

**Managed Care Hospital Transition 1115 waiver
HISTORIC DATA - High Level Summary**

TIME PERIOD AND ELIGIBILITY GROUP SERVED:
SFY

| | 2007 | 2008 | 2009 | 2010 | 2007-2010 |
|---|------------------|------------------|------------------|------------------|------------------|
| <u>Aged and Medicare Related</u> | | | | | |
| EXPENDITURES AND UPL | \$ 1,029,726,791 | \$ 1,194,691,949 | \$ 1,344,270,362 | \$ 1,476,426,137 | \$ 5,045,115,238 |
| ELIGIBLE MEMBER MONTHS | 2,925,756 | 2,968,538 | 3,020,974 | 3,095,763 | 12,011,031 |
| PER MEMBER PER MONTH COSTS | \$ 351.95 | \$ 402.45 | \$ 444.98 | \$ 476.92 | \$ 420.04 |

| TREND RATES | ANNUAL CHANGE | | | | 4-YEAR AVERAGE |
|----------------------------|---------------|--------|--------|-------|----------------|
| TOTAL EXPENDITURE | | 16.02% | 12.52% | 9.83% | 12.76% |
| ELIGIBLE MEMBER MONTHS | | 1.46% | 1.77% | 2.48% | 1.90% |
| PER MEMBER PER MONTH COSTS | | 14.35% | 10.57% | 7.18% | 10.66% |

TIME PERIOD AND ELIGIBILITY GROUP SERVED:
SFY

| | 2007 | 2008 | 2009 | 2010 | 2007-2010 |
|----------------------------------|------------------|------------------|------------------|------------------|-------------------|
| <u>Blind and Disabled</u> | | | | | |
| EXPENDITURES AND UPL | \$ 3,640,483,850 | \$ 4,138,895,584 | \$ 4,682,497,276 | \$ 5,197,844,312 | \$ 17,659,721,021 |
| ELIGIBLE MEMBER MONTHS | 3,678,895 | 3,907,066 | 4,156,727 | 4,416,546 | 16,159,234 |
| PER MEMBER PER MONTH COSTS | \$ 989.56 | \$ 1,059.34 | \$ 1,126.49 | \$ 1,176.90 | \$ 1,092.86 |

| TREND RATES | ANNUAL CHANGE | | | | 4-YEAR AVERAGE |
|----------------------------|---------------|--------|--------|--------|----------------|
| TOTAL EXPENDITURE | | 13.69% | 13.13% | 11.01% | 12.60% |
| ELIGIBLE MEMBER MONTHS | | 6.20% | 6.39% | 6.25% | 6.28% |
| PER MEMBER PER MONTH COSTS | | 7.05% | 6.34% | 4.48% | 5.95% |

TIME PERIOD AND ELIGIBILITY GROUP SERVED:
SFY

| | 2007 | 2008 | 2009 | 2010 | 2007-2010 |
|----------------------------|------------------|------------------|------------------|------------------|------------------|
| <u>Adults</u> | | | | | |
| EXPENDITURES AND UPL | \$ 1,641,765,439 | \$ 1,778,040,541 | \$ 1,920,575,071 | \$ 2,055,633,422 | \$ 7,396,014,473 |
| ELIGIBLE MEMBER MONTHS | 2,589,626 | 2,493,137 | 2,502,742 | 2,623,692 | 10,209,197 |
| PER MEMBER PER MONTH COSTS | \$ 633.98 | \$ 713.17 | \$ 767.39 | \$ 783.49 | \$ 724.45 |

| TREND RATES | ANNUAL CHANGE | | | | 4-YEAR AVERAGE |
|----------------------------|---------------|--------|-------|-------|----------------|
| TOTAL EXPENDITURE | | 8.30% | 8.02% | 7.03% | 7.78% |
| ELIGIBLE MEMBER MONTHS | | -3.73% | 0.39% | 4.83% | 0.44% |
| PER MEMBER PER MONTH COSTS | | 12.49% | 7.60% | 2.10% | 7.31% |

| TIME PERIOD AND ELIGIBILITY GROUP SERVED: SFY | 2007 | 2008 | 2009 | 2010 | 2007-2010 |
|--|----------------------|-------------------|-------------------|-------------------|-----------------------|
| Children | | | | | |
| EXPENDITURES AND UPL | \$ 4,860,573,211 | \$ 5,749,275,427 | \$ 6,363,770,393 | \$ 7,241,770,846 | \$ 24,215,389,877 |
| ELIGIBLE MEMBER MONTHS | 23,297,502 | 23,642,197 | 24,860,034 | 27,916,645 | 99,716,378 |
| PER MEMBER PER MONTH COSTS | \$ 208.63 | \$ 243.18 | \$ 255.98 | \$ 259.41 | \$ 242.84 |
| TREND RATES | ANNUAL CHANGE | | | | 4-YEAR AVERAGE |
| TOTAL EXPENDITURE | | 18.28% | 10.69% | 13.80% | 14.21% |
| ELIGIBLE MEMBER MONTHS | | 1.48% | 5.15% | 12.30% | 6.21% |
| PER MEMBER PER MONTH COSTS | | 16.56% | 5.27% | 1.34% | 7.53% |
| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | | | | | |
| SFY | 2007 | 2008 | 2009 | 2010 | 2007-2010 |
| Included Population Total Expenditures | | | | | |
| EXPENDITURES AND UPL | \$ 11,172,549,290 | \$ 12,860,903,501 | \$ 14,311,113,102 | \$ 15,971,674,717 | \$ 54,316,240,610 |
| ELIGIBLE MEMBER MONTHS | 32,491,779 | 33,010,938 | 34,540,477 | 38,052,646 | 138,095,840 |
| PER MEMBER PER MONTH COSTS | \$ 343.86 | \$ 389.60 | \$ 414.33 | \$ 419.73 | \$ 393.32 |
| TREND RATES | ANNUAL CHANGE | | | | 4-YEAR AVERAGE |
| TOTAL EXPENDITURE | | 15.11% | 11.28% | 11.60% | 12.65% |
| ELIGIBLE MEMBER MONTHS | | 1.60% | 4.63% | 10.17% | 5.41% |
| PER MEMBER PER MONTH COSTS | | 13.30% | 6.35% | 1.30% | 6.87% |
| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | | | | | |
| SFY | 2007 | 2008 | 2009 | 2010 | 2007-2010 |
| Other UPL Programs | | | | | |
| UPL for Excluded Population | \$ 1,014,666,359 | \$ 1,048,150,348 | \$ 1,085,883,761 | \$ 1,198,890,581 | \$ 4,347,591,049 |
| Physician UPL | \$ 97,548,654 | \$ 43,644,446 | \$ 40,205,270 | \$ 58,941,905 | \$ 240,340,274 |
| Outpatient UPL | \$ 15,275,700 | \$ 23,262,692 | \$ 16,821,148 | \$ 51,675,106 | \$ 107,034,646 |
| TREND RATES | ANNUAL CHANGE | | | | 4-YEAR AVERAGE |
| UPL for Excluded Population | | 3.30% | 3.60% | 10.41% | 5.72% |
| Physician UPL | | -55.26% | -7.88% | 46.60% | -15.46% |
| Outpatient UPL | | 52.29% | -27.69% | 207.20% | 50.12% |
| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | | | | | |

| SFY | 2007 | 2008 | 2009 | 2010 | 2007-2010 |
|---------------------------------|----------------------|-------------------|-------------------|-------------------|-----------------------|
| Grand Total Expenditures | | | | | |
| EXPENDITURES AND UPL | \$ 12,300,040,003 | \$ 13,975,960,987 | \$ 15,454,023,281 | \$ 17,281,182,308 | \$ 59,011,206,578 |
| TREND RATES | ANNUAL CHANGE | | | | 4-YEAR AVERAGE |
| TOTAL EXPENDITURE | | 13.63% | 10.58% | 11.82% | 12.00% |

| Managed Care Hospital Transition 1115 waiver WITHOUT WAIVER (WOW) BUDGET PROJECTION, August 2018 Update with 5 year renewal | | | | | | | | | | | | | | |
|--|--------------------|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|---|-------------------|-------------------|-------------------|-------------------|--------------------|---------------------|
| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | Base Year (SFY 10) | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | Total 5 yr WW | DEMONSTRATION YEARS (DY) DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | 2012-2022 TOTAL WW |
| Ageed and Medicare Related | | | | | | | | | | | | | | |
| EXPENDITURES | \$ 1,476,426,137 | 1,672,219,286 | 1,777,474,231 | 1,935,824,003 | 3,816,413,873 | 4,980,016,667 | \$ 14,181,948,060 | 4,981,359,495 | 5,345,440,201 | 5,535,298,723 | 5,781,180,318 | 6,069,138,926 | 6,389,845,327 | \$ 48,284,211,050 |
| ELIGIBLE MEMBER MONTHS | 3,095,763 | 3,282,530 | 3,335,662 | 3,472,579 | 4,258,582 | 4,288,756 | 18,618,110 | 4,268,151 | 4,264,161 | 4,253,984 | 4,280,277 | 4,328,975 | 4,390,875 | |
| PMPM INCLUDED UPL COST | | | | | | | | 3.63 | | | | | | |
| PER MEMBER PER MONTH COSTS | \$ 476.92 | \$509.43 | \$532.87 | \$557.46 | \$896.17 | \$1,166.62 | \$ 761.73 | \$1,167.10 | \$ 1,253.57 | \$ 1,301.21 | \$ 1,350.66 | \$ 1,401.98 | \$ 1,455.26 | |
| TREND RATES | | | | | | | | | | | | | | |
| TOTAL EXPENDITURE | | 6.16% | 6.29% | 8.91% | 97.15% | 30.49% | 31.37% | 0.03% | 7.31% | 3.55% | 4.44% | 4.98% | 5.28% | 14.35% |
| ELIGIBLE MEMBER MONTHS | | 2.85% | 1.62% | 4.10% | 22.63% | 0.24% | 6.79% | -0.01% | -0.09% | -0.24% | 0.62% | 1.14% | 1.43% | 2.95% |
| PER MEMBER PER MONTH COSTS | | 3.22% | 4.60% | 4.61% | 60.76% | 30.18% | 23.02% | 3.80% | 3.80% | 3.80% | 3.80% | 3.80% | 3.80% | 11.07% |
| | | | | | | | | | | | | | | |
| Blind and Disabled | | | | | | | | | | | | | | |
| EXPENDITURES | \$ 5,197,844,312 | 6,626,928,709 | 7,156,659,413 | 7,622,128,242 | 8,302,635,124 | 8,946,096,989 | \$ 38,654,448,477 | 8,854,383,983 | 8,615,183,750 | 9,927,686,715 | 9,336,008,711 | 9,827,102,277 | 10,344,169,716 | \$ 94,558,983,629 |
| ELIGIBLE MEMBER MONTHS | 4,416,546 | 4,915,864 | 5,046,404 | 5,105,243 | 5,118,796 | 5,116,236 | 25,302,543 | 5,042,934 | 4,999,545 | 4,976,845 | 4,999,490 | 5,055,210 | 5,111,621 | |
| PMPM INCLUDED UPL COST | | | | | | | | 165.67 | | | | | | |
| PER MEMBER PER MONTH COSTS | \$ 1,176.90 | \$ 1,348.07 | \$ 1,418.17 | \$ 1,493.00 | \$ 1,621.99 | \$ 1,748.57 | \$ 1,527.69 | \$1,755.80 | \$ 1,723.19 | \$ 1,793.84 | \$ 1,867.39 | \$ 1,943.96 | \$ 2,023.66 | |
| TREND RATES | | | | | | | | | | | | | | |
| TOTAL EXPENDITURE | | 12.37% | 7.99% | 6.50% | 8.93% | 7.75% | 7.79% | -1.03% | -2.70% | 3.63% | 4.57% | 5.26% | 5.26% | 4.65% |
| ELIGIBLE MEMBER MONTHS | | 5.28% | 2.66% | 1.17% | 0.27% | -0.05% | 1.00% | -1.43% | -0.86% | -0.45% | 0.46% | 1.11% | 1.12% | 0.39% |
| PER MEMBER PER MONTH COSTS | | 6.73% | 5.20% | 5.28% | 8.64% | 7.80% | 6.72% | 4.10% | 4.10% | 4.10% | 4.10% | 4.10% | 4.10% | 4.15% |
| | | | | | | | | | | | | | | |
| Adults | | | | | | | | | | | | | | |
| EXPENDITURES | \$ 2,456,431,483 | 3,095,202,596 | 3,358,275,145 | 3,493,565,328 | 3,777,107,164 | 3,749,632,600 | \$ 17,473,782,833 | 3,803,242,295 | 3,505,487,932 | 3,694,952,509 | 3,937,946,098 | 4,207,383,681 | 4,495,341,807 | \$ 41,118,137,155 |
| ELIGIBLE MEMBER MONTHS | 2,623,692 | 2,937,043 | 3,011,987 | 3,224,393 | 3,447,933 | 3,392,780 | 16,014,136 | 3,423,661 | 3,426,049 | 3,429,459 | 3,471,028 | 3,521,860 | 3,573,504 | |
| PMPM INCLUDED UPL COST | | | | | | | | 188.09 | | | | | | |
| PER MEMBER PER MONTH COSTS | \$ 936.25 | \$1,053.85 | \$1,114.97 | \$1,083.48 | \$1,095.47 | \$1,105.18 | \$ 1,091.15 | \$1,110.87 | \$ 1,023.19 | \$ 1,077.42 | \$ 1,134.52 | \$ 1,194.65 | \$ 1,257.96 | |
| TREND RATES | | | | | | | | | | | | | | |
| TOTAL EXPENDITURE | | 11.73% | 8.50% | 4.03% | 8.12% | -0.73% | 4.91% | 1.43% | -7.83% | 5.40% | 6.58% | 6.84% | 6.84% | 3.80% |
| ELIGIBLE MEMBER MONTHS | | 5.56% | 2.55% | 7.05% | 6.93% | -1.60% | 3.67% | 0.91% | 0.07% | 0.10% | 1.21% | 1.46% | 1.47% | 1.98% |
| PER MEMBER PER MONTH COSTS | | 5.84% | 5.80% | -2.82% | 1.11% | 0.89% | 1.20% | 5.30% | 5.30% | 5.30% | 5.30% | 5.30% | 5.30% | 1.79% |
| | | | | | | | | | | | | | | |
| Children | | | | | | | | | | | | | | |
| EXPENDITURES | \$ 7,800,549,385 | 9,253,764,671 | 9,643,302,903 | 9,858,788,013 | 10,509,241,403 | 10,812,041,958 | \$ 50,077,138,948 | 10,838,874,816 | 10,982,141,419 | 11,487,694,982 | 12,080,395,129 | 12,763,230,675 | 13,562,843,254 | \$ 121,792,319,223 |
| ELIGIBLE MEMBER MONTHS | 27,916,645 | 30,555,604 | 30,268,693 | 30,536,745 | 31,544,127 | 31,459,619 | 154,364,788 | 31,460,800 | 31,641,682 | 31,672,995 | 31,872,864 | 32,224,358 | 32,768,617 | |
| PMPM INCLUDED UPL COST | | | | | | | | 26.69 | | | | | | |
| PER MEMBER PER MONTH COSTS | \$ 279.42 | \$302.85 | \$318.59 | \$322.85 | \$333.16 | \$343.68 | \$ 324.41 | \$344.52 | \$ 347.08 | \$ 362.70 | \$ 379.02 | \$ 396.07 | \$ 413.90 | |
| TREND RATES | | | | | | | | | | | | | | |
| TOTAL EXPENDITURE | | 8.55% | 4.21% | 2.23% | 6.60% | 2.88% | 3.97% | 0.25% | 1.32% | 4.60% | 5.16% | 5.65% | 6.26% | 3.90% |
| ELIGIBLE MEMBER MONTHS | | 4.43% | -0.94% | 0.89% | 3.30% | -0.27% | 0.73% | 0.00% | 0.57% | 0.10% | 0.63% | 1.10% | 1.69% | 0.70% |
| PER MEMBER PER MONTH COSTS | | 3.94% | 5.20% | 1.34% | 3.19% | 3.16% | 3.21% | 4.50% | 4.50% | 4.50% | 4.50% | 4.50% | 4.50% | 3.17% |
| | | | | | | | | | | | | | | |
| Included Population Total Expenditures | | | | | | | | | | | | | | |
| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | Total 5 yr WW | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | 2012-2022 TOTAL WW |
| Total Expenditures and UPL | \$ 20,648,116,262 | \$ 21,935,711,693 | \$ 22,910,305,585 | \$ 26,405,397,563 | \$ 28,487,788,215 | \$ 120,387,318,318 | \$ 28,477,860,589 | \$ 28,448,253,302 | \$ 29,645,632,930 | \$ 31,135,530,256 | \$ 32,866,855,559 | \$ 34,792,200,104 | \$ 305,753,651,057 | |
| Total Eligible Member Months | 41,691,041 | 41,662,747 | 42,338,960 | 44,369,438 | 44,237,392 | 214,299,578 | 44,195,547 | 44,331,437 | 44,333,263 | 44,623,659 | 45,130,404 | 45,844,617 | 482,758,504 | |
| Total Per Member Per Month Costs | \$ 495.27 | \$ 526.51 | \$ 541.12 | \$ 595.13 | \$ 643.98 | \$ 561.77 | \$ 644.36 | \$ 641.72 | \$ 668.70 | \$ 697.74 | \$ 728.26 | \$ 758.92 | \$ 633.35 | |
| Total Per Member Per Month Trend Rates | | 6.31% | 2.77% | 9.98% | 8.21% | 6.78% | 0.06% | -0.41% | 4.20% | 4.34% | 4.38% | 4.21% | 4.36% | |
| | | | | | | | | | | | | | | |
| Other UPL Programs (Not included in Population) | | | | | | | | | | | | | | |
| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | Total 5 yr WW | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | 2012-2022 TOTAL WOW |
| UPL for Excluded Population | \$ 1,198,890,581 | \$ 1,346,191,839 | \$ 1,423,194,012 | \$ 1,504,600,709 | \$ 1,590,663,870 | \$ 1,681,649,843 | \$ 7,546,300,273 | \$ 1,681,649,843 | \$ 1,681,649,843 | \$ 1,681,649,843 | \$ 1,681,649,843 | \$ 1,681,649,843 | \$ 1,681,649,843 | \$ 17,636,199,332 |
| UPL for Included Population | \$ 58,941,905 | \$ 74,843,903 | \$ 77,089,221 | \$ 79,401,897 | \$ 81,783,954 | \$ 84,237,473 | \$ 397,356,448 | \$ 84,237,473 | \$ 2,334,601,459 | \$ 2,334,601,459 | \$ 2,334,601,459 | \$ 2,334,601,459 | \$ 2,334,601,459 | \$ 11,673,007,294 |
| Physician UPL | \$ 51,675,106 | \$ 58,024,149 | \$ 61,343,130 | \$ 64,851,957 | \$ 68,561,489 | \$ 72,483,206 | \$ 325,263,931 | \$ 72,483,206 | \$ 72,483,206 | \$ 72,483,206 | \$ 72,483,206 | \$ 72,483,206 | \$ 72,483,206 | \$ 902,781,284 |
| Outpatient UPL | | | | | | | | | | | | | | \$ 760,163,168 |

| | | | | | | | | | | | | | | | |
|--|---------------------------------|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|------------------|------------------------|
| Total Other UPL | \$ 1,309,507,592 | \$ 1,479,059,891 | \$ 1,561,626,362 | \$ 1,648,854,564 | \$ 1,741,009,313 | \$ 1,838,370,522 | \$ 8,268,920,652 | \$ 1,838,370,522 | \$ 4,172,971,981 | \$ 4,172,971,981 | \$ 4,172,971,981 | \$ 4,172,971,981 | \$ 4,172,971,981 | \$ 4,172,971,981 | \$ 30,972,151,079 |
| TREND RATES | ANNUAL CHANGE | | | | | | | | | | | | | | 11-YEAR AVERAGE |
| UPL for Excluded Population | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 2.25% |
| Physician UPL | 12.15% | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% | 1.19% |
| Outpatient UPL | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 5.72% | 2.25% |
| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | DEMONSTRATION YEARS (DY) | | | | | | | | | | | | | | 2012-2022 |
| | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | Total 5 yr WW | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | TOTAL WOW | | |
| Grand Total Expenditures | | | | | | | | | | | | | | | |
| Total Expenditures and UPL | \$ 22,127,175,153 | \$ 23,497,338,055 | \$ 24,559,160,149 | \$ 28,146,406,876 | \$ 30,326,158,737 | \$ 128,656,238,970 | \$ 30,316,231,111 | \$ 32,621,225,283 | \$ 33,816,604,911 | \$ 35,308,502,237 | \$ 37,039,827,540 | \$ 38,965,172,085 | \$ 336,725,802,136 | | |

| Managed Care Hospital Transition 1115 waiver WITH WAIVER (WW) BUDGET PROJECTION: August 2018 Update with 5 year renewal | | | | | | | | | | | | | |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|
| CMS 64 Expenditures | | | | | | | | | | | | | |
| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | DEMONSTRATION YEARS (DY) | | | | | 2012-2022 | | |
| | | | | | | Total 5 yr WW | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | TOTAL WW |
| Ageed and Medicare Related | | | | | | | | | | | | | |
| EXPENDITURES | \$ 1,175,239,432 | \$ 1,474,330,925 | \$ 1,676,879,276 | \$ 3,335,716,907 | \$ 4,886,253,365 | \$ 12,548,419,905 | \$ 4,378,603,865 | \$ 4,574,796,364 | \$ 4,658,784,111 | \$ 4,934,363,472 | \$ 5,241,992,694 | \$ 5,577,719,812 | \$ 41,914,680,221 |
| ELIGIBLE MEMBER MONTHS | 3,282,530 | 3,335,662 | 3,472,579 | 4,258,582 | 4,268,756 | 18,618,110 | 4,268,151 | 4,264,161 | 4,253,964 | 4,280,277 | 4,338,975 | 4,390,875 | |
| PER MEMBER PER MONTH COSTS | \$ 358.03 | \$ 441.99 | \$ 482.89 | \$ 783.29 | \$ 1,144.65 | \$ 673.99 | \$ 1,025.88 | \$ 1,072.85 | \$ 1,095.16 | \$ 1,152.81 | \$ 1,210.91 | \$ 1,270.30 | |
| TRENDS RATES | | | | | | | | | | | | | |
| ANNUAL CHANGE | | | | | | | | | | | | | |
| TOTAL EXPENDITURE | | 25.45% | 13.74% | 98.92% | 46.48% | 42.79% | -10.39% | 4.48% | 1.84% | 5.92% | 6.23% | 6.40% | 18.07% |
| ELIGIBLE MEMBER MONTHS | | 1.62% | 4.10% | 0.24% | 6.79% | 0.27% | -0.01% | -0.09% | -0.24% | 0.62% | 1.14% | 1.43% | 3.12% |
| PER MEMBER PER MONTH COSTS | | 23.45% | 9.25% | 62.21% | 46.13% | 33.72% | -10.38% | 4.58% | 2.08% | 5.26% | 5.04% | 4.90% | 14.50% |
| Blind and Disabled | | | | | | | | | | | | | |
| EXPENDITURES | \$ 4,881,509,908 | \$ 5,777,131,345 | \$ 6,151,068,993 | \$ 6,794,236,149 | \$ 7,319,296,108 | \$ 30,923,242,502 | \$ 7,656,823,879 | \$ 7,835,258,029 | \$ 8,355,852,459 | \$ 8,829,803,665 | \$ 9,379,769,610 | \$ 9,964,775,499 | \$ 82,945,525,644 |
| ELIGIBLE MEMBER MONTHS | 4,915,864 | 5,046,404 | 5,105,243 | 5,118,796 | 5,116,236 | 25,302,543 | 5,042,934 | 4,999,545 | 4,976,845 | 4,999,490 | 5,055,210 | 5,111,621 | |
| PER MEMBER PER MONTH COSTS | \$ 993.01 | \$ 1,144.80 | \$ 1,204.85 | \$ 1,327.31 | \$ 1,430.60 | \$ 1,222.14 | \$ 1,518.33 | \$ 1,567.19 | \$ 1,678.95 | \$ 1,766.14 | \$ 1,855.47 | \$ 1,949.44 | |
| TRENDS RATES | | | | | | | | | | | | | |
| ANNUAL CHANGE | | | | | | | | | | | | | |
| TOTAL EXPENDITURE | | 18.35% | 6.47% | 10.46% | 7.73% | 10.66% | 4.61% | 2.33% | 6.64% | 5.67% | 6.23% | 6.24% | 7.63% |
| ELIGIBLE MEMBER MONTHS | | 2.66% | 1.17% | 0.27% | -0.05% | 1.00% | -1.43% | -0.86% | -0.45% | 0.46% | 1.11% | 1.12% | 0.31% |
| PER MEMBER PER MONTH COSTS | | 15.29% | 5.25% | 10.16% | 7.78% | 9.56% | 6.13% | 3.22% | 7.13% | 5.19% | 5.06% | 5.06% | 7.19% |
| Adults | | | | | | | | | | | | | |
| EXPENDITURES | \$ 1,737,568,930 | \$ 1,724,073,480 | \$ 1,781,458,239 | \$ 1,919,062,374 | \$ 1,926,518,932 | \$ 9,088,681,954 | \$ 1,982,259,124 | \$ 2,064,978,432 | \$ 2,136,931,324 | \$ 2,240,208,868 | \$ 2,355,591,247 | \$ 2,478,287,836 | \$ 22,346,938,786 |
| ELIGIBLE MEMBER MONTHS | 2,937,043 | 3,011,987 | 3,224,393 | 3,447,933 | 3,392,780 | 16,014,136 | 3,423,661 | 3,426,049 | 3,429,459 | 3,471,028 | 3,521,860 | 3,573,504 | |
| PER MEMBER PER MONTH COSTS | \$ 591.60 | \$ 572.40 | \$ 552.49 | \$ 556.58 | \$ 567.83 | \$ 567.54 | \$ 578.99 | \$ 602.73 | \$ 623.11 | \$ 645.40 | \$ 668.85 | \$ 693.52 | |
| TRENDS RATES | | | | | | | | | | | | | |
| ANNUAL CHANGE | | | | | | | | | | | | | |
| TOTAL EXPENDITURE | | -0.78% | 3.33% | 7.72% | 0.39% | 2.61% | 2.89% | 4.17% | 3.48% | 4.83% | 5.15% | 5.21% | 3.44% |
| ELIGIBLE MEMBER MONTHS | | 2.55% | 7.05% | 6.93% | -1.60% | 3.67% | 0.91% | 0.07% | 0.10% | 1.21% | 1.46% | 1.47% | 2.04% |
| PER MEMBER PER MONTH COSTS | | -3.25% | -3.48% | 0.74% | 2.02% | -1.02% | 1.97% | 4.10% | 3.38% | 3.58% | 3.63% | 3.69% | 1.37% |
| Children | | | | | | | | | | | | | |
| EXPENDITURES | \$ 6,930,392,876 | \$ 7,194,498,626 | \$ 7,683,861,663 | \$ 7,949,374,831 | \$ 8,136,150,934 | \$ 37,894,268,929 | \$ 7,506,137,563 | \$ 7,306,605,216 | \$ 7,374,304,444 | \$ 7,718,565,879 | \$ 8,100,206,559 | \$ 8,540,322,555 | \$ 84,440,411,147 |
| ELIGIBLE MEMBER MONTHS | 30,555,604 | 30,268,693 | 30,536,745 | 31,544,127 | 31,459,619 | 150,364,788 | 31,460,800 | 31,641,682 | 31,672,995 | 31,872,864 | 32,224,358 | 32,768,617 | |
| PER MEMBER PER MONTH COSTS | \$ 226.81 | \$ 237.69 | \$ 251.63 | \$ 252.01 | \$ 258.62 | \$ 245.49 | \$ 238.59 | \$ 230.92 | \$ 232.83 | \$ 242.17 | \$ 251.37 | \$ 260.63 | |
| TRENDS RATES | | | | | | | | | | | | | |
| ANNUAL CHANGE | | | | | | | | | | | | | |
| TOTAL EXPENDITURE | | 3.81% | 6.80% | 3.46% | 2.35% | 4.09% | -7.74% | -2.66% | 0.93% | 4.67% | 4.94% | 5.43% | 1.75% |
| ELIGIBLE MEMBER MONTHS | | -0.94% | 0.89% | 3.30% | -0.27% | 0.73% | 0.00% | 0.57% | 0.10% | 0.63% | 1.10% | 1.69% | 0.59% |
| PER MEMBER PER MONTH COSTS | | 4.79% | 5.86% | 0.15% | 2.62% | 3.34% | -7.75% | -3.21% | 0.83% | 4.01% | 3.80% | 3.68% | 1.15% |
| Included Population Total Expenditures | | | | | | | | | | | | | |
| Total Expenditures | \$ 14,724,701,146 | \$ 16,170,034,376 | \$ 17,293,268,170 | \$ 19,998,390,261 | \$ 22,268,219,338 | \$ 90,454,613,291 | \$ 21,523,824,431 | \$ 21,781,638,041 | \$ 22,525,872,338 | \$ 23,722,941,884 | \$ 25,077,560,110 | \$ 26,561,105,702 | \$ 231,647,555,797 |
| Total Eligible Member Months | 41,691,041 | 41,662,747 | 42,338,960 | 44,369,438 | 44,237,392 | 214,299,578 | 44,195,547 | 44,331,437 | 44,333,263 | 44,623,659 | 45,130,404 | 45,844,617 | 482,758,504 |
| Total Per Member Per Month Costs | \$ 353.19 | \$ 388.12 | \$ 408.45 | \$ 450.72 | \$ 503.38 | \$ 422.09 | \$ 487.01 | \$ 491.34 | \$ 508.10 | \$ 531.62 | \$ 555.67 | \$ 579.37 | \$ 479.84 |
| Total Per Member Per Month Trend Rates | | 9.89% | 5.24% | 10.35% | 11.68% | 9.26% | -3.25% | 0.89% | 3.41% | 4.63% | 4.52% | 4.27% | 5.07% |
| Other UPL Programs (Not Included in Population) | | | | | | | | | | | | | |
| UPL for Excluded Population | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Physician UPL | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Outpatient UPL | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| TRENDS RATES | | | | | | | | | | | | | |
| ANNUAL CHANGE | | | | | | | | | | | | | |
| UPL for Excluded Population | | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Physician UPL | | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Outpatient UPL | | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| DEMONSTRATION YEARS (DY) | | | | | | | | | | | | | |
| | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | Total 5 yr WW | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | TOTAL WW |

| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | Total 5 yr WW | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | TOTAL WW |
|---|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|--------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|--------------------------|
| Pool | | | | | | | | | | | | | |
| Uncompensated Care Pool Payments | \$ 3,700,000,000 | \$ 3,900,000,000 | \$ 3,534,000,000 | \$ 3,348,000,000 | \$ 3,100,000,000 | \$ 17,582,000,000 | \$ 3,100,000,000 | \$ 3,101,776,278 | \$ 3,101,776,278 | \$ 2,334,323,270 | \$ 2,334,323,270 | \$ 2,334,323,270 | \$ 33,888,522,366 |
| DSRIP | \$ 500,000,000 | \$ 2,300,000,000 | \$ 2,666,000,000 | \$ 2,852,000,000 | \$ 3,100,000,000 | \$ 11,418,000,000 | \$ 3,100,000,000 | \$ 3,100,000,000 | \$ 3,100,000,000 | \$ 2,910,000,000 | \$ 2,490,000,000 | \$ - | \$ 26,118,000,000 |
| TOTAL EXPENDITURE | \$ 4,200,000,000 | \$ 6,200,000,000 | \$ 6,200,000,000 | \$ 6,200,000,000 | \$ 6,200,000,000 | \$ 29,000,000,000 | \$ 6,200,000,000 | \$ 6,201,776,278 | \$ 6,201,776,278 | \$ 5,244,323,270 | \$ 4,824,323,270 | \$ 2,334,323,270 | \$ 60,006,522,366 |

Note: Pool payments for DY 01 include transition payments for hospitals shifting from the current UPL payment methodology to the waiver methodology as managed care is expanded statewide.

| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | Total 5 yr WW | DEMONSTRATION YEARS (DY) | | | | | 2012-2022 TOTAL WW | |
|--|-------------------|-------------------|-------------------|-----------------------|-------------------------|-------------------------|--------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|--------------------------|
| | | | | | | | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | | DY 11 (FFY 22) |
| Network Access Improvement Project | | | | | | | | | | | | | |
| NAIP Expenditures | \$ - | \$ - | \$ - | \$ 118,390,312 | \$ 512,750,684 | \$ 631,140,996 | \$ 424,954,260 | \$ 417,267,354 | \$ 425,688,407 | \$ 425,688,407 | \$ 425,688,407 | \$ 425,688,407 | \$ 3,176,116,236 |
| Nursing Facility Directed Payments | \$ - | \$ - | \$ - | \$ 115,656,317 | \$ 558,144,269 | \$ 673,800,586 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 673,800,586 |
| Delivery System & Provider Payment Initiatives | | | | | | | | | | | | | |
| Quality Incentive Payment Program (QIPP) | | | | | | | | \$ 399,145,997 | \$ 417,494,621 | \$ 500,000,000 | \$ 550,000,000 | \$ 600,000,000 | \$ 2,466,640,617 |
| Uniform Hospital Rate Increase Program (UHRIP) | | | | | | | | \$ 682,681,445 | \$ 1,345,982,129 | \$ 1,150,000,000 | \$ 1,325,000,000 | \$ 1,500,000,000 | \$ 6,003,663,574 |
| TOTAL EXPENDITURE | \$ - | \$ - | \$ - | \$ 234,046,628 | \$ 1,070,894,954 | \$ 1,304,941,582 | \$ 424,954,260 | \$ 1,499,094,795 | \$ 2,189,165,156 | \$ 2,075,688,407 | \$ 2,300,688,407 | \$ 2,525,688,407 | \$ 12,320,221,014 |

| TIME PERIOD AND ELIGIBILITY GROUP SERVED: | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | Total 5 yr WW | DEMONSTRATION YEARS (DY) | | | | | 2012-2022 TOTAL WW | |
|---|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---------------------------|
| | | | | | | | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | | DY 11 (FFY 22) |
| Grand Total Expenditures | | | | | | | | | | | | | |
| Total Expenditures and Transition Pool | \$ 18,924,701,146 | \$ 22,370,034,376 | \$ 23,493,268,170 | \$ 26,432,436,889 | \$ 29,539,114,292 | \$ 120,759,554,873 | \$ 28,148,778,691 | \$ 29,482,609,114 | \$ 30,916,813,772 | \$ 31,042,953,561 | \$ 32,202,571,787 | \$ 31,421,117,378 | \$ 303,974,299,177 |

Roll Up of Expenditures into MEGs Work:

| | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--|
| EXPENDITURES | | | | | | | | | | | | | |
| Aged and Medicare Related | 1,175,239,432 | 1,474,330,925 | 1,676,879,276 | 3,335,716,907 | 4,886,253,365 | | 4,378,603,865 | 4,574,796,364 | 4,658,784,111 | 4,934,363,472 | 5,241,992,694 | 5,577,719,812 | |
| Blind and Disabled | 4,881,509,908 | 5,777,131,345 | 6,151,068,993 | 6,794,236,149 | 7,319,296,108 | | 7,656,823,879 | 7,835,258,029 | 8,355,852,459 | 8,829,803,665 | 9,379,769,610 | 9,964,775,499 | |
| Adults | 1,737,568,930 | 1,724,073,480 | 1,781,458,239 | 1,919,062,374 | 1,926,518,932 | | 1,982,259,124 | 2,064,978,432 | 2,136,931,324 | 2,240,208,868 | 2,355,591,247 | 2,478,287,836 | |
| Children | 6,930,382,876 | 7,194,498,626 | 7,683,861,663 | 7,949,374,831 | 8,136,150,934 | | 7,506,137,563 | 7,306,605,216 | 7,374,304,444 | 7,718,565,879 | 8,100,206,559 | 8,540,322,555 | |
| AMR vs. BD relative percentage (for NFDP and QIPP) | | | | | | | | | | | | | |
| Aged and Medicare Related | | | | | | NFDP | 84.1% | 87.3% | | | | | |
| Blind and Disabled | | | | | | | 15.9% | 12.7% | | | | | |
| AMR vs. BD vs. Adult v. Child relative percentage (for NAIP and UHRIP) | | | | | | | | | | | | | |
| Aged and Medicare Related | | | | | | NAIP | 0.0% | 0.0% | | | | | |
| Blind and Disabled | | | | | | | 5.3% | 6.0% | | | | | |
| Adults | | | | | | | 7.2% | 8.5% | | | | | |
| Children | | | | | | | 87.3% | 85.0% | | | | | |
| | | | | | | QIPP | 0.0% | 89.2% | 89.3% | 89.3% | 89.3% | 89.3% | |
| | | | | | | | 0.0% | 10.8% | 10.7% | 10.7% | 10.7% | 10.7% | |
| | | | | | | NAIP & UHRIP | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | |
| | | | | | | | 7.9% | 24.3% | 26.5% | 26.5% | 26.5% | 26.5% | |
| | | | | | | | 8.7% | 20.4% | 21.2% | 21.2% | 21.2% | 21.2% | |
| | | | | | | | 83.3% | 55.3% | 52.3% | 52.3% | 52.3% | 52.3% | |
| | | | | | | NAIP, UHRIP, QIPP based on actual premium payments by MEG. | | | | | | | |
| NFDP and QIPP allocated by AMR vs. BD relative percentages | | | | | | | | | | | | | |
| Aged and Medicare Related | - | - | - | - | - | | 356,182,431 | 372,638,345 | 446,279,218 | 490,907,140 | 535,535,061 | | |
| Blind and Disabled | - | - | - | - | - | | 42,963,566 | 44,856,275 | 53,720,782 | 59,092,860 | 64,464,939 | | |
| NAIP and UHRIP allocated by AMR vs. BD vs. Adult v. Child relative percentages | | | | | | | | | | | | | |
| Aged and Medicare Related | - | - | - | - | - | | 33,749,544 | 267,356,664 | 469,446,410 | 417,516,265 | 463,886,693 | 510,257,122 | |
| Blind and Disabled | - | - | - | - | - | | 37,135,375 | 224,096,253 | 375,438,071 | 333,908,015 | 370,992,696 | 408,077,378 | |
| Adults | - | - | - | - | - | | 354,069,340 | 608,495,892 | 926,785,055 | 824,264,127 | 915,809,017 | 1,007,353,907 | |
| Children | - | - | - | - | - | | - | - | - | - | - | - | |
| ROLLED UP EXPENDITURES | | | | | | | | | | | | | |
| Aged and Medicare Related | 1,175,239,432 | 1,474,330,925 | 1,676,879,276 | 3,335,716,907 | 4,886,253,365 | | 4,378,603,865 | 4,930,978,794 | 5,031,422,456 | 5,380,642,689 | 5,732,899,833 | 6,113,254,873 | |
| Blind and Disabled | 4,881,509,908 | 5,777,131,345 | 6,151,068,993 | 6,794,236,149 | 7,319,296,108 | | 7,690,573,423 | 8,145,578,259 | 8,870,155,144 | 9,301,040,712 | 9,902,749,164 | 10,539,497,559 | |
| Adults | 1,737,568,930 | 1,724,073,480 | 1,781,458,239 | 1,919,062,374 | 1,926,518,932 | | 2,019,394,499 | 2,289,074,685 | 2,512,370,395 | 2,574,116,883 | 2,726,583,944 | 2,886,365,214 | |
| Children | 6,930,382,876 | 7,194,498,626 | 7,683,861,663 | 7,949,374,831 | 8,136,150,934 | | 7,860,206,904 | 7,915,101,098 | 8,301,089,499 | 8,542,830,006 | 9,016,015,576 | 9,547,676,462 | |

CMS 64 Reconciliation Work (Costs to Remove from 64):

| | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) |
|---------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| SHARS Cost Settlements | | | | | |
| Aged and Medicare Related | | | | | |
| Blind and Disabled | | | | | |
| Adults | | | | | |
| Children | | | | 428,054,145 | 542,457,310 |
| Adoption Subsidy and PCA | | | | | |
| Aged and Medicare Related | | | | | |
| Blind and Disabled | | | | | |
| Adults | | | | | |
| Children | 98,181,663 | 119,655,928 | 131,714,901 | 148,525,171 | 162,564,383 |
| ACA HIPE | | | | | |
| Aged and Medicare Related | | | | 580,830 | 1,954,513 |
| Blind and Disabled | | | | 53,855,854 | 88,768,652 |
| Adults | | | | 15,157,836 | 30,019,968 |
| Children | | | | 115,278,746 | 123,633,164 |

217-Like HCBS MAO Clients

| | | | | | |
|---------------------------|-----------------------|-----------------------|-----------------------|-------------------------|-------------------------|
| Aged and Medicare Related | 261,713,306 | 353,293,800 | 290,747,378 | 403,807,983 | 415,305,115 |
| Blind and Disabled | 16,542,592 | 17,120,398 | 19,100,984 | 24,704,232 | 25,033,761 |
| Adults | | | | | |
| Children | | | | | |
| Aged and Medicare Related | | | | | |
| Blind and Disabled | | | | | |
| Adults | | | | | |
| Children | | | | | |
| Total | \$ 376,437,561 | \$ 490,070,126 | \$ 441,563,264 | \$ 1,189,964,797 | \$ 1,389,736,866 |

| Managed Care Hospital Transition 1115 waiver BUDGET NEUTRALITY SUMMARY: August 2018 Update with 5 year renewal | | | | | | | | | | | | | | | |
|---|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|--|--------------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|--|-------------------------------------|--|
| WITHOUT WAIVER SUMMARY | DEMONSTRATION YEARS (DY) | | | | | 2012-2016 Total 5 yr WOW | Extension DY 06 (FFY 17) | DEMONSTRATION YEARS (DY) | | | | | 2018-2022 Total 5 yr WOW extension | 2012-2022 TOTAL 11 yr WOW | |
| | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | | | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | | | |
| Aged and Medicare Related | \$ 1,672,219,286 | \$ 1,777,474,231 | \$ 1,935,824,003 | \$ 3,816,413,873 | \$ 4,980,016,667 | \$ 14,181,948,060 | \$ 4,981,359,495 | \$ 5,345,440,201 | \$ 5,535,298,723 | \$ 5,781,180,318 | \$ 6,069,138,926 | \$ 6,389,845,327 | \$ 29,120,903,495 | \$ 48,284,211,050 | |
| Blind and Disabled | \$ 6,626,928,709 | \$ 7,156,659,413 | \$ 7,622,128,242 | \$ 8,302,635,124 | \$ 8,946,096,989 | \$ 38,654,448,477 | \$ 8,854,383,983 | \$ 8,615,183,750 | \$ 8,927,686,715 | \$ 9,336,008,711 | \$ 9,827,102,277 | \$ 10,344,169,716 | \$ 47,050,151,170 | \$ 94,558,983,629 | |
| Adults | \$ 3,095,202,596 | \$ 3,358,275,145 | \$ 3,493,565,328 | \$ 3,777,107,164 | \$ 3,749,632,600 | \$ 17,473,782,833 | \$ 3,803,242,295 | \$ 3,505,487,932 | \$ 3,694,952,509 | \$ 3,937,946,098 | \$ 4,207,383,681 | \$ 4,495,341,807 | \$ 19,841,112,027 | \$ 41,118,137,155 | |
| Children | \$ 9,253,764,671 | \$ 9,643,302,903 | \$ 9,858,788,013 | \$ 10,509,241,403 | \$ 10,812,041,958 | \$ 50,077,138,948 | \$ 10,838,874,816 | \$ 10,982,141,419 | \$ 11,487,694,982 | \$ 12,080,395,129 | \$ 12,763,230,675 | \$ 13,562,843,254 | \$ 60,876,305,459 | \$ 121,792,319,223 | |
| Other UPL Programs (Not Included in Population) | \$ 1,479,059,891 | \$ 1,561,626,362 | \$ 1,648,854,564 | \$ 1,741,009,313 | \$ 1,838,370,522 | \$ 8,268,920,652 | \$ 1,838,370,522 | \$ 4,172,971,981 | \$ 4,172,971,981 | \$ 4,172,971,981 | \$ 4,172,971,981 | \$ 4,172,971,981 | \$ 20,864,859,905 | \$ 30,972,151,079 | |
| Total WOW Expenditures | \$ 22,127,175,153 | \$ 23,497,338,055 | \$ 24,559,160,149 | \$ 28,146,406,876 | \$ 30,326,158,737 | \$ 128,656,238,970 | \$ 30,316,231,111 | \$ 32,621,225,283 | \$ 33,818,604,911 | \$ 35,308,602,237 | \$ 37,039,827,540 | \$ 38,965,172,085 | \$ 177,753,332,058 | \$ 336,725,802,136 | |
| WITH WAIVER SUMMARY | DY 01 (FFY 12) | DY 02 (FFY 13) | DY 03 (FFY 14) | DY 04 (FFY 15) | DY 05 (FFY 16) | 2012-2016 Total 5 yr WW | DY 06 (FFY 17) | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | 2018-2022 Total 5 yr WW extension | 2012-2022 TOTAL 11 yr WW | |
| Aged and Medicare Related | \$ 1,175,239,432 | \$ 1,474,330,925 | \$ 1,676,879,276 | \$ 3,335,716,907 | \$ 4,886,253,365 | \$ 12,548,419,905 | \$ 4,378,603,865 | \$ 4,574,796,364 | \$ 4,658,784,111 | \$ 4,934,363,472 | \$ 5,241,992,694 | \$ 5,577,719,812 | \$ 24,987,656,452 | \$ 41,914,680,221 | |
| Blind and Disabled | \$ 4,881,509,908 | \$ 5,777,131,345 | \$ 6,151,068,993 | \$ 6,794,236,149 | \$ 7,319,296,108 | \$ 30,923,242,502 | \$ 7,656,823,879 | \$ 7,835,258,029 | \$ 8,355,852,459 | \$ 8,829,803,665 | \$ 9,379,769,610 | \$ 9,964,775,499 | \$ 44,365,459,263 | \$ 82,945,525,644 | |
| Adults | \$ 1,737,568,930 | \$ 1,724,073,480 | \$ 1,781,458,239 | \$ 1,919,062,374 | \$ 1,926,518,932 | \$ 9,088,681,954 | \$ 1,982,259,124 | \$ 2,064,978,432 | \$ 2,136,931,324 | \$ 2,240,208,868 | \$ 2,355,591,247 | \$ 2,478,287,836 | \$ 11,275,997,707 | \$ 22,346,938,786 | |
| Children | \$ 6,930,382,876 | \$ 7,194,498,626 | \$ 7,683,861,663 | \$ 7,949,374,831 | \$ 8,136,150,934 | \$ 37,894,268,929 | \$ 7,506,137,563 | \$ 7,306,605,216 | \$ 7,374,304,444 | \$ 7,718,565,879 | \$ 8,100,206,559 | \$ 8,540,322,555 | \$ 39,040,004,654 | \$ 84,440,411,147 | |
| Other UPL Programs (Not Included in Population) | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | |
| Non-Pool Expenditures | \$ 14,724,701,146 | \$ 16,170,034,376 | \$ 17,293,268,170 | \$ 19,998,390,261 | \$ 22,268,219,338 | \$ 90,454,613,291 | \$ 21,523,824,431 | \$ 21,781,638,041 | \$ 22,525,672,338 | \$ 23,722,941,884 | \$ 25,077,560,110 | \$ 26,561,105,702 | \$ 119,669,118,075 | \$ 231,647,555,797 | |
| Savings Phase-Down: Percentages | | | | | | | | | | | | | | | |
| Aged and Medicare Related | | | | | | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | | | | | |
| Blind and Disabled | | | | | | 86% | 83% | 76% | 68% | 60% | | | | | |
| Adults | | | | | | 82% | 78% | 74% | 69% | 61% | | | | | |
| Children | | | | | | 52% | 48% | 44% | 41% | 37% | | | | | |
| | | | | | | 60% | 55% | 49% | 43% | 38% | | | | | |
| Savings Phase-Down: Amounts to Subtract | | | | | | | | | | | | | | | |
| | | | | | | Using Rolled Up WW Expenditures | DY 07 (FFY 18) | DY 08 (FFY 19) | DY 09 (FFY 20) | DY 10 (FFY 21) | DY 11 (FFY 22) | | | | |
| Aged and Medicare Related | | | | | | | \$ 58,024,597 | \$ 85,658,965 | \$ 96,129,031 | \$ 107,596,510 | \$ 110,636,182 | | | | |
| Blind and Disabled | | | | | | | \$ 84,528,988 | \$ 12,656,946 | \$ 9,091,690 | \$ - | \$ - | | | | |
| Adults | | | | | | | \$ 583,878,359 | \$ 614,942,699 | \$ 763,744,360 | \$ 873,671,845 | \$ 1,013,655,254 | | | | |
| Children | | | | | | | \$ 1,226,816,128 | \$ 1,433,972,467 | \$ 1,804,158,212 | \$ 2,135,912,607 | \$ 2,489,403,411 | | | | |
| Total Savings Removed | | | | | | | \$ 1,953,248,072 | \$ 2,147,231,078 | \$ 2,673,123,284 | \$ 3,117,180,961 | \$ 3,613,694,846 | \$ 13,504,478,241 | \$ 13,504,478,241 | | |
| Waiver Pool | | | | | | | | | | | | | | | |
| Uncompensated Care Pool Payments | \$ 3,700,000,000 | \$ 3,900,000,000 | \$ 3,534,000,000 | \$ 3,348,000,000 | \$ 3,100,000,000 | \$ 17,582,000,000 | \$ 3,100,000,000 | \$ 3,101,776,278 | \$ 3,101,776,278 | \$ 2,334,323,270 | \$ 2,334,323,270 | \$ 2,334,323,270 | \$ 13,206,522,366 | \$ 33,888,522,366 | |
| DSRIP | \$ 500,000,000 | \$ 2,300,000,000 | \$ 2,666,000,000 | \$ 2,852,000,000 | \$ 3,100,000,000 | \$ 11,418,000,000 | \$ 3,100,000,000 | \$ 3,100,000,000 | \$ 3,100,000,000 | \$ 2,910,000,000 | \$ 2,490,000,000 | \$ - | \$ 11,600,000,000 | \$ 26,118,000,000 | |
| Network Access Improvement Project | | | | | | | | | | | | | | | |
| NAIP Expenditures | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 424,954,260 | \$ 417,267,354 | \$ 425,688,407 | \$ 425,688,407 | \$ 425,688,407 | \$ 425,688,407 | \$ 2,120,020,981 | \$ 2,544,975,240 | |
| Nursing Facility Directed Payments | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | |
| Delivery System & Provider Payment Initiatives | | | | | | | | | | | | | | | |
| Quality Incentive Payment Program (QIPP) | | | | | | | | | | | | | | | |
| Uniform Hospital Rate Increase Program (UHRIP) | | | | | | | | | | | | | | | |
| Total WW Expenditures | \$ 18,924,701,146 | \$ 22,370,034,376 | \$ 23,493,268,170 | \$ 26,198,390,261 | \$ 28,468,219,338 | \$ 119,454,613,291 | \$ 28,148,778,691 | \$ 29,482,509,114 | \$ 30,916,813,772 | \$ 31,042,953,561 | \$ 32,202,571,787 | \$ 31,421,117,378 | \$ 155,065,965,613 | \$ 302,669,357,595 | |
| Expenditures (Over)/Under Cap w/Savings Phase Down | \$ 3,202,474,007 | \$ 1,127,303,679 | \$ 1,065,891,979 | \$ 1,948,016,615 | \$ 1,857,939,399 | \$ 9,201,625,678 | \$ 2,167,452,420 | \$ 1,185,468,096 | \$ 754,560,061 | \$ 1,592,425,393 | \$ 1,720,074,791 | \$ 3,930,369,860 | \$ 9,182,888,201 | \$ 20,551,966,300 | |
| Duals Demonstration Savings Adjustment (03/15-12/18) | \$ - | \$ - | \$ - | \$ 2,077,699 | \$ 13,770,549 | \$ 15,848,248 | \$ 18,333,788 | \$ 27,440,671 | \$ 30,656,331 | \$ 32,439,047 | \$ 34,422,845 | \$ 36,421,553 | \$ 161,380,247 | \$ 195,562,283 | |
| Expenditures (Over)/Under Cap w/out DD Savings | \$ 3,202,474,007 | \$ 1,127,303,679 | \$ 1,065,891,979 | \$ 1,945,938,917 | \$ 1,844,168,849 | \$ 9,185,777,430 | \$ 2,149,118,633 | \$ 1,158,027,425 | \$ 723,903,730 | \$ 1,559,986,346 | \$ 1,685,652,146 | \$ 3,893,938,307 | \$ 9,021,507,954 | \$ 20,356,404,017 | |
| 5 Year Rollover of Savings | | | | | | | | | | | | | | | |
| Expenditures (Over)/Under Cap w/Savings Rollover | \$ 3,202,474,007 | \$ 1,127,303,679 | \$ 1,065,891,979 | \$ 1,945,938,917 | \$ 1,844,168,849 | \$ 9,185,777,430 | \$ 2,149,118,633 | \$ 1,626,484,411 | \$ 1,626,484,411 | \$ 1,626,484,411 | \$ 1,626,484,411 | \$ 1,626,484,411 | \$ 8,132,422,056 | \$ 8,132,422,056 | |

Annual 1115a Budget Neutrality Update and Impact of Texas Dual Eligible Integrated Care Demonstration Project ("Dual Demo")

| DY | Dual Demo Avg Monthly Caseload | Dual Demo Total Recipient Months | Medicaid Capitation Rate (hypothetical, before savings) | % Savings Applied per MOU | PMPM Savings | Total DD Savings, Subtracted from 1115(a) BN Savings | Total DD Premium Cost (after savings) | Savings Outside of BN (Add back) | | | |
|---------------------|--------------------------------|----------------------------------|---|---------------------------|--------------|--|---------------------------------------|----------------------------------|--------------|---------------|------------|
| | | | | | | | | Acute Savings | LTSS Savings | Total Savings | |
| FFY 2015 (Mar-Sept) | DY 04 | 31,635 | 221,445 | \$ 750.60 | 1.25% | \$ 9.38 | 2,077,699 | 164,138,189 | 291,827 | 1,785,872 | 2,077,699 |
| FFY 2016 (Oct-Dec) | DY 05 | 50,303 | 150,908 | \$ 1,112.45 | 1.25% | \$ 13.91 | 2,098,472 | 165,779,267 | 236,935 | 1,861,536 | 2,098,472 |
| FFY 2016 (Jan-Sept) | DY 05 | 43,438 | 390,938 | \$ 1,076.07 | 2.75% | \$ 29.59 | 11,568,593 | 409,107,526 | 1,332,084 | 10,236,510 | 11,568,593 |
| FFY 2016 Total | DY 05 | 45,154 | 541,846 | \$ 1,086.20 | | | 13,667,065 | 574,886,793 | 1,569,019 | 12,098,046 | 13,667,065 |
| FFY 2017 (Oct-Dec) | DY 06 | 35,634 | 106,902 | \$ 1,041.03 | 2.75% | \$ 28.63 | 3,060,424 | 108,227,725 | 346,640 | 2,713,784 | 3,060,424 |
| FFY 2017 (Jan-Sept) | DY 06 | 41,963 | 377,671 | \$ 1,078.42 | 3.75% | \$ 40.44 | 15,273,363 | 392,016,329 | 1,624,447 | 13,648,916 | 15,273,363 |
| FFY 2017 Total | DY 06 | 40,381 | 484,573 | \$ 1,070.17 | | | 18,333,788 | 500,244,055 | 1,971,087 | 16,362,701 | 18,333,788 |
| FFY 2018 (Oct-Dec) | DY 07 | 41,787 | 125,362 | \$ 1,051.67 | 3.75% | \$ 39.44 | 4,943,964 | 126,895,080 | 398,420 | 4,545,544 | 4,943,964 |
| FFY 2018 (Jan-Sept) | DY 07 | 42,476 | 382,287 | \$ 1,069.96 | 5.50% | \$ 58.85 | 22,496,707 | 386,534,327 | 1,798,795 | 20,697,912 | 22,496,707 |
| FFY 2018 Total | DY 07 | 42,304 | 507,649 | \$ 1,065.44 | | | 27,440,671 | 513,429,407 | 2,197,215 | 25,243,456 | 27,440,671 |
| FFY 2019 (Oct-Dec) | DY 08 | 42,635 | 127,905 | \$ 1,093.09 | 5.50% | \$ 60.12 | 7,689,646 | 132,122,099 | 622,691 | 7,066,955 | 7,689,646 |
| FFY19 Total | | | | | | | | | | | 30,656,331 |

The dual demonstration is scheduled for implementation on March 1, 2015. The forecast used in our annual update of Budget Neutrality includes the impacts of this new program. Costs forecast for the new model is based on current STAR+PLUS premiums from the six SDAs where the demo will occur, minus the below savings assumptions. In order to remove these savings from the 1115a BN, we have subtracted cost savings attributed to the Duals Demonstration from the savings in the Budget Neutrality exhibit. The above chart details how this saving amount has been derived. Please note that the premiums above are based on STAR+PLUS costs and all include expenses for long term services and supports, making them higher than the overall AMR MEG pmpm.

| | |
|-------------|------------|
| FFY20 Total | 32,439,047 |
| FFY21 Total | 34,422,645 |
| FFY22 Total | 36,421,553 |