

HEALTHY INDIANA PLAN DEMONSTRATION

PROJECT NUMBER: 11-W-00237/5

SECTION 1115 QUARTERLY REPORT

State of Indiana

REPORTING PERIOD:

Demonstration Year: 6 (1/1/13 – 12/31/13)

Federal Fiscal Quarter: 4/2013 (7/1/13 – 9/30/13)

Date submitted to CMS: 11/30/2013



HEALTHY INDIANA PLANSM
Health Coverage = Peace of Mind

Introduction:

The Healthy Indiana Plan (HIP) was designed for those that do not have access to health insurance and that are not eligible for other Indiana Medicaid programs. Through a December 14, 2007 letter from Kerry Weems, Acting CMS Administrator, the State of Indiana Family and Social Services Administration (FSSA) Secretary was informed HIP was approved as the Indiana Section 1115 Medicaid Demonstration Project (11-W-00237/5) for a five year period – January 1, 2008 through December 31, 2012 – in accordance with section 1115(a) of the Social Security Act. Indiana then received CMS approval to extend the HIP through December 13, 2013.

The goal of this approved demonstration is to:

- Provide high deductible health insurance plan
- Provide Personal Wellness & Responsibility (POWER) Account (like a HSA)
- Provide health insurance to uninsured custodial parents (caretakers) of Medicaid & SCHIP children with family incomes 22% of federal poverty level (FPL) up to 200% of FPL
- Provide health insurance to non-custodial parents and uninsured childless adults (non-caretakers) with family income up to 200% of FPL.

This demonstration is the first of its kind in the United States and has a unique empowerment of enrollees (members) to be cost and value conscious while promoting personal responsibility, which includes:

- Specified monthly POWER account contribution ranging from 2% - 5% of gross income.
- Twelve month lock out from program for members that do not make payments within 60 days.
- Incentivizes yearly preventative services at no cost and are funded outside the POWER Account.
- Total remaining balance in the POWER account rolls over when preventative services are obtained.

Overview

The State of Indiana respectfully submits the 3rd quarter 2013 Healthy Indiana Plan 1115 demonstration report.

If there are any questions or comments regarding these changes, please do not hesitate to contact the State contacts listed below.

State Contacts

Primary Contact	Secondary Contact
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Hoosier Healthwise-Enrollment		
Source: OMPP Data Unit		
Item	Period	Total
Number of Hoosier Healthwise Enrollees-Anthem	Rolling	240,447
Number of Hoosier Healthwise Enrollees-MDwise	Rolling	299,423
Number of Hoosier Healthwise Enrollees-MHS	Rolling	212,371
Number of Hoosier Healthwise Enrollees-Total	Rolling	752,241

Table 1

Healthy Indiana Plan-Applicants		
Source: OMPP Data Unit		
Item	Period	Total
Number of HIP Applicants-Start of Quarter	Rolling	444,328
Number of HIP Applicants-During Quarter	Quarter	16,421
Number of HIP Applicants-Total	Rolling	460,749

Table 2

Healthy Indiana Plan-Number of Denials		
Source: OMPP Data Unit		
Item	Period	Total
Number of HIP denials Non-Caretaker Cap reached	Quarter	8,497
Number of HIP Denials-Failure to pay initial POWER Account contribution	Quarter	596
Number of HIP Denials-Insurance through employer	Quarter	905
Number of HIP Denials-Other	Quarter	3,397
Number of HIP Denials-Total	Quarter	13,395

Table 3

Healthy Indiana Plan-Number of Denials						
Source: OMPP Data Unit						
FPL Range	Number of Applicants	Number Who Failed to Make an Initial POWER Account Contribution	Percentage	Number of Enrollees	Number Who were disenrolled for failure to make a subsequent POWER Account contribution	Percentage
0 to 22% FPL	2,934	27	0.920%	10,531	99	0.940%
23-50% FPL	2,477	72	2.907%	5,602	189	3.374%
51 to 100% FPL	5,176	160	3.091%	13,197	484	3.668%
101 to 150% FPL	2,953	104	3.522%	8,488	300	3.534%
151+ FPL	1,334	51	3.823%	4,212	111	2.635%

Table 3.1

¹ FPL gathered from ICES data

Healthy Indiana Plan-Enrollment		
Source: OMPP Data Unit		
Item	Period	Total
A Number of HIP Enrolled-Start of quarter	Rolling	36,953
B Number of HIP Enrolled-During the quarter	Quarter	3,495
C Number of HIP Disenrolled-Due to non-payment of POWER Account	Quarter	1,155
D Number of HIP Disenrolled-HIP redetermination packet not submitted	Quarter	1,046
E Number of HIP Disenrolled-Member became pregnant	Quarter	177
F Number of HIP Disenrolled-Move to Medicaid category	Quarter	163
G Number of HIP Disenrolled-Insurance through employer	Quarter	256
H Number of HIP Disenrolled-Other reasons	Quarter	2,297
I Number of HIP Enrolled-End of the quarter ((I)=(A)+(B)-(C)-(D)-(E)-(G)-(H))	Quarter	35,354

Table 4

Healthy Indiana Plan-Number of Denials						
Source: OMPP Data Unit						
Reason Code	Low Income 23-50%	51%-100%	101%-150%	151%+	Unknown FPL ¹	Total
HIP Disenrolled-Due to non-payment of POWER Account	190	472	297	106		1,155
HIP Disenrolled-HIP redetermination packet not submitted	160	282	151	71	2	1,046
HIP Disenrolled-Member became pregnant	26	64	42	21		177
HIP Disenrolled-Move to Medicaid Category	22	56	24	12	1	163
HIP Disenrolled-Insurance through employer	23	89	55	30	4	256
HIP Disenrolled-Other reasons	234	594	549	373	17	2,297

Table 4.1

¹ FPL gathered from ICES data

Healthy Indiana Plan-FPL							
Source: OMPP Data Unit							
Aid Category	Low Income 0-22%	Low Income 23%-50%	51%-100%	101%-150%	151%-200%	Unknown FPL ¹	Total
Caretaker	616	530	1,163	737	391	-	3,437
	1,161	1,022	2,510	1,610	782	-	7,085
	3,917	3,700	9,579	6,704	3,633	-	27,533
	2,180	495	1,878	1,610	1,137	-	7,300
Subtotal	238	55	244	188	107	-	832
Non Caretaker	267	58	175	155	96	-	751
	985	185	713	494	299	-	2,676
	3,670	793	3,010	2,447	1,639	-	11,559
	1,074	190	794	514	308	-	2,880
Subtotal	4,028	849	3,262	2,608	1,739	-	12,486
Grand Total	4,266	904	3,506	2,796	1,846	-	13,318

Table 5

¹ FPL gathered from ICES data

² The Subtotal numbers mentioned are the sum of distinct members. Hence, may not necessarily sum up to be equal to the individual plan income levels.

Number of HIP Enrolled-Reached \$200,000/Annual or \$900,000/Lifetime in Benefits					
Source: Healthy Indiana Plan Health Plans					
Item	Period	Anthem	MDwise	MHS	Total
Referred to Medicaid	Quarter	0	0	0	0
Referred to M.E.D. Works	Quarter	0	0	0	0
Other	Quarter	0	0	0	0
Total	Quarter	0	0	0	0

Table 6

Number of HIP Enrolled-Reached \$300,000/Annual or \$1,00,000/Lifetime in Benefits					
Source: Healthy Indiana Plan Health Plans					
Item	Period	Anthem	MDwise	MHS	Total
Referred to Medicaid	Quarter	0	0	0	0
Referred to M.E.D Works	Quarter	0	0	0	0
Other	Quarter	0	0	0	0
Total	Quarter	0	0	0	0

Table 7

Number of HIP Enrolled-ESP			
Source: OMPP Data Unit			
Item	Month 1	Month 2	Month 3
Number of HIP Enrolled-ESP	1,424	1,398	1,358

Table 8

Budget Neutrality – Member Months				
Source: OMPP Finance and State Actuary				
Medicaid Eligibility Group (MEG)	Period	Month 1	Month 2	Month 3
<i>HHW Caretakers</i>	Quarter	111,670	111,729	112,723
HHW Children	Quarter	562,170	562,574	562,319
HHW Pregnant Women	Quarter	28,012	28,075	27,664
HIP Caretakers	Quarter	25,214	24,923	24,533
<i>HIP Non-Caretakers</i>	Quarter	11,236	10,937	10,705

Table 9

Healthy Indiana Plan-Enrollee/Applicant Inquiries					
Source: OMPP Agency Coordination & Integration					
Item	Period	Month 1	Month 2	Month 3	Total
Eligibility	Quarter	0	0	0	0
Application	Quarter	0	0	0	0
Buy-In	Quarter	2	2	2	6
General Questions	Quarter	6	16	23	45
Waiting List	Quarter	2	1	0	3
Anthem	Quarter	0	1	3	4
ESP	Quarter	0	0	0	0
MDwise	Quarter	0	0	1	1
MHS	Quarter	1	0	0	1
Total	Quarter	11	20	29	60

Table 10

Healthy Indiana Plan- Enrollee/Applicant Hearings and Appeals		
Source: FSSA Hearings and Appeals		
Item	Period	Total
Did Not Complete Verifications Request from State	Quarter	1653
Other Insurance	Quarter	471
Financial Eligibility	Quarter	167
Other	Quarter	3
Total	Quarter	2294

Table 11

Enrollee/Applicant Issues
Source: OMPP Care Programs
Provide a summary of the types of complaints or successes consumers identified about the program in the current quarter. Include any trends discovered, the resolution of complaints, and any actions taken or to be taken to prevent other occurrences.
<p>Indiana Medicaid monitors all types of inquiries and the responses to these inquiries through the State's Internet Quorum (IQ) system. This system enables the State to track any trending issues, develop uniform responses to frequently asked questions, and educate vendors in the areas where they should improve their member and provider services, outreach and marketing efforts. In addition, members/applicants may utilize the HIP plans' grievance and appeal system and FSSA hearings and appeals system. Although the State usually only hears about complaints, members will periodically express compliments or success stories about receiving health care for the first time or describe how they appreciate HIP to our enrollment broker, Maximus, and to the MCEs themselves.</p> <p>The number of inquiries received during this reported quarter was consistent with previous quarters.</p>

Table 12

Outreach/Innovative Activities

Source: Healthy Indiana Plan Health Plans

Provide a summary of the outreach activities and/or promising practices for the current quarter.

MHS

In Third Quarter CY 2013, MHS conducted and participated in many outreach activities related to general health issues education and education about the State of Indiana programs, as well as events aimed at educating MHS members about their program operations and benefits. Below is an outline of Healthy Indiana Plan-relevant activities which MHS organized, sponsored or in which MHS played a part:

-----**JULY 2013**-----

7/13/13 - Little Red Door Big Red Bash, Marion County, Indiana. The purpose of this event was to support a community organization through sponsorship by MHS and to educate event participants on MHS and services available to MHS members. MHS had a booth on site with educational health information and giveaways, basic program information. MHS fielded an employee team which participated in the Zumba contest.

7/13/13 - 10th Annual Northern Indiana Hispanic Health Coalition (NIHHC) Health Fair and Back-to-School Event, Elkhart County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

7/17/13 - "MHS Healthy Celebration," LaPorte County, Indiana. The purpose of this MHS event was to get non-compliant MHS members in to see their PMP for missing check-ups and screenings while providing them health and benefit education. MHS identified and invited non-compliant members to come to this PMP office and get a check-up and needed health screenings, then after the check-up, members were treated by MHS to a 'celebration' to enjoy healthy snacks.

7/18/13 - "MHS Healthy Celebration," Howard County, Indiana. The purpose of this MHS event was to get non-compliant MHS members in to see their PMP for missing check-ups and screenings while providing them health and benefit education. MHS identified and invited non-compliant members to come to this PMP office and get a check-up and needed health screenings, then after the check-up, members were treated by MHS to a 'celebration' to enjoy healthy snacks.

7/18 - 7/21/13 - IBE Summer Celebration and ISDH Health Fair, Marion County, Indiana. MHS participated in this annual event to support a community organization through its sponsorship and to educate participants on MHS and services available to MHS members. MHS had a booth on site with educational health information and giveaways as well as basic program information. MHS also provided attendees with free health advice through "Ask-A-Doc" and "Ask-An-Expert," sessions throughout the event.

7/20/13 - Ft. Wayne Three Rivers Festival Children's Fest, Allen County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

7/23/13 - "MHS Healthy Celebration," LaPorte, Indiana. The purpose of this MHS event was to get non-compliant MHS members in to see their PMP for missing check-ups and screenings while providing them health and benefit education. MHS identified and invited non-compliant members to come to this PMP office and get a check-up and needed health screenings, then after the check-up, members were treated by MHS to a 'celebration' to enjoy healthy snacks.

7/24/13 - Open Door Health Extravaganza, Delaware County, Indiana. MHS participated in this FQHC-sponsored event to support this community organization through its sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

7/24/13 - Northshore Back-to-School Health Fair, Lake County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

7/24/13 - "MHS Healthy Celebration," Howard County, Indiana. The purpose of this MHS event was to get non-compliant MHS members in to see their PMP for missing check-ups and screenings while providing them health and benefit education. MHS identified and invited non-compliant members to come to this PMP office and get a check-up and needed health screenings, then after the check-up, members were treated by MHS to a 'celebration' to enjoy healthy snacks.

7/25/13 - Allen Co. Health Disparity Coalition Prevention Clinic, Allen County, Indiana. The purpose of this event and MHS participation was to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

7/26/13 - Bendix Family Practice Health Fair, St Joseph County, Indiana. This event was to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

7/27/13 - Shalom Health Center Back-to-School Event and "MHS Healthy Celebration," Marion County, Indiana. MHS sponsored this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. The event was also to get non-compliant MHS members in to see their PMP for missing check-ups and screenings while providing them health and benefit education. MHS identified and invited non-compliant members to come to this PMP office and get a check-up and needed health screenings, then after the check-up, members were treated by MHS to a 'celebration' to enjoy healthy snacks.

7/27/13 - Elkhart Back-to-School Event, Elkhart County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

7/31/13 - Northshore Back-to-School Fair, Lake County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

-----**AUGUST 2013**-----

8/1 and 8/2/13 – Back-to-School Registration Event, Presented by Covering Kids and Families, Lake County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/2 - 8/17/13 - Indiana State Fair - MHS Presents BMX Extreme Bike Show, Marion County, Indiana. MHS participated in the annual Indiana State Fair in order to support community organizations through its sponsorship and to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information. Throughout the Fair, MHS staffed its booth

during scheduled shifts with 'Experts' in the areas of pediatrics, physical fitness and prenatal care as well as behavioral health, for the public to consult. MHS also provided free health screenings on select days of the Fair.

8/3/13 - Marion County Back-to-School Family Day, Presented by Covering Kids and Families, Marion County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/3/13 - Anderson Black Expo Community Health Fair, Madison County, Indiana. The purpose of this event was to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS had a booth on site with educational health information and giveaways as well as basic program information.

8/3/13 - Elkhart Health Fair and Back-To-School Event, Elkhart County, Indiana. This event was to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/7/13 - Gary Community Health Center Back-To-School Event, Presented by Covering Kids and Families, Lake County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/9/13 - Healthy Start of Northwest Indiana: Stroll in the Park Event, Lake County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

8/9/13 - 10th Annual Backyard BBQ, Madison County Community Health Center, Madison County, Indiana. The purpose of this event was to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

8/10/13 - Gary Community Health Center Back-To-School Event / City of East Chicago BTS Rally, Lake County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/12, 8/13 and 8/14/13 - NorthShore National Health Center Week, Lake County, Indiana. The purpose of MHS involvement in this FQHC event was to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/13/13 - Gary Community Health Center Back-To-School Event, Presented by Covering Kids and Families, Lake County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/13/13 - HealthLinc Back-To-School Fun Fest, Mishawaka, St. Joseph County, Indiana. MHS participated in this FQHC event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/14/13 - IHC National Health Center Week Health Fair, St. Joseph County, Indiana. MHS participated in this event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/14/13 - HealthLinc Back-To-School Fun Fest, Porter County, Indiana. MHS participated in this FQHC event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/14/13 - Riggs Community Health Center Child Safety and Wellness Fair, Tippecanoe County, Indiana. MHS participated

in this FQHC event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/15/13 - City-Wide Back-To-School Rally, St. Joseph County, Indiana. MHS participated in this FQHC event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/16/13 - Heart City Health Center Ice Cream Social, Elkhart County, Indiana. MHS participated in this FQHC's event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

8/16/13 - HealthLinc Back-To-School Fun Fest (Michigan City), St. Joseph County, Indiana. MHS participated in this FQHC event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/17/13 - "Miracle on 34th Street," Raphael Health Center, Marion County, Indiana. MHS participated in this event to support this FQHC community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/17/13 - 3rd Annual Safety Summit, Lake County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

8/22/13 - Allen County Health Disparity Coalition Prevention Clinic, Allen County, Indiana. MHS participated in this event in order to help educate the community on broader health issues and to provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

8/22/13 - 15th Annual Family Fun-Filled Back-to-School Celebration, Marion County, Indiana. MHS participated in this

event to support a community organization through sponsorship and to educate participants on MHS and the services available to MHS members. MHS donated backpacks and school supplies to participants and had a booth on site with educational health information and giveaways as well as basic program information.

8/24/13 - Great Expectations Program - Johnson County Women's Care Group, Johnson County, Indiana. MHS participated in this women-focused event to educate the community on preventive care for women and broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

8/24/13 - "MHS Healthy Celebration," St. Joseph County, Indiana. The purpose of this MHS event was to get non-compliant MHS members in to see their PMP for missing check-ups and screenings while providing them health and benefit education. MHS identified and invited non-compliant members to come to this PMP office and get a check-up and needed health screenings, then after the check-up, members were treated by MHS to a 'celebration' to enjoy healthy snacks.

8/31/13 - "Taste the Dream," MLK Center Resource Fair, Marion County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

-----**SEPTEMBER 2013**-----

9/5/13 - Healthy Lifestyle Event, Allen County, Indiana. MHS participated in this event to help educate the general population on health. This was a free educational event featuring free fitness, diet and cooking demonstrations accompanied by free health screenings and program enrollment information. MHS had a booth on site with health related information and giveaways, and MHS coordinated all the presenters including personal trainers, Purdue Extension staff and representatives from Covering Kids and Families.

9/5/13 - Northern Indiana Hispanic Health Coalition (NIHHC), 10th Annual Anniversary Dinner, Elkhart County, Indiana. MHS participated in this event to support this community organization through sponsorships. MHS "purchased" a table and invited MHS staff to support the event. MHS was presented with a "Community Partner Award," from the NIHHC.

9/7/13 - Mount Carmel Family Fun and Fitness Field Day and Back-to-School Rally, Marion County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

9/7/13 - "MHS Healthy Celebration," Elkhart, Indiana. The purpose of this MHS event was to get non-compliant MHS members in to see their PMP for missing check-ups and screenings while providing them health and benefit education. MHS identified and invited non-compliant members to come to this PMP office and get a check-up and needed health screenings, then after the check-up, members were treated by MHS to a 'celebration' to enjoy healthy snacks.

9/14/13 - Play it Safe Family Safety Day, Kosciusko County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

9/14/13 - Moving Starke County Forward Celebration, Starke County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

9/17/13 - Community Wellness Cooperative Free Health Fair, Madison County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

9/20/13 - "MHS Healthy Celebration," Lake County, IN. The purpose of this MHS event was to get non-compliant MHS members in to see their PMP for missing check-ups and screenings while providing them health and benefit education. MHS identified and invited non-compliant members to come to this PMP office and get a check-up and needed health screenings, then after the check-up, members were treated by MHS to a 'celebration' to enjoy healthy snacks.

9/20 - 9/22/13 - Indiana State Medical Association (ISMA) Convention, Marion County, Indiana. MHS attended and participated in this provider-focused event to support professional organizations through its sponsorship and to educate participants about MHS and the services available to MHS members and providers. MHS sent staff to the conference for continued educational opportunities and had a booth on site with health related information and giveaways

9/21/13 - 33rd Annual, "La Fiesta Indianapolis," Marion County, Indiana. MHS attended this event to support community

organizations through its sponsorship and to educate participants on MHS and the services available to MHS members. MHS had a booth on site with educational health information and giveaways as well as basic program information.

9/21/13 – American Diabetes Association (ADA) Step Out and Walk, Marion County, Indiana. MHS participated in this annual event to support community organizations through its sponsorship to educate participants on MHS and the services available to MHS members. MHS had a booth on site with educational health information and giveaways as well as basic program information. MHS also fielded a company team who walked and raised donations for the ADA.

9/22/13 - Healthy Lifestyle Event - Greater New Hope Church, Marion County, Indiana. MHS participated in this event to educate the general population on health. This is a free educational event targeted toward faith-based organizations, featuring free fitness, diet and cooking demonstrations, accompanied by free health screenings and enrollment information. MHS had a booth on site with health related information and giveaways, and MHS coordinated all the presenters including personal trainers, Purdue Extension staff and Covering Kids and Families representatives.

9/25/13 - Geminus Community Partners 2013 Lake County, Indiana, Provider Fair. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

9/26/13 - Allen Co. Health Disparity Coalition Prevention Clinic, Allen County, Indiana. The purpose of this event and MHS participation was to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

9/28/13 - Lafayette Square Area Coalition (LSAC) "Taste of Differences" Festival, Marion County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

9/28/13 - Perry Township 3rd Annual Health Fair and Family Forum, Marion County, Indiana. MHS participated in this event to educate the community on broader health issues and provide basic program information. MHS had a booth on site with educational health information and giveaways as well as basic program information.

Table 13

MDwise

Q3 2013 HIP Outreach and Marketing

MDwise has integrated the promotion and education of the Healthy Indiana Plan since the plan originally started in 2007. MDwise achieves successful promotion of its Healthy Indiana Plan by conducting outreach, education, and marketing, to its Healthy Indiana Plan members and providers. MDwise strives to educate its members on the importance of receiving preventive care, knowing their medical home, and how to reach MDwise for additional resources.

MDwise provides its providers with all the resources and information needed to deliver low cost, high quality care to our members. Below are some initiatives MDwise established and completed to provide quality health care to its Healthy Indiana Plan members in Q3 2013.

One of the strengths of the MDwise outreach team is their opportunity to interact directly with MDwise members and community members in communities throughout the state. While, MDwise outreach incorporates HIP education into all their outreach efforts, there are events and presentations when the outreach team has an opportunity to interact with MDwise HIP members across the state of Indiana.

In Q3 2013, MDwise outreach and marketing organized and participated in the following activities and events where HIP information was discussed, explained and distributed in communities of all sizes throughout Indiana.

Enrollment Awareness

- MDwise Outreach distributed 285 HIP applications at community events and presentations.
- Provided direct assistance to seven community members on how to enroll for HIP on-line
- Worked with Covering Kids and Families, enrollment partners and local DFR offices to promote and conduct enrollment for the HIP health plan.
- 286 HIP applications were completed by Covering Kids & Families
- 285 HIP applications were distributed at community events and presentations
- 29 HIP applications were completed at events by DFR and community enrollment centers.
- 316 CKF and enrollment partner referrals
- 51 individuals were assisted with the HIP on-line application
- Partnered with enrollment partners on six enrollment events for the Hoosier Healthwise & HIP Programs.

HIP Outreach Education and Awareness

- Educated parents and caregivers about the HIP Program at over 49 school outreach events, including parent nights, kindergarten round-ups, Family Fun Nights, after-school programs, presentations to staff, registration days, parent teacher conferences, and Title One Parent meetings. Completed 66 MDwise Q&A Chats in Q3 2013. The Chats target members and community members seeking services at local DFR offices, Provider offices, Health

Departments, FQHC's, Food Pantries, Churches, free & reduced lunch programs, and other agencies and programs.

- Organized and participated in four Bluebelle's Baby shower. Distributed Information on how to apply for HIP after pregnancy. Participated in four community baby showers. MDwise outreach educated each participant on the HIP Program.
- Outreach team promoted the HIP program to caregivers at the 28 Q3 Everyone Needs Checkups Days.
- The outreach team partnered with enrollment partners at over events and community presentations to educate and provide enrollment assistance on the HIP Program.
- Provided one-on-one member education on the myMDwise Portal to 1,107 MDwise members and how to redeem Rewards points for completing preventive care at all events and presentations.
- Worked with School Based Health Centers (SBHC) to promote HIP to uninsured parents.
- HRS screenings completed for new HIP members.
- Promoted Hoosier Healthwise and HIP as a solution for health insurance for the entire family.
- Promoted that HIP members should see their doctor within the first 90 days of becoming a MDwise HIP member.
- HIP promotion and education published in MDwise member newsletter.
- Expanded outreach initiatives to local food pantries, public libraries and Legal Aid sites to educate communities about where to apply for HIP and how to stay enrolled.

Education Materials and Special Programs

- Distributed education pieces on "How to Stay Enrolled on Hoosier Healthwise & HIP", "How to Stay on MDwise", and "Where to Enroll for Hoosier Healthwise & HIP" at 231 community events and presentations.
- Educated agencies, schools and other programs about HIP at the 38 completed professional presentations.
- Distributed the "Got Insurance Brochure" to increase education on where to apply for HIP (Marion County).
- Educated members on the "Use Emergency Room Wisely" brochure on ER utilization. Developed new "Your Doctor First" brochure.
- Promotion of INControl Disease Management Program for HIP members.
- Distribution of HIP Health Plan materials created by FSSA.

Presentations

- Provided education on HIP at seven IMPACT community presentations. Educated participants that there is continuous coverage available from Package A or B to HIP (no six month wait).
- Presented to four support groups for pregnant women programs. Outreach educated women on how to apply for HIP after pregnancy without interruption of coverage to HIP
- Two presentations to the Children's Bureau (Randolph and Delaware) on the HIP program, addressed common-misconceptions, eligibility questions and distributed HIP applications.

Redetermination

- Redetermination calls and mailings made to HIP members who are in their redetermination period.
- MDwise customer service department used dialer resources to further assist with reaching members and making this process more efficient.

Providers

- Provided provider workshops for all HIP doctors.
- Provided member list to HIP providers that have HIP members that have not yet received preventive care exam.
- Distributed flyer that provided details about performance dollars MDwise will pay to the providers who performed well on Adult Preventative Care measure.
- Provided individual education to providers during visits or presentations.
- MDwise was involved in multiple organizations and associations focused on providers.
- Incorporated HIP education and resources at three MDwise Community Advisory Councils (SE, NC and North) in Q3.

HIP Power Account

- Mailed HIP new member letters with ID Cards. Letter explained the importance of receiving preventive care. The letter also explained how receiving preventive care qualifies the member for the rollover of any remaining funds in their POWER Account.
- Mailed monthly HIP POWER Account Invoice to all members reminding them of their monthly contribution.
- Mailed monthly HIP POWER Account Statement to members. Letter describes health care services used in the current year. Refers members to view member handbook to view preventative service targets.
- Mailed HIP and Hoosier Healthwise member handbook to members when they became members.

MDwise Rewards

- Promoted MDwiseREWARDS program, which is an incentive program for MDwise Hoosier Healthwise and Healthy Indiana Plan. The program uses incentives to encourage members to seek their annual check-ups and health screenings. Members earn points for healthy activities and visiting the doctor. The points can be redeemed for gift cards.
- Included MDwiseREWARDS information into main MDwise all program brochure, member handbook, MDwise website, and other member materials.

- MDwiseREWARDS information sheet on what activities earn points and how many points are earned for the activity completed available on MDwise.org or outreach can print for events and customer service can mail by request when members call in.
- Distributed a business card size promotion card for MDwiseREWARDS at outreach events and through provider's offices.
- In Q3 2013, a total of 349 HIP members redeemed their Rewards points for a gift card. This is 13% of the total number of HHW and HIP members that redeemed. The percentage of HIP members that are redeeming is reduced from the same period last year (18%), but consistent with Q2 of 2013. Members continue to have a preference for Subway gift cards for those that redeem at the \$10 level, and the Wal-mart card is the most popular at the \$30 and \$50 levels.

Outreach/Innovative Activities
Source: Healthy Indiana Plan Health Plans
Provide a summary of the outreach activities and/or promising practices for the current quarter.
Anthem
<p>Anthem's outreach efforts for third quarter focused on educating our Healthy Indiana Plan (HIP) members on the recent changes to HIP's eligibility requirements due to health care reform under the Affordable Care Act and changes the State of Indiana made to the HIP program. For HIP members who were identified as potentially ineligible due to the changes in the Federal Poverty Level (FPL) requirements, Anthem sent letters these members advising them of when to expect their coverage to end and encouraging them to complete another HIP application if they have recently experienced a decline in their income in order to be reconsidered for HIP benefits in 2014. Anthem also outreached HIP members whose terminations were pending via outbound automated calls to advise them to explore options for coverage through the Federal Health Insurance Market Place if they no longer qualify for HIP. Finally, Anthem also conducted outreach via letters to members who currently participate in the HIP Buy-In Program (also known as HIP Tier 2 Program) to advise them of the discontinuance of the buy-in program and educate them on finding health care coverage through other options, such as the HIP Medicaid Program, if they meet the income requirements, or the Federal Exchange Programs.</p>

Table 13

Operational Activities
Source: OMPP Care Programs
Identify all significant program developments/issues/problems that have occurred in the current quarter, including, but not limited to, approval and contracting with new plans, benefit changes, and legislative activity.
<p>The Centers for Medicare & Medicaid Services (CMS) has approved a one-year extension of Indiana’s section 1115 Health Indiana Plan (HIP) Demonstration (project number 11-W-00237/5), as amended, in order to not disrupt the coverage currently afforded in Indiana as the state continues to consider its coverage options. The HIP demonstration, which will continue to receive federal financial participation at the state’s regular federal medical assistance (FMAP), is now set to expire on December 31, 2014.</p> <p>In anticipation of the new HIP income eligibility guidelines to take place January 1, 2014. Caretaker and non-caretaker adults up to 100 % FPL will not experience a change in benefits. They will continue to be served in the same aid category, will have the same benefit package and will continue the same delivery system. Caretaker and non-caretaker adults above 100% FPL will be eligible to receive services through the marketplace. Indiana developed a HIP Transition Plan that included Notifications to current HIP participants, applicants and individuals on the HIP waitlist to let them know the program will have eligibility changes. The Notices included automated outbound calls and several mailings. In addition, because of the Affordable Care Act, the Indiana Comprehensive Health Insurance Association (ICHIA), which operates the HIP Enhances Service Plan (ESP), is stopping operations January 1, 2014. HIP ESP members who meet the HIP income eligibility guidelines of 100% FPL will be transitioned into the general HIP population and their services managed through the MCEs.</p>

Table 14

Financial Activities
Source: OMPP Finance & State Actuary
Identify all significant developments/issues/problems with financial accounting, budget neutrality, and CMS-64 reporting for the current quarter. Identify the State’s actions to address these issues.
<p>Below, please find our process to achieve control objectives of accurate, timely and complete reporting in compliance with the Special Terms and Conditions:</p> <ul style="list-style-type: none"> • Budget neutrality submissions will be developed utilizing CMS64 data. • Budget neutrality and CMS64 reporting will be reconciled quarterly prior to submitting reports to CMS. • Federal Funding Director/OMPP Controller will be the final approver on all budget neutrality submissions. <p>Reconciliation documentation will be maintained to support quarterly submissions.</p>

Table 15

Monitoring Activities
Source: OMPP Care Programs
Identify any quality assurance/monitoring activity in current quarter.
<p>Onsite Monitoring Visits conducted by the Care Programs Operations Compliance Analysts covered the following topics: Member Services, Pharmacy Services, HIP/ESP Transition Plan and Smoking Cessation Plan. Below is a detailed description of each topic.</p> <p><u>Member Services:</u> a) Primary Medical Physician (PMP), b) panel movement in the event of PMP disenrollment, c) member disenrollment from program or movement to another plan, d) observe member calls with MCE customer service representative, and e) discussion/explanation of member phone unit including the number of member lines, number of customer service representatives, and availability of call lines.</p> <p><u>HIP/ESP for 2014:</u> a) discussion of the state’s transition plan for HIP members in 2014 and b) rolling of HIP ESP members into the general HIP population under the management of the MCEs.</p> <p><u>Smoking Cessation Plan:</u> a) discussion of the MCE’s smoking cessation plan, b) review and discussion of educational materials supposed to members with complex diseases who smoke and the management of this type of member and c) discussion of each MCE’s success rate in the smoking cessation programs.</p> <p>The Care Program Quality Team third quarter monitoring activities with the MCEs included discussions on outreach to parents of SCHIP members to encourage HIP enrollment, Quality Management and Improvement Workplans’ status/progress, quarterly reporting to ensure the successful transition of women on HIP who become pregnant and to monitor birth outcomes, long acting reversible contraceptive utilization to ensure consistency across health plans, health outcomes for members in HIP-Enhanced Services Program.</p>

Table 16

Demonstration Evaluation
Source: OMPP Quality
Discuss progress of evaluation design and planning.
<p>Burns and Associates, Indiana’s EQRO submitted the EQR draft to OMPP for review. OMPP met with the HIP managed care entities in the third quarter to review the findings. The EQR will be submitted to CMS in early 2014.</p> <p>The EQR recommendations for improvement included:</p> <ol style="list-style-type: none"> 1. In the validation of performance measures, the MCEs need to clarify the source of their comparison goals, make data analysis part of the PIP planning process, report statistically significant changes,

seek out best practices related to interventions used, stratify measure results by additional cohorts to determine if certain results may yield specific opportunities for interventions and ensure that the PIPs adopted are adhered to, implemented and reported on consistently.

2. In the focus study on access to care, MCE provider relations staff should be knowledgeable about the MCE's programs and services, initiate an education campaign on disease management/case management for providers, make bonus program calculations more transparent to providers, provide up to date Gaps-in-Care information that is accurate at the time it is shared with providers, promote to providers and members the availability of interpretation services, MCE provider relations teams should meet with the community mental health centers and other large behavioral health providers no less than annually, provide training on the behavioral health summary report, investigate filing limit extensions in order to accommodate retroactive coverage and TPL changes, ensure communication with the FQHCs regarding billing for behavioral health and physical health on the same date of service.
3. In the focus study on mental health utilization and care coordination recommendations include MCEs' need to focus on developing measurable care plan goals to focus on both behavioral health and physical health needs, MCEs should look at incentive programs for providers with the goal of better cooperation and availability, and improve documentation of validating care plans were sent to the PMP

Table 17

POWER Account Rollover		
Source: OMPP Care Programs		
Discuss POWER account rollover.		
UPDATE FORTHCOMING		
	Total Number	Percent
Members Enrolled April-June 2009 & Eligible for Rollover		
Members that Completed Preventative Services		
Members w/POWER Account Balance		
Members w/no POWER Account Balance		
Members w/Balance and Completion of Positive Preventative		

	Members w/Balance and Negative Preventative			
	Average Member Remaining Balance			
	Average State Remaining Balance			
	Average Member Remaining Balance for Members w/Negative Preventative			
	Average State Remaining Balance for Members w/Negative Preventative			

Table 18

ER Copayments					
Source: OMPP Care Programs					
Report data pending.					
Discuss ER copayments.					
ER Copayments July –September 2013					
HIP Population	Co-Payment Amount	Number of Anthem Members	Number of MDwise Members	Number of MHS Members	Total HIP Members
Caretakers	\$0 per visit*				
Caretakers With Incomes Above AFDC Income Limit through 100% FPL	\$3 per visit				
Caretakers Above 100 % through 150% FPL	\$6 per visit				
Caretakers Above 150 % through 200% FPL	Lower of 20% of services cost provided during visit, or \$25				
Non-Caretakers	\$25 per visit				

Total						
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Table 19

ER Utilization
Source: OMPP Care Programs
Discuss initiatives to decrease ER utilization.
The MCEs proactively use multiple opportunities to educate HIP members about appropriate ER utilization through care/case/disease management, promote member use of Nurse On-Call, Health Risk Assessments, member materials, member inquiries, and outbound calls. MDwise initiated a pilot partnership with hospital ERs to redirect members to primary care when applicable, expansion of the IHIE ER notification Pilot from 5 local hospitals to statewide in 2013, and to provide feedback on ER utilization to PMP/medical home on members. In order to develop other initiatives to reduce ER utilization Anthem routinely reviews retroactive information on the reasons why members visited the ER and reviews Nurse Line information to determine reasons for calls and number referred to ER.

Table 20

Supporting Documentation
HIP Dashboard
HIP Financial Monitoring Report
OMPP Finance & State Actuary



1115 Waiver Financial Monitoring Report

September 30, 2013

State of Indiana

Family and Social Services Administration

Prepared for:
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Enclosure 1: HIP Financial Monitoring Report

EXECUTIVE SUMMARY

GENERAL FINANCIAL REQUIREMENTS

Under the Special Terms and Conditions associated with the 1115 Waiver, OMPP is required to submit quarterly reports. These reports are used to track enrollment and expenditures and monitor budget neutrality. This report is a key component of the quarterly reporting.

BUDGET NEUTRALITY MEMBER MONTHS

Table 1 illustrates eligible member months by 1115 Waiver eligibility group for the third quarter of DY 6 (July 2013 – September 2013).

Table 1 State of Indiana, Family and Social Services Administration Budget Neutrality Member Months					
Medicaid Eligibility Group (MEG)	Period	Month 1	Month 2	Month 3	Total
HHW Caretakers	Quarter	111,670	111,729	112,723	336,122
HHW Children	Quarter	562,170	562,574	562,319	1,687,064
HHW Pregnant Women	Quarter	28,012	28,075	27,664	83,750
HIP Caretakers	Quarter	25,214	24,923	24,533	74,670
HIP Non-Caretakers	Quarter	11,236	10,937	10,705	32,877

HIP FINANCIAL MONITORING REPORT

Enclosure 1 provides the financial report with data through September 30, 2013. It includes the following information:

1. **Actual Experience To Date:** This summary illustrates the eligibility, expenditures, and per member per month (PMPM) values by population for each Demonstration Year. The expenditures for the HHW and HIP populations reconcile with the quarterly Forms CMS-64. Waiver, project number 11-W-00237. Expenditures are illustrated by the DY in which services were rendered or for which capitation payments were made. Enrollment is illustrated without adjustment for completion.
2. **Projected Experience:** This summary illustrates projected experience for eligibility, enrollment, and PMPM values by population. The projection period begins October 1, 2013, and is based on our analysis of enrollment and expenditure payment patterns to forecast ultimate enrollment and expenditures by Demonstration Year. In addition, enhanced hospital reimbursement was suspended July 1, 2013, pending CMS approval of an extension. The enhanced reimbursement is projected to be paid retroactively.
3. **Actual and Projected Experience.** This summary combines the first summary with projected experience under the 1115 Waiver for the remainder of the Demonstration Year. The values are shown for eligibility, expenditures, and PMPM values.
4. **Waiver Review.** This summary compares the actual experience with the 1115 Waiver – Without Waiver PMPM ceiling amounts. The summary provides actual enrollment through September 30, 2013, the Without Waiver PMPM values, and the actual to date PMPM values. The values are illustrated for each enrollment group. In addition to the PMPM values, a cost effectiveness calculation has been shown on a Federal share basis. The values have been shown for the Without Waiver, With Waiver, and net Cost Effectiveness values.

5. **Budget Neutrality Review.** This summary illustrates the six-year demonstration period for the Without Waiver and With Waiver expenditures. **Please note that Indiana is no longer diverting DSH effective DY6.**
6. **HIP Enrollment Summary.** This summary illustrates the monthly eligibility by population. The values are shown first based on raw data and then with adjustments for completion and projection. The values are based on data through September 30, 2013.

Table 1 and Enclosure 1 of this report are also provided in the enclosed excel workbook: "HIP Monitoring – September 2013.xlsx".

METHODOLOGY

This section provides additional detail on the data, assumptions, and methodology used in this report.

DATA

Medicaid enrollment data is provided by Hewlett Packard, the state's fiscal agent, on a monthly basis. Data through September 30, 2013 was used for this report.

Actual to-date expenditures were provided by FSSA, as reported on the Form CMS 64.9 Waiver, project number 11-W-00237. These were summarized by demonstration year (calendar year), according to dates of service.

ASSUMPTIONS AND METHODOLOGY

Calculations in this report were performed in accord with the 1115 Waiver Special Terms and Conditions, as effective for Demonstration Year 6 (CY 2013):

Expenditures Included

Expenditures in this report include the following:

- Capitation payments.
- Claims expenditures, including all expenditures for fee-for-service enrollees and carved out expenditures for managed care enrollees. Major services not included in the capitation rates include pharmacy, dental, and MRO.
- POWER account contributions provided by the State.
- Pharmacy rebates. Total pharmacy rebates received by the State of Indiana were allocated to population based on pharmacy expenditures.
- Managed care incentive payments.
- HIP Stop Loss payments and reimbursement for ESP transfers.

The mandated increase in physician payment rates is being paid by the State using supplemental payments. These amounts are not included in this report, as specified in the STCs.

Enrollment

Enrollment on the "Actual Experience to Date" and the "Waiver Differential" pages is illustrated without adjustment for completion.

Enrollment on the "Actual and Projected" and "Budget Neutrality" pages is illustrated with completion adjustments and projection through the end of DY 6 (CY 2013). Completion adjustments are derived from an analysis of historical payments patterns for each population. Projections were developed based on historical experience and growth trends.

The last exhibit of Enclosure 1 provides a comparison of actual enrollment to projected enrollment, by population and month.

LIMITATIONS

The information contained in this report has been prepared for the State of Indiana, Family and Social Services Administration and Office of Medicaid Policy and Planning (OMPP). This report has been developed to assist FSSA in submitting financial information to the Centers for Medicaid and Medicare Services (CMS) associated with 1115 Waiver requirements. The data and information presented may not be appropriate for any other purpose.

It is our understanding that the information contained in this report may be utilized in a public document. To the extent that the information contained in this correspondence is provided to any third parties, the correspondence should be distributed in its entirety. Any user of the data must possess a certain level of expertise in actuarial science and healthcare modeling so as not to misinterpret the information presented.

Milliman makes no representations or warranties regarding the contents of this correspondence to third parties. Likewise, third parties are instructed that they are to place no reliance upon this correspondence prepared for OMPP by Milliman that would result in the creation of any duty or liability under any theory of law by Milliman or its employees to third parties.

Milliman has relied upon certain data and information provided by the State of Indiana, Family and Social Services Administration and their vendors. The values presented in this letter are dependent upon this reliance. To the extent that the data was not complete or was inaccurate, the values presented in our report will need to be reviewed for consistency and revised to meet any revised data.

The services provided for this project were performed under the signed Consulting Services Agreement between Milliman and OMPP approved May 14, 2010, and last amended September 23, 2013.

Enclosure 1
HIP Financial Monitoring Report

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Actual Experience (Incurred) - Through September 30, 2013

Enrollment (Mbr Mos.)	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,336,991	1,325,808	989,840	7,599,469
HHW Children	5,766,985	6,234,677	6,574,005	6,647,670	6,716,216	4,981,136	36,920,689
HHW Pregnant Women	334,455	352,518	351,958	337,614	337,050	235,031	1,948,626
HIP Caretakers	71,113	216,627	314,803	330,030	324,264	227,086	1,483,923
HIP Adults	112,673	316,527	226,367	168,748	170,921	106,935	1,102,171
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	5,680	28,193
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	7,240	35,130
Total Enrollment	7,552,619	8,441,434	8,825,485	8,821,053	8,874,259	6,540,028	49,054,878
{Total - Excludes ESP Adm}							

Expenditures	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	\$ 405,665,165	\$ 435,356,234	\$ 397,446,467	\$ 374,972,414	\$ 620,976,129	\$ 434,764,566	\$ 2,669,180,975
HHW Children	\$ 948,735,566	\$ 1,036,871,250	\$ 984,036,891	\$ 931,948,683	\$ 1,366,075,160	\$ 917,131,830	\$ 6,184,799,380
HHW Pregnant Women	\$ 149,271,243	\$ 162,138,448	\$ 144,152,598	\$ 153,298,713	\$ 233,869,945	\$ 101,758,225	\$ 944,489,172
HIP Caretakers	\$ 29,253,365	\$ 74,963,037	\$ 125,585,674	\$ 119,830,577	\$ 114,978,615	\$ 95,649,085	\$ 560,260,353
HIP Adults	\$ 58,412,780	\$ 153,457,893	\$ 146,334,720	\$ 91,028,044	\$ 89,383,144	\$ 67,787,632	\$ 606,404,213
ESP Caretakers Adm	\$ 2,941	\$ 14,637	\$ 54,068	\$ 72,977	\$ 74,452	\$ 53,958	\$ 273,033
ESP Adults Adm	\$ 16,167	\$ 41,839	\$ 53,525	\$ 71,108	\$ 88,949	\$ 68,784	\$ 340,371
Total Claim Cost	\$ 1,591,338,119	\$ 1,862,786,862	\$ 1,797,556,350	\$ 1,671,078,431	\$ 2,425,282,993	\$ 1,617,091,338	\$ 10,965,134,093
ESP Admin	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,400	\$ 122,742	\$ 613,404

Per Member Per Month	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	\$ 320.08	\$ 329.54	\$ 292.59	\$ 280.46	\$ 468.38	\$ 439.23	\$ 351.23
HHW Children	\$ 164.51	\$ 166.31	\$ 149.69	\$ 140.19	\$ 203.40	\$ 184.12	\$ 167.52
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.07	\$ 693.87	\$ 432.96	\$ 484.69
HIP Caretakers	\$ 411.36	\$ 346.05	\$ 398.93	\$ 363.09	\$ 354.58	\$ 421.20	\$ 377.55
HIP Adults	\$ 518.43	\$ 484.82	\$ 646.45	\$ 539.43	\$ 522.95	\$ 633.91	\$ 550.19
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68
Composite PMPM	\$ 210.70	\$ 220.67	\$ 203.68	\$ 189.44	\$ 273.29	\$ 247.26	\$ 223.53
Composite Adm PMPM	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.69

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Projected Expenditures (Including Enrollment Completion) - Beginning October 01, 2013

Enrollment (Mbr Mos.)	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	-	-	-	-	37	352,268	352,304
HHW Children	-	-	-	-	2,588	1,753,540	1,756,128
HHW Pregnant Women	-	-	-	-	74	98,390	98,464
HIP Caretakers	-	-	-	-	42	76,224	76,266
HIP Adults	-	-	-	-	13	34,654	34,667
ESP Caretakers Adm	-	-	-	-	-	1,882	1,882
ESP Adults Adm	-	-	-	-	1	2,477	2,478
Total Enrollment	-	-	-	-	2,754	2,315,076	2,317,830
{Total - Excludes ESP Adm}							

Expenditures	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	\$ -	\$ -	\$ -	\$ -	\$ -	201,850,374	201,850,374
HHW Children	\$ -	\$ -	\$ -	\$ -	\$ -	413,937,717	413,937,717
HHW Pregnant Women	\$ -	\$ -	\$ -	\$ -	\$ -	40,593,165	40,593,165
HIP Caretakers	\$ -	\$ -	\$ -	\$ -	\$ -	32,887,157	32,887,157
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	20,142,517	20,142,517
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	4	17,879	17,883
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	5	23,531	23,536
Total Claim Cost	\$ -	\$ -	\$ -	\$ -	\$ -	709,410,929	709,410,929
ESP Admin	\$ -	\$ -	\$ -	\$ -	9	41,410	41,419

Per Member Per Month	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	N/A	N/A	N/A	N/A	\$ -	573.00	572.94
HHW Children	N/A	N/A	N/A	N/A	\$ -	236.06	235.71
HHW Pregnant Women	N/A	N/A	N/A	N/A	\$ -	412.57	412.26
HIP Caretakers	N/A	N/A	N/A	N/A	\$ -	431.45	431.22
HIP Adults	N/A	N/A	N/A	N/A	\$ -	581.25	581.03
ESP Caretakers Adm	N/A	N/A	N/A	N/A	N/A	9.50	9.50
ESP Adults Adm	N/A	N/A	N/A	N/A	\$ 5.18	9.50	9.50
Composite PMPM	N/A	N/A	N/A	N/A	\$ -	306.43	306.07
Composite Adm PMPM	\$ -	\$ -	\$ -	\$ -	\$ 9.34	\$ 9.50	\$ 9.50

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Actual and Projected Experience (Incurred) - Data through September 30, 2013**

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,336,991	1,325,845	1,342,107	7,951,773
HHW Children	5,766,985	6,234,677	6,574,005	6,647,670	6,718,804	6,734,676	38,676,817
HHW Pregnant Women	334,455	352,518	351,958	337,614	337,124	333,421	2,047,090
HIP Caretakers	71,113	216,627	314,803	330,030	324,306	303,310	1,560,189
HIP Adults	112,673	316,527	226,367	168,748	170,934	141,589	1,136,838
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,562	30,075
ESP Adults Adm	1,638	4,239	5,423	7,227	9,364	9,717	37,608
Total Enrollment	7,552,619	8,441,434	8,825,485	8,821,053	8,877,013	8,855,104	51,372,708
{Total - Excludes ESP Adm}							

Expenditures	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 405,665,165	\$ 435,356,234	\$ 397,446,467	\$ 374,972,414	\$ 620,976,129	\$ 636,614,940	\$ 2,871,031,349
HHW Children	\$ 948,735,566	\$ 1,036,871,250	\$ 984,036,891	\$ 931,948,683	\$ 1,366,075,160	\$ 1,331,069,547	\$ 6,598,737,097
HHW Pregnant Women	\$ 149,271,243	\$ 162,138,448	\$ 144,152,598	\$ 153,298,713	\$ 233,869,945	\$ 142,351,390	\$ 985,082,337
HIP Caretakers	\$ 29,253,365	\$ 74,963,037	\$ 125,585,674	\$ 119,830,577	\$ 114,978,615	\$ 128,536,242	\$ 593,147,510
HIP Adults	\$ 58,412,780	\$ 153,457,893	\$ 146,334,720	\$ 91,028,044	\$ 89,383,144	\$ 87,930,149	\$ 626,546,730
ESP Caretakers Adm	\$ 2,941	\$ 14,637	\$ 54,068	\$ 72,977	\$ 74,456	\$ 71,837	\$ 290,916
ESP Adults Adm	\$ 16,167	\$ 41,839	\$ 53,525	\$ 71,108	\$ 88,954	\$ 92,315	\$ 363,908
Total Claim Cost	\$ 1,591,338,119	\$ 1,862,786,862	\$ 1,797,556,350	\$ 1,671,078,431	\$ 2,425,282,993	\$ 2,326,502,267	\$ 11,674,545,022
ESP Admin	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,409	\$ 164,152	\$ 654,823

Per Member Per Month	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 320.08	\$ 329.54	\$ 292.59	\$ 280.46	\$ 468.36	\$ 474.34	\$ 361.06
HHW Children	\$ 164.51	\$ 166.31	\$ 149.69	\$ 140.19	\$ 203.32	\$ 197.64	\$ 170.61
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.07	\$ 693.72	\$ 426.94	\$ 481.21
HIP Caretakers	\$ 411.36	\$ 346.05	\$ 398.93	\$ 363.09	\$ 354.54	\$ 423.78	\$ 380.18
HIP Adults	\$ 518.43	\$ 484.82	\$ 646.45	\$ 539.43	\$ 522.91	\$ 621.02	\$ 551.13
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68
Composite PMPM	\$ 210.70	\$ 220.67	\$ 203.68	\$ 189.44	\$ 273.21	\$ 262.73	\$ 227.25
Composite Adm PMPM	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Cost Effectiveness Review - Through September 30, 2013

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,336,991	1,325,808	989,840
HHW Children	5,766,985	6,234,677	6,574,005	6,647,670	6,716,216	4,981,136
HHW Pregnant Women	334,455	352,518	351,958	337,614	337,050	235,031
HIP Caretakers	71,113	216,627	314,803	330,030	324,264	227,086
HIP Adults	112,673	316,527	226,367	168,748	170,921	106,935
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	5,680
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	7,240
Total Enrollment	7,552,619	8,441,434	8,825,485	8,821,053	8,874,259	6,540,028
{Total - Excludes ESP Adm}						

PMPM (Without Waiver)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 224
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 591
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 388
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Composite PMPM	\$ 216.92	\$ 221.92	\$ 234.43	\$ 245.42	\$ 255.57	\$ 266.78

Without Waiver Expenditures	\$ 1,638,278,734	\$ 1,873,334,296	\$ 2,068,995,252	\$ 2,164,847,923	\$ 2,268,003,778	\$ 1,744,751,363
Federal Share	\$ 1,033,467,182	\$ 1,211,625,790	\$ 1,367,140,338	\$ 1,442,438,171	\$ 1,519,789,332	\$ 1,170,728,165
Diverted DSH (Federal Share)	\$ 52,691,988	\$ 60,820,051	\$ 59,730,335	\$ 54,360,054	\$ 57,459,929	\$ -
Federal Share Without Waiver	\$ 1,086,159,170	\$ 1,272,445,840	\$ 1,426,870,673	\$ 1,496,798,225	\$ 1,577,249,260	\$ 1,170,728,165

PMPM (Actual)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 320.08	\$ 329.54	\$ 292.59	\$ 280.46	\$ 468.38	\$ 439.23
HHW Children	\$ 164.51	\$ 166.31	\$ 149.69	\$ 140.19	\$ 203.40	\$ 184.12
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.07	\$ 693.87	\$ 432.96
HIP Caretakers	\$ 411.36	\$ 346.05	\$ 398.93	\$ 363.09	\$ 354.58	\$ 421.20
HIP Adults	\$ 518.43	\$ 484.82	\$ 646.45	\$ 539.43	\$ 522.95	\$ 633.91
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
Composite PMPM	\$ 210.70	\$ 220.67	\$ 203.68	\$ 189.44	\$ 273.31	\$ 247.28

With Waiver Expenditures	\$ 1,591,338,119	\$ 1,862,786,862	\$ 1,797,556,350	\$ 1,671,078,431	\$ 2,425,446,393	\$ 1,617,214,080
With Waiver Adm	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085		
Federal Share	\$ 1,003,865,423	\$ 1,204,832,211	\$ 1,187,834,094	\$ 1,113,511,601	\$ 1,625,291,628	\$ 1,085,150,648
Federal Share With Waiver	\$ 1,003,865,423	\$ 1,204,832,211	\$ 1,187,834,094	\$ 1,113,511,601	\$ 1,625,291,628	\$ 1,085,150,648
Waiver Margin	\$ 82,293,747	\$ 67,613,630	\$ 239,036,579	\$ 383,286,624	\$ (48,042,368)	\$ 85,577,517

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Budget Neutrality Summary
Includes Experience through September 30, 2013

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,336,991	1,325,845	1,342,107
HHW Children	5,766,985	6,234,677	6,574,005	6,647,670	6,718,804	6,734,676
HHW Pregnant Women	334,455	352,518	351,958	337,614	337,124	333,421
HIP Caretakers	71,113	216,627	314,803	330,030	324,306	303,310
HIP Adults	112,673	316,527	226,367	168,748	170,934	141,589
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,562
ESP Adults Adm	1,638	4,239	5,423	7,227	9,364	9,717
Total Enrollment	7,552,619	8,441,434	8,825,485	8,821,053	8,877,013	8,855,104
{Total - Excludes ESP Adm}						

PMPM (Without Waiver)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 223.88
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 590.56
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 387.68
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Composite PMPM	\$ 216.92	\$ 221.92	\$ 234.43	\$ 245.42	\$ 255.56	\$ 267.45

Without Waiver Expenditures	\$ 1,638,278,734	\$ 1,873,334,296	\$ 2,068,995,252	\$ 2,164,847,923	\$ 2,268,630,815	\$ 2,368,317,396
Without Waiver DSH	\$ 324,689,006	\$ 335,196,184	\$ 331,554,676	\$ 322,745,265	\$ 326,908,602	\$ 326,908,602
Total Without Waiver Expenditures	\$ 1,962,967,740	\$ 2,208,530,481	\$ 2,400,549,928	\$ 2,487,593,188	\$ 2,595,539,417	\$ 2,695,225,998

PMPM (Actual)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 320.08	\$ 329.54	\$ 292.59	\$ 280.46	\$ 468.36	\$ 474.34
HHW Children	\$ 164.51	\$ 166.31	\$ 149.69	\$ 140.19	\$ 203.32	\$ 197.64
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.07	\$ 693.72	\$ 426.94
HIP Caretakers	\$ 411.36	\$ 346.05	\$ 398.93	\$ 363.09	\$ 354.54	\$ 423.78
HIP Adults	\$ 518.43	\$ 484.82	\$ 646.45	\$ 539.43	\$ 522.91	\$ 621.02
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
Composite PMPM	\$ 210.70	\$ 220.67	\$ 203.68	\$ 189.44	\$ 273.21	\$ 262.73

With Waiver Expenditures	\$ 1,591,338,119	\$ 1,862,786,862	\$ 1,797,556,350	\$ 1,671,078,431	\$ 2,425,282,993	\$ 2,326,502,267
With Waiver Adm	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,409	\$ 164,152
With Waiver DSH	\$ 241,160,313	\$ 241,160,313	\$ 241,160,313	\$ 241,160,313	\$ 241,160,313	\$ 326,908,602
Total With Waiver Expenditures	\$ 1,832,517,540	\$ 2,104,003,651	\$ 2,038,824,256	\$ 1,912,382,829	\$ 2,666,606,715	\$ 2,653,575,022

Waiver Margin	\$ 130,450,199	\$ 104,526,830	\$ 361,725,672	\$ 575,210,359	\$ (71,067,298)	\$ 41,650,976
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**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 1**

CY2008													
Enrollment - Raw Data	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,393	103,541	103,983	104,591	104,072	103,883	104,167	105,569	106,882	107,206	107,320	107,689	108,490
HHW Children	5,766,985	466,180	467,412	471,486	473,376	474,583	477,921	481,882	486,979	489,927	489,677	491,851	495,711
HHW Pregnant Women	334,455	28,122	27,968	27,924	27,768	27,680	27,618	27,946	27,822	27,555	27,671	27,842	28,539
HIP Caretakers	71,113	3	198	929	1,968	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,619	597,858	599,874	606,083	609,790	615,068	622,787	631,902	642,067	648,330	651,924	658,809	668,127

CY2008													
Enrollment - Completed	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,393	103,541	103,983	104,591	104,072	103,883	104,167	105,569	106,882	107,206	107,320	107,689	108,490
HHW Children	5,766,985	466,180	467,412	471,486	473,376	474,583	477,921	481,882	486,979	489,927	489,677	491,851	495,711
HHW Pregnant Women	334,455	28,122	27,968	27,924	27,768	27,680	27,618	27,946	27,822	27,555	27,671	27,842	28,539
HIP Caretakers	71,113	3	198	929	1,968	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,619	597,858	599,874	606,083	609,790	615,068	622,787	631,902	642,067	648,330	651,924	658,809	668,127

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 2**

CY2009													
Enrollment - Raw Data	<u>Year to Date</u>	<u>Jan-09</u>	<u>Feb-09</u>	<u>Mar-09</u>	<u>Apr-09</u>	<u>May-09</u>	<u>Jun-09</u>	<u>Jul-09</u>	<u>Aug-09</u>	<u>Sep-09</u>	<u>Oct-09</u>	<u>Nov-09</u>	<u>Dec-09</u>
HHW Caretakers	1,321,085	108,760	109,329	109,416	108,849	109,025	109,715	110,727	111,364	111,178	110,494	111,090	111,138
HHW Children	6,234,677	497,183	500,300	505,398	508,455	514,387	521,530	527,565	531,932	531,484	531,604	531,987	532,852
HHW Pregnant Women	352,518	28,903	29,348	29,227	28,966	29,436	29,686	29,949	29,952	29,491	29,549	29,057	28,954
HIP Caretakers	216,627	13,386	13,865	14,358	14,666	15,563	16,800	18,699	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,741	22,492
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,434	673,133	679,384	686,812	690,121	697,372	705,880	714,292	720,201	718,909	718,075	718,575	718,680

CY2009													
Enrollment - Completed	<u>Year to Date</u>	<u>Jan-09</u>	<u>Feb-09</u>	<u>Mar-09</u>	<u>Apr-09</u>	<u>May-09</u>	<u>Jun-09</u>	<u>Jul-09</u>	<u>Aug-09</u>	<u>Sep-09</u>	<u>Oct-09</u>	<u>Nov-09</u>	<u>Dec-09</u>
HHW Caretakers	1,321,085	108,760	109,329	109,416	108,849	109,025	109,715	110,727	111,364	111,178	110,494	111,090	111,138
HHW Children	6,234,677	497,183	500,300	505,398	508,455	514,387	521,530	527,565	531,932	531,484	531,604	531,987	532,852
HHW Pregnant Women	352,518	28,903	29,348	29,227	28,966	29,436	29,686	29,949	29,952	29,491	29,549	29,057	28,954
HIP Caretakers	216,627	13,386	13,865	14,358	14,666	15,563	16,800	18,699	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,741	22,492
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,434	673,133	679,384	686,812	690,121	697,372	705,880	714,292	720,201	718,909	718,075	718,575	718,680

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 3**

CY2010													
Enrollment - Raw Data	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,352	111,466	112,308	112,096	111,723	112,131	112,600	113,762	115,501	115,565	114,498	113,909	112,793
HHW Children	6,574,005	536,312	539,576	541,649	542,083	546,301	548,695	551,647	555,822	554,000	554,606	552,545	550,769
HHW Pregnant Women	351,958	29,003	29,258	29,766	29,382	29,789	29,767	29,575	29,698	29,261	29,167	28,655	28,637
HIP Caretakers	314,803	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,599	26,650	26,894
HIP Adults	226,367	21,463	21,106	20,635	20,031	19,654	19,055	18,735	18,301	17,591	17,179	16,497	16,120
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,485	721,758	726,412	729,276	729,113	734,865	737,318	741,284	746,833	743,108	742,049	738,256	735,213

CY2010													
Enrollment - Completed	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,352	111,466	112,308	112,096	111,723	112,131	112,600	113,762	115,501	115,565	114,498	113,909	112,793
HHW Children	6,574,005	536,312	539,576	541,649	542,083	546,301	548,695	551,647	555,822	554,000	554,606	552,545	550,769
HHW Pregnant Women	351,958	29,003	29,258	29,766	29,382	29,789	29,767	29,575	29,698	29,261	29,167	28,655	28,637
HIP Caretakers	314,803	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,599	26,650	26,894
HIP Adults	226,367	21,463	21,106	20,635	20,031	19,654	19,055	18,735	18,301	17,591	17,179	16,497	16,120
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,485	721,758	726,412	729,276	729,113	734,865	737,318	741,284	746,833	743,108	742,049	738,256	735,213

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 4**

CY2011													
Enrollment - Raw Data	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,336,991	112,834	113,122	112,668	111,332	111,275	110,998	110,767	111,316	110,740	111,171	110,821	109,947
HHW Children	6,647,670	551,146	552,349	553,223	551,117	553,401	553,439	554,032	557,205	555,167	556,933	556,023	553,635
HHW Pregnant Women	337,614	28,688	28,564	28,591	28,077	28,393	28,209	28,082	28,239	27,538	27,833	27,657	27,743
HIP Caretakers	330,030	27,273	27,385	27,534	27,762	27,980	27,819	27,568	27,279	27,377	27,444	27,328	27,281
HIP Adults	168,748	15,704	15,294	14,893	14,560	14,365	14,067	13,746	13,425	13,169	13,192	13,176	13,157
ESP Caretakers Adm	7,417	580	589	559	591	627	640	621	628	637	634	648	663
ESP Adults Adm	7,227	498	510	512	547	581	590	601	641	662	678	702	705
Total Enrollment	8,821,053	735,645	736,714	736,909	732,848	735,414	734,532	734,195	737,464	733,991	736,573	735,005	731,763

CY2011													
Enrollment - Completed	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,336,991	112,834	113,122	112,668	111,332	111,275	110,998	110,767	111,316	110,740	111,171	110,821	109,947
HHW Children	6,647,670	551,146	552,349	553,223	551,117	553,401	553,439	554,032	557,205	555,167	556,933	556,023	553,635
HHW Pregnant Women	337,614	28,688	28,564	28,591	28,077	28,393	28,209	28,082	28,239	27,538	27,833	27,657	27,743
HIP Caretakers	330,030	27,273	27,385	27,534	27,762	27,980	27,819	27,568	27,279	27,377	27,444	27,328	27,281
HIP Adults	168,748	15,704	15,294	14,893	14,560	14,365	14,067	13,746	13,425	13,169	13,192	13,176	13,157
ESP Caretakers Adm	7,417	580	589	559	591	627	640	621	628	637	634	648	663
ESP Adults Adm	7,227	498	510	512	547	581	590	601	641	662	678	702	705
Total Enrollment	8,821,053	735,645	736,714	736,909	732,848	735,414	734,532	734,195	737,464	733,991	736,573	735,005	731,763

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 5**

CY2012													
Enrollment - Raw Data	<u>Year to Date</u>	<u>Jan-12</u>	<u>Feb-12</u>	<u>Mar-12</u>	<u>Apr-12</u>	<u>May-12</u>	<u>Jun-12</u>	<u>Jul-12</u>	<u>Aug-12</u>	<u>Sep-12</u>	<u>Oct-12</u>	<u>Nov-12</u>	<u>Dec-12</u>
HHW Caretakers	1,325,808	110,332	110,766	110,041	110,144	110,242	109,798	110,511	110,692	111,005	111,317	110,429	110,531
HHW Children	6,716,216	555,863	557,240	556,421	558,289	559,890	559,450	564,363	564,219	562,900	562,825	558,024	556,732
HHW Pregnant Women	337,050	28,098	28,223	28,285	28,424	28,312	28,120	28,405	28,242	27,794	28,115	27,439	27,593
HIP Caretakers	324,264	27,345	27,376	27,729	27,322	27,364	27,279	27,119	26,815	26,827	26,868	26,155	26,065
HIP Adults	170,921	13,198	13,256	13,571	14,411	15,078	15,190	15,068	14,844	14,570	14,315	13,857	13,563
ESP Caretakers Adm	7,837	640	644	672	647	645	663	672	656	652	661	639	646
ESP Adults Adm	9,363	705	710	733	737	762	781	793	805	820	835	837	845
Total Enrollment	8,874,259	734,836	736,861	736,047	738,590	740,886	739,837	745,466	744,812	743,096	743,440	735,904	734,484

CY2012													
Enrollment - Completed	<u>Year to Date</u>	<u>Jan-12</u>	<u>Feb-12</u>	<u>Mar-12</u>	<u>Apr-12</u>	<u>May-12</u>	<u>Jun-12</u>	<u>Jul-12</u>	<u>Aug-12</u>	<u>Sep-12</u>	<u>Oct-12</u>	<u>Nov-12</u>	<u>Dec-12</u>
HHW Caretakers	1,325,845	110,332	110,766	110,041	110,144	110,244	109,800	110,513	110,695	111,009	111,323	110,437	110,541
HHW Children	6,718,804	555,863	557,240	556,421	558,289	560,022	559,628	564,588	564,497	563,240	563,230	558,497	557,289
HHW Pregnant Women	337,124	28,098	28,223	28,285	28,424	28,317	28,126	28,413	28,251	27,803	28,126	27,451	27,607
HIP Caretakers	324,306	27,345	27,376	27,729	27,322	27,367	27,282	27,123	26,819	26,832	26,874	26,162	26,074
HIP Adults	170,934	13,198	13,256	13,571	14,411	15,079	15,191	15,069	14,845	14,572	14,317	13,859	13,566
ESP Caretakers Adm	7,837	640	644	672	647	645	663	672	656	652	661	639	646
ESP Adults Adm	9,364	705	710	733	737	762	781	793	805	820	835	837	845
Total Enrollment	8,877,013	734,836	736,861	736,047	738,590	741,029	740,027	745,706	745,108	743,457	743,869	736,407	735,077

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 6**

CY2013													
Enrollment - Raw Data	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	989,840	110,950	111,119	111,342	111,175	111,372	110,258	109,662	107,713	106,249	-	-	-
HHW Children	4,981,136	557,778	557,276	557,905	559,258	559,931	556,001	552,586	545,664	534,737	-	-	-
HHW Pregnant Women	235,031	27,503	27,726	27,876	27,823	27,689	26,826	25,439	23,479	20,671	-	-	-
HIP Caretakers	227,086	25,907	25,447	25,425	25,334	25,274	25,235	25,165	24,860	24,440	-	-	-
HIP Adults	106,935	13,281	12,898	12,538	12,167	11,793	11,446	11,218	10,917	10,677	-	-	-
ESP Caretakers Adm	5,680	644	611	620	617	637	646	641	640	624	-	-	-
ESP Adults Adm	7,240	847	836	821	806	800	785	789	783	774	-	-	-
Total Enrollment	6,540,028	735,419	734,465	735,087	735,756	736,059	729,765	724,070	712,633	696,774	-	-	-

CY2013													
Enrollment - Completed	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	1,342,107	110,965	111,138	111,370	111,219	111,505	111,056	111,670	111,729	112,723	112,817	112,910	113,004
HHW Children	6,734,676	558,549	558,156	558,901	560,409	561,505	560,335	562,170	562,574	562,319	562,786	563,252	563,720
HHW Pregnant Women	333,421	27,522	27,749	27,906	27,869	27,848	27,786	28,012	28,075	27,664	27,664	27,664	27,664
HIP Caretakers	303,310	25,919	25,462	25,443	25,358	25,304	25,273	25,214	24,923	24,533	25,293	25,293	25,293
HIP Adults	141,589	13,286	12,904	12,546	12,177	11,804	11,460	11,236	10,937	10,705	11,512	11,512	11,512
ESP Caretakers Adm	7,562	644	611	620	617	637	647	642	642	625	625	625	625
ESP Adults Adm	9,717	847	836	821	806	801	786	790	785	776	823	823	823
Total Enrollment	8,855,104	736,241	735,409	736,167	737,031	737,967	735,910	738,302	738,238	737,944	740,071	740,631	741,192

HIP MONTHLY DASHBOARD



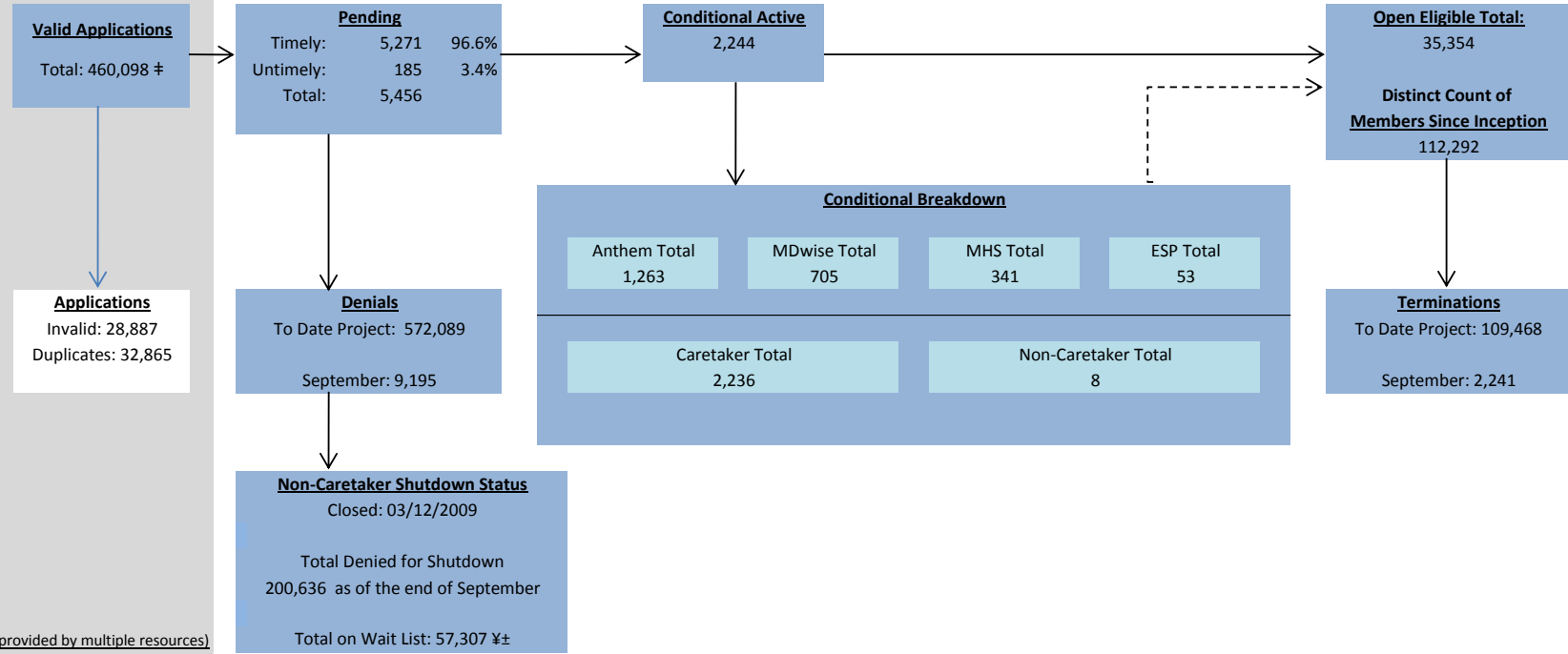
COB: Monday September 30, 2013

Year 6

Day 273

Day 2,100 of project

Submitted Applications



Benefit Period Details

Redeterminations

Current Month

September
No Data Available
ELIGIBLE
No Data Available
NOT ELIBLGE
No Data Available
IN PROCESS
No Data Available

Year to Date

Total: 0,000
IN PROCESS
No Data Available
NOT SUBMITTED
No Data Available
SUBMITTED
No Data Available

ELIGIBLES of SUBMITTED

No Data Available
NOT ELIGIBLES of SUBMITTED
No Data Available

(provided by multiple resources)

± Members receiving a letter (invitations to reapply) have been removed from waitlist count. Waitlist Opened: 5,000 waitlist applicants were issued an invitation to reapply to HIP 11/2009. 8,000 waitlist applicants were issued an invitation to reapply to HIP 8/2011.

± Total reflects ICES corrected wait list calculation

‡ December 2010 and January 2011 Valid's contained Invalid and Duplicated applications.

Denials	Enrollee Details (Data provided by HP)				Terminations
Top 5 - September 1 - Non-Caretaker Cap reached 2 - Did not verify income 3 - Failure to provide ins info 4 - No proof of Citizenship 5 - Employer offers health ins.	Total Enrolled Total: 34,521 Anthem: 20,719 60.0% Mdwise: 8,670 25.1% MHS: 3,774 10.9% ESP: 1,358 3.9%	Zero Contributions 18.5% of total fully eligibles September: 6,387 <=100% FPL: 6,317 101-125% FPL: 32 126-150% FPL: 17 >150% FPL: 21	Age <20: 14 0.0% 20-29: 3,750 10.9% 30-39: 10,427 30.2% 40-49: 10,543 30.5% 50-59: 7,454 21.6% 60+: 2,333 6.8%	Race Asian: 824 2.4% Black: 3,037 8.8% Hispanic: 1,094 3.2% Indian: 31 0.1% Other: 717 2.1% White: 28,818 83.5%	Top 5 - September 1 - Failure to make PAC payment 2 - Hip packet not returned 3 - Closed due to Appeals ruling 4 - Other current health Insurance 5 - Employer offers health ins.
Top 5 - Year to Date 2013 1 - Non-Caretaker Cap reached 2 - Did not verify income 3 - Failure to provide ins info 4 - No proof of Citizenship 5 - Employer offers health ins.	FPL Description <=100: 24,147 69.9% 101-125: 3,980 11.5% 126-150: 2,857 8.3% >150: 3,537 10.2%	Employer Contributions Anthem: \$424 MDwise: \$426 MHS: \$33 ESP: \$0	Sex M: 10,862 31.5% F: 23,659 68.5%	Caretaker Adult Yes: 24,079 69.8% No: 10,442 30.2%	Top 5 - Year to Date 2013 1 - Hip packet not returned 2 - Closed due to Appeals ruling 3 - Failure to make PAC payment 4 - Other current health Insurance 5 - Did not verify income
Enrollee Detail Data Will Differ From Open Eligible Data					

*PAC=POWER Account Contribution

For Questions / Concerns / Comments please contact : Carol Briley [Carol.Briley@fssa.IN.gov]

Dashboard Updated : 10/21/2013 by Noureen Fatima