

**HEALTHY INDIANA PLAN DEMONSTRATION**  
PROJECT NUMBER: 11-W-00237/5

**SECTION 1115 QUARTERLY REPORT**  
State of Indiana

**REPORTING PERIOD:**

Demonstration Year: 6 (1/1/13 – 12/31/13)  
Federal Fiscal Quarter: 1/2013 (10/1/13 – 12/30/13)  
Date submitted to CMS: 2/28/2014



**HEALTHY INDIANA PLAN** <sup>SM</sup>  
Health Coverage = Peace of Mind

## **Introduction:**

The Healthy Indiana Plan (HIP) was designed for those that do not have access to health insurance and that are not eligible for other Indiana Medicaid programs. Through a December 14, 2007 letter from Kerry Weems, Acting CMS Administrator, the State of Indiana Family and Social Services Administration (FSSA) Secretary was informed HIP was approved as the Indiana Section 1115 Medicaid Demonstration Project (11-W-00237/5) for a five year period – January 1, 2008 through December 31, 2012 – in accordance with section 1115(a) of the Social Security Act. Indiana then received CMS approval to extend the HIP through December 13, 2013.

The goal of this approved demonstration is to:

- Provide high deductible health insurance plan
- Provide Personal Wellness & Responsibility (POWER) Account (like a HSA)
- Provide health insurance to uninsured custodial parents (caretakers) of Medicaid & SCHIP children with family incomes 22% of federal poverty level (FPL) up to 200% of FPL
- Provide health insurance to non-custodial parents and uninsured childless adults (non-caretakers) with family income up to 200% of FPL.

This demonstration is the first of its kind in the United States and has a unique empowerment of enrollees (members) to be cost and value conscious while promoting personal responsibility, which includes:

- Specified monthly POWER account contribution ranging from 2% - 5% of gross income.
- Twelve month lock out from program for members that do not make payments within 60 days.
- Incentivizes yearly preventative services at no cost and are funded outside the POWER Account.
- Total remaining balance in the POWER account rolls over when preventative services are obtained.

## **Overview**

The State of Indiana respectfully submits the 3rd quarter 2013 Healthy Indiana Plan 1115 demonstration report.

If there are any questions or comments regarding these changes, please do not hesitate to contact the State contacts listed below.

## **State Contacts**

Hoosier Healthwise-Enrollment		
Source: OMPP Data Unit		
Item	Period	Total
Number of Hoosier Healthwise Enrollees-Anthem	Rolling	23,386
Number of Hoosier Healthwise Enrollees-MDwise	Rolling	26,345
Number of Hoosier Healthwise Enrollees-MHS	Rolling	16,462
Number of Hoosier Healthwise Enrollees-Total	Rolling	66,193

Table 1

Healthy Indiana Plan-Applicants		
Source: OMPP Data Unit		
Item	Period	Total
Number of HIP Applicants-Start of Quarter	Rolling	460,754
Number of HIP Applicants-During Quarter	Quarter	22,890
Number of HIP Applicants-Total	Rolling	483,644

Table 2

Healthy Indiana Plan-Number of Denials		
Source: OMPP Data Unit		
Item	Period	Total
Number of HIP denials Non-Caretaker Cap reached	Quarter	1,335
Number of HIP Denials-Failure to pay initial POWER Account contribution	Quarter	889
Number of HIP Denials-Insurance through employer	Quarter	105
Number of HIP Denials-Other	Quarter	13,750
Number of HIP Denials-Total	Quarter	16,079

Table 3

Healthy Indiana Plan - Number of Denials						
Source: OMPP Data Unit						
FPL Range	Number of Applicants	Number Who Failed to Make an Initial POWER Account Contribution	Percentage	Number of Enrollees	Number Who Were Disenrolled for Failure to Make a Subsequent POWER Account Contribution	Percentage
0 to 22% FPL	2,475	26	0.01%	9,146	20	0.01%
23-50% FPL	2,057	78	0.04%	4,726	45	0.01%
51 to 100% FPL	4,432	212	0.05%	11,398	99	0.01%
101 to 150% FPL	2,522	191	0.08%	7,173	79	0.01%
151+ FPL	1,131	100	0.09%	3,560	20	0.01%

Table 3.1

Healthy Indiana Plan-Enrollment			
Source: OMPP Data Unit			
	Item	Period	Total
A	Number of HIP Enrolled-Start of quarter	Rolling	35,354
B	Number of HIP Enrolled-During the quarter	Quarter	3,306
C	Number of HIP Disenrolled-Due to non-payment of POWER Account	Quarter	967
D	Number of HIP Disenrolled-HIP redetermination packet not submitted	Quarter	343
E	Number of HIP Disenrolled-Member became pregnant	Quarter	164
F	Number of HIP Disenrolled-Move to Medicaid category	Quarter	181
G	Number of HIP Disenrolled-Insurance through employer	Quarter	139
H	Number of HIP Disenrolled-Other reasons	Quarter	1,512
I	Number of HIP Enrolled-End of the quarter ((I)=(A)+(B)-(C)-(D)-(E)-(G)-(H))	Quarter	35,354

Table 4

Healthy Indiana Plan-Number of Denials						
Source: OMPP Data Unit						
Reason Code	Low Income 0-22%	Low Income 23-50%	51%- 100%	101%- 150%	151%+	Total
HIP Disenrolled-Due to non-payment of POWER Account	74	166	266	70	1	967
HIP Disenrolled-HIP redetermination packet not submitted	146	50	47	26	1	343
HIP Disenrolled-Member became pregnant	22	37	35	16	-	164
HIP Disenrolled-Move to Medicaid category	49	24	33	15	-	181
HIP Disenrolled-Insurance through employer	30	24	23	16	2	139
HIP Disenrolled-Other reasons	400	198	305	187	19	1,512

Table 4.1

Healthy Indiana Plan-FPL							
Source: OMPP Data Unit							
Aid Category	Plan	Low Income 0-22%	Low Income 23%-50%	51%- 100%	101%- 150%	151%- 200%	Total
Caretaker	Anthem	2,024	2,034	5,361	3,761	2,098	15,278
	ESP	114	83	210	140	78	625
	MHS	641	536	1,212	715	373	3,477
	MDwise	1,164	1,023	2,407	1,465	702	6,761
Subtotal		3,943	3,676	9,190	6,081	3,251	26,141
Non Caretaker	Anthem	2,095	473	1,678	1,443	984	6,673
	ESP	228	54	230	155	100	767
	MHS	261	51	160	132	85	689
	MDwise	931	175	670	442	268	2,486
Subtotal		3,515	753	2,738	2,172	1,1437	10,615
Grand Total		7,458	4,429	11,928	8,253	4,688	36,756

Table 5

Number of HIP Enrolled-Reached \$200,000/Annual or \$900,000/Lifetime in Benefits					
Source: Healthy Indiana Plan Health Plans					
Item	Period	Anthem	MDwise	MHS	Total
Referred to Medicaid	Quarter	0	0	0	0
Referred to M.E.D. Works	Quarter		0	0	0
Other	Quarter		0	0	0
<b>Total</b>	<b>Quarter</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Table 6

Number of HIP Enrolled-Reached \$300,000/Annual or \$1,00,000/Lifetime in Benefits					
Source: Healthy Indiana Plan Health Plans					
Item	Period	Anthem	MDwise	MHS	Total
Referred to Medicaid	Quarter	1	0	0	1
Referred to M.E.D Works	Quarter		0	0	0
Other	Quarter		0	0	0
<b>Total</b>	<b>Quarter</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>

Table 7

Number of HIP Enrolled-ESP				
Source: OMPP Data Unit				
Item	Period	Month 1	Month 2	Month 3
Number of HIP Enrolled-ESP	Quarter	1,331	1,313	358

Table 8

Budget Neutrality-Member Months					
Source: OMPP Finance & State Actuary					
Medicaid Eligibility Group (MEG)	Period	Month 1	Month 2	Month 3	Total
HHW Caretakers	Quarter	111,365	110,067	108,865	330,297
HHW Children	Quarter	550,211	546,693	543,256	1,640,159
HHW Pregnant Women	Quarter	26,793	26,175	26,123	79,092
HIP Caretakers	Quarter	24,184	24,193	24,479	72,857
HIP Non-Caretakers	Quarter	10,461	10,244	10,156	30,861

Table 9

Healthy Indiana Plan-Enrollee/Applicant Inquiries					
Source: OMPP Agency Coordination & Integration					
Item	Period	Month 1	Month 2	Month 3	Total
Eligibility	Quarter	0	0	0	0
Application	Quarter	0	0	0	0
Buy-In	Quarter	1	0	1	2
General Questions	Quarter	40	62	86	188
Waiting List	Quarter	9	1	1	11
Anthem	Quarter	2	1	2	5
ESP	Quarter	0	0	0	0
Mdwise	Quarter	0	0	0	0
MHS	Quarter	0	0	1	1
<b>Total</b>	<b>Quarter</b>	<b>52</b>	<b>64</b>	<b>91</b>	<b>207</b>

Table 10

Healthy Indiana Plan- Enrollee/Applicant Hearings and Appeals		
Source: FSSA Hearings and Appeals		
Item	Period	Total
Did Not Complete Verifications Request from State	Quarter	1126
Other Insurance	Quarter	756
Financial Eligibility	Quarter	141
Other	Quarter	1
Total	Quarter	2024

Table 11

Enrollee/Applicant Issues
Source: OMPP Care Programs
Provide a summary of the types of complaints or successes consumers identified about the program in the current quarter. Include any trends discovered, the resolution of complaints, and any actions taken or to be taken to prevent other occurrences.
During the fourth quarter of 2013, the most significant applicant/enrollee issues were for those HIP members > 105 percent FPL scheduled to become ineligible for HIP12-31-13. This ineligibility was the result of the change in eligibility guidelines under the ACA effective 10-1-13. HIP members > 105 % FPL were informed via various communications from Indiana Medicaid to seek replacement health care coverage through the Federal Health Exchange. Because of the multiple enrollment difficulties with the Federal Health Exchange many of the Indiana HIP members were at risk of being without health coverage for an unknown period of time. On behalf of these Indiana residents, Governor Pence chose to extend HIP for members > 105 % FPL through 4/30/14. This would allow time for the Federal Health Exchange to overcome operation issues and allow Indiana HIP members to apply for other health insurance through the federal exchange. One other issue noted with enrollee/applicant issues during the fourth quarter had to do with the transfer of Indiana resident information between the federal exchange and Indiana Medicaid. There was a delay in receiving information from the federal exchange on Indiana residents found eligible for Medicaid, including HIP. Many residents who previously applied through the federal exchange then applied directly to Indiana Medicaid to avoid a gap in coverage.

Table 12

Outreach/Innovative Activities
Source: Healthy Indiana Plan Health Plans
Provide a summary of the outreach activities and/or promising practices for the current quarter.
<p style="text-align: center;"><b><u>Anthem</u></b></p> <p>During the Q4 2013, Anthem continued its welcome calls to new members, its reminder calls encouraging members to complete their health risk assessment, and continued to send Welcome Letters in addition to the welcome packet. Anthem will begin a special outreach to HIP members who are above 105% of FPL once OMPP approval is received for collateral materials. The purpose of this outreach will be to remind these members that their HIP membership will terminate on March 31<sup>st</sup> if they are above 105% of FPL.</p>
<p style="text-align: center;"><b><u>MDwise</u></b></p> <p>MDwise has integrated the promotion and education of the Healthy Indiana Plan since the plan originally started in 2007. MDwise achieves successful promotion of its Healthy Indiana Plan by conducting outreach, education, and marketing, to its Healthy Indiana Plan members and providers.</p>

MDwise strives to educate its members on the importance of receiving preventive care, knowing their medical home, and how to reach MDwise for additional resources.

MDwise provides its providers with all the resources and information needed to deliver low cost, high quality care to MDwise members. Below are some initiatives MDwise established and completed to provide quality health care to its Healthy Indiana Plan members in Q4 2013.

One of the strengths of the MDwise outreach team is their opportunity to interact directly with MDwise members and community members in communities throughout the state. While, MDwise outreach incorporates HIP education into all their outreach efforts, there are events and presentations when the outreach team has an opportunity to interact with MDwise HIP members across the state of Indiana. The outreach team assists in confirming member's HIP plan, explanation of HIP benefits, Power Account, how to maintain coverage, customer service referrals, change providers and other common questions in the field.

Due to the Marketplace outreach in communities throughout Indiana, there has been an increased focus on HIP-related questions in the field due to individuals who attend Marketplace events, and find out they are eligible for HIP, placed on waitlist and HIP members who have many questions about maintaining HIP coverage or transitioning to the Marketplace. The outreach team is answering eligibility questions, program benefits and directing them to where to enroll and how to stay enrolled on the HIP Program.

In Q4 2013, MDwise outreach and marketing organized and participated in the following activities and events where HIP information was discussed, explained and distributed in communities of all sizes throughout Indiana.

#### **Enrollment Awareness**

- MDwise Outreach distributed 85 HIP applications at community events and presentations.
- Worked with Covering Kids and Families, enrollment partners and local DFR offices to promote and conduct enrollment for the HIP health plan.
- 278 HIP applications were completed by Covering Kids & Families
- 3 HIP applications were completed at events by DFR and community enrollment centers.
- 207 CKF and enrollment partner referrals
- Partnered with enrollment partners on 8 enrollment and community events to promote MDwise Programs.

#### **HIP Outreach Education and Awareness**

- Educated parents and caregivers about the HIP Program at over 72 school outreach events, including parent nights, kindergarten round-ups, Family Fun Nights, after-school programs, presentations to staff, registration days, parent teacher conferences, and Title One Parent meetings. Completed 40 MDwise Q&A Chats in Q4 2013. The Chats target members and community members seeking services at local DFR offices, Provider offices, Health Departments, FQHC's, Food Pantries, Churches, free & reduced lunch programs, and other agencies and programs.
- Organized and participated in 6 Bluebelle's Baby community baby shower. Distributed Information on how to apply for HIP after pregnancy. Participated in four community baby showers. MDwise outreach educated each participant on the HIP Program.

- Outreach team promoted the HIP program to caregivers at the 22 Q4 ENC Days.
- The outreach team partnered with enrollment partners at over events and community presentations to educate and provide enrollment assistance on the HIP Program.
- Provided one-on-one member education on the myMDwise Portal to 165 MDwise members and how to redeem Rewards points for completing preventive care at all events and presentations.
- Worked with School Based Health Centers (SBHC) to promote HIP to uninsured parents.
- HRS screenings completed for new HIP members.
- Promoted Hoosier Healthwise and HIP as a solution for health insurance for the entire family.
- Promoted that HIP members should see their doctor within the first 90 days of becoming a MDwise HIP member.
- HIP promotion and education published in MDwise member newsletter.
- Expanded outreach initiatives to local food pantries, schools, GED locations, small businesses, WorkOne, public libraries and Legal Aid sites to educate communities about where to apply for HIP and how to stay enrolled.

#### **Education Materials and Special Programs**

- Distributed education pieces on “How to Stay Enrolled on Hoosier Healthwise & HIP”, “How to Stay on MDwise”, and “Where to Enroll for Hoosier Healthwise & HIP” at 386 community events and presentations.
- Educated agencies, schools and other programs about HIP at the 65 completed professional presentations.
- Distributed the “Got Insurance Brochure” to increase education on where to apply for HIP (Marion County).
- Educated members on the “Use Emergency Room Wisely” brochure on ER utilization. Developed new “Your Doctor First” brochure.
- Promotion of INControl Disease Management Program for HIP members.
- Distribution of HIP Health Plan materials created by FSSA.

#### **Presentations**

- Provided education on HIP at 9 IMPACT community presentations. Educated participants that there is continuous coverage available from Package A or B to HIP (no six month wait). .

#### **Redetermination**

- Redetermination calls and mailings made to HIP members who are in their redetermination period.
- MDwise customer service department used dialer resources to further assist with reaching members and making this process more efficient.

#### **Providers**

- Provided provider workshops for all HIP doctors.
- Provided member list to HIP providers that have HIP members that have not yet received preventive care exam.
- Distributed flyer that provided details about performance dollars MDwise will pay to the providers who performed well on Adult Preventative Care measure.
- Provided individual education to providers during visits or presentations.
- MDwise was involved in multiple organizations and associations focused on providers.
- Incorporated HIP education and resources at three MDwise Community Advisory Councils in the



North and NW regions in Q4.

#### **HIP Power Account**

- Mailed HIP new member letters with ID Cards. Letter explained the importance of receiving preventive care. The letter also explained how receiving preventive care qualifies the member for the rollover of any remaining funds in their POWER Account.
- Mailed monthly HIP POWER Account Invoice to all members reminding them of their monthly contribution.
- Mailed monthly HIP POWER Account Statement to members. The letter describes health care services used in the current year. Refers members to view member handbook to view preventative service targets.
- Mailed HIP and Hoosier Healthwise member handbook to members when they became members.

#### **MDwise Rewards**

- Promoted MDwiseREWARDS program, which is an incentive program for MDwise Hoosier Healthwise and Healthy Indiana Plan. The program uses incentives to encourage members to seek their annual check-ups and health screenings. Members earn points for healthy activities and visiting the doctor. The points can be redeemed for gift cards.
- Included MDwiseREWARDS information into main MDwise all program brochure, member handbook, MDwise website, and other member materials.
- MDwiseREWARDS information sheet on what activities earn points and how many points are earned for the activity completed available on MDwise.org or outreach can print for events and customer service can mail by request when members call in.
- Distributed a business card size promotion card for MDwiseREWARDS at outreach events and through provider's offices.
- In Q4 2013, a total of 686 HIP members redeemed their Rewards points for a gift card. This is 9% of the total number of HHW and HIP members that redeemed. The percentage of HIP members that are redeeming is reduced from the same period last year (12%) and Q3 of 2013 (13%). Members continue to have a preference for Subway gift cards for those that redeem at the \$10 level, and the Wal-Mart card is the most popular at the \$30 and \$50 levels.

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### **Managed Health Services (MHS)**

In Fourth Quarter CY 2013, MHS conducted and participated in many outreach activities related to general health issues education and education about the State of Indiana programs, as well as events aimed at educating MHS members about their program operations and benefits. Below is an outline of Healthy Indiana Plan-relevant activities which MHS organized, sponsored or in which MHS played a part:

-----October 2013-----  
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On 10/1/13, MHS attended the Indianapolis Public School System (IPS) Alumni Hall of Fame Luncheon in Marion County. MHS attended and was a sponsor of this event. The purpose was to support this community organization through sponsorship as well as to celebrate MHS staff and others who graduated from IPS and go on to make valuable community contributions. MHS "purchased" a table and invited staff to support the event.

On 10/3/13, MHS attended the Latino Coalition Conference - Empowering Women: Building Healthy Communities, held in Marion County, Indiana. The purpose of the event was to support the important

community organization through sponsorship & to educate participants about MHS and the services MHS makes available to its members. MHS sent staff to the conference for continued educational opportunities and had a booth on site with a variety of health-related information and giveaways.

On 10/3/13, MHS attended the Health and Harvest Celebration at Hearts Landing in Marion County, Indiana. The purpose of the event was to educate the community on broad health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

On 10/4/13, MHS attended the 17th Annual Sickle Cell Conference with Memorial Hospital, in St. Joseph County, Indiana. This event was held to educate the community and providers on broad health issues and provide basic program information. MHS had a booth on site with educational health information, giveaways and basic program information.

On 10/12/13, MHS attended the ADA "Delos Pies a la Cabeza por la Salud de tu Familia Conference," in Marion County, Indiana. The purpose of MHS attendance was to support this community organization through sponsorship and to educate participants about MHS and the services MHS makes available to its members. MHS had a booth on site with educational health information and giveaways as well as basic program information.

On 10/16/13, MHS participated in the Healthy Start Health Fair - New Release on Life event in Lake County, Indiana. MHS participated in this event in order to educate the community and providers on broad health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

On 10/17/13, MHS attended, participated in and helped sponsor the Indy Reads Spelling Bee, in Marion County, Indiana. The purpose of this event was to support this valuable community organization through MHS sponsorship and participation and to educate participants about MHS and the services available to MHS members. MHS fielded a team that competed in the spelling bee at the annual fundraising event and MHS provided social media updates to help promote the partnership.

On 10/18/13, MHS attended the Mental Health America of Indiana (MHAI) Removing the Mask Gala, in Marion County, Indiana. MHS attended this event in order to support this very important community organization through sponsorship. MHS purchased table and invited staff to support the event.

MHS attended and participated in the 10/22, 10/23, and 10/24 2013 Indiana Health Coverage Programs (IHCP) Annual Workshop. This is the annual provider workshop sponsored by the State of Indiana Family and Social Services Administration and its health plan constituents. This conference was held in Marion County, Indiana. MHS participated in order to educate providers about MHS and its operational processes. MHS assisted in making presentations and had a booth on site with educational health information and provider resource tools.

On 10/24/13, MHS attended and helped sponsor the Health Disparity Coalition Prevention Clinic in Allen County, Indiana. MHS attended the event to support this community organization through sponsorship and to educate participants about MHS and the services available to MHS members. MHS had a booth on site with educational health information and giveaways as well as basic program information.

On 10/29/13, MHS attended the 2nd Annual Five County Awards Recognition Luncheon in St Joseph County, Indiana. MHS attended this event in order to support important community organizations through sponsorship. MHS purchased table and invited staff to support the event.

-----**November 2013**-----  
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On 11/13/13, MHS organized and sponsored the Key Community Stakeholder's meeting in Marion County, Indiana. The purpose of this event was to gain input and collaboration from MHS community partners on MHS Plan operations. MHS invited community partners to participate and provide presentations and record

feedback on plan processes and programs which could be taken back to the plan and incorporated into ongoing quality and community relations improvements.

On 11/13/13, MHS worked with the Franklin County, Indiana PMPs to prepare for and hold a Healthy Celebration Day. The purpose of this event was to get non-compliant members in to see their PMP for missing check-ups and screenings while providing health and benefit education. In advance of the event, MHS identified Members assigned to HealthLinc providers who had care gaps and contacted them to invite them to this event. MHS invited the members to come to their PMP and get their needed check-ups and screenings, after which the members are brought to a 'celebration' to enjoy healthy snacks.

On 11/16/13, MHS attended and was a sponsor at the Fathers and Families 20th Anniversary Celebrations Inaugural Symposium, held in Marion County, Indiana. The purpose of this event was to support this important community organization through sponsorship and to educate participants about MHS and the services available to MHS members. MHS had a booth on site with educational health information and giveaways as well as basic program information.

On 11/16/13, MHS participated in the Too Sweet for Your Own Good Diabetes Conference, held in Marion County, Indiana. MHS participated in this event in order to educate the community and providers on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

On 11/27/13, MHS attended the Drumstick Dash Expo in Marion County, Indiana. MHS attended the event in order to support a community organization through sponsorship, support healthy lifestyle approaches, and to educate participants about MHS and the services available to MHS members. MHS had a booth on site with educational health information and giveaways as well as basic program information.

-----**December 2013**-----  
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On 12/3/13, MHS attended as was a sponsor for the Covering Kids & Families 11th Annual Meeting & Program Luncheon, held in Marion County, Indiana. MHS attended this event in order to support this very important community organization through sponsorship. MHS purchased table and invited staff to support the event.

On 12/10/13, MHS worked with the Marion County, Indiana PMPs to prepare for and hold a Healthy Celebration Day. The purpose of this event was to get non-compliant members in to see their PMP for missing check-ups and screenings while providing health and benefit education. In advance of the event, MHS identified Members assigned to HealthLinc providers who had care gaps and contacted them to invite them to this event. MHS invited the members to come to their PMP and get their needed check-ups and screenings, after which the members are brought to a 'celebration' to enjoy healthy snacks.

On 12/14/13, MHS attended the 13th Annual Santa Claws coming to Town - Mi Salud Health Fair in Marion County, Indiana. MHS attended in order to support a community organization through sponsorship and to educate participants about MHS and the services available to MHS members. MHS had a booth on site with educational health information and giveaways as well as basic program information.

On 12/17/13, MHS worked with the FQHC HealthLinc to prepare for and hold the Happy Healthy HealthLinc Holidays, in Porter County, Indiana. The purpose of this event was to get non-compliant members in to see their PMP for missing check-ups and screenings while providing health and benefit education. In advance of the event, MHS identified Members assigned to HealthLinc providers who had care gaps and contacted them to invite them to this event. MHS invited the members to come to their PMP and get their needed check-ups and screenings, after which the members are brought to a 'celebration' to enjoy healthy snacks.

On 12/20/13, MHS attended the Northshore Winter Gathering Event, in Lake County, Indiana. The purpose of MHS attendance was to educate the community and providers on broad health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as

well as basic program information.

Table 13

Operational Activities
Source: OMPP Care Programs
Identify all significant program developments/issues/problems that have occurred in the current quarter, including, but not limited to, approval and contracting with new plans, benefit changes, and legislative activity.
A great deal of planning and collaboration took place during 4th Quarter 2013 to prepare for implementation of the ACA. FSSA negotiated amended contracts with the MCEs for 2014 capitation payments and transitioned the rollover of HIP ESP members (< 105 % FPL) into the general HIP population within the MCEs. Through various methods of communication, the FSSA informed HIP and HIP ESP members of the new HIP eligibility guidelines. HIP members > 105 % FPL received instruction to go to the Federal Health Exchange and seek new health care coverage if no longer eligible for HIP. The FSSA negotiated contracted services with Xerox for the roll-out of HIP ESP claims; and extended HIP ESP services for members > 105 % FPL through 4/30/14.

Table 14

Financial Activities
Source: OMPP Finance & State Actuary
Identify all significant developments/issues/problems with financial accounting, budget neutrality, and CMS-64 reporting for the current quarter. Identify the State's actions to address these issues.
Below, please find our process to achieve control objectives of accurate, timely and complete reporting in compliance with the Special Terms and Conditions: <ul style="list-style-type: none"> <li>• Budget neutrality submissions will be developed utilizing CMS64 data.</li> <li>• Budget neutrality and CMS64 reporting will be reconciled quarterly prior to submitting reports to CMS.</li> <li>• The State's Actuary will assist in determining and reviewing budget neutrality prior to all quarterly submissions.</li> <li>• Federal Funding Director/OMPP Controller will be the final approver on all budget neutrality submissions.</li> </ul> Reconciliation documentation will be maintained to support quarterly submissions.

Table 15

Monitoring Activities
Source: OMPP Care Programs
Identify any quality assurance/monitoring activity in current quarter.
Much emphasis and planning took place between the HIP ESP vendor and the MCEs to ensure continuity of care for HIP ESP members transitioning to the MCEs effective 1-1-14. Case management data and claims data from the HIP ESP data was forwarded in preparation for the transition.

Table 16

Demonstration Evaluation
Source: OMPP Quality
Discuss progress of evaluation design and planning.
The Healthy Indiana Plan (HIP) promotes prevention, wellness, and personal responsibility while providing health care protection to those who can least afford health insurance. HIP functions similar to a

Consumer-Driven Health Plan. It allows members to take a personal investment in their healthcare by contributing to their POWER Accounts (similar to a Healthy Savings Account) and encouraging members to obtain preventive services. Members who obtain at least one preventive service during the year are able to “roll-over” the remaining funds in their POWER Account to the following year, which reduces the required contribution amount for the new year. HIP represents a groundbreaking attempt to expand coverage while encouraging individuals to take a more proactive role in managing their health and the cost of their healthcare.

1. Based on recommendations from the 2010-2011 EQR (External Quality Review) OMPP and the MCEs participated in an EQR Work Group in 2012 to review and evaluate HIP reports in the MCE Reporting Manual. As an extension of that work, during the 4<sup>th</sup> quarter 2013, the MCEs and OMPP conducted a quality review of the MCE Reporting Manual. Further clarifications and refinements of report methodology used to generate the data, define appropriate data, and the required reporting period have been made. The goal of this 4<sup>th</sup> quarter effort is to further improve the reporting standards for the MCEs. From this effort, subsequent report revisions were made to update the HIP reporting manual instructions and templates. These changes will improve the quality and reliability of data collected for preventive exams and services received by HIP members. The MCEs will be providing more consistent data to determine the percentages of members receiving a preventive exam or service and overall non-compliance issues. The updated MCE Reporting Manual was distributed to the MCEs in December 2013 for first quarter 2014 reporting.

Table 17

POWER Account Rollover		
Source: OMPP Care Programs		
Discuss POWER account rollover. The FSSA is working diligently with the MCEs and contracted Vendor of HIP ESP services to reconcile the POWER Accounts. The majority of reconciliation from 2008 through 2012 is completed. The FSSA will keep CMS apprised of the progress through the Indiana Medicaid Director, Joe Moser and the IHCP Director of Operations, Doug Montgomery.		
	Total Number	Percent
Members Enrolled April-June 2009 & Eligible for Rollover		
Members that Completed Preventative Services		
Members w/POWER Account Balance		
Members w/no POWER Account Balance		
Members w/Balance and Completion of Positive Preventative		
Members w/Balance and Negative Preventative		
Average Member Remaining Balance		
Average State Remaining Balance		
Average Member Remaining Balance for Members w/Negative Preventative		
Average State Remaining Balance for Members w/Negative Preventative		

Table 18

ER Copayments						
Source: OMPP Care Programs						
Discuss ER copayments.						
ER Copayments October thru December 2013						
HIP Population	Co-Payment Amount	Number of Anthem Members	Number of MDwise Members	Number of Managed Health Service Members		Total HIP Members
Caretakers	\$0 per visit*	1549	3043		376	4968
Caretakers With Incomes Above AFDC Income Limit through 100% FPL	\$3 per visit	581	24245		155	24981
Caretakers Above 100 % through 150% FPL	\$6 per visit	105	3803		24	3932
Caretakers Above 150 % through 200% FPL	Lower of 20% of services cost provided during visit, or \$25	62	1867		13	1942
Non-Caretakers	\$25 per visit	925	13233		52	14210
<b>Total</b>		3222	46191		620	50033

\* Indicates zero out-of-pocket co-payments after the visit was adjudicated as true emergency.

Table 19

ER Utilization
Source: OMPP Care Programs
Discuss initiatives to decrease ER utilization.
<p>The MCEs report to the FSSA on a quarterly basis ER Bounce Back, Type of Emergency Room Utilization, and Frequency of Emergency Room Utilization. The ER Bounce Back report summarizes the rate of members who return to the emergency room within 30 days of a prior ER visit. The Frequency of Emergency Room Utilization report and the Type of Emergency Room Utilization report looks at various cohorts and summarizes the utilization of emergency room services and identifies opportunities for participation in case or care management. The FSSA monitors the emergency room utilization reports, incentivizes the MCEs to develop goals and objectives to reduce and maintain unnecessary emergency room use through the contracted payment for outcome measures. The MCEs include reduction of emergency room utilization on the annual QMIP.</p>

Table 20

Supporting Documentation
HIP Dashboard --- <b>Attached in a separate document.</b>
HIP Financial Monitoring Report ---- <b>Attached in a separate document.</b>

OMPP Finance & State Actuary ---- **Attached in a separate document.**

# HIP MONTHLY DASHBOARD

COB: Tuesday December 31, 2013

Year 6

Day 365

Day 2,192 of project

## Submitted Applications

### Valid Applications

Total: 483,561

Invalid: 31,906

Duplicates: 33,099

(provided by multiple sources)

Applications

Invalid: 31,906

Duplicates: 33,099

Applications

Invalid: 31,906

Duplicates: 33,099

Applications

Invalid: 31,906

Duplicates: 33,099

Applications

Invalid: 31,906

Duplicates: 33,099

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Invalid: 31,906

Duplicates: 33,099

Applications

Invalid: 31,906

Duplicates: 33,099

Applications

Invalid: 31,906

Duplicates: 33,099

## Pending

Timely: 6,800

Untimely: 224

Total: 6,824

96.2%

3.3%

Conditional Active

1,817

Conditional Breakdown

Conditional Active

1,817

Conditional Active

1,817

Conditional Active

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Conditional Active

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Conditional Active

1,817

## Denials

To Date Project: 587,187

December: 6,176

Non-Caretaker Shutdown Status

Closed: 03/12/2009

Total Denied for Shutdown

2013: 971 as of the end of December

Total on Work List: 56,127 &\*

## Open Eligible Total

34,780

Distinct Count of

Members Since Inception

116,763

Terminations

To Date Project: 113,755

December: 0,732

## Benefit Period Details

Current Month

December

No Data Available

ELIGIBLE

No Data Available

NOT ELIGIBLE

No Data Available

IN PROCESS

No Data Available

Year to Date

Total: 0,000

IN PROCESS

No Data Available

NOT SUBMITTED

No Data Available

SUBMITTED

No Data Available

ELIGIBLES OF SUBMITTED

No Data Available

NOT ELIGIBLES OF SUBMITTED

No Data Available

Terminations

Top 5 - December

1 - Failure to make PAC payment

2 - Closed due to Appeals ruling

3 - Open MA - Applied HIP

4 - Other current health insurance

5 - Eligible adult is pregnant

Top 5 - Year to Date 2013

1 - Failure to make PAC payment

2 - Closed due to Appeals ruling

3 - Hip packet not received

4 - Other current health insurance

5 - Did not verify income

## Enrollee Details (Data provided by HIP)

Age

<20: 12 0.0%

20-29: 3,736 10.7%

30-39: 10,563 30.2%

40-49: 10,686 30.6%

50-59: 7,565 21.7%

60+: 2,372 6.8%

Race

Asian: 863 2.5%

Black: 3,120 8.9%

Hispanic: 1,128 3.2%

Indian: 35 0.1%

Other: 708 2.0%

White: 29,080 83.2%

Sex

M: 11,030 31.6%

F: 23,904 68.4%

Caretaker Adult

Yes: 24,544 70.3%

No: 10,390 29.7%

## Enrollee Details (Data provided by HIP)

Zero Contributions

22.0% of total fully eligibles

December: 7,675

<=100% FPL: 7,029

101-125% FPL: 298

126-150% FPL: 157

>150% FPL: 191

Employer Contributions

Anthem: \$161

Midwise: \$313

MHS: \$0

ESP: \$0

## Enrollee Details (Data provided by HIP)

FPL Description

<=100: 25,348 72.6%

101-125: 3,624 10.4%

126-150: 2,666 7.6%

>150: 3,296 9.4%

PAC-POWER Account Contribution

1 - HIP Program Cap reached

2 - Income exceeds elig. Standards

3 - Failure to provide all required info

4 - Didn't complete HIP APP/REDET form

5 - Did not verify income

Top 5 - Year to Date 2013

1 - HIP Non-Caretaker Cap reached

2 - Did not verify income

3 - HIP Program Cap reached

4 - Failure to provide ins info

5 - No proof of Citizenship

\*PAC-POWER Account Contribution

Enrollee Detail Data Will Differ From Open Eligible Data





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# 1115 Waiver Financial Monitoring Report

December 31, 2013

State of Indiana

Family and Social Services Administration

Prepared for:  
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Medicaid Operations Supervisor  
Family and Social Services Administration

Prepared by:  
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Enclosure 1: HIP Financial Monitoring Report

## EXECUTIVE SUMMARY

### GENERAL FINANCIAL REQUIREMENTS

Under the Special Terms and Conditions associated with the 1115 Waiver, OMPP is required to submit quarterly reports. These reports are used to track enrollment and expenditures and monitor budget neutrality. This report is a key component of the quarterly reporting.

### BUDGET NEUTRALITY MEMBER MONTHS

Table 1 illustrates eligible member months by 1115 Waiver eligibility group for the fourth quarter of DY 6 (October 2013 – December 2013).

<b>Table 1</b> <b>State of Indiana, Family and Social Services Administration</b> <b>Budget Neutrality Member Months</b>					
<b>Medicaid Eligibility Group (MEG)</b>	<b>Period</b>	<b>Month 1</b>	<b>Month 2</b>	<b>Month 3</b>	<b>Total</b>
HHW Caretakers	Quarter	111,365	110,067	108,865	330,297
HHW Children	Quarter	550,211	546,693	543,256	1,640,159
HHW Pregnant Women	Quarter	26,793	26,175	26,123	79,092
HIP Caretakers	Quarter	24,184	24,193	24,479	72,857
HIP Non-Caretakers	Quarter	10,461	10,244	10,156	30,861

### HIP FINANCIAL MONITORING REPORT

Enclosure 1 provides the financial report with data through December 31, 2013. It includes the following information:

1. **Actual Experience To Date:** This summary illustrates the eligibility, expenditures, and per member per month (PMPM) values by population for each Demonstration Year. The expenditures for the HHW and HIP populations reconcile with the quarterly Forms CMS-64. Waiver, project number 11-W-00237. Expenditures are illustrated by the DY in which services were rendered or for which capitation payments were made. Enrollment is illustrated without adjustment for completion.
2. **Projected Experience:** This summary illustrates projected experience for eligibility, enrollment, and PMPM values by population. The projection period is based on our analysis of enrollment and expenditure payment patterns to forecast ultimate enrollment and expenditures by Demonstration Year. For the Hoosier Healthwise populations, projected payments also include enhanced hospital reimbursement. The enhanced reimbursement was suspended July 1, 2013, pending CMS approval of an extension. The enhanced reimbursement is projected to be paid retroactively. For the Healthy Indiana Plan, we have projected ESP transfer reimbursement payments. The plans are allowed 18 months after an individual is transferred to ESP before they are required to submit reimbursement requests.
3. **Actual and Projected Experience.** This summary combines the first summary with projected experience under the 1115 Waiver for the remainder of the Demonstration Year. The values are shown for eligibility, expenditures, and PMPM values.

4. **Waiver Review.** This summary compares the actual experience with the 1115 Waiver – Without Waiver PMPM ceiling amounts. The summary provides actual enrollment through December 31, 2013, the Without Waiver PMPM values, and the actual to date PMPM values. The values are illustrated for each enrollment group. In addition to the PMPM values, a cost effectiveness calculation has been shown on a Federal share basis. The values have been shown for the Without Waiver, With Waiver, and net Cost Effectiveness values.
5. **Budget Neutrality Review.** This summary illustrates the six-year demonstration period for the Without Waiver and With Waiver expenditures. **Please note that Indiana is no longer diverting DSH effective DY6.**
6. **HIP Enrollment Summary.** This summary illustrates the monthly eligibility by population. The values are shown first based on raw data and then with adjustments for completion and projection. The values are based on data through December 30, 2013.

Table 1 and Enclosure 1 of this report are also provided in the enclosed excel workbook: "HIP Monitoring – December 2013.xlsx".

## METHODOLOGY

This section provides additional detail on the data, assumptions, and methodology used in this report.

### DATA

On a monthly basis, Medicaid enrollment data is provided by Hewlett Packard, the state's fiscal agent, and placed in the State's Enterprise Data Warehouse (EDW). EDW data through December 31, 2013 was used for this report.

Actual to-date expenditures were provided by FSSA, as reported on the Form CMS 64.9 Waiver, project number 11-W-00237. These were summarized by demonstration year (calendar year), according to dates of service.

### ASSUMPTIONS AND METHODOLOGY

Calculations in this report were performed in accord with the 1115 Waiver Special Terms and Conditions, as effective for Demonstration Year 6 (CY 2013):

#### Expenditures Included

Expenditures in this report include the following:

- Capitation payments.
- Claims expenditures, including all expenditures for fee-for-service enrollees and carved out expenditures for managed care enrollees. Major services not included in the capitation rates include pharmacy, dental, and MRO.
- POWER account contributions provided by the State.
- Pharmacy rebates. Total pharmacy rebates received by the State of Indiana were allocated to population based on pharmacy expenditures.
- Managed care incentive payments.
- HIP Stop Loss payments and reimbursement for ESP transfers.

The mandated increase in physician payment rates is being paid by the State using supplemental payments. These amounts are not included in this report, as specified in the STCs.

#### Enrollment

Enrollment on the "Actual Experience to Date" and the "Waiver Differential" pages is illustrated without adjustment for completion.

Enrollment on the "Actual and Projected" and "Budget Neutrality" pages is illustrated with completion adjustments. Completion adjustments are derived from an analysis of historical payments patterns for each population.

The last exhibit of Enclosure 1 provides a comparison of actual enrollment to projected enrollment, by population and month.

## LIMITATIONS

The information contained in this report has been prepared for the State of Indiana, Family and Social Services Administration and Office of Medicaid Policy and Planning (OMPP). This report has been developed to assist FSSA in submitting financial information to the Centers for Medicaid and Medicare Services (CMS) associated with 1115 Waiver requirements. The data and information presented may not be appropriate for any other purpose.

It is our understanding that the information contained in this report may be utilized in a public document. To the extent that the information contained in this correspondence is provided to any third parties, the correspondence should be distributed in its entirety. Any user of the data must possess a certain level of expertise in actuarial science and healthcare modeling so as not to misinterpret the information presented.

Milliman makes no representations or warranties regarding the contents of this correspondence to third parties. Likewise, third parties are instructed that they are to place no reliance upon this correspondence prepared for OMPP by Milliman that would result in the creation of any duty or liability under any theory of law by Milliman or its employees to third parties.

Milliman has relied upon certain data and information provided by the State of Indiana, Family and Social Services Administration and their vendors. The values presented in this letter are dependent upon this reliance. To the extent that the data was not complete or was inaccurate, the values presented in our report will need to be reviewed for consistency and revised to meet any revised data.

The services provided for this project were performed under the signed Consulting Services Agreement between Milliman and OMPP approved May 14, 2010, and last amended September 23, 2013.

**Enclosure 1**  
**HIP Financial Monitoring Report**

**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment and Expenditure Summary**  
**Actual Experience (Incurred) - Through December 31, 2013**

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,348,869	1,335,266	1,325,320	7,956,285
HHW Children	5,766,985	6,234,677	6,574,005	6,635,026	6,688,740	6,583,208	38,482,641
HHW Pregnant Women	334,455	352,518	351,958	337,451	336,489	312,268	2,025,140
HIP Caretakers	71,113	216,627	314,803	330,042	324,269	299,926	1,556,780
HIP Adults	112,673	316,527	226,367	168,762	170,939	137,801	1,133,069
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,442	29,955
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	9,488	37,378
<b>Total Enrollment</b>	<b>7,552,619</b>	<b>8,441,434</b>	<b>8,825,485</b>	<b>8,820,150</b>	<b>8,855,703</b>	<b>8,658,523</b>	<b>51,153,915</b>
<b>(Total - Excludes ESP Adm)</b>			<b>5,478</b>	<b>7,417</b>	<b>7,837</b>	<b>5,680</b>	<b>28,193</b>
			<b>5,423</b>	<b>7,227</b>	<b>9,363</b>	<b>7,240</b>	<b>35,130</b>

Expenditures	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 405,657,181	\$ 435,343,663	\$ 397,442,838	\$ 374,969,973	\$ 620,902,241	\$ 543,930,203	\$ 2,778,246,099
HHW Children	\$ 948,694,925	\$ 1,036,825,501	\$ 984,003,700	\$ 931,929,482	\$ 1,365,902,426	\$ 1,158,687,807	\$ 6,426,043,841
HHW Pregnant Women	\$ 149,271,127	\$ 162,136,945	\$ 144,151,638	\$ 153,287,497	\$ 233,751,128	\$ 122,421,504	\$ 965,019,839
HIP Caretakers	\$ 29,206,636	\$ 72,749,365	\$ 119,547,655	\$ 112,693,628	\$ 106,671,387	\$ 124,156,353	\$ 565,025,024
HIP Adults	\$ 58,332,029	\$ 151,091,921	\$ 141,434,475	\$ 84,659,878	\$ 80,995,662	\$ 86,218,320	\$ 602,732,285
ESP Caretakers Adm	\$ 2,941	\$ 14,637	\$ 54,068	\$ 72,977	\$ 74,452	\$ 70,704	\$ 289,778
ESP Adults Adm	\$ 16,167	\$ 41,839	\$ 53,525	\$ 71,108	\$ 88,949	\$ 90,131	\$ 361,719
<b>Total Claim Cost</b>	<b>\$ 1,591,161,898</b>	<b>\$ 1,858,147,395</b>	<b>\$ 1,786,580,306</b>	<b>\$ 1,657,540,458</b>	<b>\$ 2,408,222,844</b>	<b>\$ 2,035,414,187</b>	<b>\$ 11,337,067,088</b>
<b>ESP Admin</b>	<b>\$ 19,108</b>	<b>\$ 56,476</b>	<b>\$ 107,593</b>	<b>\$ 144,085</b>	<b>\$ 163,400</b>	<b>\$ 160,835</b>	<b>\$ 651,497</b>

Per Member Per Month	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 320.07	\$ 329.53	\$ 292.59	\$ 277.99	\$ 465.00	\$ 410.41	\$ 349.19
HHW Children	\$ 164.50	\$ 166.30	\$ 149.68	\$ 140.46	\$ 204.21	\$ 176.01	\$ 166.99
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.25	\$ 694.68	\$ 392.04	\$ 476.52
HIP Caretakers	\$ 410.71	\$ 335.83	\$ 379.75	\$ 341.45	\$ 328.96	\$ 413.96	\$ 362.94
HIP Adults	\$ 517.71	\$ 477.34	\$ 624.80	\$ 501.65	\$ 473.83	\$ 625.67	\$ 531.95
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68
<b>Composite PMPM</b>	<b>\$ 210.68</b>	<b>\$ 220.12</b>	<b>\$ 202.43</b>	<b>\$ 187.93</b>	<b>\$ 271.94</b>	<b>\$ 235.08</b>	<b>\$ 221.63</b>
<b>Composite Adm PMPM</b>	<b>\$ 9.87</b>	<b>\$ 9.87</b>	<b>\$ 9.87</b>	<b>\$ 9.84</b>	<b>\$ 9.50</b>	<b>\$ 9.50</b>	<b>\$ 9.68</b>



**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment and Expenditure Summary**  
**Projected Expenditures (Including Enrollment Completion) - Beginning January 01, 2014**

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	-	-	-	-	2,040	11,016	13,055
HHW Children	-	-	-	-	3,411	59,766	63,177
HHW Pregnant Women	-	-	-	-	565	14,394	14,959
HIP Caretakers	-	-	-	-	20	229	249
HIP Adults	-	-	-	-	7	112	119
ESP Caretakers Adm	-	-	-	-	-	5	5
ESP Adults Adm	-	-	-	-	-	6	6
<b>Total Enrollment</b>	-	-	-	-	6,043	85,517	91,560
<b>(Total - Excludes ESP Adm)</b>							

Expenditures	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 89,754,761	\$ 89,754,761
HHW Children	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 178,722,892	\$ 178,722,892
HHW Pregnant Women	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,936,430	\$ 10,936,430
HIP Caretakers	\$ -	\$ -	\$ 892,712	\$ 2,783,915	\$ 934,036	\$ 2,313,875	\$ 6,924,538
HIP Adults	\$ -	\$ -	\$ 1,599,659	\$ 4,922,870	\$ 1,739,362	\$ 3,871,111	\$ 12,133,002
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ 3	\$ 46	\$ 48
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ 3	\$ 58	\$ 61
<b>Total Claim Cost</b>	\$ -	\$ -	\$ 2,492,370	\$ 7,706,785	\$ 2,673,398	\$ 285,599,069	\$ 298,471,623
<b>ESP Admin</b>	\$ -	\$ -	\$ -	\$ -	\$ 6	\$ 104	\$ 110

Per Member Per Month	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	N/A	N/A	N/A	N/A	\$ -	\$ 8,147.67	\$ 6,875.13
HHW Children	N/A	N/A	N/A	N/A	\$ -	\$ 2,990.38	\$ 2,828.92
HHW Pregnant Women	N/A	N/A	N/A	N/A	\$ -	\$ 759.79	\$ 731.09
HIP Caretakers	N/A	N/A	N/A	N/A	\$ 46,701.81	\$ 10,104.26	\$ 27,809.39
HIP Adults	N/A	N/A	N/A	N/A	\$ 248,480.33	\$ 34,563.49	\$ 101,958.00
ESP Caretakers Adm	N/A	N/A	N/A	N/A	N/A	\$ 9.14	\$ 9.67
ESP Adults Adm	N/A	N/A	N/A	N/A	N/A	\$ 9.71	\$ 10.23
<b>Composite PMPM</b>	N/A	N/A	N/A	#DIV/0!	\$ 442.40	\$ 3,339.68	\$ 3,259.85
<b>Composite Adm PMPM</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9.45	\$ 9.98

**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment and Expenditure Summary**  
**Actual and Projected Experience (Incurred) - Data through December 31, 2013**

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,348,869	1,337,306	1,336,336	7,969,340
HHW Children	5,766,985	6,234,677	6,574,005	6,635,026	6,692,151	6,642,974	38,545,818
HHW Pregnant Women	334,455	352,518	351,958	337,451	337,054	326,662	2,040,098
HIP Caretakers	71,113	216,627	314,803	330,042	324,289	300,155	1,557,030
HIP Adults	112,673	316,527	226,367	168,762	170,946	137,912	1,133,187
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,447	29,961
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	9,494	37,384
<b>Total Enrollment</b>	<b>7,552,619</b>	<b>8,441,434</b>	<b>8,825,485</b>	<b>8,820,150</b>	<b>8,861,745</b>	<b>8,744,039</b>	<b>51,245,473</b>
<b>(Total - Excludes ESP Adm)</b>							

Expenditures	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 405,657,181	\$ 435,343,663	\$ 397,442,838	\$ 374,969,973	\$ 620,902,241	\$ 633,684,964	\$ 2,868,000,860
HHW Children	\$ 948,694,925	\$ 1,036,825,501	\$ 984,003,700	\$ 931,929,482	\$ 1,365,902,426	\$ 1,337,410,699	\$ 6,604,766,733
HHW Pregnant Women	\$ 149,271,127	\$ 162,136,945	\$ 144,151,638	\$ 153,287,497	\$ 233,751,128	\$ 133,357,934	\$ 975,956,269
HIP Caretakers	\$ 29,206,636	\$ 72,749,365	\$ 120,440,367	\$ 115,477,543	\$ 107,605,423	\$ 126,470,228	\$ 571,949,562
HIP Adults	\$ 58,332,029	\$ 151,091,921	\$ 143,034,134	\$ 89,582,748	\$ 82,735,024	\$ 90,089,431	\$ 614,865,287
ESP Caretakers Adm	\$ 2,941	\$ 14,637	\$ 54,068	\$ 72,977	\$ 74,454	\$ 70,749	\$ 289,827
ESP Adults Adm	\$ 16,167	\$ 41,839	\$ 53,525	\$ 71,108	\$ 89,952	\$ 90,190	\$ 361,780
<b>Total Claim Cost</b>	<b>\$ 1,591,161,898</b>	<b>\$ 1,858,147,395</b>	<b>\$ 1,789,072,676</b>	<b>\$ 1,665,247,243</b>	<b>\$ 2,410,896,242</b>	<b>\$ 2,321,013,256</b>	<b>\$ 11,635,538,711</b>
<b>ESP Admin</b>	<b>\$ 19,108</b>	<b>\$ 56,476</b>	<b>\$ 107,593</b>	<b>\$ 144,085</b>	<b>\$ 163,406</b>	<b>\$ 160,939</b>	<b>\$ 651,607</b>

Per Member Per Month	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 320.07	\$ 329.53	\$ 292.59	\$ 277.99	\$ 484.29	\$ 474.20	\$ 359.88
HHW Children	\$ 164.50	\$ 166.30	\$ 149.68	\$ 140.46	\$ 204.11	\$ 201.33	\$ 171.35
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.25	\$ 693.51	\$ 408.24	\$ 478.39
HIP Caretakers	\$ 410.71	\$ 335.83	\$ 382.59	\$ 349.89	\$ 331.82	\$ 421.35	\$ 367.33
HIP Adults	\$ 517.71	\$ 477.34	\$ 631.87	\$ 530.82	\$ 483.98	\$ 653.24	\$ 542.60
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68
<b>Composite PMPM</b>	<b>\$ 210.68</b>	<b>\$ 220.12</b>	<b>\$ 202.72</b>	<b>\$ 188.80</b>	<b>\$ 272.06</b>	<b>\$ 265.44</b>	<b>\$ 227.05</b>
<b>Composite Adm PMPM</b>	<b>\$ 9.87</b>	<b>\$ 9.87</b>	<b>\$ 9.87</b>	<b>\$ 9.84</b>	<b>\$ 9.50</b>	<b>\$ 9.50</b>	<b>\$ 9.68</b>

**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment and Expenditure Summary**  
**Cost Effectiveness Review - Through December 31, 2013**

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,348,869	1,335,266	1,325,320
HHW Children	5,766,985	6,234,677	6,574,005	6,635,026	6,688,740	6,583,208
HHW Pregnant Women	334,455	352,518	351,958	337,451	336,489	312,268
HIP Caretakers	71,113	216,627	314,803	330,042	324,269	299,926
HIP Adults	112,673	316,527	226,367	168,762	170,939	137,801
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,442
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	9,488
<b>Total Enrollment</b>	<b>7,552,619</b>	<b>8,441,434</b>	<b>8,825,485</b>	<b>8,820,150</b>	<b>8,855,703</b>	<b>8,658,523</b>

{Total - Excludes ESP Adm}

PMPM (Without Waiver)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 223.88
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 590.56
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 387.68
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Composite PMPM</b>	<b>\$ 216.92</b>	<b>\$ 221.92</b>	<b>\$ 234.43</b>	<b>\$ 245.64</b>	<b>\$ 255.82</b>	<b>\$ 267.23</b>

<b>Without Waiver Expenditures</b>	\$ 1,638,276,734	\$ 1,873,334,296	\$ 2,068,995,252	\$ 2,166,601,073	\$ 2,285,482,308	\$ 2,313,783,467
Federal Share	\$ 1,033,467,182	\$ 1,211,695,790	\$ 1,367,140,338	\$ 1,443,606,295	\$ 1,518,099,695	\$ 1,552,548,706
Diversified DSH (Federal Share)	\$ 53,680,103	\$ 65,612,168	\$ 67,884,893	\$ 63,973,548	\$ 69,457,966	\$ -
<b>Federal Share Without Waiver</b>	<b>\$ 1,087,147,285</b>	<b>\$ 1,277,307,958</b>	<b>\$ 1,435,025,230</b>	<b>\$ 1,507,579,843</b>	<b>\$ 1,587,557,660</b>	<b>\$ 1,552,548,706</b>

PMPM (Actual)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 320.07	\$ 329.53	\$ 292.59	\$ 277.99	\$ 465.00	\$ 410.41
HHW Children	\$ 164.50	\$ 166.30	\$ 149.68	\$ 140.46	\$ 204.21	\$ 176.01
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.25	\$ 694.68	\$ 392.04
HIP Caretakers	\$ 410.71	\$ 335.83	\$ 379.75	\$ 341.45	\$ 328.96	\$ 413.96
HIP Adults	\$ 517.71	\$ 477.34	\$ 624.80	\$ 501.65	\$ 473.83	\$ 625.67
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
<b>Composite PMPM</b>	<b>\$ 210.68</b>	<b>\$ 220.12</b>	<b>\$ 202.43</b>	<b>\$ 187.93</b>	<b>\$ 271.96</b>	<b>\$ 235.09</b>

<b>With Waiver Expenditures</b>	\$ 1,591,161,398	\$ 1,858,147,395	\$ 1,786,580,306	\$ 1,657,540,458	\$ 2,408,386,244	\$ 2,035,575,022
With Waiver Adm	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,400	\$ 160,835
Federal Share	\$ 1,008,784,258	\$ 1,201,831,519	\$ 1,180,581,398	\$ 1,104,491,250	\$ 1,613,941,322	\$ 1,365,951,257
<b>Federal Share With Waiver</b>	<b>\$ 1,003,754,258</b>	<b>\$ 1,201,831,519</b>	<b>\$ 1,180,581,398</b>	<b>\$ 1,104,491,250</b>	<b>\$ 1,613,941,322</b>	<b>\$ 1,365,951,257</b>

<b>Waiver Margin</b>	\$ 93,393,027	\$ 75,466,438	\$ 251,443,832	\$ 403,088,583	\$ (26,383,682)	\$ (88,587,449)
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**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Budget Neutrality Summary**  
**Includes Experience through December 31, 2013**

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,348,869	1,337,306	1,336,336
HHW Children	5,766,985	6,234,677	6,574,005	6,635,026	6,692,151	6,642,974
HHW Pregnant Women	334,455	352,518	351,958	337,451	337,054	326,662
HIP Caretakers	71,113	216,627	314,803	330,042	324,289	300,155
HIP Adults	112,673	316,527	226,367	168,762	170,946	137,912
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,447
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	9,494
<b>Total Enrollment</b>	<b>7,552,619</b>	<b>8,441,434</b>	<b>8,825,485</b>	<b>8,820,150</b>	<b>8,861,745</b>	<b>8,744,039</b>
<b>{Total - Excludes ESP Adm}</b>						
<b>PMPM (Without Waiver)</b>	<b>DY 1</b>	<b>DY 2</b>	<b>DY 3</b>	<b>DY 4</b>	<b>DY 5</b>	<b>DY 6</b>
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 223.88
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 590.56
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 387.68
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Composite PMPM</b>	<b>\$ 216.92</b>	<b>\$ 221.92</b>	<b>\$ 234.43</b>	<b>\$ 245.84</b>	<b>\$ 255.86</b>	<b>\$ 267.64</b>
<b>Without Waiver Expenditures</b>						
Without Waiver DSH	\$ 1,638,278,734	\$ 1,873,334,296	\$ 2,068,995,252	\$ 2,166,801,073	\$ 2,267,335,639	\$ 2,340,235,023
<b>Total Without Waiver Expenditures</b>	<b>\$ 1,962,967,744</b>	<b>\$ 2,208,530,585</b>	<b>\$ 2,400,550,310</b>	<b>\$ 2,489,506,434</b>	<b>\$ 2,596,598,125</b>	<b>\$ 2,740,235,023</b>
<b>PMPM (Actual)</b>	<b>DY 1</b>	<b>DY 2</b>	<b>DY 3</b>	<b>DY 4</b>	<b>DY 5</b>	<b>DY 6</b>
HHW Caretakers	\$ 320.07	\$ 329.53	\$ 292.59	\$ 277.99	\$ 464.29	\$ 474.20
HHW Children	\$ 164.50	\$ 166.30	\$ 149.68	\$ 140.46	\$ 204.11	\$ 201.33
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.25	\$ 693.51	\$ 408.24
HIP Caretakers	\$ 410.71	\$ 335.83	\$ 382.59	\$ 349.89	\$ 331.82	\$ 421.35
HIP Adults	\$ 517.71	\$ 477.34	\$ 631.87	\$ 530.82	\$ 483.98	\$ 653.24
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
<b>Composite PMPM</b>	<b>\$ 210.68</b>	<b>\$ 220.12</b>	<b>\$ 202.72</b>	<b>\$ 188.80</b>	<b>\$ 272.06</b>	<b>\$ 265.44</b>
<b>With Waiver Expenditures</b>						
With Waiver Adm	\$ 1,591,161,898	\$ 1,358,147,395	\$ 1,769,072,676	\$ 1,665,247,243	\$ 2,410,896,242	\$ 2,321,013,256
With Waiver DSH	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,406	\$ 160,939
<b>Total With Waiver Expenditures</b>	<b>\$ 1,610,271,006</b>	<b>\$ 1,414,623,871</b>	<b>\$ 1,876,670,269</b>	<b>\$ 1,809,331,328</b>	<b>\$ 2,574,302,648</b>	<b>\$ 2,481,974,195</b>
<b>Waiver Margin</b>	<b>\$ 132,696,738</b>	<b>\$ 118,546,714</b>	<b>\$ 382,569,602</b>	<b>\$ 597,213,363</b>	<b>\$ (40,755,092)</b>	<b>\$ (19,060,828)</b>

**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment Summary - Demonstration Year 1**

CY2008													
Enrollment - Raw Data	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,393	103,541	103,983	104,591	104,072	103,883	104,167	105,569	106,882	107,206	107,320	107,689	108,490
HHW Children	466,180	466,180	467,412	471,486	473,376	474,583	477,921	481,882	486,979	489,927	489,677	491,851	495,711
HHW Pregnant Women	334,455	28,122	27,968	27,924	27,768	27,680	27,618	27,946	27,822	27,555	27,671	27,842	28,539
HIP Caretakers	71,113	3	198	929	1,968	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	29	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,619	597,858	599,874	606,083	609,790	615,068	622,787	631,902	642,067	648,330	651,924	658,809	668,127

Enrollment - Completed	CY2008												
	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,393	103,541	103,983	104,591	104,072	103,883	104,167	105,569	106,882	107,206	107,320	107,689	108,490
HHW Children	486,180	467,412	471,486	473,376	474,583	477,921	481,882	486,979	489,927	489,677	489,677	491,851	495,711
HHW Pregnant Women	334,455	28,122	27,968	27,924	27,768	27,680	27,618	27,822	27,822	27,555	27,671	27,842	28,539
HIP Caretakers	71,113	3	198	929	1,968	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,619	597,858	599,874	606,083	609,790	615,068	622,787	631,902	642,067	648,330	651,924	658,809	668,127

**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment Summary - Demonstration Year 2**

Enrollment - Raw Data	CY2009												
	Year to Date	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-09
HHW Caretakers	1,321,085	108,760	109,329	109,416	108,849	109,025	109,715	110,727	111,364	111,178	110,494	111,090	111,138
HHW Children	6,234,677	497,183	500,300	505,398	508,455	514,387	521,530	527,565	531,932	531,484	531,604	531,987	532,852
HHW Pregnant Women	352,518	28,903	29,348	29,227	28,966	29,436	29,686	29,949	29,952	29,491	29,549	29,057	28,954
HIP Caretakers	216,627	13,865	14,358	14,666	15,563	16,800	18,699	20,409	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,741	22,492
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,434	673,133	679,384	686,812	690,121	697,372	705,860	714,292	720,201	718,909	718,075	718,575	718,680

Enrollment - Completed	CY2009												
	Year to Date	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-09
HHW Caretakers	1,321,085	108,760	109,329	109,416	108,849	109,025	109,715	110,727	111,364	111,178	110,494	111,090	111,138
HHW Children	6,234,677	497,183	500,300	505,398	508,455	514,387	521,530	527,565	531,932	531,484	531,604	531,987	532,852
HHW Pregnant Women	352,518	28,903	29,348	29,227	28,966	29,436	29,686	29,949	29,952	29,491	29,549	29,057	28,954
HIP Caretakers	216,627	13,386	13,865	14,358	14,666	15,563	16,800	18,699	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,741	22,492
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,434	673,133	679,384	686,812	690,121	697,372	705,860	714,292	720,201	718,909	718,075	718,575	718,680

**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment Summary - Demonstration Year 3**

Enrollment - Raw Data	CY2010												
	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,352	111,466	112,308	112,096	111,723	112,131	112,600	113,762	115,501	115,565	114,498	113,909	112,793
HHW Children	6,574,005	536,312	539,576	541,649	542,083	546,301	548,695	551,647	555,822	554,000	554,606	552,545	550,769
HHW Pregnant Women	351,958	29,003	29,258	29,766	29,382	29,789	29,767	29,575	29,698	29,261	29,167	28,655	28,637
HIP Caretakers	314,803	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,599	26,650	26,894
HIP Adults	226,367	21,463	21,106	20,635	20,031	19,654	19,055	18,735	18,301	17,591	17,179	16,497	16,120
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,485	721,758	726,412	729,276	729,113	734,865	737,318	741,284	746,833	743,108	742,049	738,256	735,213

Enrollment - Completed	CY2010												
	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,352	111,466	112,308	112,096	111,723	112,131	112,600	113,762	115,501	115,565	114,498	113,909	112,793
HHW Children	6,574,005	536,312	539,576	541,649	542,083	546,301	548,695	551,647	555,822	554,000	554,606	552,545	550,769
HHW Pregnant Women	351,958	29,003	29,258	29,766	29,382	29,789	29,767	29,575	29,698	29,261	29,167	28,655	28,637
HIP Caretakers	314,803	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,599	26,650	26,894
HIP Adults	226,367	21,463	21,106	20,635	20,031	19,654	19,055	18,735	18,301	17,591	17,179	16,497	16,120
ESP Caretakers Adm	269	316	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,478	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,485	721,758	726,412	729,276	729,113	734,865	737,318	741,284	746,833	743,108	742,049	738,256	735,213

**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment Summary - Demonstration Year 4**

Enrollment - Raw Data	CY2011												
	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,348,869	113,945	114,222	113,755	112,391	112,286	111,988	111,745	112,285	111,675	112,071	111,698	110,808
HHW Children	6,635,026	550,535	551,574	552,310	550,274	552,364	552,494	552,875	555,935	553,939	555,577	554,724	552,425
HHW Pregnant Women	337,451	28,682	28,551	28,574	28,046	28,372	28,186	28,057	28,223	27,526	27,828	27,662	27,745
HIP Caretakers	330,042	27,241	27,354	27,518	27,748	27,966	27,803	27,567	27,295	27,399	27,476	27,365	27,311
HIP Adults	168,762	15,739	15,328	14,912	14,576	14,381	14,085	13,749	13,411	13,149	13,162	13,141	13,128
ESP Caretakers Adm	7,417	546	557	542	576	612	623	619	643	658	665	684	693
ESP Adults Adm	7,227	532	542	529	562	596	607	603	626	641	647	666	675
Total Enrollment	8,820,150	736,142	737,029	737,069	733,035	735,389	734,556	733,993	737,149	733,688	736,114	734,590	731,417

Enrollment - Completed	CY2011												
	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,348,869	113,945	114,222	113,755	112,391	112,286	111,988	111,745	112,285	111,675	112,071	111,698	110,808
HHW Children	6,635,026	550,535	551,574	552,310	550,274	552,364	552,494	552,875	555,935	553,939	555,577	554,724	552,425
HHW Pregnant Women	337,451	28,682	28,551	28,574	28,046	28,372	28,186	28,057	28,223	27,526	27,828	27,662	27,745
HIP Caretakers	330,042	27,241	27,354	27,518	27,748	27,966	27,803	27,567	27,295	27,399	27,476	27,365	27,311
HIP Adults	168,762	15,739	15,328	14,912	14,576	14,381	14,085	13,749	13,411	13,149	13,162	13,141	13,128
ESP Caretakers Adm	7,417	546	557	542	576	612	607	619	643	658	665	684	693
ESP Adults Adm	7,227	532	542	529	562	596	607	603	626	641	647	666	675
Total Enrollment	8,820,150	736,142	737,029	737,069	733,035	735,389	734,556	733,993	737,149	733,688	736,114	734,590	731,417



**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment Summary - Demonstration Year 5**

CY2012		Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12
Enrollment - Raw Data		Year to Date											
HHW Caretakers	1,335,266	111,184	111,597	110,870	110,967	111,058	110,613	111,340	111,490	111,745	112,045	111,136	111,221
HHW Children	6,698,740	554,390	555,512	554,578	556,291	557,629	557,151	561,536	561,546	560,337	560,225	555,167	553,978
HHW Pregnant Women	336,489	28,115	28,233	28,286	28,417	28,303	28,098	28,361	28,187	27,717	28,010	27,309	27,453
HIP Caretakers	324,269	27,318	27,349	27,697	27,306	27,360	27,274	27,115	26,828	26,847	26,890	26,189	26,098
HIP Adults	170,939	13,226	13,284	13,604	14,428	15,084	15,196	15,073	14,835	14,552	14,296	13,826	13,533
ESP Caretakers Adm	7,837	613	617	640	631	641	658	668	666	671	682	673	679
ESP Adults Adm	9,363	732	737	765	753	766	786	797	795	801	814	803	812
<b>Total Enrollment</b>	<b>8,855,703</b>	<b>734,233</b>	<b>735,975</b>	<b>735,035</b>	<b>737,409</b>	<b>739,434</b>	<b>738,332</b>	<b>743,825</b>	<b>742,886</b>	<b>741,198</b>	<b>741,466</b>	<b>733,627</b>	<b>732,283</b>

CY2012		Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12
Enrollment - Completed		Year to Date											
HHW Caretakers	1,337,306	111,184	111,597	110,870	110,967	111,058	110,613	111,675	111,827	112,083	112,385	111,479	111,567
HHW Children	6,692,151	554,390	555,512	554,578	556,291	557,629	557,151	562,373	562,028	560,866	560,816	555,819	554,698
HHW Pregnant Women	337,054	28,115	28,233	28,286	28,417	28,303	28,098	28,451	28,278	27,810	28,105	27,406	27,552
HIP Caretakers	324,269	27,318	27,349	27,697	27,306	27,360	27,274	27,117	26,830	26,850	26,893	26,193	26,103
HIP Adults	170,946	13,226	13,284	13,604	14,428	15,084	15,196	15,074	14,836	14,553	14,298	13,828	13,535
ESP Caretakers Adm	7,837	613	617	640	631	641	658	668	666	671	682	673	679
ESP Adults Adm	9,363	732	737	765	753	766	786	798	795	801	814	804	812
<b>Total Enrollment</b>	<b>8,861,745</b>	<b>734,233</b>	<b>735,975</b>	<b>735,035</b>	<b>737,409</b>	<b>739,434</b>	<b>738,332</b>	<b>744,690</b>	<b>743,789</b>	<b>742,163</b>	<b>742,497</b>	<b>734,724</b>	<b>733,455</b>

**State of Indiana**  
**Family and Social Services Administration**  
**Healthy Indiana Plan - 1115 Demonstration Waiver**  
**Enrollment Summary - Demonstration Year 6**

Enrollment - Raw Data	CY2013												
	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	1,325,320	111,827	111,760	111,974	111,798	112,054	111,553	111,877	111,378	110,965	109,564	107,138	103,632
HHW Children	6,583,208	554,177	554,383	555,103	556,245	556,904	555,549	556,503	554,406	549,753	542,431	530,683	516,071
HHW Pregnant Women	312,268	27,362	27,578	27,794	27,693	27,654	27,547	27,629	27,188	26,002	24,480	24,152	24,407
HIP Caretakers	299,926	25,921	25,476	25,443	25,349	25,276	25,227	25,166	24,871	24,480	24,159	24,152	24,407
HIP Adults	137,801	13,274	12,877	12,530	12,164	11,803	11,471	11,238	10,938	10,698	10,445	10,227	10,135
ESP Caretakers Adm	7,442	655	636	633	626	632	629	629	626	615	600	585	577
ESP Adults Adm	9,488	836	811	808	797	805	802	801	799	783	764	746	735
Total Enrollment	8,658,523	733,361	732,074	732,784	733,249	733,691	731,347	732,413	728,781	721,888	711,079	694,186	673,661

Enrollment - Completed	CY2013												
	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	1,336,336	111,638	111,774	111,992	111,820	112,082	111,591	111,923	111,513	111,706	111,365	110,067	108,885
HHW Children	6,642,974	555,513	554,785	555,580	556,804	557,554	556,298	557,286	555,578	553,417	550,211	546,693	543,256
HHW Pregnant Women	326,862	27,372	27,590	27,748	27,712	27,677	27,579	27,669	27,337	26,885	26,793	26,123	26,123
HIP Caretakers	300,155	25,926	25,482	25,450	25,356	25,285	25,238	25,178	24,886	24,498	24,184	24,193	24,479
HIP Adults	137,912	13,276	12,880	12,533	12,169	11,809	11,478	11,247	10,948	10,711	10,461	10,244	10,156
ESP Caretakers Adm	7,447	655	636	634	626	632	629	629	627	615	601	586	577
ESP Adults Adm	9,494	836	811	808	798	805	802	802	799	784	766	747	736
Total Enrollment	8,744,039	733,726	732,511	733,303	733,861	734,407	732,184	733,302	730,262	727,217	723,014	717,373	712,879