

HEALTHY INDIANA PLAN DEMONSTRATION
PROJECT NUMBER: 11-W-00237/5

SECTION 1115 QUARTERLY REPORT
State of Indiana

REPORTING PERIOD:
Demonstration Year: 6 (1/1/13 – 12/31/13)
Federal Fiscal Quarter: 2/2013 (1/1/13 – 3/31/13)
Date submitted to CMS: 5/31/2013



HEALTHY INDIANA PLANSM
Health Coverage = Peace of Mind

Introduction:

The Healthy Indiana Plan (HIP) was designed for those that do not have access to health insurance and that are not eligible for other Indiana Medicaid programs. Through a December 14, 2007 letter from Kerry Weems, Acting CMS Administrator, the State of Indiana Family and Social Services Administration (FSSA) Secretary was informed HIP was approved as the Indiana Section 1115 Medicaid Demonstration Project (11-W-00237/5) for a five year period – January 1, 2008 through December 31, 2012 – in accordance with section 1115(a) of the Social Security Act.

The goal of this approved demonstration is to:

- Provide high deductible health insurance plan
- Provide Personal Wellness & Responsibility (POWER) Account (like a HSA)
- Provide health insurance to uninsured custodial parents (caretakers) of Medicaid & SCHIP children with family incomes 22% of federal poverty level (FPL) up to 200% of FPL
- Provide health insurance to non-custodial parents and uninsured childless adults (non-caretakers) with family income up to 200% of FPL.

This demonstration is the first of its kind in the United States and has a unique empowerment of enrollees (members) to be cost and value conscious while promoting personal responsibility, which includes:

- Specified monthly POWER account contribution ranging from 2% - 5% of gross income.
- Twelve month lock out from program for members that do not make payments within 60 days.
- Incentivizes yearly preventative services at no cost and are funded outside the POWER Account.
- Total remaining balance in the POWER account rolls over when preventative services are obtained.

Overview

The State of Indiana respectfully submits the 1st quarter 2013 Healthy Indiana Plan 1115 demonstration report.

If there are any questions or comments regarding these changes please do not hesitate to contact the State contacts listed below.

State Contacts

Primary Contact	Secondary Contact
Natalie Angel Deputy Director Administration Office of Medicaid Policy and Planning Family and Social Services Administration W374 IGC-S, MS 07 402 W Washington St. Indianapolis, IN 46204-2739 Telephone: 317- 234-4457 Facsimile: 317-232-7382 natalie.angel@fssa.in.gov	Ted Feeney, Director, Care Programs Office of Medicaid Policy and Planning Family and Social Services Administration W374 IGC-S, MS 07 402 W Washington St. Indianapolis, IN 46204-2739 Telephone: 317-232-4652 Facsimile: 317-232-7382 ted.feeney@fssa.in.gov

Hoosier Healthwise-Enrollment		
Source: OMPP Data Unit		
Item	Period	Total
Number of Hoosier Healthwise Enrollees-Anthem	Rolling	236,155
Number of Hoosier Healthwise Enrollees-MDwise	Rolling	297,720
Number of Hoosier Healthwise Enrollees-MHS	Rolling	214,593
Number of Hoosier Healthwise Enrollees-Total	Rolling	748,468

Table 1

Healthy Indiana Plan-Applicants		
Source: OMPP Data Unit		
Item	Period	Total
Number of HIP Applicants-Start of Quarter	Rolling	411,581
Number of HIP Applicants-During Quarter	Quarter	17,026
Number of HIP Applicants-Total	Rolling	428,607

Table 2

Healthy Indiana Plan-Number of Denials		
Source: OMPP Data Unit		
Item	Period	Total
Number of HIP denials Non-Caretaker Cap reached	Quarter	8,534
Number of HIP Denials-Failure to pay initial POWER Account contribution	Quarter	783
Number of HIP Denials-Insurance through employer	Quarter	868
Number of HIP Denials-Other	Quarter	3,198
Number of HIP Denials-Total	Quarter	13,383

Table 3

Healthy Indiana Plan-Number of Denials						
Source: OMPP Data Unit						
FPL Range	Number of Applicants	Number Who Failed to Make an Initial POWER Account Contribution	Percentage	Number of Enrollees	Number Who Were Disenrolled for Failure to Make a Subsequent POWER Account Contribution	Percentage
0 to 22% FPL	4,884	28	0.573%	11,759	59	0.502%
23-50% FPL	2,804	111	3.959%	5,579	161	2.886%
51 to 100% FPL	6,678	274	4.103%	14,162	426	3.008%
101 to 150% FPL	4,024	285	7.083%	9,145	240	2.624%
151+ FPL	1,787	101	5.652%	4,433	86	1.940%

¹ FPL gathered from ICES data

Table 3.1

Healthy Indiana Plan-Enrollment			
Source: OMPP Data Unit			
	Item	Period	Total
A	Number of HIP Enrolled-Start of quarter	Rolling	39,793
B	Number of HIP Enrolled-During the quarter	Quarter	2,928
C	Number of HIP Disenrolled-Due to non-payment of POWER Account	Quarter	951
D	Number of HIP Disenrolled-HIP redetermination packet not submitted	Quarter	1,056
E	Number of HIP Disenrolled-Member became pregnant	Quarter	204
F	Number of HIP Disenrolled-Move to Medicaid category	Quarter	196
G	Number of HIP Disenrolled-Insurance through employer	Quarter	186
H	Number of HIP Disenrolled-Other reasons	Quarter	1,994
I	Number of HIP Enrolled-End of the quarter ((I)=(A)+(B)-(C)-(D)-(E)-(G)-(H))	Quarter	38,134

Table 4

Healthy Indiana Plan-Number of Denials							
Source: OMPP Data Unit							
Reason Code	Low Income 0-22%	Low Income 23-50%	51%- 100%	101%- 150%	151%+	Unknown FPL ¹	Total
HIP Disenrolled-Due to non-payment of POWER Account	53	152	410	244	92	-	951
HIP Disenrolled-HIP redetermination packet not submitted	452	117	272	139	70	6	1,056
HIP Disenrolled-Member became pregnant	35	27	68	48	26	-	204
HIP Disenrolled-Move to Medicaid category	48	22	88	24	14	-	196
HIP Disenrolled-Insurance through employer	54	21	65	34	11	1	186
HIP Disenrolled-Other reasons	465	202	551	453	312	11	1,994

¹ FPL gathered from ICES data

Table 4.1

Healthy Indiana Plan-FPL								
Source: OMPP Data Unit								
Aid Category	Plan	Low Income 0-22%	Low Income 23%-50%	51%- 100%	101%- 150%	151%- 200%	Unknown FPL ¹	Total
Caretaker	Anthem	2,194	2,119	5,987	4,349	2,394	-	17,043
	ESP	122	86	252	149	97	-	706
	MHS	563	429	1,074	681	326	-	3,073
	MDwise	1,258	963	2,581	1,607	776	-	7,185
Subtotal		4,137	3,597	9,894	6,786	3,593	-	28,007
Non Caretaker	Anthem	2,730	596	2,155	1,863	1,270	-	8,614
	ESP	278	64	256	195	102	-	895
	MHS	387	68	213	195	113	-	976
	MDwise	1,195	200	843	547	316	-	3,101
Subtotal		4,590	928	3,467	2,800	1,801	-	13,586
Grand Total		8,727	4,525	13,361	9,586	5,394	-	41,593

¹ FPL gathered from ICES data

Table 5

Number of HIP Enrolled-Reached \$200,000/Annual or \$900,000/Lifetime in Benefits					
Source: Healthy Indiana Plan Health Plans					
Item	Period	Anthem	MDwise	MHS	Total
Referred to Medicaid	Quarter	0	0	0	0
Referred to M.E.D. Works	Quarter	0	0	0	0
Other	Quarter	0	0	0	0
Total	Quarter	0	0	0	0

Table 6

Number of HIP Enrolled-Reached \$300,000/Annual or \$1,00,000/Lifetime in Benefits					
Source: Healthy Indiana Plan Health Plans					
Item	Period	Anthem	MDwise	MHS	Total
Referred to Medicaid	Quarter	0	0	0	0
Referred to M.E.D Works	Quarter	0	0	0	0
Other	Quarter	0	0	0	0
Total	Quarter	0	0	0	0

Table 7

Number of HIP Enrolled-ESP				
Source: OMPP Data Unit				
Item	Period	Month 1	Month 2	Month 3
Number of HIP Enrolled-ESP	Quarter	1,438	1,489	1,429

Table 8

Budget Neutrality – Member Months				
Source: OMPP Finance and State Actuary				
Medicaid Eligibility Group (MEG)	Period	Month 1	Month 2	Month 3
HHW Caretakers	Quarter	110,863	110,956	111,191
HHW Children	Quarter	558,736	557,847	558,158
HHW Pregnant Women	Quarter	27,358	27,353	27,206
HIP Caretakers	Quarter	25,906	25,448	25,405
HIP Non-Caretakers	Quarter	13,289	12,906	12,548

Table 9

Healthy Indiana Plan-Enrollee/Applicant Inquiries					
Source: OMPP Agency Coordination & Integration					
Item	Period	Month 1	Month 2	Month 3	Total
Buy-In	Quarter	7	5	1	13
General Questions	Quarter	4	2	6	12
Waiting List	Quarter	0	0	0	0
Anthem	Quarter	2	2	0	4
ESP	Quarter	0	1	0	1
MDwise	Quarter	2	0	2	4
MHS	Quarter	0	1	0	1
Total	Quarter	15	11	9	35

Table 10

Healthy Indiana Plan- Enrollee/Applicant Hearings and Appeals		
Source: FSSA Hearings and Appeals		
Item	Period	Total
Did Not Complete Verifications Request from State	Quarter	546
Other Insurance	Quarter	222
Financial Eligibility	Quarter	57
Other	Quarter	4
Total	Quarter	829

Table 11

Enrollee/Applicant Issues
Source: OMPP Care Programs
<p>Provide a summary of the types of complaints or successes consumers identified about the program in the current quarter. Include any trends discovered, the resolution of complaints, and any actions taken or to be taken to prevent other occurrences.</p> <p>Indiana Medicaid monitors all types of inquires and the responses to these inquiries using the State’s Internet Quorum (IQ) system. This system enables the State to track any trending issues, develop uniform responses to frequently asked questions, and educate vendors in the areas where they should improve their member and provider services, outreach and marketing efforts. In addition, members/applicants may utilize the HIP plans’ grievance and appeal system and FSSA hearings and appeals system. Although the State usually only hears about complaints, members will periodically express compliments or success stories about receiving health care for the first time or describe how they appreciate HIP to our enrollment broker, Maximus, and to the MCEs themselves.</p> <p>The number of inquiries received during this reported quarter was consistent with previous quarters.</p>

Table 12

Outreach/Innovative Activities

Source: Healthy Indiana Plan Health Plans

Provide a summary of the outreach activities and/or promising practices for the current quarter.

MDWise

MDwise has integrated the promotion and education of the Healthy Indiana Plan since the plan originally started in 2007. MDwise achieves successful promotion of its Healthy Indiana Plan by conducting outreach, education, and marketing, to its Healthy Indiana Plan members and providers. MDwise strives to educate its members on the importance of receiving preventive care, knowing their medical home, and how to reach MDwise for additional resources.

MDwise provides its providers with all the resources and information needed to deliver low cost, high quality care to our members. Below are some initiatives MDwise established and completed to provide quality health care to its Healthy Indiana Plan members in Q1 2013.

One of the strengths of the MDwise outreach team is their opportunity to interact directly with MDwise members and community members in communities throughout the state. While, MDwise outreach incorporates HIP education into all their outreach efforts, there are events and presentations when the outreach team has an opportunity to interact with MDwise HIP members across the state of Indiana.

In Q1 2013, MDwise outreach and marketing organized and participated in the following activities and events where HIP information was discussed, explained and distributed in communities of all sizes throughout Indiana.

Enrollment Awareness

- MDwise Outreach distributed 231 HIP applications at community events and presentations.
- Provided direct assistance to community members on how to enroll for HIP on-line.
- Worked with Covering Kids and Families, enrollment partners and local DFR offices to promote and conduct enrollment for the HIP health plan.
- Over 40 HIP applications were completed at events by DFR and community enrollment centers.
- Covering Kids & Families completed 192 HIP applications.
- Partnered with enrollment partners on 14 enrollment events for the Hoosier Healthwise & HIP Programs.

HIP Outreach Education and Awareness

- Educated parents and caregivers about the HIP Program at over 50 school outreach events, including parent nights, back to school nights, Family Fun Nights, after-school programs, presentations to staff, registration days, parent teacher conferences, and Title One Parent meetings.
- Held 77 MDwise Q&A Chats in Q1 2013. The Chats target members and community members seeking services at local DFR offices, Health Departments, FQHC's and other agencies and programs.
- Organized and participated in 1 community baby shower. Distributed Information on how to apply for HIP after pregnancy.
- Outreach team promoted the HIP program to caregivers at the Q1 ENC Days.
- The outreach team partnered with enrollment partners at over 27 events and community presentations to educate and provide enrollment assistance on the HIP Program.
- MDwise outreach continues to work with Madison County Covering Kids & Families on a daycare initiative – providing HIP information to parents.
- MDwise outreach and ECI CKF assisted parents at two "Healthcare and the Web" at the Gaston Computer Lab. Outreach provided on-line education on state healthcare plans and the toll free 800 number for HIP.
- Provided one-on-one member education on the myMDwise Portal to 728 MDwise members and how to redeem Rewards points for completing preventive care at all events and presentations.
- Worked with School Based Health Centers (SBHC) to promote HIP to uninsured parents.
- HRS screenings completed for new HIP members.
- Promoted Hoosier Healthwise and HIP as a solution for health insurance for the entire family.
- Promoted that HIP members should see their doctor within the first 90 days of becoming a MDwise HIP member.

- HIP promotion and education published in MDwise member newsletter.
- Expanded outreach initiatives to local food pantries and public libraries to educate communities about where to apply for HIP and how to stay enrolled.

Education Materials and Special Programs

- Distributed over 1,000 MDwise brochure containing information about HIP and how to apply at Battle of the Fit events in Terre Haute, Indiana.
- Distributed education pieces on “How to Stay Enrolled on Hoosier Healthwise & HIP”, “How to Stay on MDwise”, and “Where to Enroll for Hoosier Healthwise & HIP” at 181 community events and presentations.
- Distributed the “Got Insurance Brochure” to increase education on where to apply for HIP (Marion County).
- Educated members on the “Use Emergency Room Wisely” brochure on ER utilization.
- Updated and promoted Smoke Free materials to MDwise HIP members.
- Promotion of INControl Disease Management Program for HIP members.
- Distribution of HIP Health Plan materials created by FSSA.

Presentations

- Provided education on HIP at nine IMPACT community presentations. Educated participants that there is continuous coverage available from Package A or B to HIP (no six month wait).
- Presentations on the HIP program were given to seven Human Resource Departments where insurance was not offered to employees.
- Presented to 12 support groups for pregnant women – including Women Care Centers and other programs Outreach educated women on how to apply for HIP after pregnancy without interruption of coverage to HIP

Redetermination

- Redetermination calls and mailings made to HIP members who are in their redetermination period.
- MDwise customer service department used dialer resources to further assist with reaching members and making this process more efficient.

Providers

- Provided provider workshops for all HIP doctors.
- Provided member list to HIP providers that have HIP members that have not yet received preventive care exam.
- Distributed flyer that provided details about performance dollars MDwise will pay to the providers who performed well on Adult Preventative Care measure.
- Provided individual education to providers during visits or presentations.
- MDwise was involved in multiple organizations and associations focused on providers.
- Worked on planning two MDwise Community Advisory Councils in Q1.

HIP Power Account

- Mailed HIP new member letters with ID Cards. Letter explained the importance of receiving preventive care. The letter also explained how receiving preventive care qualifies the member for the rollover of any remaining funds in their POWER Account.
- Mailed monthly HIP POWER Account Invoice to all members reminding them of their monthly contribution.
- Mailed monthly HIP POWER Account Statement to members. Letter describes health care services used in the current year. Refers members to view member handbook to view preventative service targets.
- Mailed HIP and Hoosier Healthwise member handbook to members when they became members.

MDwise Rewards

- Promoted MDwiseREWARDS program, which is an incentive program for MDwise Hoosier Healthwise and Healthy Indiana Plans. The program uses incentives to encourage members to seek their annual check-ups and health screenings. Members earn points for healthy activities and visiting the doctor. The points can be redeemed for gift cards.
- Included MDwiseREWARDS information into main MDwise all program brochure, member handbook, MDwise website, and other member materials.
- Added a Rewards promotion blurb to the envelope of the welcome letter for both HHW and HIP for Q1 2013.
- MDwiseREWARDS information sheet on what activities earn points and how many points are earned for the activity completed available on MDwise.org or outreach can print for events and customer service can mail by request when members call in.
- Distributed a business card size promotion card for MDwiseREWARDS at outreach events and through provider's offices.
- As a result of Q1 MDwiseREWARDS efforts, 2,184 MDwise members redeemed their Rewards points for a gift card in Q1 2013 compared to 304 members on Q1 2012. There is no Q1 2011 data to compare to since the program was launched on 4/1/11. Of the total redemptions for Q1, 276 were HIP member redemptions, which is 2.9% of the total MDwise HIP membership. The redemptions in Q1 represent a significant increased awareness and interest in the program. Members are now able to redeem more points for higher card values as evidenced by the top choice which was a \$30 Walmart card chosen by 38% of the total members that redeemed in both Hoosier Healthwise and HIP in Q1 2013 and 13% who opted for a \$50 Walmart card. 26% chose \$10 Subway and 11% chose a \$10 AMC movie card.

Anthem

Examples of community organizations that Anthem made HIP presentations to during Q1: Kiwanis Club Northwest; Planned Parenthood; Impact Program of Morgan County; Immanuel Family Health Center; St Mark's Episcopal Church; First Samuel Baptist Church, Central Christen Church; Faith Covenant Church.

Examples of events Anthem outreach participated in and helped to manage: Anthem Health Days at various clinics, Ivy Tech Community College Health Fair, and New Hope Baptist Church Health Fair. Outreach activities included educating community organizations and employers about the Healthy Indiana Plan and the fact that employers can make part of the member's contribution.

A Community Advisory Council meeting was also held in Evansville with key community organizations on March 9, 2013.

Managed Health Services (MHS)

MHS participated in and sponsored many events and activities in the 1st Quarter of 2013 aimed at educating HIP members about the HIP program, including how important it is to get preventive care, how the POWER Accounts operate, and providing those members and the public with general information about how to contact the State to apply for coverage and about how to get and stay health. MHS also regularly participates in and sponsors events to support charitable non-profit groups dedicated to helping people in the communities we serve apply for coverage and be healthy. Examples are:

On 1/28/2013, MHS staff attended the Health and Safety Fun Fair Sponsor Recognition Event in Monroe County, Indiana. MHS attended in order to help educate the community on broader health issues and provide basic program information including how to contact the State to apply for coverage. MHS had a booth on site where plan staff gave out educational health information, branded giveaways, and basic program information.

MHS sponsored a table and invited plan staff to support the Susan G. Komen for the Cure Foundation's 10th Annual Pink Tie Ball on 2/23/2013, in Marion County, Indiana. MHS supports this national and community organization's efforts to educate the public, improve screening and treatment through this sponsorship.

Also on 2/23/2013, MHS attended the Great Expectations Program at the Johnson County Women's Care Group. MHS attended in order to educate the community on broader health issues and provide basic program information. MHS had a booth on site where staff provided attendees with educational health information specific to women, some giveaways and provided basic program information such as how to contact the State for enrollment and eligibility information.

On 2/27/2013, MHS organized and conducted a Healthy Celebration Event at IHC Kokomo in Howard County, Indiana. The purpose of this event was to get MHS Members assigned to IHC Kokomo to come in to see their PMP for missing check-ups and screenings while MHS and the practitioners provided health and benefit education. In advance of the event, MHS identified its members assigned to IHC Kokomo who were due for a recommended check-up and/or needed health screenings. MHS staff then contacted the members to invite them to the event. After their check-ups, Members were invited to stay for a 'celebration,' including health-related games, coloring activities for their children and to enjoy healthy snacks for everyone.

MHS sponsored a table at the 3/9/2013 Venezuelan Gala Party in Marion County. MHS supported this community organization, the Venezuelan Association of Indiana, by providing community education about health coverage for which community members may be eligible, as well as through sponsorship of this fundraiser.

On 3/12/2013, MHS organized and conducted a Healthy Celebration Event at IHC Marion in Grant County, Indiana. The purpose of this event was to get MHS Members assigned to IHC Kokomo to come in to see their PMP for missing check-ups and screenings while MHS and the practitioners provided health and benefit education. In advance of the event, MHS identified its members assigned to IHC Kokomo who were due for a recommended check-up and/or needed health screenings. MHS staff then contacted the members to invite them to the event. After their check-ups, Members were invited to stay for a 'celebration,' including health-related games, coloring activities for their children and to enjoy healthy snacks for everyone.

MHS sent management and other staff on 3/14/2013 to the Ivy Tech Corporate College Healthcare Summit, in Marion County. This event was aimed at providing attendees information about the future of healthcare, particularly as affected by the ongoing implementation of the ACA. This was also a great opportunity to provide feedback to this important community educational resource on how they can better serve employers and the communities as this future unfolds. MHS attended in order to support this community organization through sponsorship and to provide MHS sent staff at the conference with continued educational opportunities.

On 3/16/2013, MHS sponsored the Healthy Lifestyle Event: KEEP Screening, in partnership with the National Kidney Foundation in Marion County, Indiana. The purpose of this event was to educate the general population on health, and for MHS, to raise awareness of coverage programs and how to contact the State for more information. This was a free educational event targeted to faith-based organizations. Free fitness, diet and cooking demonstrations accompanied free health screenings. MHS had a booth on site where MHS staff provided attendees with health-related information and giveaways.

On 3/18/2013, MHS organized and conducted a Healthy Celebration Event at Madison County Community Health Center in Madison County, Indiana. The purpose of this event was to get MHS Members assigned to IHC Kokomo to come in to see their PMP for missing check-ups and screenings while MHS and the practitioners provided health and benefit education. In advance of the event, MHS identified its members assigned to IHC Kokomo who were due for a recommended check-up and/or needed health screenings. MHS staff then contacted the members to invite them to the event. After their check-ups, Members were invited to stay for a 'celebration,' including health-related games, coloring activities for their children and to enjoy healthy snacks for everyone.

On 3/20/2013, MHS attended the HealthLine Health Center Diabetic Clinic Day in Valparaiso, Porter County, Indiana. The purpose of this FQHC event was to educate the community on broader health issues and provide basic program information. MHS had a booth on site where MHS staff provided attendees with educational health information specific to diabetes and giveaways as well as supplying basic program information.

On 3/21/2013, MHS attended the Bailly Prep Academy Community Health Fair in Lake County, Indiana. The purpose of this event was to educate the community on broader health issues and provide basic program information. MHS had a booth on site where MHS staff provided attendees with educational health information and giveaways as well as supplying basic program information.

On 3/22/2013, MHS attended the Head Start Annual Health Fair in Howard County, Indiana. The purpose of this event was to educate the community on broader health issues and provide basic program information. MHS had a booth on site where staff supplied attendees with educational health information, giveaways, and basic program information.

On 3/26/2013, MHS attended the Ivy Tech Student Health Fair in Marion County, Indiana. The purpose of this event was

to educate the community on broader health issues and provide basic program information. MHS had a booth on site where staff supplied attendees with educational health information specific to adults, giveaways, and with basic program information.

On 3/28/2013, MHS organized and conducted a Healthy Celebration Event at IHC South Bend in St. Joseph County, Indiana. The purpose of this event was to get MHS Members assigned to IHC Kokomo to come in to see their PMP for missing check-ups and screenings while MHS and the practitioners provided health and benefit education. In advance of the event, MHS identified its members assigned to IHC Kokomo who were due for a recommended check-up and/or needed health screenings. MHS staff then contacted the members to invite them to the event. After their check-ups, Members were invited to stay for a 'celebration,' including health-related games, coloring activities for their children and to enjoy healthy snacks for everyone.

On 3/28/2013, MHS again attended the Ivy Tech Student Health Fair in Marion County, Indiana. The purpose of this event was to educate the community on broader health issues and provide basic program information. MHS had a booth on site where staff supplied attendees with educational health information specific to adults, giveaways, and with basic program information.

On 3/30/2013, MHS sponsored the Healthy Lifestyle Event, Allen County, Indiana. The purpose of this event was to educate the general population on health, and for MHS, to raise awareness of coverage programs and how to contact the State for more information. This was a free educational event targeted to faith-based organizations. Free fitness, diet and cooking demonstrations accompanied free health screenings. MHS had a booth on site where MHS staff provided attendees with health-related information and giveaways.

Table 13

Operational Activities
Source: OMPP Care Programs
Identify all significant program developments/issues/problems that have occurred in the current quarter, including, but not limited to, approval and contracting with new plans, benefit changes, and legislative activity.
We are in a holding pattern while waiting for CMS' decision for the future of the HIP waiver.
Our bi-partisan legislature has identified the HIP program as the vehicle for any Medicaid expansion to occur in our State.

Table 14

Financial Activities
Source: OMPP Finance & State Actuary
Identify all significant developments/issues/problems with financial accounting, budget neutrality, and CMS-64 reporting for the current quarter. Identify the State's actions to address these issues.
The State is continuing its review of internal controls related to budget neutrality and CMS64 reporting. The State is working to re-tool our reporting logic to assure compliance with the Special Terms and Conditions set forth in the 1115 demonstration waiver.
Below, please find our process to achieve control objectives of accurate, timely and complete reporting in compliance with the Special Terms and Conditions:
<ul style="list-style-type: none"> • Budget neutrality submissions will be developed utilizing CMS64 data. • Budget neutrality and CMS64 reporting will be reconciled quarterly prior to submitting reports to CMS. • Federal Funding Director/OMPP Controller will be the final approver on all budget neutrality submissions.
Reconciliation documentation will be maintained to support quarterly submissions.

Table 15

Monitoring Activities

Source: OMPP Care Programs

Identify any quality assurance/monitoring activity in current quarter.

The first quarter of 2013 Onsite Monitoring Visits agendas of the Care Programs Quality Team reviewed and discussed with the MCEs the following topics: prior authorization related to infant nutritional supplements, PMP assignments, case management of Diabetes, and behavioral health case management. A detailed description of each topic is listed below.

Prior Authorization: a) coordination of member services with WIC clinics, and b) the number of prior authorization requests received, approved, and denied and reasons for denial for infant nutritional supplements.

PMP Assignments: a) review of the MCE’s PMP policies and procedures, b) the number of newly assigned members in CY 2012, and of those members how many were assigned a PMP within 30 days, 60 days, and 90 days, c) situations when a member would not be assigned within 30 or 60 days, and d) the number of members assigned to an open network status.

Diabetes Case Management: a) discussion of successful diabetic case studies and a pre-diabetic patient, and b) review of successful education strategies to prevent or manage diabetes, educational events, provider and member publications and any untraditional outreach methods.

Follow-up Outpatient Visits for Behavioral Health: a) review of case studies regarding members with behavioral health needs; b) review of case study with successful management and facilitated outpatient appointment following an inpatient psychiatric hospitalization, c) discussion of successful educational strategies in working with individuals with behavioral health needs, educational events, provider and member publications, and untraditional outreach methods.

Table 16

Demonstration Evaluation

Source: OMPP Quality

Discuss progress of evaluation design and planning.

The Healthy Indiana Plan (HIP) promotes prevention, wellness, and personal responsibility while providing health care protection to those who can least afford health insurance. HIP functions similar to a Consumer-Driven Health Plan. It allows members to take a personal investment in their healthcare by contributing to their POWER Accounts (similar to a Health Savings Account) and encouraging members to obtain preventive services. Members who obtain at least one preventive service during the year are able to “roll-over” the remaining funds in their POWER Account to the following year, which reduces the required contribution amount for the new year.

HIP represents a groundbreaking attempt to expand coverage while encouraging individuals to take a more proactive role in managing their health and the cost of their healthcare.

The third and fourth quarter data for preventive services, chronic care and prior authorizations was evaluated to determine if the revisions clarified the reporting period requirements and data element descriptions to improve the quality and reliability of the data. Results of the evaluation indicated improvement; however, Care Programs will continue to monitor the data to ensure reliable reporting processes are established by all MCEs.

Table 17

POWER Account Rollover		
Source: OMPP Care Programs		
Discuss POWER account rollover.		
UPDATE FORTHCOMING		
	Total Number	Percent
Members Enrolled April-June 2010 & Eligible for Rollover		
Members that Completed Preventative Services		
Members w/POWER Account Balance		
Members w/no POWER Account Balance		
Members w/Balance and Completion of Positive Preventative		
Members w/Balance and Negative Preventative		
Average Member Remaining Balance		
Average State Remaining Balance		
Average Member Remaining Balance for Members w/Negative Preventative		
Average State Remaining Balance for Members w/Negative Preventative		

Table 18

ER Copayments					
Source: OMPP Care Programs					
Discuss ER copayments.					
ER Copayments January-March 2013					
HIP Population	Co-Payment Amount	Number of Anthem Members	Number of MDwise Members	Number of MHS Members	Total HIP Members
Caretakers with true emergency	\$0 per visit*	1,960	2,189	2,453	6,602
Caretakers With Incomes Above AFDC Income Limit through 100% FPL	\$3 per visit	651	2,906	85	3,642
Caretakers Above 100 % through 150% FPL	\$6 per visit	166	519	20	705
Caretakers Above 150 % through 200% FPL	Lower of 20% of services cost provided during visit, or \$25	64	304	3	371
Non-Caretakers	\$25 per visit	1,248	1,825	28	3,101
Total		4,089	7,743	2,589	14,421

* Indicates zero out-of-pocket co-payments after the visit was adjudicated as true emergency.

Table 19

ER Utilization

Source: OMPP Care Programs

Discuss initiatives to decrease ER utilization.

The managed care entities proactively use multiple opportunities to educate HIP members about appropriate ER utilization through care/case/disease management, Nurse On call, Health Risk Assessments, member materials, member inquiries and outbound calls.

An onsite monitoring visit with the MCEs included a discussion on the Nurse On-call Lines and ER utilization. MCE representatives capitalize upon contacts with members to provide education on alternatives to ER usage, medically appropriate utilization and PMP care.

ER utilization has increased over the previous quarter of activity. OMPP will continue to monitor the ER utilization data for appropriate usage.

Table 20

Supporting Documentation

HIP Dashboard

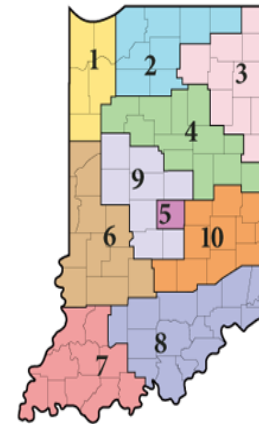
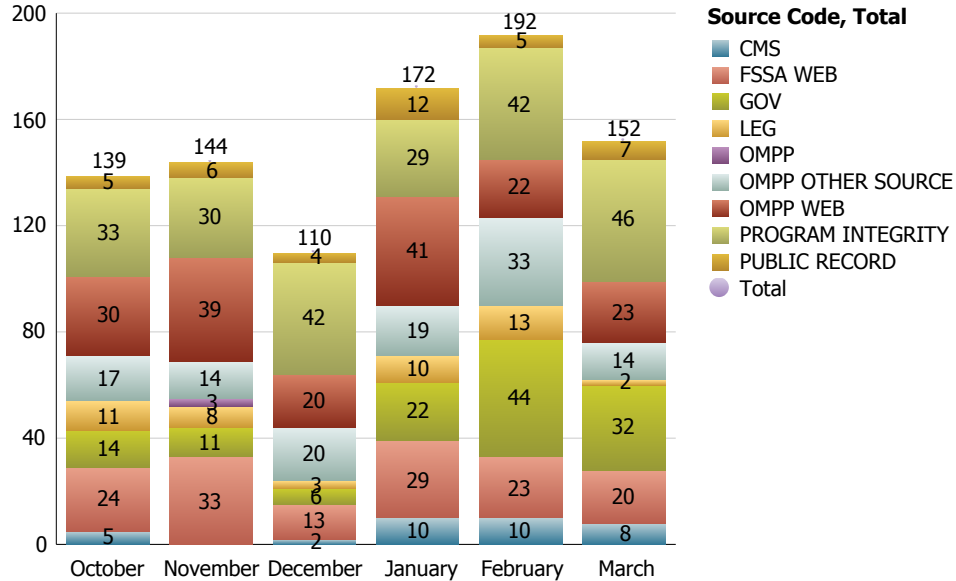
HIP Financial Monitoring Report

OMPP Finance & State Actuary

OMPP Dashboard - March, 2013

Run Date: 04/01/2013

Total OMPP IQs by Source Codes, Month



Inquiries by Topic Codes, Source Codes	Total
OMPP Transportation Provider	16
OMPP Affordable Care Act (ACA)	11
OMPP Dental	10
OMPP Other Issue	8
OMPP Claims Non-Payment	8

Unique Inquiries for March : 152

Regions	CMS	FSSA WEB	GOV	LEG	OMPP OTHER SOURCE	OMPP WEB	PROGRAM INTEGRITY	PUBLIC RECORD	Total	% of Total
Region 1	1		3			1	1		6	4%
Region 2	1	2	3				3		9	6%
Region 3	3	2	2				3		10	7%
Region 4		1					3		4	3%
Region 5		4	2			4	3	6	19	12%
Region 6			1			1			2	1%
Region 7	3		1		1				5	3%
Region 8		2	1			1	2		6	4%
Region 9		6	3	1		2	2		14	9%
Region 10			3				1		4	3%
Out of State		3	2			1	1		7	5%
Unknown			11	1	13	13	27	1	66	43%
Total	8	20	32	2	14	23	46	7	152	100%

Note: 57% of IQs have reported county of origin this month.

OMPP Dashboard - March, 2013

Inquiries by Topic Codes, Source Codes	CMS	FSSA WEB	GOV	LEG	OMPP OTHER SOURCE	OMPP WEB	PROGRAM INTEGRITY	PUBLIC RECORD	Total
OMPP Affordable Care Act (ACA)			11						11
OMPP Benefits and Coverage				1	1	3			5
OMPP Billing		3	1				2		6
OMPP Case Eligibility	5								5
OMPP Claims Non-Payment		1	2		1		4		8
OMPP CSI Vision			2		1				3
OMPP Data Request		2			1			4	7
OMPP Dental							10		10
OMPP Durable Medical Equipment							1		1
OMPP Hoosier Healthwise							4		4
OMPP HH Anthem MCE Change						1			1
OMPP HH Anthem		1	1		2	2	1		7
OMPP HH MDWise PMP Change			1			1			2
OMPP HH MDWise						2			2
OMPP HH MHS		1							1
OMPP HH MHS PMP Change						1			1
OMPP HIP		2	3		1				6
OMPP HIP Buy-In		1							1
OMPP HIP MDWise			2						2
OMPP Incontinence Supplies					1		1		2
OMPP Medicare	1								1
OMPP MRT Case Inquiry							1		1
OMPP MRT Provider Assistance							1		1
OMPP Health Care Options		4				2			6
OMPP Other Issue		2	1		2			3	8
Medicaid Eligibility Policy	1								1
Prior Authorization (Non-MCO)			3		1	1			5
OMPP Pharmacy			3	1					4
OMPP Policy Consideration					1				1
OMPP Prov Complaint							6		6
OMPP Provider Enrollment		3				1			4
OMPP Right Choices Program			1				1		2

OMPP Dashboard - March, 2013

<i>Inquiries by Topic Codes,Source Codes</i>	CMS	FSSA WEB	GOV	LEG	OMPP OTHER SOURCE	OMPP WEB	PROGRAM INTEGRITY	PUBLIC RECORD	Total
OMPP Spenddown						1	1		2
OMPP Third Party Liability							1		1
OMPP Traditional Medicaid					1	2	2		5
OMPP Transportation Provider			1			5	10		16
OMPP HCBS Waiver	1				1	1			3
Total:	8	20	32	2	14	23	46	7	152

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 1**

CY2008													
Enrollment - Raw Data	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,469	103,550	103,991	104,599	104,079	103,889	104,173	105,575	106,888	107,209	107,324	107,695	108,497
HHW Children	5,767,093	466,186	467,418	471,489	473,390	474,599	477,940	481,886	486,985	489,942	489,683	491,855	495,720
HHW Pregnant Women	334,434	28,120	27,966	27,923	27,766	27,678	27,616	27,946	27,822	27,555	27,669	27,838	28,535
HIP Caretakers	71,114	3	198	929	1,969	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,783	597,871	599,886	606,093	609,810	615,088	622,810	631,912	642,079	648,348	651,932	658,815	668,139

CY2008													
Enrollment - Completed	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,469	103,550	103,991	104,599	104,079	103,889	104,173	105,575	106,888	107,209	107,324	107,695	108,497
HHW Children	5,767,093	466,186	467,418	471,489	473,390	474,599	477,940	481,886	486,985	489,942	489,683	491,855	495,720
HHW Pregnant Women	334,434	28,120	27,966	27,923	27,766	27,678	27,616	27,946	27,822	27,555	27,669	27,838	28,535
HIP Caretakers	71,114	3	198	929	1,969	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,783	597,871	599,886	606,093	609,810	615,088	622,810	631,912	642,079	648,348	651,932	658,815	668,139

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 2**

CY2009													
Enrollment - Raw Data	Year to Date	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-09
HHW Caretakers	1,321,176	108,768	109,338	109,422	108,858	109,033	109,719	110,730	111,369	111,185	110,503	111,100	111,151
HHW Children	6,235,004	497,202	500,335	505,432	508,477	514,408	521,571	527,596	531,965	531,521	531,626	532,007	532,864
HHW Pregnant Women	352,489	28,899	29,344	29,224	28,963	29,434	29,684	29,948	29,951	29,490	29,547	29,054	28,951
HIP Caretakers	216,630	13,386	13,865	14,358	14,666	15,564	16,801	18,700	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,742	22,491
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,826	673,156	679,424	686,849	690,149	697,400	705,924	714,326	720,238	718,952	718,104	718,603	718,701

CY2009													
Enrollment - Completed	Year to Date	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-09
HHW Caretakers	1,321,176	108,768	109,338	109,422	108,858	109,033	109,719	110,730	111,369	111,185	110,503	111,100	111,151
HHW Children	6,235,004	497,202	500,335	505,432	508,477	514,408	521,571	527,596	531,965	531,521	531,626	532,007	532,864
HHW Pregnant Women	352,489	28,899	29,344	29,224	28,963	29,434	29,684	29,948	29,951	29,490	29,547	29,054	28,951
HIP Caretakers	216,630	13,386	13,865	14,358	14,666	15,564	16,801	18,700	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,742	22,491
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,826	673,156	679,424	686,849	690,149	697,400	705,924	714,326	720,238	718,952	718,104	718,603	718,701

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 3**

CY2010													
Enrollment - Raw Data	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,588	111,482	112,326	112,113	111,742	112,149	112,619	113,782	115,522	115,585	114,519	113,932	112,817
HHW Children	6,574,323	536,317	539,601	541,672	542,108	546,329	548,723	551,671	555,841	554,020	554,642	552,588	550,811
HHW Pregnant Women	351,929	29,000	29,255	29,762	29,378	29,786	29,764	29,574	29,697	29,260	29,166	28,653	28,634
HIP Caretakers	314,792	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,598	26,645	26,889
HIP Adults	226,360	21,462	21,105	20,634	20,029	19,653	19,054	18,734	18,301	17,591	17,179	16,497	16,121
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,992	721,775	726,451	729,311	729,151	734,907	737,361	741,326	746,872	743,147	742,104	738,315	735,272

CY2010													
Enrollment - Completed	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,588	111,482	112,326	112,113	111,742	112,149	112,619	113,782	115,522	115,585	114,519	113,932	112,817
HHW Children	6,574,323	536,317	539,601	541,672	542,108	546,329	548,723	551,671	555,841	554,020	554,642	552,588	550,811
HHW Pregnant Women	351,929	29,000	29,255	29,762	29,378	29,786	29,764	29,574	29,697	29,260	29,166	28,653	28,634
HIP Caretakers	314,792	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,598	26,645	26,889
HIP Adults	226,360	21,462	21,105	20,634	20,029	19,653	19,054	18,734	18,301	17,591	17,179	16,497	16,121
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,992	721,775	726,451	729,311	729,151	734,907	737,361	741,326	746,872	743,147	742,104	738,315	735,272

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 4**

CY2011													
Enrollment - Raw Data	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,337,271	112,858	113,145	112,689	111,356	111,296	111,020	110,789	111,338	110,764	111,199	110,845	109,972
HHW Children	6,647,856	551,179	552,371	553,264	551,162	553,427	553,460	554,043	557,214	555,170	556,941	556,012	553,613
HHW Pregnant Women	337,595	28,685	28,561	28,590	28,077	28,393	28,206	28,080	28,237	27,537	27,830	27,656	27,743
HIP Caretakers	329,967	27,267	27,379	27,527	27,756	27,974	27,813	27,563	27,274	27,371	27,439	27,325	27,279
HIP Adults	168,760	15,705	15,295	14,894	14,561	14,366	14,068	13,747	13,426	13,170	13,193	13,177	13,158
ESP Caretakers Adm	7,413	580	589	558	590	626	639	621	628	637	634	648	663
ESP Adults Adm	7,227	498	510	512	547	581	590	601	641	662	678	702	705
Total Enrollment	8,821,449	735,694	736,751	736,964	732,912	735,456	734,567	734,222	737,489	734,012	736,602	735,015	731,765

CY2011													
Enrollment - Completed	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,337,280	112,858	113,145	112,689	111,356	111,296	111,020	110,789	111,338	110,764	111,201	110,848	109,976
HHW Children	6,648,657	551,179	552,371	553,264	551,162	553,427	553,460	554,043	557,214	555,170	557,141	556,280	553,946
HHW Pregnant Women	337,601	28,685	28,561	28,590	28,077	28,393	28,206	28,080	28,237	27,537	27,830	27,659	27,747
HIP Caretakers	329,969	27,267	27,379	27,527	27,756	27,974	27,813	27,563	27,274	27,371	27,439	27,325	27,280
HIP Adults	168,761	15,705	15,295	14,894	14,561	14,366	14,068	13,747	13,426	13,170	13,193	13,177	13,158
ESP Caretakers Adm	7,413	580	589	558	590	626	639	621	628	637	634	648	663
ESP Adults Adm	7,227	498	510	512	547	581	590	601	641	662	678	702	705
Total Enrollment	8,822,267	735,694	736,751	736,964	732,912	735,456	734,567	734,222	737,489	734,012	736,805	735,289	732,106

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 5**

CY2012													
Enrollment - Raw Data	Year to Date	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12
HHW Caretakers	1,325,556	110,359	110,800	110,074	110,179	110,280	109,839	110,561	110,743	111,056	111,369	110,394	109,902
HHW Children	6,710,343	555,772	557,125	556,299	558,144	559,745	559,271	564,174	563,971	562,608	562,468	557,296	553,470
HHW Pregnant Women	336,882	28,100	28,226	28,288	28,426	28,313	28,119	28,406	28,244	27,791	28,106	27,415	27,448
HIP Caretakers	324,084	27,342	27,374	27,727	27,319	27,355	27,270	27,110	26,802	26,805	26,844	26,118	26,018
HIP Adults	170,859	13,198	13,256	13,570	14,408	15,075	15,186	15,063	14,837	14,562	14,306	13,848	13,550
ESP Caretakers Adm	7,829	640	644	672	647	644	662	671	655	651	660	638	645
ESP Adults Adm	9,363	705	710	733	737	762	781	793	805	820	835	837	845
Total Enrollment	8,867,724	734,771	736,781	735,958	738,476	740,768	739,685	745,314	744,597	742,822	743,093	735,071	730,388

CY2012													
Enrollment - Completed	Year to Date	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12
HHW Caretakers	1,326,695	110,363	110,804	110,079	110,186	110,289	109,851	110,576	110,763	111,086	111,424	110,557	110,717
HHW Children	6,725,996	556,176	557,614	556,880	558,822	560,530	560,176	565,201	565,133	563,916	563,960	559,338	558,250
HHW Pregnant Women	337,204	28,105	28,232	28,295	28,434	28,322	28,129	28,418	28,258	27,808	28,130	27,456	27,615
HIP Caretakers	324,250	27,343	27,376	27,731	27,324	27,361	27,278	27,121	26,817	26,825	26,869	26,149	26,057
HIP Adults	170,920	13,199	13,257	13,571	14,410	15,077	15,189	15,067	14,842	14,569	14,315	13,859	13,564
ESP Caretakers Adm	7,831	640	644	672	647	644	662	671	655	651	660	638	646
ESP Adults Adm	9,365	705	710	733	737	762	781	793	805	820	835	838	846
Total Enrollment	8,885,065	735,186	737,284	736,556	739,175	741,579	740,623	746,383	745,813	744,204	744,698	737,360	736,203

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 6**

CY2013													
Enrollment - Raw Data	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	321,660	108,898	107,384	105,378	-	-	-	-	-	-	-	-	-
HHW Children	1,623,547	549,178	542,105	532,264	-	-	-	-	-	-	-	-	-
HHW Pregnant Women	74,477	26,459	25,010	23,008	-	-	-	-	-	-	-	-	-
HIP Caretakers	76,542	25,857	25,379	25,306	-	-	-	-	-	-	-	-	-
HIP Adults	38,669	13,271	12,881	12,517	-	-	-	-	-	-	-	-	-
ESP Caretakers Adm	1,870	644	609	617	-	-	-	-	-	-	-	-	-
ESP Adults Adm	2,510	847	838	825	-	-	-	-	-	-	-	-	-
Total Enrollment	2,134,895	723,663	712,759	698,473	-	-	-	-	-	-	-	-	-

CY2013													
Enrollment - Completed	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	1,342,034	110,863	110,956	111,191	111,375	111,559	111,743	111,928	112,113	112,298	112,483	112,669	112,855
HHW Children	6,739,832	558,736	557,847	558,158	559,080	560,003	560,928	561,855	562,783	563,712	564,643	565,576	566,510
HHW Pregnant Women	331,814	27,358	27,353	27,206	27,317	27,428	27,540	27,652	27,765	27,878	27,992	28,106	28,220
HIP Caretakers	305,881	25,906	25,448	25,405	25,458	25,458	25,458	25,458	25,458	25,458	25,458	25,458	25,458
HIP Adults	154,724	13,289	12,906	12,548	12,887	12,887	12,887	12,887	12,887	12,887	12,887	12,887	12,887
ESP Caretakers Adm	7,430	645	610	618	618	618	618	618	618	618	618	618	618
ESP Adults Adm	9,993	848	839	826	831	831	831	831	831	831	831	831	831
Total Enrollment	8,874,285	736,152	734,509	734,509	736,117	737,336	738,556	739,780	741,005	742,233	743,463	744,695	745,930



Chase Center/Circle
111 Monument Circle
Suite 601
Indianapolis, IN 46204-5128
USA

Tel +1 317 639 1000
Fax +1 317 639 1001

milliman.com

May 17, 2013

Ms. Lana Schneider
Agency Coordination and Integration
Office of Medicaid Policy & Planning
Family & Social Services Administration
402 W. Washington Street
Indianapolis, IN 46204

RE: 1115 WAIVER FINANCIAL MONITORING REPORT – March 31, 2013

Dear Lana:

Milliman, Inc. (Milliman) was retained by the State of Indiana, Family and Social Services Administration (FSSA), Office of Medicaid Policy and Planning (OMPP) to assist in the development of the 1115 Waiver filing associated with the Healthy Indiana Plan. Under the Special Terms and Conditions associated with the 1115 Waiver, OMPP is required to submit quarterly financial monitoring information regarding the cost effectiveness of the 1115 Waiver. OMPP requested that Milliman assist in the preparation of the required information. This letter provides the results through March 31, 2013.

LIMITATIONS

The information contained in this letter and the attached model has been prepared for the State of Indiana, Family and Social Services Administration and Office of Medicaid Policy and Planning. The data and information included in the letter and model has been developed to assist OMPP in submitting financial information to the Centers for Medicare and Medicaid Services (CMS) associated with the 1115 Waiver. The data and information presented may not be appropriate for any other purpose.

It is our understanding that the information contained in this letter may be utilized in a public document. To the extent that the information contained in this correspondence is provided to any approved third parties, the correspondence should be distributed in its entirety. Third parties are instructed that they are to place no reliance upon this correspondence prepared for OMPP by Milliman that would result in the creation of any duty or liability under any theory of law by Milliman or its employees to third parties. Any user of the data must possess a certain level of expertise in actuarial science and healthcare modeling so as not to misinterpret the information presented.

Milliman has relied upon certain data and information provided by the State of Indiana, Family and Social Services Administration and their vendors. The values presented in this letter are dependent upon this

reliance. To the extent that the data was not complete or was inaccurate, the values presented in our report will need to be reviewed for consistency and revised to meet any revised data.

Differences between our projections and actual amounts depend on the extent to which future experience conforms to the assumptions made for this analysis. It is certain that actual experience will not conform exactly to the assumptions used in this analysis. Actual amounts will differ from projected amounts to the extent that actual experience deviates from expected experience.

The services provided for this project were performed under the signed Consulting Services Agreement between Milliman and OMPP approved May 14, 2010, as amended April 9, 2013.

SUMMARY OF RESULTS

Under the Special Terms and Conditions associated with the 1115 Waiver, OMPP is required to submit quarterly financial reports. The financial reports are expected to provide information on a “to date” basis, as well as a projection of the remaining demonstration period.

Enclosure 1 provides the financial report with data paid through March 31, 2013. The data and information has also been provided in the enclosed Excel workbook, "HIP Monitoring – March 2013_Client.xls". Enclosure 1 illustrates the following information.

1. **Actual Experience Paid.** This summary illustrates the eligibility, expenditures, and per member per month (PMPM) values by population for each Demonstration Year. The expenditures for the HHW and HIP populations reconcile with the quarterly CMS-64 reports. The ESP administration expenditures and the enrollment by population are summaries of monthly MedInsight data. Enrollment is illustrated without adjustment for completion. CMS-64 expenditures are reported on a paid basis. The CMS-64 reports include an adjustment to the drug rebate due to the Increased ACA offset. This adjustment is not reflected in the Actual sheet, since the reported expenditures are not allocated by population.

Please note that CMS-64 Actual Experience includes the payment of the Hospital Assessment Fee (HAF) during Demonstration Year 5. Some of these expenditures are for services incurred during Demonstration Year 4. The Projected expenditures sheet reflects an adjustment of these payments from a paid basis to an incurred basis.

2. **Projected Experience (including adjustments from paid to incurred).** This summary illustrates the projected experience for eligibility, expenditures, and PMPM values by population. The projection is for the period beginning April 1, 2013, and is based on our analysis of enrollment and expenditure payment patterns to forecast ultimate enrollment and expenditures by Demonstration Year. As a result, some of the projected expenditures may be negative. The values are shown for each Demonstration Year. As CMS-64 values are reported on a paid basis, the projected values represent the remainder of incurred expenditures, as well as the shift from the paid basis reflected in the CMS-64 to the incurred basis represented by the HIP Monitoring report.

3. **Actual and Projected Experience (incurred).** This summary combines the first two summaries to illustrate the expected experience under the 1115 Waiver for each Demonstration Year. The values are shown for eligibility, expenditures, and PMPM values.
4. **Waiver Review.** This summary compares the actual experience with the 1115 Waiver – Without Waiver PMPM ceiling amounts. The summary provides actual enrollment through March 31, 2013, the Without Waiver PMPM values, and the actual to date PMPM values. The values are illustrated for each enrollment group. In addition to the PMPM values, a cost effectiveness calculation has been shown on a Federal share basis. The values have been shown for the Without Waiver, With Waiver, and net Cost Effectiveness values. The Federal Share of the Diverted DSH payments for the entire year has also been shown in the calculation.
5. **Budget Neutrality Review.** This summary illustrates the six-year demonstration period for the Without Waiver and With Waiver expenditures. **Please note that Indiana is longer be diverting DSH effective for DY6.**

{Note: In the total row for the eligibility member months, we have excluded the "ESP Administration" line items. These populations are already included in the "HIP Caretakers" or "HIP Adults" populations.}
6. **HIP Enrollment Summary.** This summary illustrates the monthly eligibility by population. The values are shown first based on raw data and then with an adjustment for completion. The values are based on data through March 31, 2013.

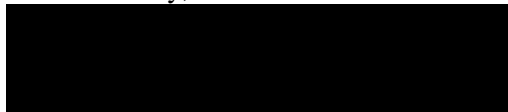
We continue to assume 0% HIP enrollment from estimated March 2013 levels through the end of Calendar Year 2013.



Guidelines issued by the American Academy of Actuaries require actuaries to include their professional qualifications in all actuarial communications. I am a member of the American Academy of Actuaries, and I meet the qualification standards for performing the analyses in this report.

After you have had an opportunity to review the enclosed material, please do not hesitate to contact me at 317-524-3512.

Sincerely,



Principal and Consulting Actuary

RMD/lrb
Enclosure



ENCLOSURE 1

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Actual Experience (Paid) - Through March 31, 2013**

Enrollment (Mbr Mos.)	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	1,267,469	1,321,176	1,358,588	1,337,271	1,325,556	321,660	6,931,720
HHW Children	5,767,093	6,235,004	6,574,323	6,647,856	6,710,343	1,623,547	33,558,166
HHW Pregnant Women	334,434	352,489	351,929	337,595	336,882	74,477	1,787,806
HIP Caretakers	71,114	216,630	314,792	329,967	324,084	76,542	1,333,129
HIP Adults	112,673	316,527	226,360	168,760	170,859	38,669	1,033,848
ESP Caretakers Adm	298	1,483	5,478	7,413	7,829	1,870	24,371
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	2,510	30,400
Total Enrollment	7,552,783	8,441,826	8,825,992	8,821,449	8,867,724	2,134,895	44,644,669
{Total - Excludes ESP Adm}							

Expenditures	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	\$ 403,525,451	\$ 432,469,315	\$ 394,849,145	\$ 361,303,393	\$ 644,860,648	\$ 153,561,620	\$ 2,390,569,572
HHW Children	\$ 940,212,246	\$ 1,033,186,659	\$ 976,654,657	\$ 912,349,952	\$ 1,419,115,630	\$ 335,234,565	\$ 5,616,753,709
HHW Pregnant Women	\$ 148,945,785	\$ 164,617,514	\$ 144,448,962	\$ 141,377,722	\$ 252,064,718	\$ 49,592,604	\$ 901,047,305
HIP Caretakers	\$ 27,200,676	\$ 71,981,027	\$ 122,252,741	\$ 120,636,157	\$ 117,576,579	\$ 31,945,956	\$ 491,593,136
HIP Adults	\$ 56,231,196	\$ 123,575,320	\$ 138,750,047	\$ 90,254,447	\$ 93,172,194	\$ 25,833,651	\$ 527,816,855
ESP Caretakers Adm	\$ 1,629	\$ 10,433	\$ 3,247	\$ -	\$ -	\$ -	\$ 15,308
ESP Adults Adm	\$ 10,188	\$ 37,694	\$ 8,034	\$ -	\$ -	\$ -	\$ 55,916
Total Claim Cost	\$ 1,576,115,354	\$ 1,825,829,835	\$ 1,776,955,552	\$ 1,625,921,671	\$ 2,526,789,769	\$ 596,168,396	\$ 9,927,780,577
ESP Admin	\$ 11,816	\$ 48,126	\$ 11,281	\$ -	\$ -	\$ -	\$ 71,224

Per Member Per Month	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	\$ 318.37	\$ 327.34	\$ 290.63	\$ 270.18	\$ 486.48	\$ 477.40	\$ 344.87
HHW Children	\$ 163.03	\$ 165.71	\$ 148.56	\$ 137.24	\$ 211.48	\$ 206.48	\$ 167.37
HHW Pregnant Women	\$ 445.37	\$ 467.01	\$ 410.45	\$ 418.78	\$ 748.23	\$ 665.88	\$ 504.00
HIP Caretakers	\$ 382.49	\$ 332.28	\$ 388.36	\$ 365.60	\$ 362.80	\$ 417.37	\$ 368.75
HIP Adults	\$ 499.07	\$ 390.41	\$ 612.96	\$ 534.81	\$ 545.32	\$ 668.07	\$ 510.54
ESP Caretakers Adm	\$ 5.46	\$ 7.03	\$ 0.59	\$ -	\$ -	\$ -	\$ 0.63
ESP Adults Adm	\$ 6.22	\$ 8.89	\$ 1.48	\$ -	\$ -	\$ -	\$ 1.84
Composite PMPM	\$ 208.68	\$ 216.28	\$ 201.33	\$ 184.31	\$ 284.94	\$ 279.25	\$ 222.37
Composite Adm PMPM	\$ 6.10	\$ 8.41	\$ 1.03	\$ -	\$ -	\$ -	\$ 1.30

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary**

Projected Expenditures (Including Adjustments from Paid to Incurred) - Beginning April 01, 2013

Enrollment (Mbr Mos.)	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	-	-	-	9	1,139	1,020,374	1,021,522
HHW Children	-	-	-	801	15,653	5,116,285	5,132,738
HHW Pregnant Women	-	-	-	6	322	257,337	257,665
HIP Caretakers	-	-	-	2	166	229,339	229,506
HIP Adults	-	-	-	1	61	116,055	116,116
ESP Caretakers Adm	-	-	-	-	2	5,560	5,562
ESP Adults Adm	-	-	-	-	2	7,483	7,485
Total Enrollment	-	-	-	819	17,341	6,739,390	6,757,550
{Total - Excludes ESP Adm}							

Expenditures	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	\$ (480,593)	\$ (3,186,883)	\$ (25,837,172)	\$ 87,246,678	\$ (83,633,274)	\$ 470,354,318	\$ 444,463,075
HHW Children	\$ 49,151,289	\$ 12,752,106	\$ (37,583,909)	\$ 217,595,143	\$ (84,958,273)	\$ 1,018,866,127	\$ 1,175,822,482
HHW Pregnant Women	\$ 1,471,408	\$ (3,205,318)	\$ (2,972,214)	\$ 27,919,289	\$ (28,231,954)	\$ 90,710,799	\$ 85,692,010
HIP Caretakers	\$ (1,756,029)	\$ 13,721	\$ (11,704,733)	\$ (526,810)	\$ 4,104,864	\$ 87,934,295	\$ 78,065,308
HIP Adults	\$ (987,597)	\$ 42,962,747	\$ (22,451,172)	\$ 1,754,001	\$ 3,459,075	\$ 66,858,249	\$ 91,595,304
ESP Caretakers Adm	\$ 1,313	\$ 4,205	\$ 50,821	\$ 72,938	\$ 74,390	\$ 70,585	\$ 274,251
ESP Adults Adm	\$ 5,979	\$ 4,145	\$ 45,491	\$ 71,108	\$ 88,967	\$ 94,934	\$ 310,625
Total Claim Cost	\$ 47,398,479	\$ 49,336,373	\$ (100,549,200)	\$ 333,988,300	\$ (189,259,562)	\$ 1,734,723,788	\$ 1,875,638,179
ESP Admin	\$ 7,292	\$ 8,350	\$ 96,311	\$ 144,045	\$ 163,357	\$ 165,519	\$ 584,875

Per Member Per Month	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	N/A	N/A	N/A	\$ 9,694,075.28	\$ (73,426.93)	\$ 460.96	\$ 435.10
HHW Children	N/A	N/A	N/A	\$ 271,654.36	\$ (5,427.60)	\$ 199.14	\$ 229.08
HHW Pregnant Women	N/A	N/A	N/A	\$ 4,653,214.86	\$ (87,676.88)	\$ 352.50	\$ 332.57
HIP Caretakers	N/A	N/A	N/A	\$ (263,405.16)	\$ 24,728.10	\$ 383.42	\$ 340.14
HIP Adults	N/A	N/A	N/A	\$ 1,754,001.00	\$ 56,706.15	\$ 576.09	\$ 788.83
ESP Caretakers Adm	N/A	N/A	N/A	N/A	\$ 37,195.01	\$ 12.70	\$ 49.31
ESP Adults Adm	N/A	N/A	N/A	N/A	\$ 44,483.72	\$ 12.69	\$ 41.50
Composite PMPM	N/A	N/A	N/A	\$ 407,800.12	\$ (10,913.99)	\$ 257.40	\$ 277.56
Composite Adm PMPM	\$ -	\$ -	\$ -	\$ -	\$ 40,839.37	\$ 12.69	\$ 44.83

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Actual and Projected Experience (Incurred) - Data through March 31, 2013**

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	1,267,469	1,321,176	1,358,588	1,337,280	1,326,695	1,342,034	7,953,242
HHW Children	5,767,093	6,235,004	6,574,323	6,648,657	6,725,996	6,739,832	38,690,904
HHW Pregnant Women	334,434	352,489	351,929	337,601	337,204	331,814	2,045,471
HIP Caretakers	71,114	216,630	314,792	329,969	324,250	305,881	1,562,635
HIP Adults	112,673	316,527	226,360	168,761	170,920	154,724	1,149,964
ESP Caretakers Adm	298	1,483	5,478	7,413	7,831	7,430	29,933
ESP Adults Adm	1,638	4,239	5,423	7,227	9,365	9,993	37,885
Total Enrollment	7,552,783	8,441,826	8,825,992	8,822,267	8,885,065	8,874,285	51,402,217
{Total - Excludes ESP Adm}							

Expenditures	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 403,044,858	\$ 429,282,432	\$ 369,011,973	\$ 448,550,071	\$ 561,227,374	\$ 623,915,938	\$ 2,835,032,647
HHW Children	\$ 989,363,535	\$ 1,045,938,765	\$ 939,070,748	\$ 1,129,945,095	\$ 1,334,157,357	\$ 1,354,100,692	\$ 6,792,576,191
HHW Pregnant Women	\$ 150,417,193	\$ 161,412,196	\$ 141,476,748	\$ 169,297,011	\$ 223,832,764	\$ 140,303,403	\$ 986,739,315
HIP Caretakers	\$ 25,444,647	\$ 71,994,748	\$ 110,548,008	\$ 120,109,347	\$ 121,681,443	\$ 119,880,251	\$ 569,658,444
HIP Adults	\$ 55,243,599	\$ 166,538,067	\$ 116,298,875	\$ 92,008,448	\$ 96,631,269	\$ 92,691,900	\$ 619,412,159
ESP Caretakers Adm	\$ 2,941	\$ 14,637	\$ 54,068	\$ 72,938	\$ 74,390	\$ 70,585	\$ 289,559
ESP Adults Adm	\$ 16,167	\$ 41,839	\$ 53,525	\$ 71,108	\$ 88,967	\$ 94,934	\$ 366,540
Total Claim Cost	\$ 1,623,513,833	\$ 1,875,166,208	\$ 1,676,406,352	\$ 1,959,909,971	\$ 2,337,530,207	\$ 2,330,892,184	\$ 11,803,418,756
ESP Admin	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,045	\$ 163,357	\$ 165,519	\$ 656,099

Per Member Per Month	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 317.99	\$ 324.92	\$ 271.61	\$ 335.42	\$ 423.03	\$ 464.90	\$ 356.46
HHW Children	\$ 171.55	\$ 167.75	\$ 142.84	\$ 169.95	\$ 198.36	\$ 200.91	\$ 175.56
HHW Pregnant Women	\$ 449.77	\$ 457.92	\$ 402.00	\$ 501.47	\$ 663.79	\$ 422.84	\$ 482.40
HIP Caretakers	\$ 357.80	\$ 332.34	\$ 351.18	\$ 364.00	\$ 375.27	\$ 391.92	\$ 364.55
HIP Adults	\$ 490.30	\$ 526.14	\$ 513.78	\$ 545.20	\$ 565.36	\$ 599.08	\$ 538.64
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68
Composite PMPM	\$ 214.96	\$ 222.13	\$ 189.94	\$ 222.15	\$ 263.09	\$ 262.66	\$ 229.63
Composite Adm PMPM	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Cost Effectiveness Review - Through March 31, 2013

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	1,267,469	1,321,176	1,358,588	1,337,271	1,325,556	321,660
HHW Children	5,767,093	6,235,004	6,574,323	6,647,856	6,710,343	1,623,547
HHW Pregnant Women	334,434	352,489	351,929	337,595	336,882	74,477
HIP Caretakers	71,114	216,630	314,792	329,967	324,084	76,542
HIP Adults	112,673	316,527	226,360	168,760	170,859	38,669
ESP Caretakers Adm	298	1,483	5,478	7,413	7,829	1,870
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	2,510
Total Enrollment	7,552,783	8,441,826	8,825,992	8,821,449	8,867,724	2,134,895
{Total - Excludes ESP Adm}						

PMPM (Without Waiver)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 224
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 591
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 388
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Composite PMPM	\$ 216.92	\$ 221.92	\$ 234.44	\$ 245.42	\$ 255.59	\$ 266.06

Without Waiver Expenditures	\$ 1,638,313,475	\$ 1,873,413,650	\$ 2,069,123,404	\$ 2,164,957,948	\$ 2,266,484,286	\$ 568,011,017
Federal Share	\$ 1,033,489,098	\$ 1,211,677,113	\$ 1,367,225,017	\$ 1,442,511,481	\$ 1,518,771,120	\$ 381,135,393
Diverted DSH (Federal Share)	\$ 52,691,988	\$ 60,820,051	\$ 59,730,335	\$ 54,360,054	\$ 57,459,929	\$ -
Federal Share Without Waiver	\$ 1,086,181,086	\$ 1,272,497,164	\$ 1,426,955,352	\$ 1,496,871,534	\$ 1,576,231,049	\$ 381,135,393

PMPM (Actual)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 318.37	\$ 327.34	\$ 290.63	\$ 270.18	\$ 486.48	\$ 477.40
HHW Children	\$ 163.03	\$ 165.71	\$ 148.56	\$ 137.24	\$ 211.48	\$ 206.48
HHW Pregnant Women	\$ 445.37	\$ 467.01	\$ 410.45	\$ 418.78	\$ 748.23	\$ 665.88
HIP Caretakers	\$ 382.49	\$ 332.28	\$ 388.36	\$ 365.60	\$ 362.80	\$ 417.37
HIP Adults	\$ 499.07	\$ 390.41	\$ 612.96	\$ 534.81	\$ 545.32	\$ 668.07
ESP Caretakers Adm	\$ 5.46	\$ 7.03	\$ 0.59	\$ -	\$ -	\$ -
ESP Adults Adm	\$ 6.22	\$ 8.89	\$ 1.48	\$ -	\$ -	\$ -
Composite PMPM	\$ 208.68	\$ 216.28	\$ 201.33	\$ 184.31	\$ 284.94	\$ 279.25

With Waiver Expenditures	\$ 1,576,115,354	\$ 1,825,829,835	\$ 1,776,955,552	\$ 1,625,921,671	\$ 2,526,789,769	\$ 596,168,396
With Waiver Adm	\$ 11,816	\$ 48,126	\$ 11,281	\$ -	\$ -	\$ -
Federal Share	\$ 994,258,876	\$ 1,180,925,155	\$ 1,174,173,446	\$ 1,083,351,609	\$ 1,693,201,824	\$ 400,028,994
Federal Share With Waiver	\$ 994,258,876	\$ 1,180,925,155	\$ 1,174,173,446	\$ 1,083,351,609	\$ 1,693,201,824	\$ 400,028,994
Waiver Margin	\$ 91,922,209	\$ 91,572,009	\$ 252,781,906	\$ 413,519,925	\$ (116,970,775)	\$ (18,893,601)

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Budget Neutrality Summary
Includes Experience through March 31, 2013**

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	1,267,469	1,321,176	1,358,588	1,337,280	1,326,695	1,342,034
HHW Children	5,767,093	6,235,004	6,574,323	6,648,657	6,725,996	6,739,832
HHW Pregnant Women	334,434	352,489	351,929	337,601	337,204	331,814
HIP Caretakers	71,114	216,630	314,792	329,969	324,250	305,881
HIP Adults	112,673	316,527	226,360	168,761	170,920	154,724
ESP Caretakers Adm	298	1,483	5,478	7,413	7,831	7,430
ESP Adults Adm	1,638	4,239	5,423	7,227	9,365	9,993
Total Enrollment	7,552,783	8,441,826	8,825,992	8,822,267	8,885,065	8,874,285
{Total - Excludes ESP Adm}						

PMPM (Without Waiver)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 223.88
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 590.56
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 387.68
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Composite PMPM	\$ 216.92	\$ 221.92	\$ 234.44	\$ 245.42	\$ 255.54	\$ 267.01

Without Waiver Expenditures	\$ 1,638,313,475	\$ 1,873,413,650	\$ 2,069,123,404	\$ 2,165,129,561	\$ 2,270,528,563	\$ 2,369,489,390
Without Waiver DSH	\$ 324,689,006	\$ 335,196,184	\$ 331,554,676	\$ 322,745,265	\$ 326,908,602	\$ 326,908,602
Total Without Waiver Expenditures	\$ 1,963,002,481	\$ 2,208,609,834	\$ 2,400,678,079	\$ 2,487,874,827	\$ 2,597,437,165	\$ 2,696,397,992

PMPM (Actual)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 317.99	\$ 324.92	\$ 271.61	\$ 335.42	\$ 423.03	\$ 464.90
HHW Children	\$ 171.55	\$ 167.75	\$ 142.84	\$ 169.95	\$ 198.36	\$ 200.91
HHW Pregnant Women	\$ 449.77	\$ 457.92	\$ 402.00	\$ 501.47	\$ 663.79	\$ 422.84
HIP Caretakers	\$ 357.80	\$ 332.34	\$ 351.18	\$ 364.00	\$ 375.27	\$ 391.92
HIP Adults	\$ 490.30	\$ 526.14	\$ 513.78	\$ 545.20	\$ 565.36	\$ 599.08
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
Composite PMPM	\$ 214.96	\$ 222.13	\$ 189.94	\$ 222.15	\$ 263.09	\$ 262.66

With Waiver Expenditures	\$ 1,623,513,833	\$ 1,875,166,208	\$ 1,676,406,352	\$ 1,959,909,971	\$ 2,337,530,207	\$ 2,330,892,184
With Waiver Adm	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,045	\$ 163,357	\$ 165,519
With Waiver DSH	\$ 241,160,313	\$ 241,160,313	\$ 241,160,313	\$ 241,160,313	\$ 241,160,313	\$ 326,908,602
Total With Waiver Expenditures	\$ 1,864,693,254	\$ 2,116,382,997	\$ 1,917,674,258	\$ 2,201,214,330	\$ 2,578,853,878	\$ 2,657,966,305

Waiver Margin	\$ 98,309,227	\$ 92,226,837	\$ 483,003,821	\$ 286,660,497	\$ 18,583,287	\$ 38,431,687
----------------------	----------------------	----------------------	-----------------------	-----------------------	----------------------	----------------------

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 1**

CY2008													
Enrollment - Raw Data	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,469	103,550	103,991	104,599	104,079	103,889	104,173	105,575	106,888	107,209	107,324	107,695	108,497
HHW Children	5,767,093	466,186	467,418	471,489	473,390	474,599	477,940	481,886	486,985	489,942	489,683	491,855	495,720
HHW Pregnant Women	334,434	28,120	27,966	27,923	27,766	27,678	27,616	27,946	27,822	27,555	27,669	27,838	28,535
HIP Caretakers	71,114	3	198	929	1,969	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,783	597,871	599,886	606,093	609,810	615,088	622,810	631,912	642,079	648,348	651,932	658,815	668,139

CY2008													
Enrollment - Completed	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,469	103,550	103,991	104,599	104,079	103,889	104,173	105,575	106,888	107,209	107,324	107,695	108,497
HHW Children	5,767,093	466,186	467,418	471,489	473,390	474,599	477,940	481,886	486,985	489,942	489,683	491,855	495,720
HHW Pregnant Women	334,434	28,120	27,966	27,923	27,766	27,678	27,616	27,946	27,822	27,555	27,669	27,838	28,535
HIP Caretakers	71,114	3	198	929	1,969	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,783	597,871	599,886	606,093	609,810	615,088	622,810	631,912	642,079	648,348	651,932	658,815	668,139

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 2**

CY2009													
Enrollment - Raw Data	<u>Year to Date</u>	<u>Jan-09</u>	<u>Feb-09</u>	<u>Mar-09</u>	<u>Apr-09</u>	<u>May-09</u>	<u>Jun-09</u>	<u>Jul-09</u>	<u>Aug-09</u>	<u>Sep-09</u>	<u>Oct-09</u>	<u>Nov-09</u>	<u>Dec-09</u>
HHW Caretakers	1,321,176	108,768	109,338	109,422	108,858	109,033	109,719	110,730	111,369	111,185	110,503	111,100	111,151
HHW Children	6,235,004	497,202	500,335	505,432	508,477	514,408	521,571	527,596	531,965	531,521	531,626	532,007	532,864
HHW Pregnant Women	352,489	28,899	29,344	29,224	28,963	29,434	29,684	29,948	29,951	29,490	29,547	29,054	28,951
HIP Caretakers	216,630	13,386	13,865	14,358	14,666	15,564	16,801	18,700	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,742	22,491
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,826	673,156	679,424	686,849	690,149	697,400	705,924	714,326	720,238	718,952	718,104	718,603	718,701

CY2009													
Enrollment - Completed	<u>Year to Date</u>	<u>Jan-09</u>	<u>Feb-09</u>	<u>Mar-09</u>	<u>Apr-09</u>	<u>May-09</u>	<u>Jun-09</u>	<u>Jul-09</u>	<u>Aug-09</u>	<u>Sep-09</u>	<u>Oct-09</u>	<u>Nov-09</u>	<u>Dec-09</u>
HHW Caretakers	1,321,176	108,768	109,338	109,422	108,858	109,033	109,719	110,730	111,369	111,185	110,503	111,100	111,151
HHW Children	6,235,004	497,202	500,335	505,432	508,477	514,408	521,571	527,596	531,965	531,521	531,626	532,007	532,864
HHW Pregnant Women	352,489	28,899	29,344	29,224	28,963	29,434	29,684	29,948	29,951	29,490	29,547	29,054	28,951
HIP Caretakers	216,630	13,386	13,865	14,358	14,666	15,564	16,801	18,700	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,742	22,491
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,826	673,156	679,424	686,849	690,149	697,400	705,924	714,326	720,238	718,952	718,104	718,603	718,701

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 3**

CY2010													
Enrollment - Raw Data	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,588	111,482	112,326	112,113	111,742	112,149	112,619	113,782	115,522	115,585	114,519	113,932	112,817
HHW Children	6,574,323	536,317	539,601	541,672	542,108	546,329	548,723	551,671	555,841	554,020	554,642	552,588	550,811
HHW Pregnant Women	351,929	29,000	29,255	29,762	29,378	29,786	29,764	29,574	29,697	29,260	29,166	28,653	28,634
HIP Caretakers	314,792	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,598	26,645	26,889
HIP Adults	226,360	21,462	21,105	20,634	20,029	19,653	19,054	18,734	18,301	17,591	17,179	16,497	16,121
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,992	721,775	726,451	729,311	729,151	734,907	737,361	741,326	746,872	743,147	742,104	738,315	735,272

CY2010													
Enrollment - Completed	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,588	111,482	112,326	112,113	111,742	112,149	112,619	113,782	115,522	115,585	114,519	113,932	112,817
HHW Children	6,574,323	536,317	539,601	541,672	542,108	546,329	548,723	551,671	555,841	554,020	554,642	552,588	550,811
HHW Pregnant Women	351,929	29,000	29,255	29,762	29,378	29,786	29,764	29,574	29,697	29,260	29,166	28,653	28,634
HIP Caretakers	314,792	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,598	26,645	26,889
HIP Adults	226,360	21,462	21,105	20,634	20,029	19,653	19,054	18,734	18,301	17,591	17,179	16,497	16,121
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,992	721,775	726,451	729,311	729,151	734,907	737,361	741,326	746,872	743,147	742,104	738,315	735,272

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 4**

CY2011													
Enrollment - Raw Data	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,337,271	112,858	113,145	112,689	111,356	111,296	111,020	110,789	111,338	110,764	111,199	110,845	109,972
HHW Children	6,647,856	551,179	552,371	553,264	551,162	553,427	553,460	554,043	557,214	555,170	556,941	556,012	553,613
HHW Pregnant Women	337,595	28,685	28,561	28,590	28,077	28,393	28,206	28,080	28,237	27,537	27,830	27,656	27,743
HIP Caretakers	329,967	27,267	27,379	27,527	27,756	27,974	27,813	27,563	27,274	27,371	27,439	27,325	27,279
HIP Adults	168,760	15,705	15,295	14,894	14,561	14,366	14,068	13,747	13,426	13,170	13,193	13,177	13,158
ESP Caretakers Adm	7,413	580	589	558	590	626	639	621	628	637	634	648	663
ESP Adults Adm	7,227	498	510	512	547	581	590	601	641	662	678	702	705
Total Enrollment	8,821,449	735,694	736,751	736,964	732,912	735,456	734,567	734,222	737,489	734,012	736,602	735,015	731,765

CY2011													
Enrollment - Completed	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,337,280	112,858	113,145	112,689	111,356	111,296	111,020	110,789	111,338	110,764	111,201	110,848	109,976
HHW Children	6,648,657	551,179	552,371	553,264	551,162	553,427	553,460	554,043	557,214	555,170	557,141	556,280	553,946
HHW Pregnant Women	337,601	28,685	28,561	28,590	28,077	28,393	28,206	28,080	28,237	27,537	27,830	27,659	27,747
HIP Caretakers	329,969	27,267	27,379	27,527	27,756	27,974	27,813	27,563	27,274	27,371	27,439	27,325	27,280
HIP Adults	168,761	15,705	15,295	14,894	14,561	14,366	14,068	13,747	13,426	13,170	13,193	13,177	13,158
ESP Caretakers Adm	7,413	580	589	558	590	626	639	621	628	637	634	648	663
ESP Adults Adm	7,227	498	510	512	547	581	590	601	641	662	678	702	705
Total Enrollment	8,822,267	735,694	736,751	736,964	732,912	735,456	734,567	734,222	737,489	734,012	736,805	735,289	732,106

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 5**

CY2012													
Enrollment - Raw Data	Year to Date	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12
HHW Caretakers	1,325,556	110,359	110,800	110,074	110,179	110,280	109,839	110,561	110,743	111,056	111,369	110,394	109,902
HHW Children	6,710,343	555,772	557,125	556,299	558,144	559,745	559,271	564,174	563,971	562,608	562,468	557,296	553,470
HHW Pregnant Women	336,882	28,100	28,226	28,288	28,426	28,313	28,119	28,406	28,244	27,791	28,106	27,415	27,448
HIP Caretakers	324,084	27,342	27,374	27,727	27,319	27,355	27,270	27,110	26,802	26,805	26,844	26,118	26,018
HIP Adults	170,859	13,198	13,256	13,570	14,408	15,075	15,186	15,063	14,837	14,562	14,306	13,848	13,550
ESP Caretakers Adm	7,829	640	644	672	647	644	662	671	655	651	660	638	645
ESP Adults Adm	9,363	705	710	733	737	762	781	793	805	820	835	837	845
Total Enrollment	8,867,724	734,771	736,781	735,958	738,476	740,768	739,685	745,314	744,597	742,822	743,093	735,071	730,388

CY2012													
Enrollment - Completed	Year to Date	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12
HHW Caretakers	1,326,695	110,363	110,804	110,079	110,186	110,289	109,851	110,576	110,763	111,086	111,424	110,557	110,717
HHW Children	6,725,996	556,176	557,614	556,880	558,822	560,530	560,176	565,201	565,133	563,916	563,960	559,338	558,250
HHW Pregnant Women	337,204	28,105	28,232	28,295	28,434	28,322	28,129	28,418	28,258	27,808	28,130	27,456	27,615
HIP Caretakers	324,250	27,343	27,376	27,731	27,324	27,361	27,278	27,121	26,817	26,825	26,869	26,149	26,057
HIP Adults	170,920	13,199	13,257	13,571	14,410	15,077	15,189	15,067	14,842	14,569	14,315	13,859	13,564
ESP Caretakers Adm	7,831	640	644	672	647	644	662	671	655	651	660	638	646
ESP Adults Adm	9,365	705	710	733	737	762	781	793	805	820	835	838	846
Total Enrollment	8,885,065	735,186	737,284	736,556	739,175	741,579	740,623	746,383	745,813	744,204	744,698	737,360	736,203

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 6**

CY2013													
Enrollment - Raw Data	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	321,660	108,898	107,384	105,378	-	-	-	-	-	-	-	-	-
HHW Children	1,623,547	549,178	542,105	532,264	-	-	-	-	-	-	-	-	-
HHW Pregnant Women	74,477	26,459	25,010	23,008	-	-	-	-	-	-	-	-	-
HIP Caretakers	76,542	25,857	25,379	25,306	-	-	-	-	-	-	-	-	-
HIP Adults	38,669	13,271	12,881	12,517	-	-	-	-	-	-	-	-	-
ESP Caretakers Adm	1,870	644	609	617	-	-	-	-	-	-	-	-	-
ESP Adults Adm	2,510	847	838	825	-	-	-	-	-	-	-	-	-
Total Enrollment	2,134,895	723,663	712,759	698,473	-	-	-	-	-	-	-	-	-

CY2013													
Enrollment - Completed	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	1,342,034	110,863	110,956	111,191	111,375	111,559	111,743	111,928	112,113	112,298	112,483	112,669	112,855
HHW Children	6,739,832	558,736	557,847	558,158	559,080	560,003	560,928	561,855	562,783	563,712	564,643	565,576	566,510
HHW Pregnant Women	331,814	27,358	27,353	27,206	27,317	27,428	27,540	27,652	27,765	27,878	27,992	28,106	28,220
HIP Caretakers	305,881	25,906	25,448	25,405	25,458	25,458	25,458	25,458	25,458	25,458	25,458	25,458	25,458
HIP Adults	154,724	13,289	12,906	12,548	12,887	12,887	12,887	12,887	12,887	12,887	12,887	12,887	12,887
ESP Caretakers Adm	7,430	645	610	618	618	618	618	618	618	618	618	618	618
ESP Adults Adm	9,993	848	839	826	831	831	831	831	831	831	831	831	831
Total Enrollment	8,874,285	736,152	734,509	734,509	736,117	737,336	738,556	739,780	741,005	742,233	743,463	744,695	745,930