

MONTANA DEPARTMENT OF PUBLIC HEALTH AND HUMAN SERVICES

Section 1115 Basic Medicaid Waiver

DY12 February 2015 – January 2016 Annual Report

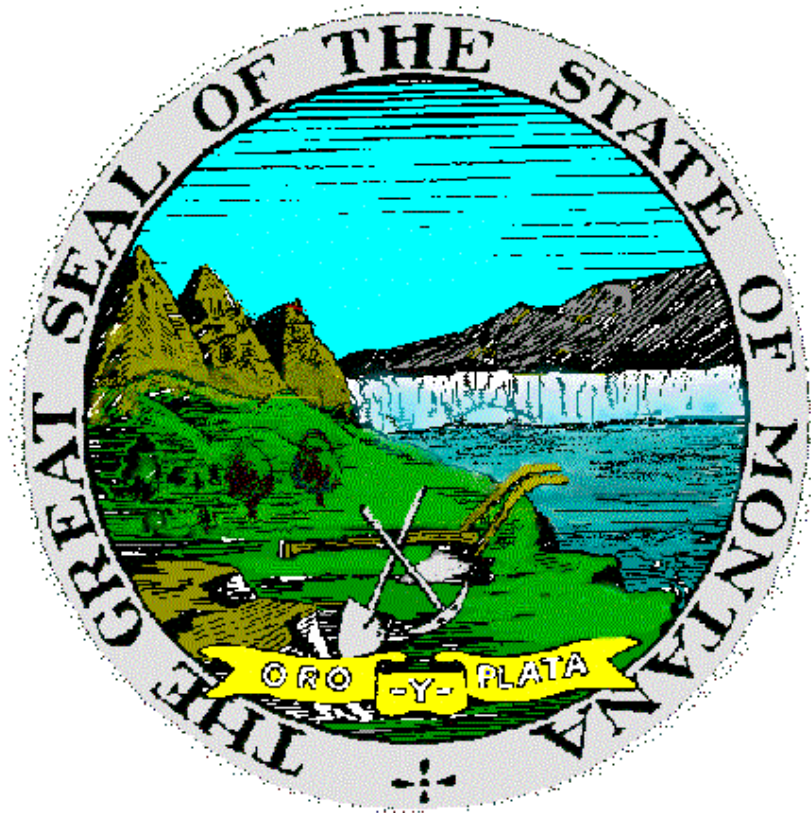


Table of Contents

Executive Summary3

Basic Medicaid Demonstration Information 3-4

Department of Public Health and Human Services4

Medicaid Program Goal4

Basic Excluded Services4

Basic Medicaid Policies4

Emergencies and Essentials for Employment Program.....5

Basic Medicaid Population6

Basic and Full Medicaid Enrollment DY12 Average7

Full (Age 21-64) and Basic Medicaid Gender, Ethnic, and Race DY12 Average..... 7-8

DY12 Expenditures 8-9

Contact Information9

Executive Summary

The Basic Medicaid Program has remained a positive source of Medicaid coverage since the program's inception in 1996. The Basic Program is comprised of mandatory Medicaid benefits and a collection of optional services available for emergencies and when necessary, for seeking and maintaining employment. These services are available to Able-Bodied Adults (neither pregnant nor disabled) who are parents and/or caretaker relatives of dependent children. Currently, there are no changes or major issues with the Basic Program.

Basic Medicaid Demonstration Information

The Montana Medicaid Program is authorized under 53-6-101, Montana Codes Annotated, and Article XII, Section 3 of the Montana Constitution. The Department of Public Health and Human Services (DPHHS) administers the Medicaid Program. The Basic Medicaid Program are the medical services provided for able-bodied adults (neither pregnant nor disabled) and who are parents and/or caretaker relatives of dependent children, eligible for Medicaid under Sections 1925 or 1931 of the Social Security Act. The Basic Program is operated under a Section 1115 waiver, offers all mandatory services and a reduced package of Medicaid optional services through a fee-for-service delivery. Amount, duration, and scope of services, under Section 1902(a)(10)(B) of the Act are waived enabling Montana to carry out the 1115 demonstration.

In February 1996, Montana implemented its state-specific welfare reform program known as Families Achieving Independence in Montana (FAIM). This sweeping change involved the cash assistance, food stamp, and Medicaid programs that were administered on the federal side by several agencies under multiple statutes. As part of welfare reform, Montana obtained a Section 1115 waiver, approved in February 1996. On October 23, 2003, the DPHHS submitted an 1115 waiver application to CMS requesting approval to continue the Basic Medicaid Program. CMS approved the waiver application on January 29, 2004, for a five-year period from February 1, 2004, through January 31, 2009. Terms of the request and the approval were consolidated into an Operational Protocol document as of February 2005. The waiver structure has remained constant throughout the life of the Basic Program. The State must submit a quarterly Basic Medicaid report as one of the Operational Protocol conditions.

A HIFA proposal was submitted on June 27, 2006. 1115 Basic Medicaid Waiver amendments were submitted on March 23, 2007, and January 28, 2008, requesting seven new optional and expansion populations. Tribal Consultation was completed on December 14, 2007. As a result of discussions with CMS, Montana submitted a revised 1115 Basic Medicaid Waiver amendment on June 6, 2008, requesting four new populations. July 30, 2009, and August 6, 2010, submittals requested only one population, Mental Health Service Plan (MHSP) Waiver individuals (individuals with schizophrenia and individuals with bipolar), in addition to Able Bodied Adults. CMS approved the waiver extension and the request to insure the additional population, effective December 1, 2010.

The 1115 Basic Medicaid Waiver renewal was submitted in June, 2013, and approved by CMS effective January 1, 2014. The renewal includes raising the enrollment cap from "up to 800" to "up to 2000"; the primary Severe Disabling Mental Illness (SDMI) clinical diagnosis of major depressive disorder as a covered diagnosis; and home infusion as a covered service.

In June 2014, Montana submitted an amendment to the Section 1115 Basic Medicaid Waiver which was approved by CMS with an August 1, 2014, effective date. This amendment increased the enrollment cap for individuals who qualify for the State only MHSP Program from "up to

2,000” to “up to 6,000”. It also updated the eligible diagnosis codes to allow all MHSP Program individuals with SDMI; added a random drawing with the diagnosis code hierarchy selection of schizophrenia first, bipolar second, major depressive disorder third, and then all remaining diagnosis codes. It also updated the per member per month costs of all waiver populations; updated the amount of money (Maintenance of Effort) the State needed to continue to spend on benefits for the mental health waiver population; updated the budget neutrality; revised the CMS approved evaluation design; updated the Federal Poverty Level from 33% FPL to approximately 47% FPL for Able Bodied Adults; and lastly, updated general waiver language.

Effective January 1, 2016, Montana submitted an amendment, to remove the Able-Bodied Adult population, remove the SDMI population eligible for State Plan expansion, give MHSP Waiver population the Standard Medicaid benefit, and closes the Basic benefit. This amendment proposed to cover individuals age 18 or older, with SDMI who qualify for or are enrolled in the state-financed MHSP, but are otherwise ineligible for Medicaid benefits and either: 1) have income 0-138% of the federal poverty level (FPL) and are eligible for or enrolled in Medicare; or 2) have income 139-150% of the FPL regardless of Medicare status. The MHSP Waiver enrollment cap will be reduced from 6,000 to 3,000. The amendment provides a 12-month continuous eligibility period for all non-expansion Medicaid-covered individuals whose eligibility is based on modified adjusted gross income (MAGI).

Department of Public Health and Human Services

Richard Opper is the Department Director and Mary E. Dalton is the State Medicaid Director. The Montana Medicaid Program consists of the following Divisions: Health Resources Division, Disability Services Division, Addictive and Mental Disorders Division, Child and Family Services Division, Senior and Long Term Care Division, Quality Assurance Division, Human and Community Services Division, and the Public Health and Safety Division. Medicaid eligibility is determined in the Human and Community Services Division.

Medicaid Program Goal

To assure that medically necessary medical care is available to all eligible Montanans within available funding resources.

Basic Excluded Services

The Basic package is the Full Medicaid benefit, with the following medical services generally excluded under Basic Medicaid: audiology, dental and denturist, durable medical equipment, eyeglasses, optometry and ophthalmology for routine eye exams, personal care services, and hearing aids. Under the FAIM waiver, these services were excluded to align with the basic medical coverage of a work-related insurance program. That is, an employed individual who is insured under a work-related insurance policy would not have coverage for the list of excluded services.

Basic Medicaid Policies

All requirements of the Medicaid Program expressed in law not expressly waived or identified as not applicable in the award letter of which the terms and conditions are part, shall apply to Montana’s demonstration. Montana Medicaid Program administrative rules, policies, processes, eligibility, cost sharing, and reimbursement apply to individuals on Basic Medicaid unless specified, like the Basic plan of benefits.

Emergencies and Essentials for Employment Program

DPHHS recognizes there may be situations where the excluded services are necessary as in an emergency or when essential for employment. Coverage for the excluded services may be provided at the State's discretion in cases of emergency or when essential to obtain or maintain employment. Examples of emergency circumstances include, but are not limited to, coverage for emergency dental situations, medical conditions of the eye, which include but are not limited to annual dilated eye exams for individuals with diabetes or other medical conditions, and certain medical supplies such as diabetic supplies, prosthetic devices and oxygen. In these situations, the State will provide approval to the provider, and make associated records available upon CMS request. Medicaid manuals contain Basic information and are found on the Department site at <http://medicaidprovider.mt.gov/providertype>.

The *General Information for Providers, Medicaid and Other Medical Assistance Programs*, can be found at <http://medicaidprovider.mt.gov/>.

Medicaid provider training is offered several times a year and Basic Medicaid billing, policies, and procedures are included. Providers, when inquiring about member eligibility, receive eligibility information including whether a member is receiving Full or Basic Medicaid regardless of the various eligibility methods of Faxback, Voice Response, or when contacting the Office of Public Assistance, the Department, or Montana Medicaid's Provider Relations.

Medicaid members are given a copy of the Montana Medicaid Member Guide, found at: <http://dphhs.mt.gov/MontanaHealthcarePrograms/Welcome/MemberServices>. A chart of Medicaid covered benefits is published with additional service details. Members receive education and information regarding Full and Basic Medicaid services through the Montana Medicaid Hotline. The provider community and members who are affected by the 1115 waiver are accustomed to the provisions of the waiver.

Basic Medicaid Population

Basic Medicaid members include Able Bodied Adults who are not pregnant, not blind, under age 65, and not disabled or receiving SSI. These members are eligible for Basic Medicaid under the designation of Family Medicaid and Transitional Medicaid.

Basic Medicaid Population					
February 2015 – January 2016 DY12 Average					
	1st Quarter February – April 2015	2nd Quarter May – July 2015	3rd Quarter August – October 2015	4th Quarter November – December 2015 - January 2016	February 2015 – January 2016 DY12 Average
Family Medicaid	76%	50%	66%	65%	64%
Transitional Medicaid	11%	11%	18%	17%	14%
WMHSP Schizophrenia	3%	3%	3%	3%	3%
WMHSP Bipolar	5%	5%	5%	6%	5%
WMHSP Major Depressive Disorder	5%	6%	7%	7%	6%
*WMHSP Other	0%	0%	0%	0%	0%
WMHSP Post- Traumatic Stress Disorder	0%	0%	1%	1%	1%
WMHSP Anxiety	0%	0%	0%	0%	0%
WMHSP Borderline Personality Disorder	0%	0%	0%	0%	0%

*Note: CMS notified Montana that the Breast and Cervical populations are not one of the approved populations covered in Section 1925 or Section 1931. Montana took action to correct this oversight and instituted Full Medicaid benefits on 9/1/07, for this population.

*MHSP Waiver populations were effective 12/1/10. The WMHSP Other category covers diagnoses for personality disorders, mood disorders, and other psychotic disorders that do not fit under Schizophrenia spectrum, Bipolar spectrum, major depressive, anxiety disorders, post-traumatic stress disorder, and borderline personality disorder.

Basic and Full Medicaid Enrollment DY12 Average

In DY12 a quarterly average of 15,406 individuals were enrolled in Basic Medicaid compared to the 43,000 Full Medicaid individuals, age 21-64.

Basic and Full Medicaid Enrollment February 2015 – January 2016 DY 12 Average					
	1 st Quarter February – April 2015	2 nd Quarter May – July 2015	3 rd Quarter August – October 2015	4 th Quarter November – December 2015 - January 2016	February 2015 – January 2016 DY 12 Average
Basic Medicaid Enrollment	16,849	12,704	17,954	14,116	15,406
Full Medicaid Enrollment (Age 21-64)	38,705	39,186	40,616	53,492	43,000

Full (Age 21-64) and Basic Medicaid Gender, Ethnic and Race DY12 Average

Basic Medicaid is 69% predominately female as compared to 67% females for all Medicaid in the 21-64 age group. There are 2% more males in Full Medicaid than the Basic population. The American Indian quarterly average for Basic is 21%, which is 3% more than the Full Medicaid average of 18%.

Basic Medicaid Gender, Ethnic and Race February 2015 – January 2016 DY12 Average					
	1 st Quarter February – April 2015	2 nd Quarter May – July 2015	3 rd Quarter August – October 2015	4 th Quarter November – December 2015 - January 2016	February 2015 – January 2016 DY12 Average
Gender					
Female	70%	69%	70%	68%	69%
Male	30%	31%	30%	32%	31%
Ethnic and Race (Plus Any Other)					
Hispanic of Any Race	3%	3%	3%	3%	3%
White	73%	73%	74%	80%	75%
American Indian/AK	22%	22%	22%	16%	21%
Other: African American, Asian, Pacific Islander	1%	1%	1%	1%	1%

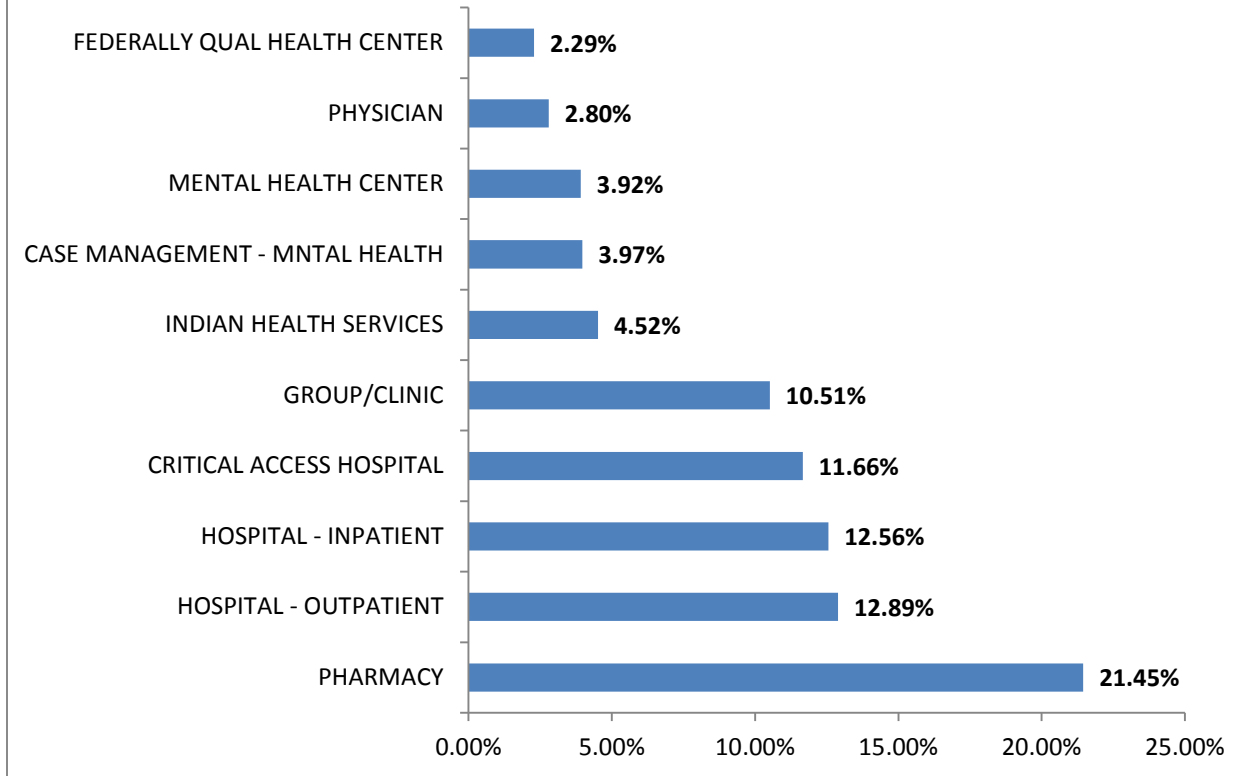
Full Medicaid Gender, Ethnic and Race (Age 21-64)					
February 2015 – January 2016 DY12 Average					
	1st Quarter February – April 2015	2nd Quarter May – July 2015	3rd Quarter August – October 2015	4th Quarter November – December 2015 - January 2016	February 2015 – January 2016 DY12 Annual
Gender					
Female	67%	67%	67%	65%	67%
Male	33%	33%	33%	35%	33%
Ethnic and Race (Plus Any Other)					
Hispanic of Any Race	3%	3%	3%	3%	3%
White	78%	79%	78%	79%	79%
American Indian/AK	18%	17%	18%	17%	18%
Other: African American, Asian, Pacific Islander	1%	1%	1%	1%	1%

**Ethnic and race data are not unique counts. Data is from MMIS as of January 2016.*

DY 12 Expenditures by Provider Type

PROV PAY TO TYPE	2/2/2015 to 04/30/2015	05/01/2015 to 07/31/2015	08/01/2015 to 10/31/2015	11/01/2015 to 01/31/2016	Total	Percent of Total
PHARMACY	\$ 4,885,863	\$ 3,419,418	\$ 5,220,614	\$ 4,674,173	\$ 18,200,068	21.45%
HOSPITAL - OUTPATIENT	\$ 2,725,353	\$ 2,479,521	\$ 3,040,721	\$ 2,692,977	\$ 10,938,572	12.89%
HOSPITAL - INPATIENT	\$ 2,840,532	\$ 2,598,002	\$ 2,450,556	\$ 2,763,764	\$ 10,652,854	12.56%
CRITICAL ACCESS HOSPITAL	\$ 2,533,158	\$ 1,948,320	\$ 3,034,217	\$ 2,378,759	\$ 9,894,454	11.66%
GROUP/CLINIC	\$ 2,037,808	\$ 1,874,970	\$ 2,482,145	\$ 2,525,701	\$ 8,920,625	10.51%
INDIAN HEALTH SERVICES	\$ 332,731	\$ 1,554,712	\$ 944,415	\$ 1,000,832	\$ 3,832,689	4.52%
CASE MANAGEMENT - MENTAL HEALTH	\$ 738,816	\$ 752,355	\$ 940,484	\$ 935,498	\$ 3,367,153	3.97%
MENTAL HEALTH CENTER	\$ 744,152	\$ 738,067	\$ 934,691	\$ 907,411	\$ 3,324,322	3.92%
PHYSICIAN	\$ 612,718	\$ 486,579	\$ 657,423	\$ 618,867	\$ 2,375,586	2.80%
FEDERALLY QUALIFIED HEALTH CENTER	\$ 499,596	\$ 436,871	\$ 495,713	\$ 506,433	\$ 1,938,614	2.29%
Grand Total					\$ 84,839,915	86.57%

Top Ten Provider Types DY 12 Percent of Total Cost



Top ten provider types equal 86.57% of total cost.

Contact Information

Holly Mook, Medicaid Program Officer
Mary E. Dalton, State Medicaid Director

(406) 444-6868
(406) 444-4084

X. CALCULATION OF BUDGET NEUTRALITY LIMIT (Without Waiver Ceiling)

DY	PM/PM	Feb-Mar 04	QE 6/04	QE 9/04	QE 12/04	Jan-05	Total
1	\$294.21						
2/1/04 -	Member Months	21,282	31,630	31,156	30,631	10,270	124,969
01/31/2005	BN Limit, Total Funds	\$ 6,261,377	\$ 9,305,862	\$ 9,166,407	\$ 9,011,947	\$ 3,021,537	\$36,767,129
	FMAP	0.7591	0.7591	0.7285	0.7190	0.7190	
	BN Limit, Federal Funds	\$ 4,753,011	\$ 7,064,080	\$ 6,677,727	\$ 6,479,590	\$ 2,172,485	\$27,146,893
2	\$316.87						
2/1/05 -	Member Months	20,668	30,576	29,812	29,027	9,608	119,691
01/31/2006	BN Limit, Total Funds	\$ 6,549,069	\$ 9,688,617	\$ 9,446,528	\$ 9,197,785	\$ 3,044,487	\$37,926,487
	FMAP	0.7190	0.7190	0.7190	0.7054	0.7054	
	BN Limit, Federal Funds	\$ 4,708,781	\$ 6,966,116	\$ 6,792,054	\$ 6,488,118	\$ 2,147,581	\$27,102,649
3	\$341.27						
2/1/06 -	Member Months	19,329	28,021	27,215	25,870	8,399	108,834
01/31/2007	BN Limit, Total Funds	\$ 6,596,408	\$ 9,562,727	\$ 9,287,663	\$ 8,828,655	\$ 2,866,327	\$37,141,779
	FMAP	0.7054	0.7054	0.7054	0.6911	0.6911	
	BN Limit, Federal Funds	\$ 4,653,106	\$ 6,745,547	\$ 6,551,518	\$ 6,101,483	\$ 1,980,918	\$26,032,573
4	\$367.54						
2/1/07 -	Member Months	16,677	24,337	23,338	22,809	7,511	94,672
01/31/2008	BN Limit, Total Funds	\$ 6,129,465	\$ 8,944,821	\$ 8,577,649	\$ 8,383,220	\$ 2,760,593	\$34,795,747
	FMAP	0.6911	0.6911	0.6853	0.6853	0.6853	
	BN Limit, Federal Funds	\$ 4,236,073	\$ 6,181,766	\$ 5,928,013	\$ 5,745,021	\$ 1,891,834	\$23,982,707
5	\$395.84						
2/1/08 -	Member Months	15,134	22,562	21,870	21,599	7,428	88,593
01/31/2009	BN Limit, Total Funds	\$ 5,990,643	\$ 8,930,942	\$ 8,657,021	\$ 8,549,748	\$ 2,940,300	\$35,068,653
	FMAP	0.6853	0.6808	0.6808	0.7629	0.7629	
	BN Limit, Federal Funds	\$ 4,105,387	\$ 6,080,185	\$ 5,893,700	\$ 6,522,603	\$ 2,243,155	\$24,845,030
6	\$426.32						
2/1/09 -	Member Months	15,304	23,676	24,066	25,096	8,721	96,863
01/31/2010	BN Limit, Total Funds	\$ 6,524,401	\$ 10,093,552	\$ 10,259,817	\$ 10,698,927	\$ 3,717,937	\$41,294,634
	FMAP	0.7629	0.7714	0.7714	0.7799	0.7799	
	BN Limit, Federal Funds	\$ 4,977,466	\$ 7,786,166	\$ 7,914,423	\$ 8,344,093	\$ 2,899,619	\$31,921,767
7	\$459.15						
2/1/10 -	Member Months	17,727	27,176	27,728	27,682	9,434	109,747
01/31/2011	BN Limit, Total Funds	\$ 8,139,352	\$ 12,477,860	\$ 12,731,311	\$ 12,710,190	\$ 4,331,621	\$50,390,335
	FMAP	0.7799	0.7799	0.7799	0.7799	0.7519	
	BN Limit, Federal Funds	\$ 6,347,881	\$ 9,731,483	\$ 9,929,150	\$ 9,912,677	\$ 3,256,946	\$39,178,137

DY	PM/PM	Feb-Mar 11	QE 6/11	QE 9/11	QE 12/11	Jan-12	Total
8	481.73						
2/1/11 -	Member Months	18,927	27,865	26,960	26,363	8,759	108,874
01/31/2012	BN Limit, Total Funds	\$ 9,117,704	\$ 13,423,406	\$ 12,987,441	\$ 12,699,848	\$ 4,219,473	\$ 52,447,872
	FMAP	0.7519	0.7325	0.6621	0.6621	0.6621	
	BN Limit, Federal Funds	\$ 6,855,601	\$ 9,832,645	\$ 8,598,985	\$ 8,408,569	\$ 2,793,713	\$ 36,489,514
9	\$512.08						
2/1/12 -	Member Months	17,621	26,544	26,443	26,097	9,067	105,772
01/31/2013	BN Limit, Total Funds	\$ 9,023,362	\$ 13,592,652	\$ 13,540,931	\$ 13,363,752	\$ 4,643,029	\$ 54,163,726
	FMAP	0.6604	0.6604	0.6604	0.6604	0.6604	
	BN Limit, Federal Funds	\$ 5,974,368	\$ 8,976,587	\$ 8,942,431	\$ 8,825,422	\$ 3,066,257	\$ 35,785,064
10	\$544.34						
2/1/13 -	Member Months	18,172	27,370	27,176	27,074	11,016	110,808
12/31/2013	BN Limit, Total Funds	\$ 9,891,746	\$ 14,898,586	\$ 14,792,984	\$ 14,737,461	\$ 5,996,449	\$ 60,317,227
	FMAP	0.6627	0.6627	0.6621	0.6627	0.6627	
	BN Limit, Federal Funds	\$ 6,532,509	\$ 9,873,293	\$ 9,794,435	\$ 9,766,516	\$ 3,973,847	\$ 39,940,599
11	\$571.56						
1/1/14 -	Member Months	23,509	38,649	41,497	45,290	16,068	165,013
12/31/2014	BN Limit, Total Funds	\$ 13,436,804	\$ 22,090,222	\$ 23,718,025	\$ 25,885,952	\$ 9,183,826	\$ 94,314,830
	FMAP	0.6604	0.6627	0.6627	0.6627	0.6627	
	BN Limit, Federal Funds	\$ 8,873,665	\$ 14,639,190	\$ 15,703,705	\$ 17,154,621	\$ 6,086,122	\$ 62,457,303
12	\$600.14						
1/1/15 -	Member Months	33,867	40,866	49,308	57,300	3,000	184,341
01/31/2015	BN Limit, Total Funds	\$ 20,324,941	\$ 24,525,321	\$ 29,591,703	\$ 34,388,022	\$ 1,800,420	\$ 110,630,408
	FMAP	0.6604	0.6627	0.6627	0.6627	0.6627	
	BN Limit, Federal Funds	\$ 13,422,591	\$ 16,252,930	\$ 19,610,422	\$ 22,788,942	\$ 1,193,138	\$ 73,268,024
13	\$630.15						
1/1/16 -	Member Months						-
12/31/2016	BN Limit, Total Funds	#VALUE!	#VALUE!	#VALUE!	#VALUE!	#VALUE!	#VALUE!
	FMAP	0.6604	0.6627	0.6621	0.6627	0.6627	
	BN Limit, Federal Funds	#VALUE!	#VALUE!	#VALUE!	#VALUE!	#VALUE!	#VALUE!

- Notes:
1. Total funds = State and Federal Funds, BN Limit, Federal Funds = Federal Budget Neutrality Limit.
 2. Member Months changed very slightly in prior periods due to retroactive eligibility changes and from the removal of Breast and Cervical recipients.
 3. 9/15 is the most recent month included for member months. 12/15 and 1/16 are estimates. enrollment is subject to significant change from retroactive enrollments.
 4. Claims included are those paid and reported on the CMS 64 reports through the quarter ending 3/31/2015. Subsequent quarters are estimates as the CMS 64 has not yet been updated.
 5. AARA enhanced FMAP used retroactive to October 1, 2008 through June 2011 for Able Boded.
 6. DY6 & DY7 PMPM changed from past reports (as of the QE 9/30/10 report) based on STC approval effective 12/1/10.
 7. Prospective FMAPs are estimates.
 8. AB FMAP used for limit.

	3/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DY 3 Total		\$ 26,032,573	\$ 18,428,523	\$ (0)	\$ 18,428,523	\$ 18,428,523	\$ 7,604,049	\$ 0	\$ 0

	3/31/2007	\$ 1,663,393	\$ 328,152	\$ 1,335,241	\$ 1,335,241	\$ -	\$ -	\$ -	\$ -
	6/30/2007	\$ 6,221,032	\$ 1,450,523	\$ 4,770,509	\$ 4,770,509	\$ -	\$ -	\$ -	\$ -
	9/30/2007	\$ 5,751,225	\$ 1,562,488	\$ 4,188,737	\$ 4,188,737	\$ -	\$ -	\$ -	\$ -
	12/31/2007	\$ 5,250,128	\$ 1,452,034	\$ 3,798,094	\$ 3,798,094	\$ -	\$ -	\$ -	\$ -
	3/31/2008	\$ 4,189,535	\$ 1,013,349	\$ 3,176,186	\$ 3,176,186	\$ -	\$ -	\$ -	\$ -
	6/30/2008	\$ 684,412	\$ 242,117	\$ 442,295	\$ 442,295	\$ -	\$ -	\$ -	\$ -
	9/30/2008	\$ 249,135	\$ 71,203	\$ 177,932	\$ 177,932	\$ -	\$ -	\$ -	\$ -
	12/31/2008	\$ 117,177	\$ 8,315	\$ 108,862	\$ 108,862	\$ -	\$ -	\$ -	\$ -
	3/31/2009	\$ 21,305	\$ -	\$ 21,305	\$ 21,305	\$ -	\$ -	\$ -	\$ -
	6/30/2009	\$ 5,872	\$ -	\$ 5,872	\$ 5,872	\$ -	\$ -	\$ -	\$ -
	9/30/2009	\$ (10,445)	\$ -	\$ (10,445)	\$ (10,445)	\$ -	\$ -	\$ -	\$ -
	12/31/2009	\$ (269)	\$ -	\$ (269)	\$ (269)	\$ -	\$ -	\$ -	\$ -
*adjustment as Schedule C shows no Indian Health	3/31/2010	\$ (6,128,181)	\$ (6,128,181)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2010	\$ (10)	\$ -	\$ (10)	\$ (10)	\$ -	\$ -	\$ -	\$ -
	9/30/2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2010	\$ 541	\$ -	\$ 541	\$ 541	\$ -	\$ -	\$ -	\$ -
	3/31/2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/30/2011	\$ 45	\$ -	\$ 45	\$ 45	\$ -	\$ -	\$ -	\$ -
	3/31/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/31/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DY 4 Total		\$ 23,982,707	\$ 18,014,895	\$ -	\$ 18,014,895	\$ 18,014,895	\$ 5,967,811	\$ 0	\$ 0

	3/31/2008	\$ 1,916,336	\$ 300,711	\$ 1,615,625	\$ 1,615,625	\$ -	\$ -	\$ -	\$ -
	6/30/2008	\$ 5,253,343	\$ 1,380,945	\$ 3,872,398	\$ 3,872,398	\$ -	\$ -	\$ -	\$ -
	9/30/2008	\$ 6,009,376	\$ 1,567,858	\$ 4,441,417	\$ 4,441,417	\$ -	\$ -	\$ -	\$ -
	12/31/2008	\$ 5,775,804	\$ 1,124,833	\$ 4,651,171	\$ 4,651,171	\$ -	\$ -	\$ -	\$ -
	3/31/2009	\$ 4,448,705	\$ 879,208	\$ 3,569,497	\$ 3,569,497	\$ -	\$ -	\$ -	\$ -
	6/30/2009	\$ 863,560	\$ 148,755	\$ 714,805	\$ 714,805	\$ -	\$ -	\$ -	\$ -
	9/30/2009	\$ 256,417	\$ 74,510	\$ 181,907	\$ 181,907	\$ -	\$ -	\$ -	\$ -
	12/31/2009	\$ 88,903	\$ 26,996	\$ 61,907	\$ 61,907	\$ -	\$ -	\$ -	\$ -
*adjustment as Schedule C shows no Indian Health	3/31/2010	\$ (5,503,616)	\$ (5,503,616)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2010	\$ 7,916	\$ -	\$ 7,916	\$ 7,916	\$ -	\$ -	\$ -	\$ -
	9/30/2010	\$ 2,277	\$ -	\$ 2,277	\$ 2,277	\$ -	\$ -	\$ -	\$ -
	12/31/2010	\$ 441	\$ -	\$ 441	\$ 441	\$ -	\$ -	\$ -	\$ -
	3/31/2011	\$ 381	\$ -	\$ 381	\$ 381	\$ -	\$ -	\$ -	\$ -
	6/30/2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/30/2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/31/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2012	\$ 1,255	\$ -	\$ 1,255	\$ 1,255	\$ -	\$ -	\$ -	\$ -
	12/31/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/31/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2014	\$ -	\$ 1,484,140	\$ (1,484,140)	\$ (1,484,140)	\$ -	\$ -	\$ -	\$ -
	9/30/2014	\$ -	\$ (1,484,140)	\$ 1,484,140	\$ 1,484,140	\$ -	\$ -	\$ -	\$ -
	12/31/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DY 5 Total		\$ 24,845,030	\$ 19,120,997	\$ -	\$ 19,120,997	\$ 19,120,997	\$ 5,724,033	\$ 0	\$ 0

	3/31/2009	\$ 2,384,636	\$ 303,714	\$ 2,080,922	\$ 2,080,922	\$ -	\$ -	\$ -	\$ -
	6/30/2009	\$ 6,563,385	\$ 1,068,927	\$ 5,494,458	\$ 5,494,458	\$ -	\$ -	\$ -	\$ -
	9/30/2009	\$ 7,414,610	\$ 1,664,600	\$ 5,750,010	\$ 5,750,010	\$ -	\$ -	\$ -	\$ -
	12/31/2009	\$ 6,988,356	\$ 1,145,467	\$ 5,842,889	\$ 5,842,889	\$ -	\$ -	\$ -	\$ -
*adjustment as Schedule C shows less Indian Health	3/31/2010	\$ (4,182,708)	\$ (4,182,708)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2010	\$ 3,924,905	\$ -	\$ 3,924,905	\$ 3,924,905	\$ -	\$ -	\$ -	\$ -
	9/30/2010	\$ 482,796	\$ -	\$ 482,796	\$ 482,796	\$ -	\$ -	\$ -	\$ -
	12/31/2010	\$ 170,149	\$ -	\$ 170,149	\$ 170,149	\$ -	\$ -	\$ -	\$ -
	3/31/2011	\$ 40,966	\$ 18,498	\$ 40,966	\$ 40,966	\$ -	\$ -	\$ -	\$ -
	6/30/2011	\$ 4,509	\$ -	\$ 4,509	\$ 4,509	\$ -	\$ -	\$ -	\$ -
	9/30/2011	\$ 20,363	\$ -	\$ 20,363	\$ 20,363	\$ -	\$ -	\$ -	\$ -
	12/30/2011	\$ 203	\$ -	\$ 203	\$ 203	\$ -	\$ -	\$ -	\$ -
	3/31/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/31/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2014	\$ -	\$ (5,502)	\$ 5,502	\$ 5,502	\$ -	\$ -	\$ -	\$ -
	9/30/2014	\$ -	\$ 5,502	\$ (5,502)	\$ (5,502)	\$ -	\$ -	\$ -	\$ -
	12/31/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DY 6 Total		\$ 31,921,767	\$ 23,812,170	\$ 18,498	\$ 23,812,170	\$ 23,812,170	\$ 8,109,597	\$ 0	\$ 0

	3/31/2010	\$ -	\$ -	\$ -	\$ -	\$ 102,543	\$ -	\$ -	\$ -
	6/30/2010	\$ 2,503,714	\$ -	\$ 2,503,714	\$ 2,503,714	\$ -	\$ -	\$ -	\$ -
	9/30/2010	\$ 5,886,134	\$ -	\$ 5,886,134	\$ 5,886,134	\$ -	\$ -	\$ -	\$ -
	12/31/2010	\$ 6,090,013	\$ -	\$ 6,090,013	\$ 6,090,013	\$ -	\$ -	\$ -	\$ -
	3/31/2011	\$ 7,962,903	\$ 1,497,136	\$ 7,962,903	\$ 7,962,903	\$ -	\$ -	\$ -	\$ -
	6/30/2011	\$ 5,682,059	\$ -	\$ 5,682,059	\$ 5,682,059	\$ -	\$ -	\$ -	\$ -
	9/30/2011	\$ (2,554,571)	\$ -	\$ (2,554,571)	\$ (2,554,571)	\$ -	\$ -	\$ -	\$ -
	12/30/2011	\$ 121,382	\$ -	\$ 121,382	\$ 121,382	\$ -	\$ -	\$ -	\$ -
	3/31/2012	\$ 1,557,721	\$ -	\$ 1,557,721	\$ 1,557,721	\$ -	\$ -	\$ -	\$ -
	6/30/2012	\$ (1,495,044)	\$ -	\$ (1,495,044)	\$ (1,495,044)	\$ -	\$ -	\$ -	\$ -
	9/30/2012	\$ 5,585	\$ -	\$ 5,585	\$ 5,585	\$ -	\$ -	\$ -	\$ -
	12/31/2012	\$ 1,264	\$ -	\$ 1,264	\$ 1,264	\$ -	\$ -	\$ -	\$ -
	3/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	3/31/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2014	\$ 3,687,863	\$ -	\$ 3,687,863	\$ 3,687,863	\$ -	\$ -	\$ -	\$ -
	3/31/2015	\$ (3,687,863)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	6/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	9/30/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	12/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DY 7 Total		\$ 39,178,137	\$ 25,761,160	\$ 1,497,136	\$ 25,761,160	\$ 25,863,703	\$ 13,314,434	\$ 102,543	\$ 0

Quarterly Federal Funds Summary by DY QE 12/31/2015 Updated 2/16								
III. SUMMARY BY DEMONSTRATION YEAR AND CUMULATIVELY (Federal Funds)								
		Able Bodied	MHSP	Total		Federal	Federal	
	Federal	Federal	Estimated	Federal		Cumulative	Cumulative	
	Budget	Waiver	Federal	Waiver	Federal	Budget	Waiver	Federal
	Neutrality	Costs on	Waiver	Costs on	Annual	Neutrality	Costs on	Cumulative
	Limit	CMS-64	Costs	CMS-64	Variance	Limit	CMS-64	Variance
DY 1	\$ 27,146,893	\$ 19,872,498		\$ 19,872,498	\$ 7,274,395	\$ 27,146,893	\$ 19,872,498	\$ 7,274,395
DY 2	\$ 27,102,649	\$ 20,584,247		\$ 20,584,247	\$ 6,518,402	\$ 54,249,543	\$ 20,584,247	\$ 13,792,798
DY 3	\$ 26,032,573	\$ 18,428,523		\$ 18,428,523	\$ 7,604,050	\$ 80,282,115	\$ 18,428,523	\$ 21,396,847
DY 4	\$ 23,982,707	\$ 18,014,895		\$ 18,014,895	\$ 5,967,811	\$ 104,264,822	\$ 18,014,895	\$ 27,364,659
DY 5	\$ 24,845,030	\$ 19,120,997		\$ 19,120,997	\$ 5,724,033	\$ 129,109,852	\$ 19,120,997	\$ 33,088,692
DY 6	\$ 31,921,767	\$ 23,812,170		\$ 23,812,170	\$ 8,109,597	\$ 161,031,619	\$ 23,812,170	\$ 41,198,288
DY 7	\$ 39,178,137	\$ 25,761,160	\$102,543	\$ 25,863,703	\$ 13,314,434	\$ 200,209,755	\$ 25,863,703	\$ 54,512,722
DY 8	\$ 36,489,514	\$ 22,216,239	\$2,681,397	\$ 24,897,636	\$ 11,591,878	\$ 236,699,269	\$ 24,897,636	\$ 66,104,600
DY 9	\$ 35,785,064	\$ 20,499,618	\$4,889,065	\$ 25,388,683	\$ 10,396,381	\$ 272,484,333	\$ 25,388,683	\$ 76,500,981
DY10	\$ 39,940,599	\$ 21,998,227	\$4,866,030	\$ 26,864,257	\$ 13,076,342	\$ 312,424,933	\$ 26,864,257	\$ 89,577,322
DY 11	\$ 62,457,303	\$35,897,481	\$9,965,841	\$ 45,863,323	\$ 16,593,980	\$ 374,882,235	\$ 45,863,323	\$ 106,171,302
DY 12	\$ 73,268,024	\$40,300,674	\$11,270,578	\$51,571,252	\$ 21,696,771	\$ 448,150,259	\$ 51,571,252	\$ 127,868,074
totals	\$ 448,150,259	\$ 286,506,730	\$33,775,455	\$ 320,282,185	\$ 127,868,074	\$ 448,150,259	\$ 320,282,185	\$ 127,868,074
Notes:								
	1. Total funds = State and Federal Funds, BN Limit, Federal Funds = Federal Budget Neutrality Limit.							
	2. Member Months changed very slightly in prior periods due to retroactive eligibility changes and from the removal of Breast and Cervical recipients.							
	3. 9/15 is the most recent month included for member months. 12/15 and 1/16 are estimates.							
	4. Cumulative Waiver costs are through the DY12 ending 1/16.							
	5. For Able Bodied - net of drug rebates and payments to IHS facilities.							
	5. For MHSP populations - net of drug rebates, includes payments to IHS facilities.							

Total Federal State Expenditures = Resource is Fiscal Schedule C (minus IHS) **icaid Waiver Projections_0.xlsx**

FMAP - EXPENDITURE PROJECTIONS:

Estimates are pulled from DSS

Used .6462 FMAP for renewal side for federal portion.

FMAP Able Bodied - ARRA MT FMAP - Tier I 10/08-3/09 .7629, Tier II 4/09-9/0

Federal Benefit Funds:
 AB Benefits =
 MHSP Benefits
 =

(-) IHS expenditures (-) Drug Rebates

(+) IHS expenditures (-) Drug Rebates

(-) IHS expenditures (-) Drug Rebates

(-) IHS expenditures (-) Drug Rebates

State Benefit Funds:
 AB Benefits =
 MHSP Benefits
 =

(-) IHS expenditures (-) Drug Rebates

(-) IHS expenditures (-) Drug Rebates

(-) IHS expenditures (-) Drug Rebates

AR-Figure IX Secion 1115 MT Medicaid Waiver Projections_0.xlsx

AR-Figure IX Secion 1115 MT Medicaid Waiver Projections_0.xlsx

AR-Figure IX Secion 1115 MT Medicaid Waiver Projections_0.xlsx

AR-Figure IX Secion 1115 MT Medicaid Waiver Projections_0.xlsx