective for dates of service on or after **July 1, 2017**, individual and group therapy are limited to **six (6)** units per **week**. One unit equals 15 minutes. Extensions of the benefit limit will be provided if medically necessary.

State: Arkansas

Date Received: 20 December, 2016

Date Approved: 12 July, 2017 Effective Date: 1 July, 2017 Transmittal Number: 16-09

TN: 16-09

Supersedes: TN 07-20 Approval Date: 07/12/2017 Effective Date: 07/01/2017

ATTACHMENT 3.1-B Page 20

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised:

July 1, 2017

MEDICALLY NEEDY

- 4.b. Early and Periodic Screening and Diagnosis of Individuals Under 21 Years of Age, and Treatment of Conditions Found. (Continued)
 - (15) <u>Physical Therapy and Related Services</u> (Continued)
 - b. Occupational Therapy
 - (1) Services are limited to eligible Medicaid recipients in the Child Health Services (EPSDT) Program.
 - (2) Services must be prescribed by a physician and provided by or under the supervision of a qualified occupational therapist.

State: Arkansas

Date Received: 20 December, 2016 Date Approved: 12 July, 2017

Effective Date: 1 July, 2017 Transmittal Number: 16-09 A qualified occupational therapist assistant may provide services under the supervision of a licensed occupational therapist.

All therapies' service definitions and providers must meet the requirements of 42 C.F.R. § 440.110.

- (3) Effective for dates of service on or after October 1, 1999, evaluations are limited to four (4) units per State Fiscal Year (July 1 through June 30). One unit equals 30 minutes. Extensions of the benefit limit will be provided if medically necessary.
- (4) Effective for dates of service on or after **July 1, 2017**, individual and group occupational therapy are limited to **six** (6) units per **week**. One unit equals 15 minutes. Extensions of the benefit limit will be provided if medically necessary.
- c. Services for individuals with speech, hearing and language disorders (provided by or under the supervision of a speech pathologist or audiologist)
 - (1) Speech language pathology services are limited to Medicaid recipients in the Child Health Services (EPSDT) Program.
 - (2) Speech pathology services must be referred by a physician and provided by or under the supervision of a qualified speech pathologist.

A qualified speech therapist assistant may provide services under the supervision of a licensed speech therapist.

All therapies' service definitions and providers must meet the requirements of 42 C.F.R. § 440.110.

- (3) Evaluations are limited to four (4) units per State Fiscal Year (July 1 through June 30). One (1) unit equals 30 minutes. Extensions of the benefit limit for the evaluation will be provided if medically necessary.
- (4) Effective for dates of service on or after **July 1, 2017**, individual and group speech language pathology services are limited to **six (6)** units per **week**. One unit equals 15 minutes. Extensions of the benefit limit will be provided if medically necessary.

TN: 16-09

Supersedes: TN 07-20 Approval Date: 07/12/2017 Effective Date: 07/01/2017

ATTACHMENT 3.1-B

Page 4b

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised:

July 1, 2017

MEDICALLY NEEDY

9. Clinic Services

(1) Developmental Day Treatment Clinic Services (DDTCS)

Limited to comprehensive day treatment centers offering the following scope of services:

- a. Diagnosis and evaluation
- b. Habilitative training
- c. Provision of noon meal

Core services are provided at three separate levels of care:

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Optional Services available through DDTCS in conjunction with core services are as follows:

- a. Physical therapy
- b. Speech therapy
- c. Occupational therapy

Effective for dates of services on or after **July 1, 2017**, individual and group therapy are limited to **six** (6) units per **week**. One unit equals 15 minutes. Evaluations are limited to four (4) units per State Fiscal Year (July 1 through June 30). One unit equals 30 minutes. Extensions of the benefit limit will be provided if medically necessary.

State: Arkansas

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TN: 16-09

Supersedes: TN 01-15 Approval Date: 07/12/2017 Effective Date: 07/01/2017