



1115 Waiver Financial Monitoring Report

September 30, 2013

State of Indiana

Family and Social Services Administration

Prepared for:
Carol Briley
Medicaid Operations Supervisor
Family and Social Services Administration

Prepared by:
Christine Mytelka
FSA, MAAA
Consulting Actuary

111 Monument Circle
Suite 601
Indianapolis, IN 46024-5126
USA

Tel +1 317 639-1000
Fax +1 317 639-1001

milliman.com

Table of Contents

EXECUTIVE SUMMARY	1
General Financial Requirements	1
Budget Neutrality Member Months	1
HIP Financial Monitoring Report	1
METHODOLOGY	3
Data	3
Assumptions and Methodology	3
Expenditures Included	3
Enrollment	3
LIMITATIONS	4

Enclosure 1: HIP Financial Monitoring Report

EXECUTIVE SUMMARY

GENERAL FINANCIAL REQUIREMENTS

Under the Special Terms and Conditions associated with the 1115 Waiver, OMPP is required to submit quarterly reports. These reports are used to track enrollment and expenditures and monitor budget neutrality. This report is a key component of the quarterly reporting.

BUDGET NEUTRALITY MEMBER MONTHS

Table 1 illustrates eligible member months by 1115 Waiver eligibility group for the third quarter of DY 6 (July 2013 – September 2013).

Table 1 State of Indiana, Family and Social Services Administration Budget Neutrality Member Months					
Medicaid Eligibility Group (MEG)	Period	Month 1	Month 2	Month 3	Total
HHW Caretakers	Quarter	111,670	111,729	112,723	336,122
HHW Children	Quarter	562,170	562,574	562,319	1,687,064
HHW Pregnant Women	Quarter	28,012	28,075	27,664	83,750
HIP Caretakers	Quarter	25,214	24,923	24,533	74,670
HIP Non-Caretakers	Quarter	11,236	10,937	10,705	32,877

HIP FINANCIAL MONITORING REPORT

Enclosure 1 provides the financial report with data through September 30, 2013. It includes the following information:

1. **Actual Experience To Date:** This summary illustrates the eligibility, expenditures, and per member per month (PMPM) values by population for each Demonstration Year. The expenditures for the HHW and HIP populations reconcile with the quarterly Forms CMS-64. Waiver, project number 11-W-00237. Expenditures are illustrated by the DY in which services were rendered or for which capitation payments were made. Enrollment is illustrated without adjustment for completion.
2. **Projected Experience:** This summary illustrates projected experience for eligibility, enrollment, and PMPM values by population. The projection period begins October 1, 2013, and is based on our analysis of enrollment and expenditure payment patterns to forecast ultimate enrollment and expenditures by Demonstration Year. In addition, enhanced hospital reimbursement was suspended July 1, 2013, pending CMS approval of an extension. The enhanced reimbursement is projected to be paid retroactively.
3. **Actual and Projected Experience.** This summary combines the first summary with projected experience under the 1115 Waiver for the remainder of the Demonstration Year. The values are shown for eligibility, expenditures, and PMPM values.
4. **Waiver Review.** This summary compares the actual experience with the 1115 Waiver – Without Waiver PMPM ceiling amounts. The summary provides actual enrollment through September 30, 2013, the Without Waiver PMPM values, and the actual to date PMPM values. The values are illustrated for each enrollment group. In addition to the PMPM values, a cost effectiveness calculation has been shown on a Federal share basis. The values have been shown for the Without Waiver, With Waiver, and net Cost Effectiveness values.

5. **Budget Neutrality Review.** This summary illustrates the six-year demonstration period for the Without Waiver and With Waiver expenditures. **Please note that Indiana is no longer diverting DSH effective DY6.**
6. **HIP Enrollment Summary.** This summary illustrates the monthly eligibility by population. The values are shown first based on raw data and then with adjustments for completion and projection. The values are based on data through September 30, 2013.

Table 1 and Enclosure 1 of this report are also provided in the enclosed excel workbook: "HIP Monitoring – September 2013.xlsx".

METHODOLOGY

This section provides additional detail on the data, assumptions, and methodology used in this report.

DATA

Medicaid enrollment data is provided by Hewlett Packard, the state's fiscal agent, on a monthly basis. Data through September 30, 2013 was used for this report.

Actual to-date expenditures were provided by FSSA, as reported on the Form CMS 64.9 Waiver, project number 11-W-00237. These were summarized by demonstration year (calendar year), according to dates of service.

ASSUMPTIONS AND METHODOLOGY

Calculations in this report were performed in accord with the 1115 Waiver Special Terms and Conditions, as effective for Demonstration Year 6 (CY 2013):

Expenditures Included

Expenditures in this report include the following:

- Capitation payments.
- Claims expenditures, including all expenditures for fee-for-service enrollees and carved out expenditures for managed care enrollees. Major services not included in the capitation rates include pharmacy, dental, and MRO.
- POWER account contributions provided by the State.
- Pharmacy rebates. Total pharmacy rebates received by the State of Indiana were allocated to population based on pharmacy expenditures.
- Managed care incentive payments.
- HIP Stop Loss payments and reimbursement for ESP transfers.

The mandated increase in physician payment rates is being paid by the State using supplemental payments. These amounts are not included in this report, as specified in the STCs.

Enrollment

Enrollment on the "Actual Experience to Date" and the "Waiver Differential" pages is illustrated without adjustment for completion.

Enrollment on the "Actual and Projected" and "Budget Neutrality" pages is illustrated with completion adjustments and projection through the end of DY 6 (CY 2013). Completion adjustments are derived from an analysis of historical payments patterns for each population. Projections were developed based on historical experience and growth trends.

The last exhibit of Enclosure 1 provides a comparison of actual enrollment to projected enrollment, by population and month.

LIMITATIONS

The information contained in this report has been prepared for the State of Indiana, Family and Social Services Administration and Office of Medicaid Policy and Planning (OMPP). This report has been developed to assist FSSA in submitting financial information to the Centers for Medicaid and Medicare Services (CMS) associated with 1115 Waiver requirements. The data and information presented may not be appropriate for any other purpose.

It is our understanding that the information contained in this report may be utilized in a public document. To the extent that the information contained in this correspondence is provided to any third parties, the correspondence should be distributed in its entirety. Any user of the data must possess a certain level of expertise in actuarial science and healthcare modeling so as not to misinterpret the information presented.

Milliman makes no representations or warranties regarding the contents of this correspondence to third parties. Likewise, third parties are instructed that they are to place no reliance upon this correspondence prepared for OMPP by Milliman that would result in the creation of any duty or liability under any theory of law by Milliman or its employees to third parties.

Milliman has relied upon certain data and information provided by the State of Indiana, Family and Social Services Administration and their vendors. The values presented in this letter are dependent upon this reliance. To the extent that the data was not complete or was inaccurate, the values presented in our report will need to be reviewed for consistency and revised to meet any revised data.

The services provided for this project were performed under the signed Consulting Services Agreement between Milliman and OMPP approved May 14, 2010, and last amended September 23, 2013.

Enclosure 1
HIP Financial Monitoring Report

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Actual Experience (Incurred) - Through September 30, 2013**

Enrollment (Mbr Mos.)	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,336,991	1,325,808	989,840	7,599,469
HHW Children	5,766,985	6,234,677	6,574,005	6,647,670	6,716,216	4,981,136	36,920,689
HHW Pregnant Women	334,455	352,518	351,958	337,614	337,050	235,031	1,948,626
HIP Caretakers	71,113	216,627	314,803	330,030	324,264	227,086	1,483,923
HIP Adults	112,673	316,527	226,367	168,748	170,921	106,935	1,102,171
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	5,680	28,193
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	7,240	35,130
Total Enrollment	7,552,619	8,441,434	8,825,485	8,821,053	8,874,259	6,540,028	49,054,878
{Total - Excludes ESP Adm}							

Expenditures	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	\$ 405,665,165	\$ 435,356,234	\$ 397,446,467	\$ 374,972,414	\$ 620,976,129	\$ 434,764,566	\$ 2,669,180,975
HHW Children	\$ 948,735,566	\$ 1,036,871,250	\$ 984,036,891	\$ 931,948,683	\$ 1,366,075,160	\$ 917,131,830	\$ 6,184,799,380
HHW Pregnant Women	\$ 149,271,243	\$ 162,138,448	\$ 144,152,598	\$ 153,298,713	\$ 233,869,945	\$ 101,758,225	\$ 944,489,172
HIP Caretakers	\$ 29,253,365	\$ 74,963,037	\$ 125,585,674	\$ 119,830,577	\$ 114,978,615	\$ 95,649,085	\$ 560,260,353
HIP Adults	\$ 58,412,780	\$ 153,457,893	\$ 146,334,720	\$ 91,028,044	\$ 89,383,144	\$ 67,787,632	\$ 606,404,213
ESP Caretakers Adm	\$ 2,941	\$ 14,637	\$ 54,068	\$ 72,977	\$ 74,452	\$ 53,958	\$ 273,033
ESP Adults Adm	\$ 16,167	\$ 41,839	\$ 53,525	\$ 71,108	\$ 88,949	\$ 68,784	\$ 340,371
Total Claim Cost	\$ 1,591,338,119	\$ 1,862,786,862	\$ 1,797,556,350	\$ 1,671,078,431	\$ 2,425,282,993	\$ 1,617,091,338	\$ 10,965,134,093
ESP Admin	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,400	\$ 122,742	\$ 613,404

Per Member Per Month	<u>DY 1</u>	<u>DY 2</u>	<u>DY 3</u>	<u>DY 4</u>	<u>DY 5</u>	<u>DY 6</u>	<u>Total</u>
HHW Caretakers	\$ 320.08	\$ 329.54	\$ 292.59	\$ 280.46	\$ 468.38	\$ 439.23	\$ 351.23
HHW Children	\$ 164.51	\$ 166.31	\$ 149.69	\$ 140.19	\$ 203.40	\$ 184.12	\$ 167.52
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.07	\$ 693.87	\$ 432.96	\$ 484.69
HIP Caretakers	\$ 411.36	\$ 346.05	\$ 398.93	\$ 363.09	\$ 354.58	\$ 421.20	\$ 377.55
HIP Adults	\$ 518.43	\$ 484.82	\$ 646.45	\$ 539.43	\$ 522.95	\$ 633.91	\$ 550.19
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68
Composite PMPM	\$ 210.70	\$ 220.67	\$ 203.68	\$ 189.44	\$ 273.29	\$ 247.26	\$ 223.53
Composite Adm PMPM	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.69

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Projected Expenditures (Including Enrollment Completion) - Beginning October 01, 2013

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	-	-	-	-	37	352,268	352,304
HHW Children	-	-	-	-	2,588	1,753,540	1,756,128
HHW Pregnant Women	-	-	-	-	74	98,390	98,464
HIP Caretakers	-	-	-	-	42	76,224	76,266
HIP Adults	-	-	-	-	13	34,654	34,667
ESP Caretakers Adm	-	-	-	-	-	1,882	1,882
ESP Adults Adm	-	-	-	-	1	2,477	2,478
Total Enrollment	-	-	-	-	2,754	2,315,076	2,317,830
{Total - Excludes ESP Adm}							

Expenditures	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ -	\$ -	\$ -	\$ -	\$ -	201,850,374	201,850,374
HHW Children	\$ -	\$ -	\$ -	\$ -	\$ -	413,937,717	413,937,717
HHW Pregnant Women	\$ -	\$ -	\$ -	\$ -	\$ -	40,593,165	40,593,165
HIP Caretakers	\$ -	\$ -	\$ -	\$ -	\$ -	32,887,157	32,887,157
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	20,142,517	20,142,517
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	4	17,879	17,883
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	5	23,531	23,536
Total Claim Cost	\$ -	\$ -	\$ -	\$ -	\$ -	709,410,929	709,410,929
ESP Admin	\$ -	\$ -	\$ -	\$ -	9	41,410	41,419

Per Member Per Month	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	N/A	N/A	N/A	N/A	\$ -	573.00	572.94
HHW Children	N/A	N/A	N/A	N/A	\$ -	236.06	235.71
HHW Pregnant Women	N/A	N/A	N/A	N/A	\$ -	412.57	412.26
HIP Caretakers	N/A	N/A	N/A	N/A	\$ -	431.45	431.22
HIP Adults	N/A	N/A	N/A	N/A	\$ -	581.25	581.03
ESP Caretakers Adm	N/A	N/A	N/A	N/A	N/A	9.50	9.50
ESP Adults Adm	N/A	N/A	N/A	N/A	\$ 5.18	9.50	9.50
Composite PMPM	N/A	N/A	N/A	N/A	\$ -	306.43	306.07
Composite Adm PMPM	\$ -	\$ -	\$ -	\$ -	\$ 9.34	\$ 9.50	\$ 9.50

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Actual and Projected Experience (Incurred) - Data through September 30, 2013**

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,336,991	1,325,845	1,342,107	7,951,773
HHW Children	5,766,985	6,234,677	6,574,005	6,647,670	6,718,804	6,734,676	38,676,817
HHW Pregnant Women	334,455	352,518	351,958	337,614	337,124	333,421	2,047,090
HIP Caretakers	71,113	216,627	314,803	330,030	324,306	303,310	1,560,189
HIP Adults	112,673	316,527	226,367	168,748	170,934	141,589	1,136,838
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,562	30,075
ESP Adults Adm	1,638	4,239	5,423	7,227	9,364	9,717	37,608
Total Enrollment	7,552,619	8,441,434	8,825,485	8,821,053	8,877,013	8,855,104	51,372,708
{Total - Excludes ESP Adm}							

Expenditures	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 405,665,165	\$ 435,356,234	\$ 397,446,467	\$ 374,972,414	\$ 620,976,129	\$ 636,614,940	\$ 2,871,031,349
HHW Children	\$ 948,735,566	\$ 1,036,871,250	\$ 984,036,891	\$ 931,948,683	\$ 1,366,075,160	\$ 1,331,069,547	\$ 6,598,737,097
HHW Pregnant Women	\$ 149,271,243	\$ 162,138,448	\$ 144,152,598	\$ 153,298,713	\$ 233,869,945	\$ 142,351,390	\$ 985,082,337
HIP Caretakers	\$ 29,253,365	\$ 74,963,037	\$ 125,585,674	\$ 119,830,577	\$ 114,978,615	\$ 128,536,242	\$ 593,147,510
HIP Adults	\$ 58,412,780	\$ 153,457,893	\$ 146,334,720	\$ 91,028,044	\$ 89,383,144	\$ 87,930,149	\$ 626,546,730
ESP Caretakers Adm	\$ 2,941	\$ 14,637	\$ 54,068	\$ 72,977	\$ 74,456	\$ 71,837	\$ 290,916
ESP Adults Adm	\$ 16,167	\$ 41,839	\$ 53,525	\$ 71,108	\$ 88,954	\$ 92,315	\$ 363,908
Total Claim Cost	\$ 1,591,338,119	\$ 1,862,786,862	\$ 1,797,556,350	\$ 1,671,078,431	\$ 2,425,282,993	\$ 2,326,502,267	\$ 11,674,545,022
ESP Admin	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,409	\$ 164,152	\$ 654,823

Per Member Per Month	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	Total
HHW Caretakers	\$ 320.08	\$ 329.54	\$ 292.59	\$ 280.46	\$ 468.36	\$ 474.34	\$ 361.06
HHW Children	\$ 164.51	\$ 166.31	\$ 149.69	\$ 140.19	\$ 203.32	\$ 197.64	\$ 170.61
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.07	\$ 693.72	\$ 426.94	\$ 481.21
HIP Caretakers	\$ 411.36	\$ 346.05	\$ 398.93	\$ 363.09	\$ 354.54	\$ 423.78	\$ 380.18
HIP Adults	\$ 518.43	\$ 484.82	\$ 646.45	\$ 539.43	\$ 522.91	\$ 621.02	\$ 551.13
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.68
Composite PMPM	\$ 210.70	\$ 220.67	\$ 203.68	\$ 189.44	\$ 273.21	\$ 262.73	\$ 227.25
Composite Adm PMPM	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50	\$ 9.67

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment and Expenditure Summary
Cost Effectiveness Review - Through September 30, 2013

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,336,991	1,325,808	989,840
HHW Children	5,766,985	6,234,677	6,574,005	6,647,670	6,716,216	4,981,136
HHW Pregnant Women	334,455	352,518	351,958	337,614	337,050	235,031
HIP Caretakers	71,113	216,627	314,803	330,030	324,264	227,086
HIP Adults	112,673	316,527	226,367	168,748	170,921	106,935
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	5,680
ESP Adults Adm	1,638	4,239	5,423	7,227	9,363	7,240
Total Enrollment	7,552,619	8,441,434	8,825,485	8,821,053	8,874,259	6,540,028
{Total - Excludes ESP Adm}						

PMPM (Without Waiver)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 224
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 591
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 388
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Composite PMPM	\$ 216.92	\$ 221.92	\$ 234.43	\$ 245.42	\$ 255.57	\$ 266.78

Without Waiver Expenditures	\$ 1,638,278,734	\$ 1,873,334,296	\$ 2,068,995,252	\$ 2,164,847,923	\$ 2,268,003,778	\$ 1,744,751,363
Federal Share	\$ 1,033,467,182	\$ 1,211,625,790	\$ 1,367,140,338	\$ 1,442,438,171	\$ 1,519,789,332	\$ 1,170,728,165
Diverted DSH (Federal Share)	\$ 52,691,988	\$ 60,820,051	\$ 59,730,335	\$ 54,360,054	\$ 57,459,929	\$ -
Federal Share Without Waiver	\$ 1,086,159,170	\$ 1,272,445,840	\$ 1,426,870,673	\$ 1,496,798,225	\$ 1,577,249,260	\$ 1,170,728,165

PMPM (Actual)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 320.08	\$ 329.54	\$ 292.59	\$ 280.46	\$ 468.38	\$ 439.23
HHW Children	\$ 164.51	\$ 166.31	\$ 149.69	\$ 140.19	\$ 203.40	\$ 184.12
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.07	\$ 693.87	\$ 432.96
HIP Caretakers	\$ 411.36	\$ 346.05	\$ 398.93	\$ 363.09	\$ 354.58	\$ 421.20
HIP Adults	\$ 518.43	\$ 484.82	\$ 646.45	\$ 539.43	\$ 522.95	\$ 633.91
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
Composite PMPM	\$ 210.70	\$ 220.67	\$ 203.68	\$ 189.44	\$ 273.31	\$ 247.28

With Waiver Expenditures	\$ 1,591,338,119	\$ 1,862,786,862	\$ 1,797,556,350	\$ 1,671,078,431	\$ 2,425,446,393	\$ 1,617,214,080
With Waiver Adm	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085		
Federal Share	\$ 1,003,865,423	\$ 1,204,832,211	\$ 1,187,834,094	\$ 1,113,511,601	\$ 1,625,291,628	\$ 1,085,150,648
Federal Share With Waiver	\$ 1,003,865,423	\$ 1,204,832,211	\$ 1,187,834,094	\$ 1,113,511,601	\$ 1,625,291,628	\$ 1,085,150,648
Waiver Margin	\$ 82,293,747	\$ 67,613,630	\$ 239,036,579	\$ 383,286,624	\$ (48,042,368)	\$ 85,577,517

State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Budget Neutrality Summary
Includes Experience through September 30, 2013

Enrollment (Mbr Mos.)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	1,267,393	1,321,085	1,358,352	1,336,991	1,325,845	1,342,107
HHW Children	5,766,985	6,234,677	6,574,005	6,647,670	6,718,804	6,734,676
HHW Pregnant Women	334,455	352,518	351,958	337,614	337,124	333,421
HIP Caretakers	71,113	216,627	314,803	330,030	324,306	303,310
HIP Adults	112,673	316,527	226,367	168,748	170,934	141,589
ESP Caretakers Adm	298	1,483	5,478	7,417	7,837	7,562
ESP Adults Adm	1,638	4,239	5,423	7,227	9,364	9,717
Total Enrollment	7,552,619	8,441,434	8,825,485	8,821,053	8,877,013	8,855,104
{Total - Excludes ESP Adm}						

PMPM (Without Waiver)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 328.07	\$ 342.50	\$ 357.57	\$ 373.30	\$ 389.73	\$ 406.88
HHW Children	\$ 180.51	\$ 188.45	\$ 196.74	\$ 205.40	\$ 214.44	\$ 223.88
HHW Pregnant Women	\$ 476.17	\$ 497.12	\$ 519.00	\$ 541.83	\$ 565.67	\$ 590.56
HIP Caretakers	\$ 312.59	\$ 326.34	\$ 340.70	\$ 355.69	\$ 371.34	\$ 387.68
HIP Adults	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Caretakers Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ESP Adults Adm	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Composite PMPM	\$ 216.92	\$ 221.92	\$ 234.43	\$ 245.42	\$ 255.56	\$ 267.45

Without Waiver Expenditures	\$ 1,638,278,734	\$ 1,873,334,296	\$ 2,068,995,252	\$ 2,164,847,923	\$ 2,268,630,815	\$ 2,368,317,396
Without Waiver DSH	\$ 324,689,006	\$ 335,196,184	\$ 331,554,676	\$ 322,745,265	\$ 326,908,602	\$ 326,908,602
Total Without Waiver Expenditures	\$ 1,962,967,740	\$ 2,208,530,481	\$ 2,400,549,928	\$ 2,487,593,188	\$ 2,595,539,417	\$ 2,695,225,998

PMPM (Actual)	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6
HHW Caretakers	\$ 320.08	\$ 329.54	\$ 292.59	\$ 280.46	\$ 468.36	\$ 474.34
HHW Children	\$ 164.51	\$ 166.31	\$ 149.69	\$ 140.19	\$ 203.32	\$ 197.64
HHW Pregnant Women	\$ 446.31	\$ 459.94	\$ 409.57	\$ 454.07	\$ 693.72	\$ 426.94
HIP Caretakers	\$ 411.36	\$ 346.05	\$ 398.93	\$ 363.09	\$ 354.54	\$ 423.78
HIP Adults	\$ 518.43	\$ 484.82	\$ 646.45	\$ 539.43	\$ 522.91	\$ 621.02
ESP Caretakers Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
ESP Adults Adm	\$ 9.87	\$ 9.87	\$ 9.87	\$ 9.84	\$ 9.50	\$ 9.50
Composite PMPM	\$ 210.70	\$ 220.67	\$ 203.68	\$ 189.44	\$ 273.21	\$ 262.73

With Waiver Expenditures	\$ 1,591,338,119	\$ 1,862,786,862	\$ 1,797,556,350	\$ 1,671,078,431	\$ 2,425,282,993	\$ 2,326,502,267
With Waiver Adm	\$ 19,108	\$ 56,476	\$ 107,593	\$ 144,085	\$ 163,409	\$ 164,152
With Waiver DSH	\$ 241,160,313	\$ 241,160,313	\$ 241,160,313	\$ 241,160,313	\$ 241,160,313	\$ 326,908,602
Total With Waiver Expenditures	\$ 1,832,517,540	\$ 2,104,003,651	\$ 2,038,824,256	\$ 1,912,382,829	\$ 2,666,606,715	\$ 2,653,575,022

Waiver Margin	\$ 130,450,199	\$ 104,526,830	\$ 361,725,672	\$ 575,210,359	\$ (71,067,298)	\$ 41,650,976
----------------------	-----------------------	-----------------------	-----------------------	-----------------------	------------------------	----------------------

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 1**

CY2008													
Enrollment - Raw Data	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,393	103,541	103,983	104,591	104,072	103,883	104,167	105,569	106,882	107,206	107,320	107,689	108,490
HHW Children	5,766,985	466,180	467,412	471,486	473,376	474,583	477,921	481,882	486,979	489,927	489,677	491,851	495,711
HHW Pregnant Women	334,455	28,122	27,968	27,924	27,768	27,680	27,618	27,946	27,822	27,555	27,671	27,842	28,539
HIP Caretakers	71,113	3	198	929	1,968	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,619	597,858	599,874	606,083	609,790	615,068	622,787	631,902	642,067	648,330	651,924	658,809	668,127

CY2008													
Enrollment - Completed	Year to Date	Jan-08	Feb-08	Mar-08	Apr-08	May-08	Jun-08	Jul-08	Aug-08	Sep-08	Oct-08	Nov-08	Dec-08
HHW Caretakers	1,267,393	103,541	103,983	104,591	104,072	103,883	104,167	105,569	106,882	107,206	107,320	107,689	108,490
HHW Children	5,766,985	466,180	467,412	471,486	473,376	474,583	477,921	481,882	486,979	489,927	489,677	491,851	495,711
HHW Pregnant Women	334,455	28,122	27,968	27,924	27,768	27,680	27,618	27,946	27,822	27,555	27,671	27,842	28,539
HIP Caretakers	71,113	3	198	929	1,968	3,823	5,505	6,781	8,190	9,192	10,342	11,463	12,719
HIP Adults	112,673	12	313	1,153	2,606	5,099	7,576	9,724	12,194	14,450	16,914	19,964	22,668
ESP Caretakers Adm	298	-	1	2	18	29	28	29	37	31	32	42	49
ESP Adults Adm	1,638	1	8	28	53	82	129	143	159	204	254	274	303
Total Enrollment	7,552,619	597,858	599,874	606,083	609,790	615,068	622,787	631,902	642,067	648,330	651,924	658,809	668,127

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 2**

CY2009													
Enrollment - Raw Data	Year to Date	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-09
HHW Caretakers	1,321,085	108,760	109,329	109,416	108,849	109,025	109,715	110,727	111,364	111,178	110,494	111,090	111,138
HHW Children	6,234,677	497,183	500,300	505,398	508,455	514,387	521,530	527,565	531,932	531,484	531,604	531,987	532,852
HHW Pregnant Women	352,518	28,903	29,348	29,227	28,966	29,436	29,686	29,949	29,952	29,491	29,549	29,057	28,954
HIP Caretakers	216,627	13,386	13,865	14,358	14,666	15,563	16,800	18,699	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,741	22,492
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,434	673,133	679,384	686,812	690,121	697,372	705,880	714,292	720,201	718,909	718,075	718,575	718,680

CY2009													
Enrollment - Completed	Year to Date	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09	Aug-09	Sep-09	Oct-09	Nov-09	Dec-09
HHW Caretakers	1,321,085	108,760	109,329	109,416	108,849	109,025	109,715	110,727	111,364	111,178	110,494	111,090	111,138
HHW Children	6,234,677	497,183	500,300	505,398	508,455	514,387	521,530	527,565	531,932	531,484	531,604	531,987	532,852
HHW Pregnant Women	352,518	28,903	29,348	29,227	28,966	29,436	29,686	29,949	29,952	29,491	29,549	29,057	28,954
HIP Caretakers	216,627	13,386	13,865	14,358	14,666	15,563	16,800	18,699	20,409	21,190	21,747	22,700	23,244
HIP Adults	316,527	24,901	26,542	28,413	29,185	28,961	28,149	27,352	26,544	25,566	24,681	23,741	22,492
ESP Caretakers Adm	1,483	53	55	65	74	93	103	135	151	165	183	188	218
ESP Adults Adm	4,239	330	358	376	392	380	364	365	358	334	332	324	326
Total Enrollment	8,441,434	673,133	679,384	686,812	690,121	697,372	705,880	714,292	720,201	718,909	718,075	718,575	718,680

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 3**

CY2010													
Enrollment - Raw Data	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,352	111,466	112,308	112,096	111,723	112,131	112,600	113,762	115,501	115,565	114,498	113,909	112,793
HHW Children	6,574,005	536,312	539,576	541,649	542,083	546,301	548,695	551,647	555,822	554,000	554,606	552,545	550,769
HHW Pregnant Women	351,958	29,003	29,258	29,766	29,382	29,789	29,767	29,575	29,698	29,261	29,167	28,655	28,637
HIP Caretakers	314,803	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,599	26,650	26,894
HIP Adults	226,367	21,463	21,106	20,635	20,031	19,654	19,055	18,735	18,301	17,591	17,179	16,497	16,120
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,485	721,758	726,412	729,276	729,113	734,865	737,318	741,284	746,833	743,108	742,049	738,256	735,213

CY2010													
Enrollment - Completed	Year to Date	Jan-10	Feb-10	Mar-10	Apr-10	May-10	Jun-10	Jul-10	Aug-10	Sep-10	Oct-10	Nov-10	Dec-10
HHW Caretakers	1,358,352	111,466	112,308	112,096	111,723	112,131	112,600	113,762	115,501	115,565	114,498	113,909	112,793
HHW Children	6,574,005	536,312	539,576	541,649	542,083	546,301	548,695	551,647	555,822	554,000	554,606	552,545	550,769
HHW Pregnant Women	351,958	29,003	29,258	29,766	29,382	29,789	29,767	29,575	29,698	29,261	29,167	28,655	28,637
HIP Caretakers	314,803	23,514	24,164	25,130	25,894	26,990	27,201	27,565	27,511	26,691	26,599	26,650	26,894
HIP Adults	226,367	21,463	21,106	20,635	20,031	19,654	19,055	18,735	18,301	17,591	17,179	16,497	16,120
ESP Caretakers Adm	5,478	269	316	412	444	452	489	502	493	495	515	538	553
ESP Adults Adm	5,423	335	344	361	404	427	488	503	503	534	531	500	493
Total Enrollment	8,825,485	721,758	726,412	729,276	729,113	734,865	737,318	741,284	746,833	743,108	742,049	738,256	735,213

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 4**

CY2011													
Enrollment - Raw Data	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,336,991	112,834	113,122	112,668	111,332	111,275	110,998	110,767	111,316	110,740	111,171	110,821	109,947
HHW Children	6,647,670	551,146	552,349	553,223	551,117	553,401	553,439	554,032	557,205	555,167	556,933	556,023	553,635
HHW Pregnant Women	337,614	28,688	28,564	28,591	28,077	28,393	28,209	28,082	28,239	27,538	27,833	27,657	27,743
HIP Caretakers	330,030	27,273	27,385	27,534	27,762	27,980	27,819	27,568	27,279	27,377	27,444	27,328	27,281
HIP Adults	168,748	15,704	15,294	14,893	14,560	14,365	14,067	13,746	13,425	13,169	13,192	13,176	13,157
ESP Caretakers Adm	7,417	580	589	559	591	627	640	621	628	637	634	648	663
ESP Adults Adm	7,227	498	510	512	547	581	590	601	641	662	678	702	705
Total Enrollment	8,821,053	735,645	736,714	736,909	732,848	735,414	734,532	734,195	737,464	733,991	736,573	735,005	731,763

CY2011													
Enrollment - Completed	Year to Date	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11
HHW Caretakers	1,336,991	112,834	113,122	112,668	111,332	111,275	110,998	110,767	111,316	110,740	111,171	110,821	109,947
HHW Children	6,647,670	551,146	552,349	553,223	551,117	553,401	553,439	554,032	557,205	555,167	556,933	556,023	553,635
HHW Pregnant Women	337,614	28,688	28,564	28,591	28,077	28,393	28,209	28,082	28,239	27,538	27,833	27,657	27,743
HIP Caretakers	330,030	27,273	27,385	27,534	27,762	27,980	27,819	27,568	27,279	27,377	27,444	27,328	27,281
HIP Adults	168,748	15,704	15,294	14,893	14,560	14,365	14,067	13,746	13,425	13,169	13,192	13,176	13,157
ESP Caretakers Adm	7,417	580	589	559	591	627	640	621	628	637	634	648	663
ESP Adults Adm	7,227	498	510	512	547	581	590	601	641	662	678	702	705
Total Enrollment	8,821,053	735,645	736,714	736,909	732,848	735,414	734,532	734,195	737,464	733,991	736,573	735,005	731,763

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 5**

CY2012													
Enrollment - Raw Data	<u>Year to Date</u>	<u>Jan-12</u>	<u>Feb-12</u>	<u>Mar-12</u>	<u>Apr-12</u>	<u>May-12</u>	<u>Jun-12</u>	<u>Jul-12</u>	<u>Aug-12</u>	<u>Sep-12</u>	<u>Oct-12</u>	<u>Nov-12</u>	<u>Dec-12</u>
HHW Caretakers	1,325,808	110,332	110,766	110,041	110,144	110,242	109,798	110,511	110,692	111,005	111,317	110,429	110,531
HHW Children	6,716,216	555,863	557,240	556,421	558,289	559,890	559,450	564,363	564,219	562,900	562,825	558,024	556,732
HHW Pregnant Women	337,050	28,098	28,223	28,285	28,424	28,312	28,120	28,405	28,242	27,794	28,115	27,439	27,593
HIP Caretakers	324,264	27,345	27,376	27,729	27,322	27,364	27,279	27,119	26,815	26,827	26,868	26,155	26,065
HIP Adults	170,921	13,198	13,256	13,571	14,411	15,078	15,190	15,068	14,844	14,570	14,315	13,857	13,563
ESP Caretakers Adm	7,837	640	644	672	647	645	663	672	656	652	661	639	646
ESP Adults Adm	9,363	705	710	733	737	762	781	793	805	820	835	837	845
Total Enrollment	8,874,259	734,836	736,861	736,047	738,590	740,886	739,837	745,466	744,812	743,096	743,440	735,904	734,484

CY2012													
Enrollment - Completed	<u>Year to Date</u>	<u>Jan-12</u>	<u>Feb-12</u>	<u>Mar-12</u>	<u>Apr-12</u>	<u>May-12</u>	<u>Jun-12</u>	<u>Jul-12</u>	<u>Aug-12</u>	<u>Sep-12</u>	<u>Oct-12</u>	<u>Nov-12</u>	<u>Dec-12</u>
HHW Caretakers	1,325,845	110,332	110,766	110,041	110,144	110,244	109,800	110,513	110,695	111,009	111,323	110,437	110,541
HHW Children	6,718,804	555,863	557,240	556,421	558,289	560,022	559,628	564,588	564,497	563,240	563,230	558,497	557,289
HHW Pregnant Women	337,124	28,098	28,223	28,285	28,424	28,317	28,126	28,413	28,251	27,803	28,126	27,451	27,607
HIP Caretakers	324,306	27,345	27,376	27,729	27,322	27,367	27,282	27,123	26,819	26,832	26,874	26,162	26,074
HIP Adults	170,934	13,198	13,256	13,571	14,411	15,079	15,191	15,069	14,845	14,572	14,317	13,859	13,566
ESP Caretakers Adm	7,837	640	644	672	647	645	663	672	656	652	661	639	646
ESP Adults Adm	9,364	705	710	733	737	762	781	793	805	820	835	837	845
Total Enrollment	8,877,013	734,836	736,861	736,047	738,590	741,029	740,027	745,706	745,108	743,457	743,869	736,407	735,077

**State of Indiana
Family and Social Services Administration
Healthy Indiana Plan - 1115 Demonstration Waiver
Enrollment Summary - Demonstration Year 6**

CY2013													
Enrollment - Raw Data	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	989,840	110,950	111,119	111,342	111,175	111,372	110,258	109,662	107,713	106,249	-	-	-
HHW Children	4,981,136	557,778	557,276	557,905	559,258	559,931	556,001	552,586	545,664	534,737	-	-	-
HHW Pregnant Women	235,031	27,503	27,726	27,876	27,823	27,689	26,826	25,439	23,479	20,671	-	-	-
HIP Caretakers	227,086	25,907	25,447	25,425	25,334	25,274	25,235	25,165	24,860	24,440	-	-	-
HIP Adults	106,935	13,281	12,898	12,538	12,167	11,793	11,446	11,218	10,917	10,677	-	-	-
ESP Caretakers Adm	5,680	644	611	620	617	637	646	641	640	624	-	-	-
ESP Adults Adm	7,240	847	836	821	806	800	785	789	783	774	-	-	-
Total Enrollment	6,540,028	735,419	734,465	735,087	735,756	736,059	729,765	724,070	712,633	696,774	-	-	-

CY2013													
Enrollment - Completed	Year to Date	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13
HHW Caretakers	1,342,107	110,965	111,138	111,370	111,219	111,505	111,056	111,670	111,729	112,723	112,817	112,910	113,004
HHW Children	6,734,676	558,549	558,156	558,901	560,409	561,505	560,335	562,170	562,574	562,319	562,786	563,252	563,720
HHW Pregnant Women	333,421	27,522	27,749	27,906	27,869	27,848	27,786	28,012	28,075	27,664	27,664	27,664	27,664
HIP Caretakers	303,310	25,919	25,462	25,443	25,358	25,304	25,273	25,214	24,923	24,533	25,293	25,293	25,293
HIP Adults	141,589	13,286	12,904	12,546	12,177	11,804	11,460	11,236	10,937	10,705	11,512	11,512	11,512
ESP Caretakers Adm	7,562	644	611	620	617	637	647	642	642	625	625	625	625
ESP Adults Adm	9,717	847	836	821	806	801	786	790	785	776	823	823	823
Total Enrollment	8,855,104	736,241	735,409	736,167	737,031	737,967	735,910	738,302	738,238	737,944	740,071	740,631	741,192