



1115 Waiver Financial Monitoring Report

December 31, 2013

State of Indiana

Family and Social Services Administration

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Enclosure 1: HIP Financial Monitoring Report

EXECUTIVE SUMMARY

GENERAL FINANCIAL REQUIREMENTS

Under the Special Terms and Conditions associated with the 1115 Waiver, OMPP is required to submit quarterly reports. These reports are used to track enrollment and expenditures and monitor budget neutrality. This report is a key component of the quarterly reporting.

BUDGET NEUTRALITY MEMBER MONTHS

Table 1 illustrates eligible member months by 1115 Waiver eligibility group for the fourth quarter of DY 6 (October 2013 – December 2013).

Medicaid Eligibility Group (MEG)	Period	Month 1	Month 2	Month 3	Total
HHW Caretakers	Quarter	111,365	110,067	108,865	330,297
HHW Children	Quarter	550,211	546,693	543,256	1,640,159
HHW Pregnant Women	Quarter	26,793	26,175	26,123	79,092
HIP Caretakers	Quarter	24,184	24,193	24,479	72,857
HIP Non-Caretakers	Quarter	10,461	10,244	10,156	30,861

HIP FINANCIAL MONITORING REPORT

Enclosure 1 provides the financial report with data through December 31, 2013. It includes the following information:

1. **Actual Experience To Date:** This summary illustrates the eligibility, expenditures, and per member per month (PMPM) values by population for each Demonstration Year. The expenditures for the HHW and HIP populations reconcile with the quarterly Forms CMS-64. Waiver, project number 11-W-00237. Expenditures are illustrated by the DY in which services were rendered or for which capitation payments were made. Enrollment is illustrated without adjustment for completion.
2. **Projected Experience:** This summary illustrates projected experience for eligibility, enrollment, and PMPM values by population. The projection period is based on our analysis of enrollment and expenditure payment patterns to forecast ultimate enrollment and expenditures by Demonstration Year. For the Hoosier Healthwise populations, projected payments also include enhanced hospital reimbursement. The enhanced reimbursement was suspended July 1, 2013, pending CMS approval of an extension. The enhanced reimbursement is projected to be paid retroactively. For the Healthy Indiana Plan, we have projected ESP transfer reimbursement payments. The plans are allowed 18 months after an individual is transferred to ESP before they are required to submit reimbursement requests.
3. **Actual and Projected Experience.** This summary combines the first summary with projected experience under the 1115 Waiver for the remainder of the Demonstration Year. The values are shown for eligibility, expenditures, and PMPM values.

4. **Waiver Review.** This summary compares the actual experience with the 1115 Waiver – Without Waiver PMPM ceiling amounts. The summary provides actual enrollment through December 31, 2013, the Without Waiver PMPM values, and the actual to date PMPM values. The values are illustrated for each enrollment group. In addition to the PMPM values, a cost effectiveness calculation has been shown on a Federal share basis. The values have been shown for the Without Waiver, With Waiver, and net Cost Effectiveness values.
5. **Budget Neutrality Review.** This summary illustrates the six-year demonstration period for the Without Waiver and With Waiver expenditures. **Please note that Indiana is no longer diverting DSH effective DY6.**
6. **HIP Enrollment Summary.** This summary illustrates the monthly eligibility by population. The values are shown first based on raw data and then with adjustments for completion and projection. The values are based on data through December 30, 2013.

Table 1 and Enclosure 1 of this report are also provided in the enclosed excel workbook: "HIP Monitoring – December 2013.xlsx".

METHODOLOGY

This section provides additional detail on the data, assumptions, and methodology used in this report.

DATA

On a monthly basis, Medicaid enrollment data is provided by Hewlett Packard, the state's fiscal agent, and placed in the State's Enterprise Data Warehouse (EDW). EDW data through December 31, 2013 was used for this report.

Actual to-date expenditures were provided by FSSA, as reported on the Form CMS 64.9 Waiver, project number 11-W-00237. These were summarized by demonstration year (calendar year), according to dates of service.

ASSUMPTIONS AND METHODOLOGY

Calculations in this report were performed in accord with the 1115 Waiver Special Terms and Conditions, as effective for Demonstration Year 6 (CY 2013):

Expenditures Included

Expenditures in this report include the following:

- Capitation payments.
- Claims expenditures, including all expenditures for fee-for-service enrollees and carved out expenditures for managed care enrollees. Major services not included in the capitation rates include pharmacy, dental, and MRO.
- POWER account contributions provided by the State.
- Pharmacy rebates. Total pharmacy rebates received by the State of Indiana were allocated to population based on pharmacy expenditures.
- Managed care incentive payments.
- HIP Stop Loss payments and reimbursement for ESP transfers.

The mandated increase in physician payment rates is being paid by the State using supplemental payments. These amounts are not included in this report, as specified in the STCs.

Enrollment

Enrollment on the "Actual Experience to Date" and the "Waiver Differential" pages is illustrated without adjustment for completion.

Enrollment on the "Actual and Projected" and "Budget Neutrality" pages is illustrated with completion adjustments. Completion adjustments are derived from an analysis of historical payments patterns for each population.

The last exhibit of Enclosure 1 provides a comparison of actual enrollment to projected enrollment, by population and month.

LIMITATIONS

The information contained in this report has been prepared for the State of Indiana, Family and Social Services Administration and Office of Medicaid Policy and Planning (OMPP). This report has been developed to assist FSSA in submitting financial information to the Centers for Medicaid and Medicare Services (CMS) associated with 1115 Waiver requirements. The data and information presented may not be appropriate for any other purpose.

It is our understanding that the information contained in this report may be utilized in a public document. To the extent that the information contained in this correspondence is provided to any third parties, the correspondence should be distributed in its entirety. Any user of the data must possess a certain level of expertise in actuarial science and healthcare modeling so as not to misinterpret the information presented.

Milliman makes no representations or warranties regarding the contents of this correspondence to third parties. Likewise, third parties are instructed that they are to place no reliance upon this correspondence prepared for OMPP by Milliman that would result in the creation of any duty or liability under any theory of law by Milliman or its employees to third parties.

Milliman has relied upon certain data and information provided by the State of Indiana, Family and Social Services Administration and their vendors. The values presented in this letter are dependent upon this reliance. To the extent that the data was not complete or was inaccurate, the values presented in our report will need to be reviewed for consistency and revised to meet any revised data.

The services provided for this project were performed under the signed Consulting Services Agreement between Milliman and OMPP approved May 14, 2010, and last amended September 23, 2013.

Enclosure 1
HIP Financial Monitoring Report

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Actual Experience (Incurred) - Through December 31, 2013

	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
Enrollment (Mbr Mos.)							
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,348,869	1,335,266	1,325,320	7,956,285
HHW Children	5,766,985	6,234,677	6,574,005	6,635,026	6,688,740	6,583,208	38,482,641
HHW Pregnant Women	334,455	352,518	351,958	337,451	336,489	312,268	2,025,140
HIP Caretakers	71,113	216,627	314,803	330,042	324,269	299,926	1,556,780
HIP Adults	112,673	316,527	226,367	168,762	170,939	137,801	1,133,069
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,442	29,955
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	9,488	37,378
Total Enrollment	7,552,619	8,441,434	8,825,485	8,820,150	8,855,703	8,658,523	51,153,915
(Total - Excludes ESP Adm)							
		5,478	5,423	7,417	7,837	5,680	28,193
				7,227	9,363	7,240	35,130

	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
Expenditures							
HHW Caretakers	\$ 405,657,181	\$ 435,343,663	\$ 397,442,838	\$ 374,969,973	\$ 620,902,241	\$ 543,930,203	\$ 2,778,246,099
HHW Children	\$ 948,694,925	\$ 1,036,825,501	\$ 984,003,700	\$ 931,929,482	\$ 1,365,902,426	\$ 1,158,687,807	\$ 6,426,043,841
HHW Pregnant Women	\$ 149,271,127	\$ 162,136,945	\$ 144,151,638	\$ 153,287,497	\$ 233,751,128	\$ 122,421,504	\$ 965,019,839
HIP Caretakers	\$ 29,206,636	\$ 72,749,365	\$ 119,547,655	\$ 112,693,628	\$ 106,671,387	\$ 124,156,353	\$ 565,025,024
HIP Adults	\$ 58,332,029	\$ 151,091,921	\$ 141,434,475	\$ 84,659,878	\$ 80,995,662	\$ 86,218,320	\$ 602,732,285
ESP Caretakers Adm	\$ 2,941	\$ 14,637	\$ 54,068	\$ 72,977	\$ 74,452	\$ 70,704	\$ 289,778
ESP Adults Adm	\$ 16,167	\$ 41,839	\$ 53,525	\$ 71,108	\$ 88,949	\$ 90,131	\$ 361,719
Total Claim Cost	\$ 1,591,161,898	\$ 1,858,147,395	\$ 1,786,580,306	\$ 1,657,540,458	\$ 2,408,222,844	\$ 2,035,414,187	\$ 11,337,067,088
ESP Admin	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,400	\$ 160,835	\$ 651,497

	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
Per Member Per Month							
HHW Caretakers	\$ 320.07	\$ 329.53	\$ 292.59	\$ 277.99	\$ 465.00	\$ 410.41	\$ 349.19
HHW Children	\$ 164.50	\$ 166.30	\$ 149.68	\$ 140.46	\$ 204.21	\$ 176.01	\$ 166.99
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.25	\$ 694.68	\$ 392.04	\$ 476.52
HIP Caretakers	\$ 410.71	\$ 335.83	\$ 379.75	\$ 341.45	\$ 328.96	\$ 413.96	\$ 362.94
HIP Adults	\$ 517.71	\$ 477.34	\$ 624.80	\$ 501.65	\$ 473.83	\$ 625.67	\$ 531.95
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68
Composite PMPM	\$ 210.68	\$ 220.12	\$ 202.43	\$ 187.93	\$ 271.94	\$ 235.08	\$ 221.63
Composite Adm PMPM	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Projected Expenditures (Including Enrollment Completion) - Beginning January 01, 2014

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	-	-	-	-	2,040	11,016	13,055
HHW Children	-	-	-	-	3,411	59,766	63,177
HHW Pregnant Women	-	-	-	-	565	14,394	14,959
HIP Caretakers	-	-	-	-	20	229	249
HIP Adults	-	-	-	-	7	112	119
ESP Caretakers Adm	-	-	-	-	-	5	5
ESP Adults Adm	-	-	-	-	-	6	6
Total Enrollment					6,043	85,517	91,560

(Total - Excludes ESP Adm)

Expenditures	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 89,754,761	\$ 89,754,761
HHW Children	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 178,722,892	\$ 178,722,892
HHW Pregnant Women	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,936,430	\$ 10,936,430
HIP Caretakers	\$ -	\$ -	\$ 892,712	\$ 2,788,915	\$ 934,036	\$ 2,313,875	\$ 6,924,538
HIP Adults	\$ -	\$ -	\$ 1,599,659	\$ 4,922,870	\$ 1,739,362	\$ 3,871,111	\$ 12,133,002
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ 3	\$ 46	\$ 48
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ 3	\$ 58	\$ 61
Total Claim Cost	\$ -	\$ -	\$ 2,492,370	\$ 7,706,785	\$ 2,673,398	\$ 285,599,069	\$ 298,471,623
ESP Admin	\$ -	\$ -	\$ -	\$ -	\$ 6	\$ 104	\$ 110

Per Member Per Month	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	N/A	N/A	N/A	N/A	\$ -	\$ 8,147.67	\$ 6,875.13
HHW Children	N/A	N/A	N/A	N/A	\$ -	\$ 2,990.38	\$ 2,828.92
HHW Pregnant Women	N/A	N/A	N/A	N/A	\$ -	\$ 759.79	\$ 731.09
HIP Caretakers	N/A	N/A	N/A	N/A	\$ 46,701.81	\$ 10,104.26	\$ 27,809.39
HIP Adults	N/A	N/A	N/A	N/A	\$ 248,480.33	\$ 34,563.49	\$ 101,958.00
ESP Caretakers Adm	N/A	N/A	N/A	N/A	N/A	\$ 9.14	\$ 9.67
ESP Adults Adm	N/A	N/A	N/A	N/A	N/A	\$ 9.71	\$ 10.23
Composite PMPM	N/A	N/A	N/A	#DIV/0!	\$ 442.40	\$ 3,339.68	\$ 3,259.85
Composite Adm PMPM	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9.45	\$ 9.98

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Actual and Projected Experience (Incurred) - Data through December 31, 2013

	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
Enrollment (Mbr Mos.)							
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,348,869	1,337,306	1,336,336	7,969,340
HHW Children	5,766,985	6,234,677	6,574,005	6,635,026	6,692,151	6,642,974	38,545,818
HHW Pregnant Women	334,455	352,518	351,958	337,451	337,054	326,662	2,040,098
HIP Caretakers	71,113	216,627	314,803	330,042	324,289	300,155	1,557,030
HIP Adults	112,673	316,527	226,367	168,762	170,946	137,912	1,133,187
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,447	29,961
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	9,494	37,384
Total Enrollment	7,552,619	8,441,434	8,825,485	8,820,150	8,861,745	8,744,039	51,245,473
{Total - Excludes ESP Adm}							

	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
Expenditures							
HHW Caretakers	\$ 405,657,181	\$ 435,343,663	\$ 397,442,838	\$ 374,969,973	\$ 620,902,241	\$ 633,684,964	\$ 2,868,000,860
HHW Children	\$ 948,694,925	\$ 1,036,825,501	\$ 984,003,700	\$ 931,929,482	\$ 1,365,902,426	\$ 1,337,410,699	\$ 6,604,766,733
HHW Pregnant Women	\$ 149,271,127	\$ 162,136,945	\$ 144,151,638	\$ 153,287,497	\$ 233,751,128	\$ 133,357,934	\$ 975,956,269
HIP Caretakers	\$ 29,206,636	\$ 72,749,365	\$ 120,440,367	\$ 115,477,543	\$ 107,605,423	\$ 126,470,228	\$ 571,949,562
HIP Adults	\$ 58,332,029	\$ 151,091,921	\$ 143,034,134	\$ 89,582,748	\$ 82,735,024	\$ 90,089,431	\$ 614,865,287
ESP Caretakers Adm	\$ 2,941	\$ 14,637	\$ 54,068	\$ 72,977	\$ 74,454	\$ 70,749	\$ 289,827
ESP Adults Adm	\$ 16,167	\$ 41,839	\$ 53,525	\$ 71,108	\$ 88,952	\$ 90,190	\$ 361,780
Total Claim Cost	\$ 1,591,161,896	\$ 1,858,147,395	\$ 1,789,072,676	\$ 1,665,247,243	\$ 2,410,896,242	\$ 2,321,013,256	\$ 11,635,538,711
ESP Admin	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,406	\$ 160,939	\$ 651,607

	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
Per Member Per Month							
HHW Caretakers	\$ 320.07	\$ 329.53	\$ 292.59	\$ 277.99	\$ 464.29	\$ 474.20	\$ 359.88
HHW Children	\$ 164.50	\$ 166.30	\$ 149.68	\$ 140.46	\$ 204.11	\$ 201.33	\$ 171.35
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.25	\$ 693.51	\$ 408.24	\$ 478.39
HIP Caretakers	\$ 410.71	\$ 335.83	\$ 382.59	\$ 349.89	\$ 331.82	\$ 421.35	\$ 367.33
HIP Adults	\$ 517.71	\$ 477.34	\$ 631.87	\$ 530.82	\$ 483.98	\$ 653.24	\$ 542.60
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68
Composite PMPM	\$ 210.68	\$ 220.12	\$ 202.72	\$ 188.80	\$ 272.06	\$ 265.44	\$ 227.05
Composite Adm PMPM	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Cost Effectiveness Review - Through December 31, 2013

	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
Enrollment (Mbr Mos.)						
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,348,869	1,335,266	1,325,320
HHW Children	5,766,985	6,234,677	6,574,005	6,635,026	6,688,740	6,583,208
HHW Pregnant Women	334,455	352,518	351,958	337,451	336,489	312,268
HIP Caretakers	71,113	216,627	314,803	330,042	324,269	299,926
HIP Adults	112,673	316,527	226,367	168,762	170,939	137,801
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,442
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	9,488
Total Enrollment	7,552,619	8,441,434	8,825,485	8,820,150	8,855,703	8,658,523

{Total - Excludes ESP Adm}

	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
PMPM (Without Waiver)						
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 223.88
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 590.56
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 387.68
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Composite PMPM	\$ 216.92	\$ 221.92	\$ 234.43	\$ 245.64	\$ 255.82	\$ 267.23

Without Waiver Expenditures	\$ 1,838,276,734	\$ 1,873,334,296	\$ 2,068,995,252	\$ 2,166,601,073	\$ 2,285,482,308	\$ 2,313,783,467
Federal Share	\$ 1,033,467,182	\$ 1,211,625,790	\$ 1,367,140,338	\$ 1,443,606,295	\$ 1,518,099,695	\$ 1,552,548,706
Diverted DSH (Federal Share)	\$ 53,680,103	\$ 65,612,168	\$ 67,684,893	\$ 63,973,548	\$ 69,457,366	\$ -
Federal Share Without Waiver	\$ 1,087,147,285	\$ 1,277,237,958	\$ 1,435,025,230	\$ 1,507,579,843	\$ 1,587,557,060	\$ 1,552,548,706

	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
PMPM (Actual)						
HHW Caretakers	\$ 320.07	\$ 329.53	\$ 292.59	\$ 277.99	\$ 465.00	\$ 410.41
HHW Children	\$ 164.50	\$ 166.30	\$ 149.68	\$ 140.46	\$ 204.21	\$ 176.01
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.25	\$ 694.68	\$ 392.04
HIP Caretakers	\$ 410.71	\$ 335.83	\$ 379.75	\$ 341.45	\$ 328.96	\$ 413.96
HIP Adults	\$ 517.71	\$ 477.34	\$ 624.80	\$ 501.65	\$ 473.83	\$ 625.67
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
Composite PMPM	\$ 210.68	\$ 220.12	\$ 202.43	\$ 187.93	\$ 271.96	\$ 235.09

With Waiver Expenditures	\$ 1,591,161,898	\$ 1,656,147,395	\$ 1,786,580,306	\$ 1,657,540,458	\$ 2,408,386,244	\$ 2,035,575,022
With Waiver Adm	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,400	\$ 160,835
Federal Share	\$ 1,008,784,258	\$ 1,201,831,519	\$ 1,180,861,398	\$ 1,104,491,250	\$ 1,613,941,322	\$ 1,365,951,257
Federal Share With Waiver	\$ 1,003,754,258	\$ 1,201,831,519	\$ 1,180,861,398	\$ 1,104,491,250	\$ 1,613,941,322	\$ 1,365,951,257

Waiver Margin	\$ 93,393,027	\$ 75,466,438	\$ 251,443,832	\$ 403,088,583	\$ (26,383,662)	\$ (86,597,449)
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**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Budget Neutrality Summary
Includes Experience through December 31, 2013**

Enrollment (Mbr Mos.)	DY1	DY2	DY3	DY4	DY5	DY6
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,348,869	1,337,306	1,336,336
HHW Children	5,766,985	6,234,677	6,574,005	6,635,026	6,692,151	6,642,974
HHW Pregnant Women	334,455	352,518	351,958	337,451	337,054	326,662
HIP Caretakers	71,113	216,627	314,803	330,042	324,289	300,155
HIP Adults	112,673	316,527	226,367	168,762	170,946	137,912
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,447
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	9,494
Total Enrollment	7,552,619	8,441,434	8,825,485	8,820,150	8,861,745	8,744,039

{Total - Excludes ESP Adm}

PMPM (Without Waiver)	DY1	DY2	DY3	DY4	DY5	DY6
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 223.88
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 590.56
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 387.68
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Composite PMPM	\$ 216.92	\$ 221.92	\$ 234.43	\$ 245.64	\$ 255.86	\$ 267.64

Without Waiver Expenditures	\$ 1,638,278,734	\$ 1,873,334,296	\$ 2,068,995,252	\$ 2,166,801,073	\$ 2,267,335,639	\$ 2,340,235,023
Without Waiver DSH	\$ 324,689,011	\$ 335,196,288	\$ 331,555,118	\$ 322,305,361	\$ 329,262,486	N/A
Total Without Waiver Expenditures	\$ 1,962,967,744	\$ 2,208,530,585	\$ 2,400,550,370	\$ 2,489,106,434	\$ 2,596,598,125	\$ 2,340,235,023

PMPM (Actual)	DY1	DY2	DY3	DY4	DY5	DY6
HHW Caretakers	\$ 320.07	\$ 329.53	\$ 292.59	\$ 277.99	\$ 464.29	\$ 474.20
HHW Children	\$ 164.50	\$ 166.30	\$ 149.68	\$ 140.46	\$ 204.11	\$ 201.33
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.25	\$ 693.51	\$ 408.24
HIP Caretakers	\$ 410.71	\$ 335.83	\$ 382.59	\$ 349.89	\$ 331.82	\$ 421.35
HIP Adults	\$ 517.71	\$ 477.34	\$ 631.87	\$ 530.82	\$ 483.98	\$ 653.24
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
Composite PMPM	\$ 210.68	\$ 220.12	\$ 202.72	\$ 188.80	\$ 272.06	\$ 265.44

With Waiver Expenditures	\$ 1,591,161,896	\$ 1,358,147,395	\$ 1,769,072,676	\$ 1,665,247,243	\$ 2,410,896,242	\$ 2,321,013,256
With Waiver Adm	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,406	\$ 160,939
With Waiver DSH	\$ 239,697,306	\$ 233,776,455	\$ 228,800,489	\$ 226,901,744	\$ 225,613,568	N/A
Total With Waiver Expenditures	\$ 1,830,968,312	\$ 2,091,980,326	\$ 2,017,980,769	\$ 1,892,293,072	\$ 2,836,673,217	\$ 2,321,174,195

Waiver Margin	\$ 132,089,432	\$ 118,548,259	\$ 382,569,602	\$ 597,213,363	\$ (40,075,092)	\$ 19,060,828
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**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 1**

Enrollment - Raw Data	CY2008											
	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08
HHW Caretakers	1,267,393	103,541	103,983	104,591	104,072	103,883	104,167	105,569	106,882	107,320	107,689	108,490
HHW Children	5,766,985	466,180	467,412	471,486	473,376	474,583	477,921	481,882	486,979	489,927	491,851	495,711
HHW Pregnant Women	334,455	28,122	27,968	27,924	27,768	27,680	27,618	27,946	27,922	27,671	27,842	28,539
HIP Caretakers	71,113	3	198	929	1,968	3,823	5,505	6,781	8,190	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	274	303
Total Enrollment	7,552,619	597,858	599,874	606,083	609,790	615,068	622,787	631,902	642,067	651,924	658,809	668,127

Enrollment - Completed	CY2008											
	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08
HHW Caretakers	1,267,393	103,541	103,983	104,591	104,072	103,883	104,167	105,569	106,882	107,320	107,689	108,490
HHW Children	5,766,985	466,180	467,412	471,486	473,376	474,583	477,921	481,882	486,979	489,927	491,851	495,711
HHW Pregnant Women	334,455	28,122	27,968	27,924	27,768	27,680	27,618	27,946	27,922	27,671	27,842	28,539
HIP Caretakers	71,113	3	198	929	1,968	3,823	5,505	6,781	8,190	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	274	303
Total Enrollment	7,552,619	597,858	599,874	606,083	609,790	615,068	622,787	631,902	642,067	651,924	658,809	668,127

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 2

Enrollment - Raw Data	CY2009												
	Year to Date	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-09
HHW Caretakers	1,321,085	108,760	109,329	109,416	108,849	109,025	108,715	110,727	111,364	111,178	110,494	111,060	111,138
HHW Children	6,234,677	497,183	500,300	505,398	508,455	514,387	521,530	527,565	531,932	531,484	531,604	531,987	532,852
HHW Pregnant Women	352,518	28,903	29,348	29,227	28,966	29,436	29,686	29,949	29,962	29,491	29,549	29,057	28,954
HIP Caretakers	216,627	13,866	13,865	14,358	14,666	15,563	16,800	18,699	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,741	22,492
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,434	673,133	679,384	686,812	690,121	697,372	705,860	714,292	720,201	718,909	718,075	718,575	718,680

Enrollment - Completed	CY2009												
	Year to Date	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-09
HHW Caretakers	1,321,085	108,760	109,329	109,416	108,849	109,025	109,715	110,727	111,364	111,178	110,494	111,090	111,138
HHW Children	6,234,677	497,183	500,300	505,398	508,455	514,387	521,530	527,565	531,932	531,484	531,604	531,987	532,852
HHW Pregnant Women	352,518	28,903	29,348	29,227	28,966	29,436	29,686	29,949	29,962	29,491	29,549	29,057	28,954
HIP Caretakers	216,627	13,866	13,865	14,358	14,666	15,563	16,800	18,699	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,741	22,492
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,434	673,133	679,384	686,812	690,121	697,372	705,860	714,292	720,201	718,909	718,075	718,575	718,680

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 3**

Enrollment - Raw Data	CY2010												
	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,352	111,466	112,308	112,096	111,723	112,131	112,600	113,762	115,501	115,565	114,498	113,909	112,793
HHW Children	6,574,005	536,312	539,576	541,649	542,083	546,301	548,695	551,647	555,822	554,000	554,606	552,545	530,769
HHW Pregnant Women	351,958	29,003	29,258	29,766	29,382	29,789	29,767	29,575	29,698	29,261	29,167	28,655	28,637
HIP Caretakers	314,803	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,599	26,650	26,894
HIP Adults	226,367	21,463	21,106	20,635	20,031	19,654	19,055	18,735	18,301	17,591	17,179	16,497	16,120
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,485	721,758	726,412	729,276	729,113	734,865	737,318	741,284	746,833	743,108	742,049	738,256	735,213

Enrollment - Completed	CY2010												
	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,352	111,466	112,308	112,096	111,723	112,131	112,600	113,762	115,501	115,565	114,498	113,909	112,793
HHW Children	6,574,005	536,312	539,576	541,649	542,083	546,301	548,695	551,647	555,822	554,000	554,606	552,545	530,769
HHW Pregnant Women	351,958	29,003	29,258	29,766	29,382	29,789	29,767	29,575	29,698	29,261	29,167	28,655	28,637
HIP Caretakers	314,803	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,599	26,650	26,894
HIP Adults	226,367	21,463	21,106	20,635	20,031	19,654	19,055	18,735	18,301	17,591	17,179	16,497	16,120
ESP Caretakers Adm	5,478	269	316	412	444	452	488	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,485	721,758	726,412	729,276	729,113	734,865	737,318	741,284	746,833	743,108	742,049	738,256	735,213

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 4

Enrollment - Raw Data	CY2011												
	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,348,869	113,945	114,222	113,755	112,391	112,286	111,988	111,745	112,285	111,675	112,071	111,698	110,808
HHW Children	6,635,026	550,535	551,574	552,310	550,274	552,364	552,484	552,875	555,935	553,939	555,577	554,724	552,425
HHW Pregnant Women	337,451	28,682	28,551	28,574	28,046	28,372	28,186	28,057	28,223	27,526	27,828	27,662	27,745
HIP Caretakers	330,042	27,241	27,354	27,518	27,748	27,966	27,803	27,567	27,295	27,399	27,476	27,365	27,311
HIP Adults	168,762	15,739	15,328	14,912	14,576	14,381	14,085	13,749	13,411	13,149	13,162	13,141	13,128
ESP Caretakers Adm	7,417	546	557	542	576	612	623	619	643	658	665	684	693
ESP Adults Adm	7,227	532	542	529	562	596	607	603	626	641	647	666	675
Total Enrollment	8,820,150	736,142	737,029	737,069	733,035	735,369	734,556	733,993	737,149	733,688	736,114	734,590	731,417

Enrollment - Completed	CY2011												
	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,348,869	113,945	114,222	113,755	112,391	112,286	111,988	111,745	112,285	111,675	112,071	111,698	110,808
HHW Children	6,635,026	550,535	551,574	552,310	550,274	552,364	552,484	552,875	555,935	553,939	555,577	554,724	552,425
HHW Pregnant Women	337,451	28,682	28,551	28,574	28,046	28,372	28,186	28,057	28,223	27,526	27,828	27,662	27,745
HIP Caretakers	330,042	27,241	27,354	27,518	27,748	27,966	27,803	27,567	27,295	27,399	27,476	27,365	27,311
HIP Adults	168,762	15,739	15,328	14,912	14,576	14,381	14,085	13,749	13,411	13,149	13,162	13,141	13,128
ESP Caretakers Adm	7,417	546	557	542	576	612	623	619	643	658	665	684	693
ESP Adults Adm	7,227	532	542	529	562	596	607	603	626	641	647	666	675
Total Enrollment	8,820,150	736,142	737,029	737,069	733,035	735,369	734,556	733,993	737,149	733,688	736,114	734,590	731,417

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 5**

Enrollment - Raw Data	CY2012											
	Year to Date	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12
HHW Caretakers	1,335,266	111,184	111,597	110,870	110,967	111,058	111,340	111,490	111,745	112,045	111,136	111,221
HHW Children	6,698,740	554,390	555,512	554,578	556,291	557,629	561,936	561,546	560,337	560,225	555,167	553,978
HHW Pregnant Women	336,489	28,115	28,233	28,286	28,417	28,303	28,098	28,187	27,717	28,010	27,309	27,453
HIP Caretakers	324,269	27,318	27,349	27,687	27,306	27,360	27,274	26,828	26,847	26,890	26,189	26,098
HIP Adults	170,939	13,226	13,284	13,604	14,428	15,084	15,073	14,835	14,552	14,296	13,826	13,533
ESP Caretakers Adm	7,837	613	617	640	631	641	668	666	671	682	673	679
ESP Adults Adm	9,363	732	737	765	753	766	797	795	801	814	803	812
Total Enrollment	8,855,703	734,233	735,975	735,035	737,409	739,434	743,825	742,886	741,198	741,466	733,627	732,383

Enrollment - Completed	CY2012											
	Year to Date	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12
HHW Caretakers	1,337,306	111,184	111,597	110,870	110,967	111,058	111,675	111,827	112,083	112,385	111,479	111,567
HHW Children	6,692,151	554,390	555,512	554,578	556,291	557,629	562,373	562,028	560,866	560,816	555,819	554,698
HHW Pregnant Women	337,054	28,115	28,233	28,286	28,417	28,303	28,098	28,278	27,810	28,105	27,406	27,552
HIP Caretakers	324,269	27,318	27,349	27,687	27,306	27,360	27,117	26,830	26,850	26,893	26,193	26,103
HIP Adults	170,946	13,226	13,284	13,604	14,428	15,084	15,074	14,836	14,563	14,298	13,828	13,535
ESP Caretakers Adm	7,837	613	617	640	631	641	668	666	671	682	673	679
ESP Adults Adm	9,363	732	737	765	753	766	798	795	801	814	804	812
Total Enrollment	8,861,745	734,233	735,975	735,035	737,409	739,434	744,690	743,799	742,163	742,497	734,724	733,455

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 6

Enrollment - Raw Data	CY2013												
	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	1,325,320	111,627	111,760	111,974	111,798	112,054	111,553	111,877	111,378	110,965	109,564	107,138	103,632
HHW Children	6,583,208	555,177	554,363	555,103	556,245	556,904	555,549	556,503	554,406	549,753	542,431	530,683	516,071
HHW Pregnant Women	312,268	27,362	27,578	27,794	27,693	27,547	27,629	27,188	27,002	26,002	24,480	21,988	19,416
HIP Caretakers	299,926	25,921	25,476	25,443	25,349	25,276	25,227	25,166	24,871	24,480	24,159	24,152	24,407
HIP Adults	137,801	13,274	12,877	12,530	12,164	11,803	11,471	11,238	10,938	10,698	10,445	10,227	10,135
ESP Caretakers Adm	7,442	655	636	633	626	632	629	629	615	615	600	585	577
ESP Adults Adm	9,488	836	811	808	797	805	799	801	783	783	764	746	735
Total Enrollment	8,658,523	733,361	732,074	732,784	733,249	733,691	731,347	732,413	728,781	721,888	711,079	694,186	673,661

Enrollment - Completed	CY2013												
	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	1,336,336	111,638	111,774	111,992	111,820	112,082	111,591	111,923	111,513	111,706	111,365	110,067	108,885
HHW Children	6,642,574	555,513	554,785	555,580	556,804	557,554	556,298	557,286	555,578	553,417	550,211	546,693	543,256
HHW Pregnant Women	326,862	27,372	27,590	27,748	27,712	27,677	27,579	27,669	27,337	26,885	26,793	26,175	26,123
HIP Caretakers	300,155	25,926	25,482	25,450	25,356	25,285	25,238	25,178	24,886	24,489	24,184	24,193	24,479
HIP Adults	137,912	13,276	12,880	12,533	12,169	11,809	11,478	11,247	10,948	10,711	10,461	10,244	10,156
ESP Caretakers Adm	7,447	655	636	634	626	632	629	629	627	615	601	586	577
ESP Adults Adm	9,494	836	811	808	798	805	802	802	799	784	766	747	736
Total Enrollment	8,744,039	733,726	732,511	733,303	733,861	734,407	732,184	733,302	730,262	727,217	723,014	717,373	712,879