

**State of Montana**  
**Montana Plan First Family Planning Demonstration**  
**Section 1115 Quarterly Report**  
**CY6, 2017, Quarter 1**  
**Fiscal Quarter January 1 – March 31, 2017**

**Introduction / History**

In January 2012, modifications were made to the Montana's Medicaid Management Information System (MMIS) to process the family planning waiver plan of benefits. An online application and eligibility process were developed and tested. In April 2012, the MMIS enhancements were completed.

Public notice of Plan First was published in newspapers in early February 2012. Later that month the administrative rule hearing was held.

On May 30, 2012, the special terms and conditions (STCs) and approval letter were received, and on June 12, 2012, Montana accepted the Plan First Family Planning Demonstration Award.

The Montana Plan First website went live in early June, 2012. The website contains general information on Plan First including eligibility criteria, documents, resources, contact information, the Plan First brochure, and an easy-to-use online application.

Medicaid providers including physicians, pharmacies, mid-level practitioners, family planning clinics, public health clinics, Indian Health Services, federally qualified health centers, and rural health clinics were all introduced to Plan First through the Montana Department of Health and Human Services website. In addition, an article about Plan First was published in the Claim Jumper, an on-line provider newsletter published by Montana Health Care Programs' fiscal agent and available electronically to all Medicaid providers.

The Plan First announcement to the media, public, and providers was completed during the month of June 2012.

On January 1, 2014, Montana adopted the modified adjusted gross income (MAGI) family and income counting eligibility methodology required by the Affordable Care Act (ACA). This change increased Plan First's federal poverty level (FPL) percentage from 200% to 211%, requiring a new state administrative rule and eligibility application. The administrative redetermination process, which automatically enrolls members who do not report any household or income changes, was suspended for 2014.

CMS extended the original waiver to December 31, 2014. Waiver renewal activities began in early 2014 to prepare for a new three-year waiver cycle beginning January 1, 2015. Tribal notification was sent April 2, 2014. Public meetings were held in Billings and Helena on April 9, 2014, and April 14, 2014 respectively. Public notice was published in Billings and Missoula newspapers on April 1, 2014, and April 6, 2014 respectively. The waiver renewal application

was submitted on June 30, 2014.

Montana received the preliminary waiver renewal STCs on December 30, 2014, and formally accepted the waiver renewal on January 22, 2015.

The draft evaluation report was submitted June 2, 2015.

A public notice meeting for the waiver was held December 1, 2015.

Montana Medicaid expansion began January 1, 2016.

Public notice meetings for the waiver renewal were held October 4, 2016, in Helena, Montana, and October 5, 2016, in Billings, Montana.

Plan First was discussed at the Montana Health Coalition meeting held in Helena, Montana on November 28, 2016.

Montana submitted a Plan First waiver renewal application December 31, 2016.

## **Executive Summary**

### **Brief Description of Demonstration Population**

Plan First eligible individuals are:

- Montana women ages 19 through 44;
- Not eligible for other Medicaid benefits;
- Able to become pregnant but are not now pregnant; and
- Earning a household income through 211% of the FPL.
- This program is limited to 4,000 women at any given time.

### **Goals of Demonstration**

The goals of the demonstration are:

- The demonstration will result in an increase in the number of female Medicaid members ages 19 through 44 receiving family planning services paid by Medicaid.
- The demonstration will result in a decrease in the annual number of births paid by Medicaid for women ages 19 through 44.
- The demonstration will reduce annual Federal and State Medicaid expenditures for prenatal, delivery, and newborn and infant care.
- The demonstration will improve birth outcomes and the health of women by increasing the child spacing interval among women in the target population.

### **Program Highlights**

Family Planning Benefits: Family planning services and supplies are limited to services and supplies with the primary purpose of family planning, and are provided in a family planning or other medical setting. Family planning services and supplies include:

- FDA-approved methods of contraception;
- Sexually transmitted infection (STI)/sexually transmitted disease (STD) testing, Pap tests and pelvic exams;
- Drugs, supplies, or devices related to women health services; and
- Contraceptive management, patient education, and counseling.

Family Planning-Related Services: Family planning-related services and supplies are services provided as part of, or as follow-up to, a family planning visit. Such services are provided because a family planning-related problem was identified and/or diagnosed during a routine or periodic family planning visit. Examples of family planning-related services and supplies include:

- Colposcopy (and procedures done with/during a colposcopy), or a repeat Pap test performed as a follow-up to an abnormal Pap test, done as part of a routine or periodic family planning visit;
- Drugs for the treatment of STI/STDs, except for HIV, AIDS, or hepatitis, when the STI/STD is identified or diagnosed during a routine or periodic family planning visit. Follow-up visits or encounters for the treatment or prescription of drugs, and subsequent follow-up visits to rescreen for STIs and STDs, based on the Centers for Disease Control and Prevention guidelines may be covered;
- Drugs and treatment for vaginal infections and disorders, other lower genital tract and genital skin infections and disorders, and urinary tract infections, where these conditions are identified or diagnosed during a routine or periodic family planning visit. A follow-up visit for treatment or drugs may also be covered;
- Other medical diagnosis, treatment, and preventive services, routinely provided during family planning visit in a family planning or other medical setting. An example of a preventive service could be a vaccination to prevent cervical cancer; and
- Treatment of major complications arising from a family planning procedure such as, but not limited to:
  - Treatment of a perforated uterus due to an intrauterine device insertion;
  - Treatment of severe menstrual bleeding caused by a Depo-Provera injection requiring dilation and curettage; or
  - Treatment of surgical or anesthesia-related complications caused during a sterilization procedure.

Calendar Year 6 2017	Begin Date	End Date	Quarterly Report Due Date (60 days following end of quarter)
Quarter 1	January 1, 2017	March 31, 2017	May 31, 2017
Quarter 2	April 1, 2017	June 30, 2017	August 31, 2017
Quarter 3	July 1, 2017	September 30, 2017	November 30, 2017
Quarter 4	October 1, 2017	December 31, 2017	March 1, 2018

### **Significant Program Changes**

- **Narrative describing any administrative and operational changes to the demonstration, such as eligibility and enrollment processes, proposed or implemented changes to the enrollment limit, eligibility redetermination processes (including the option to utilize administrative redetermination), systems, health care delivery, benefits, quality of care, anticipated or proposed changes in payment rates, and outreach changes;**

Montana implemented adult Medicaid Expansion effective January 1, 2016. Plan First enrollment is being monitored to see if there are any effects. Medicaid Expansion serves members with incomes up to 138% FPL, while Plan First enrolls women with incomes up to 211% FPL. There is still an opportunity to serve women with incomes above the Medicaid Expansion level.

- **Narrative on any noteworthy demonstration changes, such as changes in enrollment, service utilization, education and outreach, and provider participation. Discussion of any action plan if applicable.**

Since the implementation of Medicaid expansion, effective 01/01/2016, an expected decline in enrollees is noted. This is due to the availability of more comprehensive coverage for many women who qualify.

### **Policy Issues and Challenges**

- **Narrative providing an overview of any policy issues the state is considering, including pertinent legislative/budget activity and potential demonstration amendments;**

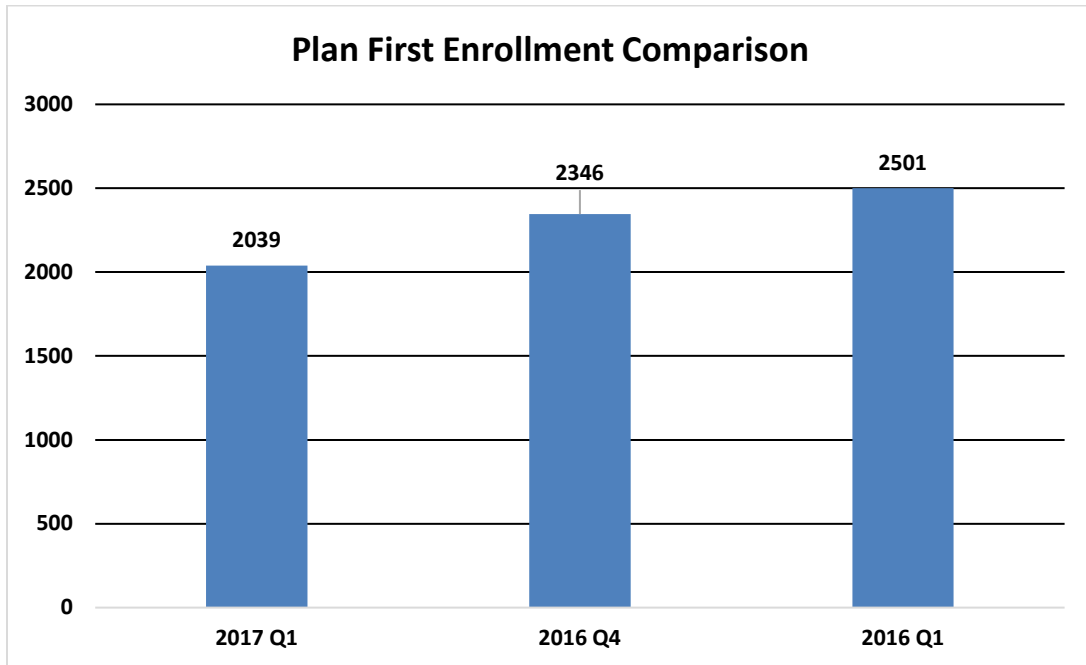
No significant policy issues are currently planned.

- **Discussion of any action plans addressing any policy, administrative or budget issues identified, if applicable;**

No actions are currently needed.

## Enrollment

- **Provide narrative on observed trends and explanation of data. As per STC 26, the State must include a narrative of any changes in enrollment and/or participation that fluctuate 10 percent or more in relation to the previous quarter with the same calendar year (CY) and the same quarter in the previous CY. (This waiver renewal numbers quarters according to CY, whereas the quarters were previously numbered according to demonstration year (DY).)**



There is a 13% enrollment decline from Q4 of 2016 to Q1 of 2017. We believe this is due to two factors: 1) Ongoing movement of women switching from Plan First coverage to expanded Medicaid as the women who qualify learned of the more comprehensive coverage available; 2) The number of newly enrolled decreased between these two quarters. Montana's auto-enrollment to Plan First, for women losing pregnancy coverage 60 days postpartum, encountered some technical problems. The technical problems have been addressed so the auto-enroll numbers will normalize in the next report.

**Plan First Enrolled Members**

	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>
<b>January</b>	0	1,411	2,962	1,858	2,364	1,919
<b>February</b>	0	1,582	3,098	1,969	2,300	1,879
<b>March</b>	0	1,793	3,052	2,018	2,279	1,846
<b>April</b>	0	1,961	2,783	2,090	2,268	
<b>May</b>	0	2,114	2,811	2,080	2,221	
<b>June</b>	184	2,264	2,746	2,124	2,168	
<b>July</b>	344	2,415	2,532	2,168	2,156	
<b>August</b>	525	2,619	2,413	2,224	2,100	
<b>September</b>	686	2,732	2,165	2,193	2,167	
<b>October</b>	891	2,778	2,103	2,286	2,092	
<b>November</b>	1,069	2,895	2,076	2,550	1,903	
<b>December</b>	1,208	2,902	1,804	2,488	2,035	
<b>Average</b>	<b>701</b>	<b>2,658</b>	<b>2,545</b>	<b>2,170</b>	<b>2,171</b>	

- **Enrollment figures- Please utilize the chart below to provide data on the enrollees and participants within the demonstration in addition to member months. The chart should provide information to date, over the lifetime of the demonstration extension.**
  - **As outlined in STCs 26 and 33,**
    1. **Enrollees are defined as all individuals enrolled in the demonstration;**
      - **The number of newly enrolled should reflect the number of individuals enrolled for the quarter reported.**
      - **The number of total enrollees should reflect the total number of individuals enrolled for the current DY.**
    2. **Participants are defined as all individuals who obtain one or more covered family planning services through the demonstration; and**
    3. **Member months refer to the number of months in which persons enrolled in the demonstration are eligible for services. For example, a person who is eligible for 3 months contributes to 3 eligible member months to the total.**
  - **This demonstration has two eligible populations, as described in STC 16.**

Population 1: Women losing Medicaid pregnancy coverage at the conclusion of 60 days postpartum.

Population 2: Women who have an income at or below 211 percent of the FPL.

<b>CY 4, 2015</b>	<b>Quarter 1 (January 1- March 31, 2015)</b>		
	<b>Population 1</b>	<b>Population 2</b>	<b>Total Population</b>
<b># of Newly enrolled</b>	38	251	289
<b># of Total Enrollees</b>	122	2,120	2,242
<b># of Participants</b>	96	1,826	1,922
<b># of Member Months</b>	292	6,162	6,454

<b>CY 4, 2015</b>	<b>Quarter 2 (April 1- June 30, 2015)</b>		
	<b>Population 1</b>	<b>Population 2</b>	<b>Total Population</b>
<b># of Newly enrolled</b>	11	147	158
<b># of Total Enrollees</b>	119	2,222	2,341
<b># of Participants</b>	88	1,939	2,027
<b># of Member Months</b>	292	6,397	6,689

<b>CY 4, 2015</b>	<b>Quarter 3 (July 1-September 30, 2015)</b>		
	<b>Population 1</b>	<b>Population 2</b>	<b>Total Population</b>
<b># of Newly enrolled</b>	205	191	396
<b># of Total Enrollees</b>	295	2,299	2,594
<b># of Participants</b>	115	1,874	1,989
<b># of Member Months</b>	673	6,561	7,234

<b>CY 4, 2015</b>	<b>Quarter 4 (October 1-December 31, 2015)</b>		
	<b>Population 1</b>	<b>Population 2</b>	<b>Total Population</b>
<b># of Newly Enrolled</b>	146	167	313
<b># of Total Enrollees</b>	398	2,320	2,718
<b># of Participants</b>	122	1,784	1,906
<b># of Member Months</b>	950	6,725	7,675

<b>CY 5, 2016</b>	<b>Quarter 1 (January 1-March 31, 2016)</b>		
	<b>Population 1</b>	<b>Population 2</b>	<b>Total Population</b>
<b># of Newly enrolled</b>	105	67	172
<b># of Total Enrollees</b>	418	2,083	2,501
<b># of Participants</b>	139	1,861	2,000
<b># of Member Months</b>	1,053	5,984	7,037

<b>CY 5, 2016</b>	<b>Quarter 2 (April 1-June 30, 2016)</b>		
	<b>Population 1</b>	<b>Population 2</b>	<b>Total Population</b>
<b># of Newly enrolled</b>	130	236	366
<b># of Total Enrollees</b>	479	2,125	2,604
<b># of Participants</b>	170	1,846	2,016
<b># of Member Months</b>	1,182	6,004	7,186



<b>CY 5, 2016</b>	<b>Quarter 3 (July 1 – September 30, 2016)</b>		
	<b>Population 1</b>	<b>Population 2</b>	<b>Total Population</b>
<b># of Newly enrolled</b>	156	278	434
<b># of Total Enrollees</b>	437	2,133	2,570
<b># of Participants</b>	164	1,836	2,000
<b># of Member Months</b>	926	5,813	6,739

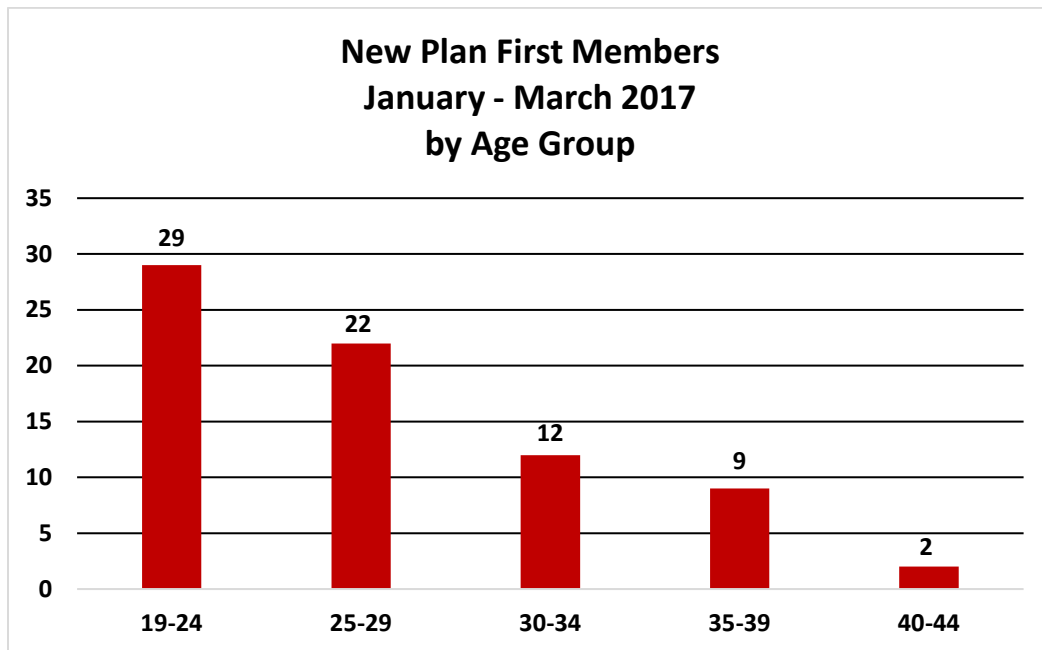
<b>CY5, 2016</b>	<b>Quarter 4 (October 1-December 31, 2016)</b>		
	<b>Population 1</b>	<b>Population 2</b>	<b>Total Population</b>
<b># of Newly enrolled</b>	42	150	192
<b># of Total Enrollees</b>	281	2,065	2,346
<b># of Participants</b>	81	1,801	1,882
<b># of Member Months</b>	554	5,556	6,110

<b>CY 6, 2017</b>	<b>Quarter 1 (January 1-March 31, 2017)</b>		
	<b>Population 1</b>	<b>Population 2</b>	<b>Total Population</b>
<b># of Newly enrolled</b>	7	67	74
<b># of Total Enrollees</b>	142	1,897	2,039
<b># of Participants</b>	45	1,785	1,830
<b># of Member Months</b>	306	5,173	5,479

The number of participants reflects the number of Plan First enrollees who had at least one paid claim for Plan First covered services. Nearly 90% of the members received a service this quarter.

### Service and Providers

- **Service Utilization**
  - **Provide a narrative on trends observed with service utilization. Please also describe any changes in service utilizations or change to the demonstration's benefit package.**

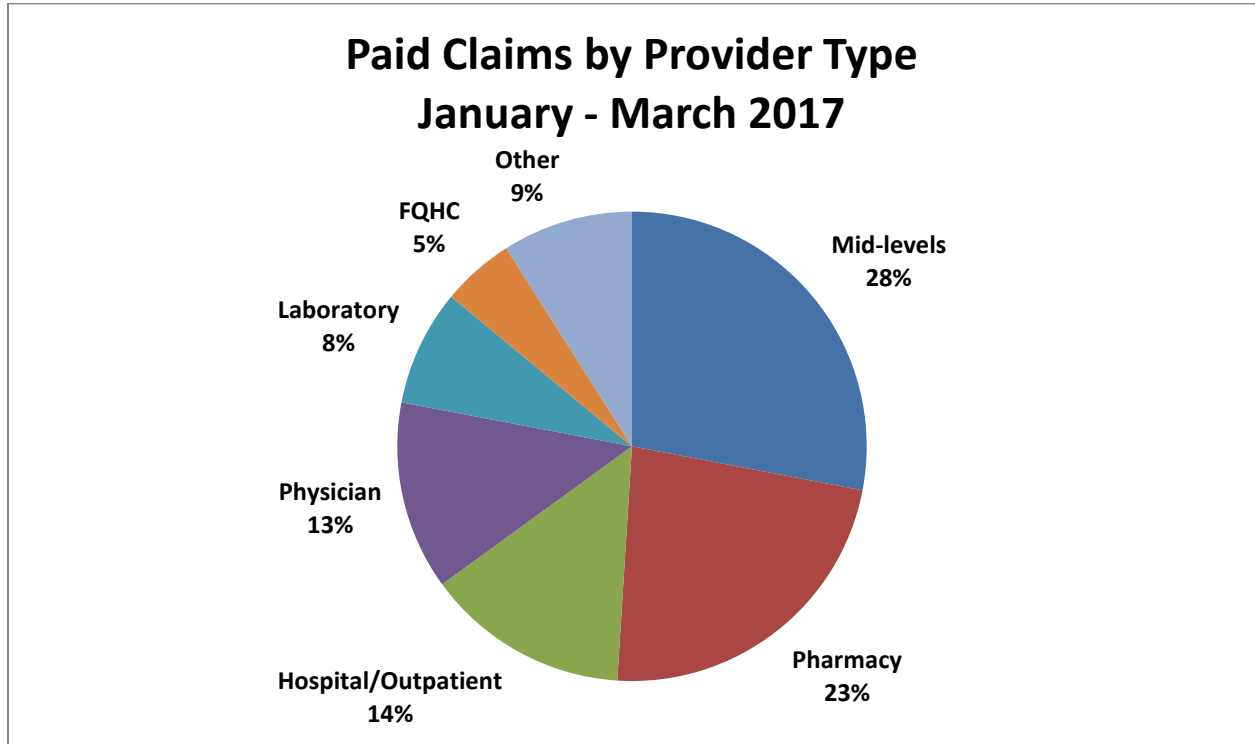


As expected, enrollment is concentrated in the youngest age group. It is theorized that as women get older, their incomes increase, and they become eligible for insurance through employment or marriage, or are no longer fertile.

- **Provider Participation**
  - **Provide a narrative on the current provider participation in rendering services during this quarter highlighting any current or expected changes in provider participation, planned provider outreach and implications for health care delivery.**

A large portion of Plan First members are enrolled in Title X family planning clinics. These clinics are usually staffed with mid-level providers. If family planning-related issues are discovered during the family planning visit, members are referred to other providers to address those issues. For instance, a woman may receive a Pap test at a

family planning clinic and then be referred to an OB/Gyn provider to receive a colposcopy.



### **Program Outreach Awareness and Notification**

- **General Outreach and Awareness**

- **Provide information on the public outreach activities conducted this quarter.**

ACA navigators housed in the Planned Parenthood of Montana offices provide outreach to women who do not qualify for Medicaid.

- **Provide a brief assessment on the effectiveness of outreach programs.**

Family planning clinics have assisted the enrollment of the largest portion of women into Plan First.

- **Target Outreach Campaign(s) (if applicable)**

- **Provide a narrative on who the targeted populations for these outreaches are, and reasons for targeted outreach; and**

No targeted outreach campaigns were conducted this quarter.

- Provide a brief assessment on the effectiveness of the targeted outreach program(s).

**Program Evaluation, Transition Plan and Monitoring**

- Identify any quality assurance and monitoring activities in current quarter. Also, please discuss program evaluation activities and interim findings;  
No specific quality assurance or monitoring activities were performed this quarter. Due to the specific goals, young life and small enrollment of this waiver, evaluation is not done on a quarterly basis, but instead done annually.
- Provide a narrative of any feedback and grievances made by beneficiaries, providers and the public, including any public hearings or other notice procedures, with a summary of the state’s response or planned response.

Grievances are handled as they arise.

**Quarterly Expenditures**

- The state is required to provide quarterly expenditure reports using the Form CMS-64 to report expenditures for services provided under the demonstration in addition to administrative expenditures. Please see Section VII of the STCs for more details.
- Please utilize the chart below to include expenditure data, as reported on the Form CMS-64. Provide information to date, over the lifetime of the demonstration extension.

	CY 4, Calendar Year 2015 (January 1, 2015 – December 31, 2015)			
	Service Expenditures as Reported on the CMS-64	Administrative Expenditures as Reported on the CMS-64	Total Expenditures as Reported on the CMS-64	Expenditures as requested on the CMS- 37
January 1st-March 31 <sup>st</sup>	\$176,515	\$27,337	\$203,852	NA
April 1st-June 30 <sup>th</sup>	\$215,181	\$77,465	\$292,646	NA
July 1st-September 30 <sup>th</sup>	\$235,325	\$23,745	\$259,070	NA
October 1st-December 31 <sup>st</sup>	\$229,298	\$29,245	\$258,543	NA
<b>Total Annual Expenditures</b>	<b>\$856,319</b>	<b>\$157,792</b>	<b>\$1,014,111</b>	<b>NA</b>

	<b>CY 5, Calendar Year 2016 (January 1, 2016 – December 31, 2016)</b>			
	<b>Service Expenditures as Reported on the CMS-64</b>	<b>Administrative Expenditures as Reported on the CMS-64</b>	<b>Total Expenditures as Reported on the CMS-64</b>	<b>Expenditures as requested on the CMS- 37</b>
<b>January 1-March 31, 2016</b>	\$199,671	\$29,232	\$228,903	NA
<b>April 1-June 30, 2016</b>	\$157,222	\$62,484	\$219,706	NA
<b>July 1-September 30, 2016</b>	\$124,120	\$23,826	\$147,946	NA
<b>October 1-December 31, 2016*</b>	\$142,463	\$30,345	\$172,808	NA
<b>Total Annual Expenditures*</b>	<b>\$623,476</b>	<b>\$145,887</b>	<b>\$769,363</b>	<b>NA</b>

\*Adjustments were made to the Q4 CMS-64 report after the Plan First Annual Report was submitted and before the Annual Report was submitted. The above amounts are post adjustment.

	<b>CY 6, Calendar Year 2017 (January 1, 2017 – December 31, 2017)</b>			
	<b>Service Expenditures as Reported on the CMS-64</b>	<b>Administrative Expenditures as Reported on the CMS-64</b>	<b>Total Expenditures as Reported on the CMS-64</b>	<b>Expenditures as requested on the CMS- 37</b>
<b>January 1-March 31, 2017*</b>	\$107,900	\$35,201	\$143,101	NA
<b>April 1-June 30, 2017</b>				
<b>July 1-September 30, 2017</b>				
<b>October 1-December 31, 2017</b>				
<b>Total Annual Expenditures</b>				

\* These figures are preliminary and may be updated as final CMS-64 reports are submitted.

## Updated Budget Neutrality Monitoring

### Trend Rate

President's budget trend (2017)	5.5%
---------------------------------	------

Current Costs and Members	CY2015
FP Expenditures	\$1,014,111
Avg Monthly FP Members	2,170
Cost per Person	\$ 467.33
Cost PMPM	\$38.94

### Per Member/Per Month (PMPM) Cost (Total Computable)

Trend	CY 2015	CY 2016	CY 2017
5.5%	\$ 38.94	\$ 41.08	\$ 43.34

	CY 2017	Q1	Q2	Q3	Q4	
<b>WITHOUT DEMONSTRATION</b>						
<i>Estimated # Members per month</i>	3,000					
<i>PMPM</i>	\$ 43.34					
<i>Total Costs</i>	\$ 1,560,240	Average Projected Quarterly Cost	\$390,060	\$390,060	\$390,060	\$390,060
<b>WITH DEMONSTRATION</b>						
<i>Estimated # Members per month</i>	3,000					
<i>PMPM</i>	\$ 43.34					
<i>Total Costs</i>	\$ 1,560,240	Average Projected Quarterly Cost	\$390,060	\$390,060	\$390,060	\$390,060

### Reported Expenditures

	2015	2016	2017 Q1	2017 Q2	2017 Q3	2017 Q4	CY 2017 TOTAL
<b>Total</b>	\$1,014,111	\$769,363	\$143,101*				

\*This figure is preliminary and may be updated as final CMS-64 reports are submitted.

### Activities for Next Quarter

- Provide details and report on any anticipated activities for next quarter.

Montana plans to actively enroll as many eligible women as possible.