

**Minnesota Prepaid Medical Assistance Project Plus (PMAP+)
§1115 Waiver No. 11-W-0039/5**

**Demonstration Year 22
First Quarter Report
July 1, 2016 through September 30, 2016**

Submitted to:

U.S. Department of Health & Human Services
Centers for Medicare & Medicaid Services
Center for Medicaid and CHIP Services

Submitted by:

Minnesota Department of Human Services
540 Cedar Street
St. Paul, Minnesota 55164-0983

State of Minnesota
Department of Human Services

This document may be reproduced without permission.

Table of Contents

INTRODUCTION	1
Background.....	1
PMAP+ Waiver Renewal.....	1
ENROLLMENT INFORMATION	2
OUTREACH AND MARKETING	2
Education and Enrollment.....	2
PMAP PURCHASING	3
PMAP Purchasing for American Indian Recipients	3
OPERATIONAL AND POLICY DEVELOPMENTS.....	4
BUDGET NEUTRALITY DEVELOPMENTS	4
MEMBER MONTH REPORTING	4
CONSUMER ISSUES	5
County Advocates.....	5
Grievance System	5
POST-AWARD PUBLIC FORUM ON PMAP+ WAIVER	5
QUALITY ASSURANCE AND MONITORING.....	5
Quality Strategy	5
MCO Internal Quality Improvement System.....	6
External Review Process.....	6
Consumer Satisfaction	6
Update on Comprehensive Quality Strategy.....	7

DEMONSTRATION EVALUATION 7

STATE CONTACT 7

ATTACHMENTS

- A Tribal Health Director’s Meeting August 25, 2016
- B Updated Budget Neutrality Spreadsheet
- C State Fair Hearing Summary for Third Quarter of Calendar Year 2016

FORWARD

As required by the terms and conditions approving §1115(a) waiver No. 11 -W-00039/5, entitled "Minnesota Prepaid Medical Assistance Project Plus (PMAP+)," this document is submitted to the Centers for Medicare & Medicaid Services (CMS) of the U.S. Department of Health and Human Services as the first quarter report for the period of July 1, 2016 through September 30, 2016. This document provides an update on the status of the implementation of the PMAP + Program.

Introduction

Background

The PMAP+ Section 1115 Waiver has been in place for 30 years, primarily as the federal authority for the MinnesotaCare program, which provided comprehensive health care coverage through Medicaid funding for people with incomes in excess of the standards in the Medical Assistance program. On January 1, 2015, MinnesotaCare was converted to a basic health plan, under section 1331 of the Affordable Care Act. As a basic health plan, MinnesotaCare is no longer funded through Medicaid. Instead, the state receives federal payments based on the premium tax credits and cost-sharing subsidies that would have been available through the health insurance exchange.

The PMAP+ waiver also provided the State with longstanding federal authority to enroll certain populations eligible for Medical Assistance into managed care who otherwise would have been exempt from managed care under the Social Security Act. In December of 2014, CMS notified the Department of Human Services (DHS) that it would need to transition this portion of its PMAP+ waiver authority to a section 1915(b) waiver. Therefore, on October 30, 2015, DHS submitted a request to transfer this authority to its Minnesota Senior Care Plus section 1915(b) waiver.

During this process, DHS determined that continued waiver authority was unnecessary for all of the groups historically included under the PMAP+ waiver. Because of the state's updated eligibility and enrollment processes for Medical Assistance, some of these populations are no longer mandatorily enrolled into managed care. Instead, they can enroll in managed care on a voluntary or an optional basis.

Therefore, the amendment to the MSC+ 1915(b) waiver only sought to continue federal waiver authority to require the following groups to enroll in managed care:

- American Indians, as defined in 25 U.S.C. 1603(c), who otherwise would not be mandatorily enrolled in managed care;
- Children under age 21 who are in state-subsidized foster care or other out-of-home placement; and
- Children under age 21 who are receiving foster care under Title IV-E.

CMS approved the amendment to the MSC+ waiver on December 22, 2015 with an effective date of January 1, 2016.

PMAP+ Waiver Renewal

The PMAP+ waiver continues to be necessary to continue certain elements of Minnesota's Medical Assistance program. On February 11, 2016, CMS approved DHS's request to renew the PMAP+ waiver for the period of January 1, 2016 through December 31, 2020.

The current waiver provides continued federal authority to:

- Cover children as “infants” under Medical Assistance who are 12 to 23 months old with income eligibility above 275 percent and at or below 283 percent of the federal poverty level (FPL) (referred to herein as “MA One Year Olds”);
- Waive the federal requirement to redetermine the basis of Medical Assistance eligibility for caretaker adults with incomes at or below 133 percent of the FPL who live with children age 18 who are not full-time secondary school students;
- Provide Medical Assistance benefits to pregnant women during the period of presumptive eligibility; and
- Fund graduate medical education through the Medical Education Research Costs (MERC) trust fund.

Enrollment Information

Please refer to the table below for PMAP+ enrollment activity for the period July 2016 through September 2016.

Demonstration Populations (as hard coded in the CMS 64)	Enrollees at close of quarter September 30, 2016	Current Enrollees (as of data pull on November 2, 2016)	Disenrolled in Current Quarter (July 1, 2016 through September 30, 2016)
MA One-Year-Olds with incomes above 275% FPL and at or below 283% FPL	45	58	20
Medicaid Caretaker Adults with incomes at or below 133% FPL living with a child age 18	1,950	1,877	1,113

Pregnant Women in a Hospital Presumptive Eligibility Period		
Eligibility Month	Eligibility Year	Unique Enrollees
July	2016	41
August	2016	55
September	2016	52

Outreach and Marketing

Education and Enrollment

On October 1, 2013, DHS converted to a common streamlined application for Medical Assistance, MinnesotaCare and MNsure coverage. Medical Assistance and MinnesotaCare

applicants have the option of applying online through the [MNSure website](#) or by mail with a paper application.

The [MNSure website](#) provides information on Minnesota's health care programs. The site is designed to assist individuals with determining their eligibility status for insurance affordability programs in Minnesota. The site provides a description of coverage options through qualified health plans, Medical Assistance and MinnesotaCare. It also provides information about the application, enrollment and appeal processes for these coverage options.

In-person assisters and navigators are also available to assist individuals with the eligibility and enrollment process through the MNSure website. MNSure has a navigator grantee outreach program that does statewide activities to help individuals with enrollment.

Applicants and enrollees who receive Medical Assistance through fee for service can call the DHS [Member Help Desk](#) for assistance with questions about eligibility, information on coverage options, status of claims, spenddowns, prior authorizations, reporting changes that may affect program eligibility, and other health care program information.

PMAP Purchasing

Coverage for a large portion of enrollees in Medical Assistance is purchased on a prepaid capitated basis. The remaining recipients receive services from enrolled providers who are paid on a fee-for-service basis. Most of the fee-for-service recipients are individuals with disabilities. DHS contracts with MCOs in each of Minnesota's 87 counties.

PMAP Purchasing for American Indian Recipients

The Minnesota Legislature enacted a number of provisions, subsequently authorized by CMS, to address issues related to tribal sovereignty that prevent Indian Health Service (IHS) facilities from entering into contracts with MCOs, and other provisions that have posed obstacles to enrolling American Indian recipients who live on reservations into PMAP. The legislation allows American Indian beneficiaries who are enrolled in managed care to receive covered services under Medical Assistance through an IHS or other tribal provider (commonly referred to as "638s") whether or not these providers are in the MCO's network.

Contracts with MCOs include provisions designed to facilitate access to providers for American Indian recipients, including direct access to IHS and 638 providers. IHS and 638 providers may refer recipients to MCO-network specialists without requiring the recipient to first see a primary care provider. DHS has implemented the PMAP+ out-of-network purchasing model for American Indian recipients of Medical Assistance who are not residents of reservations.

Summary Data. The following is a summary of the number of people identified as American Indians who were enrolled in Medical Assistance during calendar year 2015.

Medical Assistance Enrollees who are American Indian Calendar Year 2015	
Families and Children	38,668
Disabled	5,058
Elderly	1,229
Adults with no Children	11,580
Total	56,535

Tribal Health Workgroup. The quarterly Tribal Health Workgroup was formed to address the need for a regular forum for formal consultation between tribes and state employees. The workgroup meets on a quarterly basis and is regularly attended by Tribal Health Directors, Tribal Human Services Directors, and representatives from the Indian Health Service, the Minnesota Department of Health and the Minnesota Department of Human Services. The work group met in Prior Lake, Minnesota on August 25, 2016. A copy of the agenda is at Attachment A.

Operational and Policy Developments

There were no significant program developments or operational issues for populations covered under this waiver during the quarter ending September 30, 2016.

Budget Neutrality Developments

Demonstration expenditures are reported quarterly using Form CMS-64, 64.9 and 64.10. Please see Attachment B for an updated budget neutrality spreadsheet.

Member Month Reporting

Member months for “MA One-Year-Olds” and “Medicaid Caretaker Adults” for the period July 1, 2016 through September 30, 2016 are provided in the table below.

Eligibility Group	Month 1	Month 2	Month 3	Total for Quarter Ending September 30, 2016
Population 1: MA One-Year-Olds with incomes above 275% FPL and at or below 283% FPL	42	43	45	130
Medicaid Caretaker Adults with incomes at or below 133% FPL living with a child age 18	1,949	1,967	1,950	5,866

Consumer Issues

County Advocates

Under Minnesota law, county advocates are required to assist managed care enrollees in each county. The advocates assist enrollees with resolving issues related to their MCO. When unable to resolve issues informally, the county advocates educate enrollees about their rights under the grievance system. County advocates provide assistance in filing grievances through both formal and informal processes, and are available to assist in the appeal or state fair hearing process. State ombudsmen and county advocates meet regularly to identify issues that arise and to cooperate in resolving problematic cases.

Grievance System

The grievance system is available to managed care enrollees who have problems accessing necessary care, billing issues or quality of care issues. Enrollees may file a grievance or an appeal with the MCO and may file a state fair hearing through DHS. A county advocate or a state managed care ombudsman may assist managed care enrollees with grievances, appeals, and state fair hearings. The provider or health plan must respond directly to county advocates and the state ombudsman regarding service delivery and must be accountable to the state regarding contracts with Medical Assistance funds.

Please refer to Attachment C for a summary of state fair hearings closed in the third quarter of calendar year 2016.

Post Award Public Forum on PMAP+ Waiver

DHS held a post award public forum on June 29, 2016 to provide the public with an opportunity to comment on the progress of the PMAP+ demonstration. An overview was provided in the fourth quarter report for PMAP+ demonstration year 21. The next public forum is planned for June 2017.

Quality Assurance and Monitoring

To ensure that the level of care provided by each MCO meets acceptable standards, the state monitors the quality of care provided by each MCO through an ongoing review of each MCO's quality improvement system, grievance procedures, service delivery plan, and summary of health utilization information.

Quality Strategy

In accordance with 42 C.F.R. §438.202(a), the state's quality strategy was developed to monitor and oversee the quality of PMAP and other publicly funded managed care programs in Minnesota.

This quality strategy assesses the quality and appropriateness of care and services provided by MCOs for all enrollees in managed care. It incorporates elements of current MCO contract requirements, state health maintenance organization (HMO) licensing requirements (Minnesota Statutes, Chapters 62D, 62M, 62Q), and federal Medicaid managed care regulations (42 C.F.R. §438). The combination of these requirements (contract and licensing) and standards (quality

assurance and performance improvement) are at the core of DHS's quality strategy. DHS assesses the quality and appropriateness of health care services, monitors and evaluates the MCO's compliance with managed care requirements and, when necessary, imposes corrective actions and appropriate sanctions if MCOs are not in compliance with these requirements and standards. The outcomes of these quality improvement activities are included in the Annual Technical Report (ATR).

MCO Internal Quality Improvement System

MCOs are required to have an internal quality improvement system that meets state and federal standards set forth in the contract between the MCO and DHS. These standards are consistent with those required under state HMO licensure requirements. The Minnesota Department of Health conducts triennial audits of the HMO licensing requirements.

External Review Process

Each year, as the state Medicaid agency, DHS must conduct an external quality review of managed care services. The purpose of the external quality review is to produce the Annual Technical Report (ATR) that includes:

- 1) Determination of compliance with federal and state requirements,
- 2) Validation of performance measures, and performance improvement projects, and
- 3) An assessment of the quality, access, and timeliness of health care services provided under managed care.

Where there is a finding that a requirement is not met, the MCO is expected to take corrective action to come into compliance with the requirement. The external quality review organization (EQRO) conducts an overall review of Minnesota's managed care system. The charge of the review organization is to identify areas of strength and weakness and to make recommendations for change. Where the technical report describes areas of weakness or makes recommendations, the MCO is expected to consider the information, determine how the issue applies to its situation and respond appropriately. The review organization follows up on the MCO's response to the areas identified in the past year's ATR. The technical report is published on the DHS website at [Managed Care Reporting](#).

DHS also conducts annual surveys of enrollees who switch between MCOs during the calendar year. Survey results are summarized and sent to CMS in accordance with the physician incentive plan (PIP) regulation. The survey results are published annually and are available on the DHS website at [Managed Care Reporting](#).

Consumer Satisfaction

DHS sponsors an annual satisfaction survey of public program managed care enrollees using the Consumer Assessment of Health Plans Survey (CAHPS®) instrument and methodology to assess and compare the satisfaction of enrollees with services and care provided by MCOs. DHS contracts with a certified CAHPS vendor to administer and analyze the survey. Survey results are published on the DHS website at [Managed Care Reporting](#).

Update on Comprehensive Quality Strategy

Minnesota's Comprehensive Quality Strategy is an overarching comprehensive and dynamic continuous quality improvement strategy integrating all aspects of the quality improvement programs, processes and requirements across Minnesota's Medicaid program. Minnesota has incorporated into its Comprehensive Quality Strategy measures and processes related to the programs affected by this waiver. An initial draft of Minnesota's Comprehensive Quality Strategy was submitted to CMS in February 2015.

Demonstration Evaluation

The evaluation plan for the PMAP+ waiver period from January 1, 2015 through December 31, 2018 was initially submitted with Minnesota's PMAP+ waiver extension request in December of 2014. In May of 2016 the evaluation plan was revised to address CMS feedback and updated to reflect an end date of 2020 to align with the approved terms of our waiver. DHS plans to implement the revised plan in early 2017.

State Contact

The state contact person for this waiver is Stacie Weeks. She can be reached by telephone at (651) 431-2151, or fax at (651) 431-7421, or email at stacie.weeks@state.mn.us.

Attachment A

*Tribal Health Director's Meeting
SMSC – The Link Conference Center
2200 Trail of Dreams
Prior Lake, MN 55372*

**Thursday, August 25, 2016
10:00 am to 3:00 pm**

AGENDA

10:00 – 10:15 a.m.

Welcome/Opening Prayer and Introductions

10:15 – 10:45 a.m.

Tribal Health Directors Work Group Reports

**-Tribal State Agreement – Health Care Finance and Policy – Sam Moose
and/or workgroup member**

-ACA Workgroup – Linda Bedeau

**-Workforce and Education Workgroup – Jackie Dionne and/or Workgroup
member**

-Opiate Prevention and Treatment – Adam Fairbanks/Jennifer Dupuis

-Community Engagement – Jackie Dionne and/or Paula Schaeftbauer

10:45 – 11:30 a.m.

Commissioner Ed Ehlinger – Discussion on MDH activities and updates

11:30 – Noon

DHS Access Rule – Stacy Weeks and/or David Quincy

Noon – 12:30 p.m.

LUNCH BREAK

12:30 – 1:00 p.m.

**OSHII Tribal SHIP/Tobacco Evaluation Update – Chris Tholkes, Ann Zukowski
and Sarah Brokenleg**

1:00 – 1:15 p.m.

DHS SPA/Waiver Updates – Jan Kooistra

1:15 – 2:00 p.m.

MDH Promoting Positive Mental Health – Anna Lynn

2:00 – 2:30 p.m.

MDH Climate & Health Program and Partnership Discussion – Kristin Raab

2:30 – 2:45 p.m.

Great Lake Area Tribal Health Board Update – Kara Schurman

2:45 – 3:00 p.m.

Discuss 2017 Meeting Dates and Location - All

Adjourn

Agenda items for next meeting

Next Tribal Health Directors Meeting

Thursday, November 17th

MinnesotaCare Pregnant Women

SFY	Member Mo	PMPM Cap*	PMPM	PMPM Ceiling	Expenditures	Withhold Payments	Total Expenditures	Difference	PMPM % Change
1996	9,286	532.85	242.86	4,948,045	2,255,164	0	2,255,164	2,692,881	
1997	13,190	550.96	336.20	7,267,162	4,434,527	0	4,434,527	2,832,636	38.44%
1998	14,466	780.63	441.18	11,292,594	6,382,066	0	6,382,066	4,910,528	31.22%
1999	12,673	808.73	749.11	10,249,035	9,493,489	0	9,493,489	755,546	69.80%
2000	14,808	855.64	805.78	12,670,263	11,932,002	0	11,932,002	738,261	7.56%
2001	16,148	905.26	645.22	14,618,191	10,419,027	0	10,419,027	4,199,164	-19.93%
2002	17,769	957.77	499.39	17,018,589	8,873,703	0	8,873,703	8,144,885	-22.60%
2003	21,539	455.17	455.17	9,803,907	9,803,946	0	9,803,946	-39	-8.85%
2004	24,132	491.58	495.34	11,863,059	11,953,746	0	11,953,746	-90,686	8.83%
2005	19,320	530.91	550.77	10,257,187	10,558,806	82,151	10,640,957	-383,770	11.19%
2006	18,757	573.38	583.60	10,754,947	10,339,207	607,367	10,946,574	-191,627	5.96%
2007	17,125	619.25	591.18	10,604,721	9,532,274	591,739	10,124,013	480,707	1.30%
2008	13,775	668.79	608.91	9,212,638	7,877,371	510,300	8,387,671	824,967	3.00%
2009	12,509	715.28	659.57	8,947,378	7,800,594	449,911	8,250,505	696,873	8.32%
2010	12,189	764.99	694.68	9,324,425	8,032,682	434,755	8,467,437	856,988	5.32%
2011	14,724	818.15	602.28	12,046,418	8,429,347	438,634	8,867,981	3,178,437	-13.30%
2012	15,395	861.51	548.79	13,262,952	7,978,761	469,910	8,448,671	4,814,281	-8.88%
2013	13,196	907.17	714.12	11,971,020	8,852,603	570,865	9,423,468	2,547,552	30.12%
2014	9,926	955.25	635.57	9,482,243	5,702,044	606,923	6,308,967	3,173,276	-11.00%
2015	0	1005.88	0.00	0	0	576,070	576,070	-576,070	-100.00%
2016						0	0	0	

MinnesotaCare Children

SFY	Member Mo	PMPM Cap*	PMPM	PMPM Ceiling	Expenditures	Withhold Payments	Total Expenditures	Difference	PMPM % Change
1996	598,163	77.28	61.81	46,226,037	36,975,285	0	36,975,285	9,250,752	
1997	626,322	84.84	68.55	53,137,158	42,935,448	0	42,935,448	10,201,710	10.90%
1998	647,966	93.34	63.16	60,481,146	40,923,510	0	40,923,510	19,557,636	-7.87%
1999	663,575	98.57	83.48	65,408,588	55,397,445	0	55,397,445	10,011,142	32.18%
2000	684,169	105.82	100.08	72,402,015	68,468,394	0	68,468,394	3,933,620	19.87%
2001	743,321	113.61	110.02	84,451,266	81,779,245	0	81,779,245	2,672,021	9.94%
2002	817,362	121.98	141.24	99,698,060	115,443,524	0	115,443,524	-15,745,463	28.38%
2003	845,901	152.97	152.97	129,397,476	129,399,234	0	129,399,234	-1,758	8.31%
2004	871,613	164.23	161.76	143,143,803	140,988,649	0	140,988,649	2,155,155	5.74%
2005	700,204	176.32	171.94	123,457,040	118,715,216	1,676,114	120,391,330	3,065,710	6.29%
2006	700,153	189.29	179.33	132,533,824	119,376,959	6,184,667	125,561,626	6,972,198	4.30%
2007	597,980	203.22	189.58	121,524,246	106,992,026	6,374,137	113,366,163	8,158,083	5.71%
2008	516,430	218.18	218.57	112,675,695	106,515,703	6,362,419	112,878,122	-202,428	15.29%
2009	486,582	233.35	270.57	113,541,757	124,830,755	6,825,130	131,655,885	-18,114,128	23.79%
2010	476,338	249.56	287.15	118,876,384	128,311,163	8,471,078	136,782,241	-17,905,857	6.13%
2011	556,156	266.92	254.73	148,447,896	133,560,474	8,109,906	141,670,380	6,777,516	-11.29%
2012	576,281	280.00	254.18	161,356,776	139,444,933	7,032,337	146,477,270	14,879,506	-0.22%
2013	535,929	293.72	279.00	157,411,208	138,040,769	11,484,999	149,525,768	7,885,440	9.77%
2014	452,318	308.11	235.00	139,363,114	96,238,827	10,055,930	106,294,757	33,068,357	-15.77%
2015	22,824	323.21	663.89	7,376,978	3,637,507	11,515,426	15,152,933	-7,775,955	182.51%
2016						562,051	562,051	-562,051	

MinnesotaCare Caretaker Adults

SFY	Member Mo**	PMPM Cap*	PMPM	PMPM Ceiling	Expenditures	Withhold Payments	Total Expenditures	Difference	PMPM % Change
1996									
1997									
1998									
1999	161,697	135.46	158.45	21,903,476	25,620,274	0	25,620,274	-3,716,799	
2000	323,174	143.32	181.55	46,316,225	58,670,873	0	58,670,873	-12,354,648	14.58%
2001	409,506	151.63	197.33	62,093,005	80,807,937	0	80,807,937	-18,714,932	8.69%
2002	221,611	160.42	286.82	35,551,619	63,562,150	0	63,562,150	-28,010,530	45.35%
2003	236,029	294.62	294.63	69,538,864	69,540,849	0	69,540,849	-1,985	2.72%
2004	246,048	318.19	322.47	78,289,835	79,342,154	0	79,342,154	-1,052,319	9.45%
2005	203,869	343.64	342.26	70,058,515	69,134,246	641,139	69,775,385	283,130	6.14%
2006	203,320	371.14	353.03	75,459,443	67,853,429	3,924,546	71,777,975	3,681,467	3.15%
2007	207,730	400.83	364.70	83,263,846	72,009,983	3,749,864	75,759,847	7,503,999	3.31%
2008	144,883	432.89	401.55	62,718,900	53,505,487	4,671,560	58,177,047	4,541,853	10.10%
2009	203,903	462.98	447.20	94,402,915	86,724,587	4,461,799	91,186,386	3,216,530	11.37%
2010	349,867	495.16	468.84	173,238,957	158,984,682	5,047,152	164,031,834	9,207,123	4.84%
2011	431,505	529.57	430.77	228,512,100	177,078,865	8,798,806	185,877,671	42,634,429	-8.12%
2012	445,254	557.64	423.17	248,290,195	179,331,694	9,085,272	188,416,966	59,873,229	-1.76%
2013	391,222	587.19	506.79	229,722,419	183,871,905	14,395,217	198,267,122	31,455,297	19.76%
2014	402,751	618.31	518.63	249,026,450	195,225,833	13,652,774	208,878,607	40,147,843	2.34%
2015	334,462	651.08	394.87	217,762,486	116,398,864	15,669,702	132,068,566	85,693,920	-23.86%
2016						15,703,841	15,703,841	-15,703,841	

MinnesotaCare Adults without Children (>= 75% FPG)

SFY	Member Mo**	PMPM Cap*	PMPM	PMPM Ceiling	Expenditures	Withhold Payments	Total Expenditures	Difference	PMPM % Change
2008	186,323		397.72		70,530,235	3,573,832	74,104,067		
2009	219,400		418.15		88,168,476	3,573,130	91,741,606		5.14%
2010	283,219	499.06	499.06	141,342,735	137,808,553	3,534,181	141,342,734	1	19.35%
2011	408,016	530.00	507.75	216,248,357	201,320,084	5,850,136	207,170,220	9,078,137	1.74%
2012	442,481	562.86	500.68	249,054,826	212,203,567	9,337,541	221,541,108	27,513,718	-1.39%
2013	370,696	597.76	588.21	221,586,121	203,451,740	14,594,477	218,046,217	3,539,904	17.48%

2014	421,664	634.82	691.22	267,680,094	277,247,519	14,214,969	291,462,488	-23,782,395	17.51%
2015	386,593	674.18	498.43	260,632,196	175,799,964	16,889,767	192,689,731	67,942,465	-27.89%
2016						24,117,771	24,117,771	-24,117,771	

MA One-Year-Olds (Greater Than 133% FPG)

SFY	Member Mo	PMPM Cap*	PMPM	PMPM Ceiling	Expenditures	Withhold Payments	Total Expenditures	Difference	PMPM % Change
1996	7,210	480.34	180.98	3,463,251	1,304,893	0	1,304,893	2,158,358	
1997	7,133	516.24	228.78	3,682,340	1,631,891	0	1,631,891	2,050,449	26.41%
1998	5,904	534.46	276.51	3,155,452	1,632,486	0	1,632,486	1,522,966	20.86%
1999	6,498	198.10	186.67	1,287,254	1,212,991	0	1,212,991	74,263	-32.49%
2000	8,877	212.68	149.89	1,887,960	1,330,612	0	1,330,612	557,348	-19.70%
2001	10,673	228.33	149.29	2,436,966	1,593,395	0	1,593,395	843,571	-0.40%
2002	10,173	245.14	186.58	2,493,809	1,898,065	0	1,898,065	595,744	24.98%
2003	10,030	177.25	177.25	1,777,818	1,777,805	0	1,777,805	12	-5.00%
2004	27,798	190.30	160.09	5,289,901	4,450,252	0	4,450,252	839,648	-9.68%
2005	37,956	204.30	174.99	7,754,462	6,585,261	56,543	6,641,804	1,112,658	9.30%
2006	41,817	219.34	219.22	9,172,054	8,860,603	306,371	9,166,974	5,080	25.28%
2007	43,796	235.48	238.35	10,313,135	10,095,710	342,898	10,438,608	-125,473	8.73%
2008	45,569	252.81	263.50	11,520,419	11,625,515	381,705	12,007,220	-486,802	10.55%
2009	50,617	270.38	272.12	13,685,981	13,235,184	538,950	13,774,134	-88,152	3.27%
2010	55,023	289.17	272.47	15,911,261	14,322,815	669,373	14,992,188	919,073	0.13%
2011	56,530	309.27	257.68	17,482,885	13,795,088	771,701	14,566,789	2,916,096	-5.43%
2012	57,729	324.42	278.14	18,728,527	15,309,617	747,198	16,056,815	2,671,712	7.94%
2013	54,916	340.32	231.22	18,688,910	11,923,641	774,211	12,697,852	5,991,058	-16.87%
2014	58,113	356.99	243.70	20,745,909	13,185,437	976,604	14,162,041	6,583,868	5.40%

Current Waiver MEGs

MA One-Year-Olds (Income Greater Than 275% FPG and TPL)

SFY	Member Mo	PMPM Cap*	PMPM	PMPM Ceiling	Expenditures	Withhold Payments	Total Expenditures	Difference	PMPM % Change
2010	263		255.05		62,004	5,073	67,077		
2011	513		356.76		177,735	5,284	183,020		39.88%
2012	378		239.48		80,702	9,822	90,524		-32.87%
2013	376		164.71		51,085	10,846	61,931		-31.22%
2014	700	182.65	182.65		122,132	5,727	127,858		10.89%
2015	527	182.65	111.56	96,259	51,535	7,259	58,795	37,464	-38.92%
2016	553	182.65	118.16	100,963	58,053	7,262	65,315	35,648	5.91%
2017	571	182.65	104.94	104,326	55,177	4,761	59,937	44,389	-11.19%
2018	572	182.65	118.27	104,512	62,747	4,926	67,673	36,839	12.71%
2019	289	182.65	127.53	52,782	31,727	5,127	36,854	15,928	7.83%

MA Parents With Youngest Child 18 Years Old

SFY	Member Mo**	PMPM Cap*	PMPM	PMPM Ceiling	Expenditures	Withhold Payments	Total Expenditures	Difference	PMPM % Change
2009	6,439		503.09		2,994,428	244,996	3,239,425		
2010	8,578		502.11		4,051,903	255,203	4,307,107		-0.20%
2011	9,375		483.36		4,225,464	306,022	4,531,486		-3.73%
2012	9,061	476.54	476.54		3,957,623	360,261	4,317,884		-1.41%
2013	8,945	476.54	447.89		3,650,671	355,691	4,006,362		-6.01%
2014	13,309	476.54	429.45		5,384,791	330,723	5,715,514		-4.12%
2015	24,114	476.54	489.56	11,491,165	11,412,124	393,181	11,805,305	-314,140	14.00%
2016	17,701	476.54	548.61	8,435,086	8,996,780	714,173	9,710,953	-1,275,867	12.06%
2017	18,291	476.54	516.29	8,716,097	8,551,106	892,225	9,443,330	-727,233	-5.89%
2018	18,323	476.54	572.38	8,731,659	9,724,313	763,474	10,487,787	-1,756,128	10.86%
2019	9,254	476.54	617.20	4,409,771	4,916,946	794,539	5,711,484	-1,301,714	7.83%

Annual ceiling less expenditures, all waiver groups

	MinnesotaCare Pregnant Women	MinnesotaCare Children	MinnesotaCare Caretaker Adults	MinnesotaCare Adults w/o Kids	MA 1-Year-Olds	MA Parents with Youngest Child 18-Years-Old	Total	Cumulative	Trend scenario	
1996	2,692,881	9,250,752			2,158,358		14,101,991	14,101,991	PW/Parents	Kids
1997	2,832,636	10,201,710			2,050,449		15,084,795	29,186,786	5.30%	4.90%
1998	4,910,528	19,557,636			1,522,966		25,991,130	55,177,916		
1999	755,546	10,011,142	-3,716,799		74,263		7,124,152	62,302,068		
2000	738,261	3,933,620	-12,354,648		557,348		-7,125,419	55,176,649		
2001	4,199,164	2,672,021	-18,714,932		843,571		-11,000,176	44,176,473		
2002	8,144,885	-15,745,463	-28,010,530		595,744		-35,015,364	9,161,109		
2003	-39	-1,758	-1,985		12		-3,770	9,157,339		
2004	-90,686	2,155,155	-1,052,319		839,648		1,851,798	11,009,137		
2005	-383,770	3,065,710	283,130		1,112,658		4,077,729	15,086,865		
2006	-191,627	6,972,198	3,681,467		5,080		10,467,118	25,553,984		
2007	480,707	8,158,083	7,503,999		-125,473		16,017,316	41,571,300		
2008	824,967	-202,428	4,541,853		-486,802		4,677,590	46,248,890		
2009	696,873	-18,114,128	3,216,530		-88,152		-14,288,879	31,960,012		
2010	856,988	-17,905,857	9,207,123		919,073		-6,922,673	25,037,339		
2011	3,178,437	6,777,516	42,634,429		2,916,096		55,506,477	80,543,816		
2012	4,814,281	14,879,506	59,873,229	27,513,718	2,671,712		109,752,447	190,296,264		
2013	2,547,552	7,885,440	31,455,297	3,539,904	5,991,058		51,419,252	241,715,515		
2014	3,173,276	33,068,357	40,147,843	-23,782,395	6,583,868		59,190,950	300,906,465		
2015	-576,070	-7,775,955	85,693,920	67,942,465	37,464	-314,140	145,007,685	445,914,149		

2016	0	-562,051	-15,703,841	-24,117,771	35,648	-1,275,867	-41,623,882	404,290,267
2017					44,389	-727,233	-682,844	403,607,423
2018					36,839	-1,756,128	-1,719,289	401,888,134
2019					15,928	-1,301,714	-1,285,785	400,602,349 <= Bottom line cost neutrality
Sum	39,604,788	78,281,206	208,683,767	51,095,922	28,311,747	-5,375,082		400,602,349

Total waiver expenditures, all waiver groups

	MinnesotaCare Pregnant Women	MinnesotaCare Children	MinnesotaCare Caretaker Adults	MinnesotaCare Adults w/o Kids	MA 1-Year-Olds	MA Parents with Youngest Child 18-Years-Old	Total	Federal Share
1996	2,255,164	36,975,285			1,304,893		40,535,342	21,897,192
1997	4,434,527	42,935,448			1,631,891		49,001,866	26,304,201
1998	6,382,066	40,923,510			1,632,486		48,938,062	25,697,376
1999	9,493,489	55,397,445	25,620,274		1,212,991		91,724,200	47,384,722
2000	11,932,002	68,468,394	58,670,873		1,330,612		140,401,882	72,292,929
2001	10,419,027	81,779,245	80,807,937		1,593,395		174,599,604	89,394,997
2002	8,873,703	115,443,524	63,562,150		1,898,065		189,777,441	95,420,098
2003	9,803,946	129,399,234	69,540,849		1,777,805		210,521,835	105,260,917
2004	11,953,746	140,988,649	79,342,154		4,450,252		236,734,800	118,367,400
2005	10,640,957	120,391,330	69,775,385		6,641,804		207,449,475	103,724,738
2006	10,946,574	125,561,626	71,777,975		9,166,974		217,453,150	108,726,575
2007	10,124,013	113,366,163	75,759,847		10,438,608		209,688,632	104,844,316
2008	8,387,671	112,878,122	58,177,047		12,007,220		191,450,061	95,725,030
2009	8,250,505	131,655,885	91,186,386		13,774,134		244,866,910	122,433,455
2010	8,467,437	136,782,241	164,031,834		14,992,188		324,273,701	162,136,850
2011	8,867,981	141,670,380	185,877,671		14,566,789		350,982,821	175,491,411
2012	8,448,671	146,477,270	188,416,966	221,541,108	16,056,815		580,940,830	290,470,415
2013	9,423,468	149,525,768	198,267,122	218,046,217	12,697,852		587,960,428	293,980,214
2014	6,308,967	106,294,757	208,878,607	291,462,488	127,858	5,715,514	618,788,191	309,394,096
2015	576,070	15,152,933	132,068,566	192,689,731	58,795	11,805,305	352,351,400	176,175,700
2016	0	562,051	15,703,841	24,117,771	65,315	9,710,953	50,159,931	25,079,965
2017					59,937	9,443,330	9,503,268	4,751,634
2018					67,673	10,487,787	10,555,461	5,277,730
2019					36,854	5,711,484	5,748,338	2,874,169
Sum	165,989,985	2,012,629,261	1,837,465,484	947,857,315	127,591,207	52,874,374	5,144,407,627	2,583,106,130

NOTES

1. Payments through December 2015 are actual data.
2. MA one-year olds--enrollment is actual through December 2015.
3. The Fiscal Year 2004 expenditures include thirteen payments and FY 2005 expenditures include 11 payments.
4. Fiscal Year 2007 caretaker adult member months include 2 months of Medicaid waiver eligibility for the SCHIP parent group. Fiscal Year 2008 includes no months of waiver eligibility for the SCHIP parent group.
5. The SCHIP waiver for MinnesotaCare parents is terminated effective with the service month of February 2009. As a result, Fiscal Year 2009 includes 5 months of waiver eligibility for the SCHIP parent group. Further, caretaker adult member months in Fiscal Years 2010 through 2014 include all 12 months of Medicaid waiver eligibility for the former SCHIP parent group.
6. FY 2013 expenditures include 11 payments and FY2014 expenditures include 8 payments (payments for May and June 2013 are delayed to July 2013).
7. Beginning January 2014, eligible member months are limited to parents, 19-20 year olds, and adults without children with income between 138%-200% FPG.
8. FY2015 average monthly payments for children are skewed because the calculation includes the State's obligation to pay back the HMO withhold collected during CY2013, a time period which included a larger eligible children population. Eligible children in FY2015 include only 19-20 year olds with income between 138%-200% FPG while eligible children in CY2013 include 0-20 year olds with income under 275% FPG.
9. FY2019 reflects a six month waiver period: July-December 2018.
10. FY2019 expenditures reflect the State's obligation to pay back the HMO withhold collected during CY2018.

March 9, 2016

State Fair Hearings Closed in Quarter 3 of 2016 by Metro and Non-Metro Areas

Attachment C

	Number of SFHs
Area	
Eleven County Metro Area	165
Non-Metro Area	53
Total	218

State Fair Hearings Closed in Quarter 3 of 2016 by Type, Service Category and Outcome

Admin Type by Service Category and Outcome

Outcome	Dismissed	Enrollee prevailed	Resolved after hearing	Resolved before hearing	State affirmed	Withdrawn	Total
	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs
Service Category							
Health Plan Change	2	2	1	1	4	2	12
Restricted Recipient	2			1			3
Total	4	2	1	2	4	2	15

Billing Type by Service Category and Outcome

Outcome	Dismissed	Enrollee prevailed	Health Plan prevailed	Resolved before hearing	Withdrawn	Total
	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs
Service Category						
Chemical Dependency	1					1
Chiropractic				1		1
Dental	1			2	1	4
Emergency Room				2		2
Hospital	1					1
Pharmacy					1	1
Professional Medical Services	2	1		12		15
Total	5	1	3	14	2	25

Service Type by Service Category and Outcome

Outcome	Dismissed	Enrollee prevailed	HP Partially Upheld/Member Partially Denied	Health Plan prevailed	Resolved before hearing	Withdrawn	Total
	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs
Service Category							
DME-Medical Supplies		1		3	3		7
Dental	1			7	3	1	12
EW Services	1	2		5	1		9
Health Plan Change	1				1		2
Home Care	11	19	5	24	19	4	82
Pharmacy	2	2		10	8	1	23
Professional Medical Services	2	1		13	7	4	27
Therapies/Rehabilitation	1	1		6	1	2	11
Transportation						1	1
Vision Services				1	2	1	4

Total	19	26	5	69	45	14	178
-------	----	----	---	----	----	----	-----

Access Type by Service Category and Outcome

No values were returned for this table.

Total All Types by Service Category and Outcome

Outcome	Dismissed	Enrollee prevailed	HP Partially Upheld/Member Partially Denied	Health Plan prevailed	Resolved after hearing	Resolved before hearing	State affirmed	Withdrawn	Total
	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs	Number of SFHs
Service Category									
Chemical Dependency	1								1
Chiropractic				1					1
DME-Medical Supplies		1		3		3			7
Dental	2			9		3		2	16
EW Services	1	2		5		1			9
Emergency Room						2			2
Health Plan Change	3	2			1	2	4	2	14
Home Care	11	19	5	24		19		4	82
Hospital	1								1
Pharmacy	2	2		10		8		2	24
Professional Medical Services	4	2		13		19		4	42
Restricted Recipient	2					1			3
Therapies/Rehabilitation	1	1		6		1		2	11
Transportation								1	1
Vision Services				1		2		1	4
Total	28	29	5	72	1	61	4	18	218

Summary of SFHs Closed in Quarter 3 of 2016 by Outcome

Outcome	Number of SFHs
Dismissed	28
Enrollee prevailed	29
HP Partially Upheld/Member Partially Denied	5
Health Plan prevailed	72
Resolved after hearing	1
Resolved before hearing	61
State affirmed	4
Withdrawn	18
Total	218