Basic State Information

Welcome!

We already have some information about your state from our records. If any information is incorrect, please contact the CARTS Help Desk.

1. State or territory name:

Rhode Island

2. Program type:

- Both Medicaid Expansion CHIP and Separate CHIP
- Medicaid Expansion CHIP only
- Separate CHIP only

3. CHIP program name(s):

All, Rite Care/Rite Share
Who should we contact if we have any questions about your report?

4. Contact name:

Mark Kraics

5. Job title:

Managed Care Director

6. Email:

Mark.Kraics@ohhs.ri.gov

7. Full mailing address:

Include city, state, and zip code.

3 West Road Virks Building Cranston, RI 02920

8. Phone number:

401-462-3516
PRA Disclosure Statement.

This information is being collected to assist the Centers for Medicare & Medicaid Services (CMS) in partnership with States with the ongoing management of Medicaid and CHIP programs and policies. This mandatory information collection (42 U.S.C. 1397hh) will be used to help each state meet the statutory requirements at section 2108(a) of the Social Security Act to assess the operation of the State child health plan in each Federal fiscal year and to report the results of the assessment including the progress made in reducing the number of uncovered, low-income children. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148 (CMS-10398 #1). The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 Security Boulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.
Program Fees and Policy Changes

Part 1: Medicaid Expansion CHIP Enrollment Fees, Premiums, and Delivery Systems

Part 2: Separate CHIP Enrollment Fees, Premiums, and Delivery Systems

Part 3: Medicaid Expansion CHIP Program and Policy Changes

Part 4: Separate CHIP Program and Policy Changes

Enrollment and Uninsured Data

Part 1: Number of Children Enrolled in CHIP

This table is pre-filled with your SEDS data for the two most recent federal fiscal years (FFY). If the information is inaccurate, adjust your data in SEDS (go to line 7: "Unduplicated Number Ever Enrolled" in your fourth quarter SEDS report) and then refresh this page. If you're adjusting data in SEDS, allow one business day for the CARTS data below to update.
<table>
<thead>
<tr>
<th>Program</th>
<th>Number of children enrolled in FFY 2019</th>
<th>Number of children enrolled in FFY 2020</th>
<th>Percent change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medicaid Expansion CHIP</td>
<td>35,429</td>
<td>30,756</td>
<td>-13.19%</td>
</tr>
<tr>
<td>Separate CHIP</td>
<td>1,720</td>
<td>1,770</td>
<td>2.907%</td>
</tr>
</tbody>
</table>

1. If you had more than a 3% percent change from last year, what are some possible reasons why your enrollment numbers changed?

Prior to COVID, Rhode Island experienced continued improvements in economy that led to a significant reduction in overall Medicaid enrollment that also translated into reduced CHIP enrollment.

**Part 2: Number of Uninsured Children in Your State**

This table is pre-filled with data on uninsured children (age 18 and under) who are below 200% of the Federal Poverty Level (FPL) based on annual estimates from the American Community Survey.
<table>
<thead>
<tr>
<th>Year</th>
<th>Number of uninsured children</th>
<th>Margin of error</th>
<th>Percent of uninsured children (of total children in your state)</th>
<th>Margin of error</th>
</tr>
</thead>
<tbody>
<tr>
<td>2015</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>2016</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>2017</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>2018</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>2019</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Percent change between 2018 and 2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not Available</td>
</tr>
</tbody>
</table>

2.

Are there any reasons why the American Community Survey estimates wouldn't be a precise representation of the actual number of uninsured children in your state?

- [ ] Yes
- [ ] No
3. Do you have any alternate data source(s) or methodology for measuring the number and/or percent of uninsured children in your state?

☐ Yes

☐ No

4. Is there anything else you'd like to add about your enrollment and uninsured data?

5. Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
Eligibility, Enrollment, and Operations

Program Outreach

1.

Have you changed your outreach methods in the last federal fiscal year?

☐ Yes

☐ No

2.

Are you targeting specific populations in your outreach efforts?

For example: minorities, immigrants, or children living in rural areas.

☐ Yes

☐ No

3. What methods have been most effective in reaching low-income, uninsured children?

For example: TV, school outreach, or word of mouth.

Historically, contracting with community-based organization (CBOs) was the most effective.

4. Is there anything else you'd like to add about your outreach efforts?
5.

Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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**Eligibility, Enrollment, and Operations**

**Substitution of Coverage**

Substitution of coverage (also known as crowd-out) occurs when someone with private insurance drops their private coverage and substitutes it with publicly funded insurance such as CHIP.

1.

Do you track the number of CHIP enrollees who have access to private insurance?

- [ ] Yes
- [X] No
- [ ] N/A
2. Do you match prospective CHIP enrollees to a database that details private insurance status?

○ Yes

○ No

○ N/A

5. Is there anything else you'd like to add about substitution of coverage that wasn't already covered? Did you run into any limitations when collecting data?


Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.
Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
Eligibility, Enrollment, and Operations

Renewal, Denials, and Retention

Part 1: Eligibility Renewal and Retention

1. Does your state provide presumptive eligibility, allowing children to access CHIP services pending a final determination of eligibility?  
   This question should only be answered in respect to Separate CHIP.
   
   ○ Yes

   ● No

   ○ N/A

2. In an effort to retain children in CHIP, do you conduct follow-up communication with families through caseworkers and outreach workers?

   ○ Yes

   ● No
3. Do you send renewal reminder notices to families?

- Yes
- No

4. What else have you done to simplify the eligibility renewal process for families?

EOHHHA is able to validate certain eligibility criteria to passively renew families.

5. Which retention strategies have you found to be most effective?

- Streamlining processes in the administrative/application process. Improving the clarity of communications to families.

6. How do you measure the effectiveness of your retention strategies? What data sources and methodology do you use to track retention?

Data analysts in-house track enrollment churn using data in our Eligibility system to identify abnormalities and issues.

7. Is there anything else you'd like to add that wasn't already covered?

Not at this time.
Part 2: CHIP Eligibility Denials (Not Redetermination)

1. How many applicants were denied CHIP coverage in FFY 2020?

Don't include applicants being considered for redetermination - this data will be collected in Part 3.

1106

2. How many applicants were denied CHIP coverage for procedural reasons?

For example: They were denied because of an incomplete application, missing documentation, or a missing enrollment fee.

49
3. How many applicants were denied CHIP coverage for eligibility reasons?
For example: They were denied because their income was too high or too low, they were determined eligible for Medicaid instead, or they had other coverage available.

1024

3a. How many applicants were denied CHIP (Title XXI) coverage and determined eligible for Medicaid (Title XIX) instead?

33

4. How many applicants were denied CHIP coverage for other reasons?

0

5. Did you have any limitations in collecting this data?

This table was populated by looking at all denials for applicants whose age would have qualified them for CHIP and were subsequently denied. The value for 1bi is low because this number only includes individuals where EOHHS can find both a denial record for CHIP along with an Approval for Medicaid and would not include individuals who were initially placed in Medicaid with no CHIP denial.
Table: CHIP Eligibility Denials (Not Redetermination)

This table is auto-populated with the data you entered above.

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total denials</td>
<td>1106</td>
<td>100%</td>
</tr>
<tr>
<td>Denied for procedural reasons</td>
<td>49</td>
<td>4.43%</td>
</tr>
<tr>
<td>Denied for eligibility reasons</td>
<td>1024</td>
<td>92.59%</td>
</tr>
<tr>
<td>Denials for other reasons</td>
<td>0</td>
<td>0%</td>
</tr>
</tbody>
</table>

Part 3: Redetermination in CHIP

Redetermination is the process of redetermining whether a child is eligible to renew in CHIP (Title XXI) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).

1.

How many children were eligible for redetermination in CHIP in FFY 2020?

31976
2. Of the eligible children, how many were then screened for redetermination?

26343

3. How many children were retained in CHIP after redetermination?

26324
4.

How many children were disenrolled in CHIP after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

19

**Computed:** 19

4a.

How many children were disenrolled for procedural reasons?

This could be due to an incomplete application, missing documentation, or a missing enrollment fee.

0

4b.

How many children were disenrolled for eligibility reasons?

This could be due to income that was too high or too low, eligibility in Medicaid (Title XIX) instead, or access to private coverage.

19
4c. How many children were disenrolled for other reasons?

0

5. Did you have any limitations in collecting this data?

In accordance with the requirements in the Families First Coronavirus Response Act and the CARES Act, Rhode Island Medicaid has suspended all redeterminations during the ongoing Public Health Emergency period. Per the CMS FAQ's released on 4/13/20, "To be eligible for the enhanced FMAP authorized by the FFCRA, states may not reduce benefits for any beneficiary enrolled in Medicaid on or after March 18, 2020, through the end of the month in which the emergency period ends, and still qualify for increased FMAP. This mean that states must continue to provide coverage to such beneficiaries in the eligibility group in which the beneficiary is enrolled if transitioning the beneficiary to another eligibility group would result in a reduction in benefits. If there is a separate eligibility group for which the individual is eligible and which provides the same amount, duration and scope of benefits, then a state may shift the individual to that group; what is critical for ensuring eligibility for the temporary FMAP increase is that the same amount, duration and scope of medical assistance be maintained." In order to provide continuous coverage to eligible individuals during the PHE, Medicaid renewals were suspended. The only permissible terminations during the PHE are when an individual voluntarily terminates eligibility, is no longer a resident of the state, or is deceased. Medicaid will resume all redeterminations once the PHE period is over.
Table: Redetermination in CHIP

These tables are auto-populated with the data you entered above.

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Children screened for redetermination</td>
<td>26343</td>
<td>100%</td>
</tr>
<tr>
<td>Children retained after redetermination</td>
<td>26324</td>
<td>99.93%</td>
</tr>
<tr>
<td>Children disenrolled after redetermination</td>
<td>19</td>
<td>0.7%</td>
</tr>
</tbody>
</table>

Table: Disenrollment in CHIP after Redetermination

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Children disenrolled after redetermination</td>
<td>19</td>
<td>100%</td>
</tr>
<tr>
<td>Children disenrolled for procedural reasons</td>
<td>0</td>
<td>0%</td>
</tr>
<tr>
<td>Children disenrolled for eligibility reasons</td>
<td>19</td>
<td>100%</td>
</tr>
<tr>
<td>Children disenrolled for other reasons</td>
<td>0</td>
<td>0%</td>
</tr>
</tbody>
</table>

Part 4: Redetermination in Medicaid

Redetermination is the process of redetermining whether a child is eligible to renew in Medicaid (Title XIX) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).
1. How many children were eligible for redetermination in Medicaid in FFY 2020?

80115

2. Of the eligible children, how many were then screened for redetermination?

64020

3. How many children were retained in Medicaid after redetermination?

59348
4.

How many children were disenrolled in Medicaid after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

**Computed: 4672**

4a.

How many children were disenrolled for procedural reasons?

This could be due to an incomplete application, missing documentation, or a missing enrollment fee.

2

4b.

How many children were disenrolled for eligibility reasons?

This could be due to an income that was too high and/or eligibility in CHIP instead.

4670
4c.

How many children were disenrolled for other reasons?

0

5. Did you have any limitations in collecting this data?

In accordance with the requirements in the Families First Coronavirus Response Act and the CARES Act, Rhode Island Medicaid has suspended all redeterminations during the ongoing Public Health Emergency period. Per the CMS FAQ's released on 4/13/20, "To be eligible for the enhanced FMAP authorized by the FFCRA, states may not reduce benefits for any beneficiary enrolled in Medicaid on or after March 18, 2020, through the end of the month in which the emergency period ends, and still qualify for increased FMAP. This means that states must continue to provide coverage to such beneficiaries in the eligibility group in which the beneficiary is enrolled if transitioning the beneficiary to another eligibility group would result in a reduction in benefits. If there is a separate eligibility group for which the individual is eligible and which provides the same amount, duration and scope of benefits, then a state may shift the individual to that group; what is critical for ensuring eligibility for the temporary FMAP increase is that the same amount, duration and scope of medical assistance be maintained." In order to provide continuous coverage to eligible individuals during the PHE, Medicaid renewals were suspended. The only permissible terminations during the PHE are when an individual voluntarily terminates eligibility, is no longer a resident of the state, or is deceased. Medicaid will resume all redeterminations once the PHE period is over.
Table: Redetermination in Medicaid

These tables are auto-populated with the data you entered above.

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Children screened for redetermination</td>
<td>64020</td>
<td>100%</td>
</tr>
<tr>
<td>Children retained after redetermination</td>
<td>59348</td>
<td>92.7%</td>
</tr>
<tr>
<td>Children disenrolled after redetermination</td>
<td>4672</td>
<td>7.3%</td>
</tr>
</tbody>
</table>

Table: Disenrollment in Medicaid after Redetermination

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Children disenrolled after redetermination</td>
<td>4672</td>
<td>100%</td>
</tr>
<tr>
<td>Children disenrolled for procedural reasons</td>
<td>2</td>
<td>0.4%</td>
</tr>
<tr>
<td>Children disenrolled for eligibility reasons</td>
<td>4670</td>
<td>99.96%</td>
</tr>
<tr>
<td>Children disenrolled for other reasons</td>
<td>0</td>
<td>0%</td>
</tr>
</tbody>
</table>

Part 5: Tracking a CHIP cohort (Title XXI) over 18 months

Tracking a cohort of children enrolled in CHIP (Title XXI) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years who are newly enrolled in CHIP and/or Medicaid as of January through March 2020 (the second
quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period. You'll identify a new cohort every two years. This year you'll report on the number of children at the start of the cohort (Jan - Mar 2020) and six months later (July - Sept 2020). Next year you'll report numbers for the same cohort at 12 months (Jan - Mar 2021) and 18 months later (July - Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.

Helpful hints on age groups

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2020. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2020 must be born after January 2004. Similarly, children who are newly enrolled in February 2020 must be born after February 2004, and children newly enrolled in March 2020 must be born after March 2004.

1.

How does your state define "newly enrolled" for this cohort?

- Newly enrolled in CHIP: Children in this cohort weren't enrolled in CHIP (Title XXI) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP in December 2019.

- Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled in CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.
2.
Do you have data for individual age groups?
If not, you'll report the total number for all age groups (0-16 years) instead.

- Yes
- No

January - March 2020 (start of the cohort)

3.
How many children were newly enrolled in CHIP between January and March 2020?

<table>
<thead>
<tr>
<th>Ages</th>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>237</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

July - September 2020 (6 months later)

4.
How many children were continuously enrolled in CHIP six months later?
Only include children that didn't have a break in coverage during the six-month period.

<table>
<thead>
<tr>
<th>Ages</th>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>236</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
5.

How many children had a break in CHIP coverage but were re-enrolled in CHIP six months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

6.

Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

7.

How many children were no longer enrolled in CHIP six months later?

Possible reasons for no longer being enrolled:
- Transferred to another health insurance program other than CHIP
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
8.
Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid six months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

9. Is there anything else you'd like to add about your data?

January - March 2021 (12 months later)
Next year you'll report this data. Leave it blank in the meantime.

10.
How many children were continuously enrolled in CHIP 12 months later?

Only include children that didn't have a break in coverage during the 12-month period.

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
11.
How many children had a break in CHIP coverage but were re-enrolled in CHIP 12 months later?

Ages 0-1
Ages 1-5
Ages 6-12
Ages 13-16

12.
Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?

Ages 0-1
Ages 1-5
Ages 6-12
Ages 13-16

13.
How many children were no longer enrolled in CHIP 12 months later?
Possible reasons for not being enrolled:
b" Transferred to another health insurance program other than CHIP
b" Didn't meet eligibility criteria anymore
b" Didn't complete documentation
b" Didn't pay a premium or enrollment fee

Ages 0-1
Ages 1-5
Ages 6-12
Ages 13-16
14.
Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 12 months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
</table>

July - September of 2021 (18 months later)

Next year you'll report this data. Leave it blank in the meantime.

15.
How many children were continuously enrolled in CHIP 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
</table>
16. How many children had a break in CHIP coverage but were re-enrolled in CHIP 18 months later?

Ages 0-1          Ages 1-5          Ages 6-12          Ages 13-16

17. Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?

Ages 0-1          Ages 1-5          Ages 6-12          Ages 13-16

18. How many children were no longer enrolled in CHIP 18 months later?

Possible reasons for not being enrolled:
- Transferred to another health insurance program other than CHIP
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

Ages 0-1          Ages 1-5          Ages 6-12          Ages 13-16

19.

Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 18 months later?

Ages 0-1  Ages 1-5  Ages 6-12  Ages 13-16

20. Is there anything else you'd like to add about your data?

Part 6: Tracking a Medicaid (Title XIX) cohort over 18 months

Tracking a cohort of children enrolled in Medicaid (Title XIX) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years, who are newly enrolled in Medicaid and/or CHIP as of January through March 2020 (the second quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period.

You'll identify a new cohort every two years. This year you'll report the number of children identified at the start of the cohort (Jan-Mar 2020) and six months later (July-Sept 2020). Next year you'll report numbers for the same cohort at 12 months (Jan-Mar 2021) and 18 months later (July-Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.
Helpful hints on age groups

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2020. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2020 must be born after January 2004. Similarly, children who are newly enrolled in February 2020 must be born after February 2004, and children newly enrolled in March 2020 must be born after March 2004.

1.

How does your state define "newly enrolled" for this cohort?

- Newly enrolled in Medicaid: Children in this cohort weren't enrolled in Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in Medicaid in December 2019.

- Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled in CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.

2.

Do you have data for individual age groups?

If not, you'll report the total number for all age groups (0-16 years) instead.

- Yes
- No
January - March 2020 (start of the cohort)

3.

How many children were newly enrolled in Medicaid between January and March 2020?

<table>
<thead>
<tr>
<th>Ages</th>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>1546</td>
<td>1039</td>
<td>1221</td>
<td>632</td>
</tr>
<tr>
<td>Ages 1-5</td>
<td>1039</td>
<td>975</td>
<td>1144</td>
<td>596</td>
</tr>
</tbody>
</table>

July - September 2020 (6 months later)

4.

How many children were continuously enrolled in Medicaid six months later?

Only include children that didn't have a break in coverage during the six-month period.

<table>
<thead>
<tr>
<th>Ages</th>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>1493</td>
<td>975</td>
<td>1144</td>
<td>596</td>
</tr>
<tr>
<td>Ages 1-5</td>
<td>975</td>
<td>1144</td>
<td>596</td>
<td></td>
</tr>
</tbody>
</table>
5. How many children had a break in Medicaid coverage but were re-enrolled in Medicaid six months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>5</td>
<td>6</td>
<td>3</td>
</tr>
</tbody>
</table>

6. Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

7. How many children were no longer enrolled in Medicaid six months later?

Possible reasons for no longer being enrolled:
- Transferred to another health insurance program other than Medicaid
- Didn’t meet eligibility criteria anymore
- Didn’t complete documentation
- Didn’t pay a premium or enrollment fee

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>49</td>
<td>59</td>
<td>71</td>
<td>33</td>
</tr>
</tbody>
</table>
8. Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP six months later?

<table>
<thead>
<tr>
<th>Ages</th>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Ages 1-5</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 6-12</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 13-16</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

9. Is there anything else you'd like to add about your data?

January - March 2021 (12 months later)

Next year you'll report this data. Leave it blank in the meantime.

10. How many children were continuously enrolled in Medicaid 12 months later?

Only include children that didn't have a break in coverage during the 12-month period.

<table>
<thead>
<tr>
<th>Ages</th>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 1-5</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 6-12</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 13-16</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
11. How many children had a break in Medicaid coverage but were re-enrolled in Medicaid 12 months later?

Ages 0-1: [ ]
Ages 1-5: [ ]
Ages 6-12: [ ]
Ages 13-16: [ ]

12. Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

Ages 0-1: [ ]
Ages 1-5: [ ]
Ages 6-12: [ ]
Ages 13-16: [ ]

13. How many children were no longer enrolled in Medicaid 12 months later?

Possible reasons for not being enrolled:
- Transferred to another health insurance program other than Medicaid
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

Ages 0-1: [ ]
Ages 1-5: [ ]
Ages 6-12: [ ]
Ages 13-16: [ ]
14.

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP 12 months later?

Ages 0-1

Ages 1-5

Ages 6-12

Ages 13-16

July - September of 2021 (18 months later)

Next year you'll report this data. Leave it blank in the meantime.

15.

How many children were continuously enrolled in Medicaid 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.

Ages 0-1

Ages 1-5

Ages 6-12

Ages 13-16
16.

How many children had a break in Medicaid coverage but were re-enrolled in Medicaid 18 months later?

Ages 0-1 | Ages 1-5 | Ages 6-12 | Ages 13-16
---------|---------|---------|---------

17.

Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

Ages 0-1 | Ages 1-5 | Ages 6-12 | Ages 13-16
---------|---------|---------|---------

18.

How many children were no longer enrolled in Medicaid 18 months later?

Possible reasons for not being enrolled:
- Transferred to another health insurance program other than Medicaid
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

Ages 0-1 | Ages 1-5 | Ages 6-12 | Ages 13-16
---------|---------|---------|---------
19.

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP 18 months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

20. Is there anything else you'd like to add about your data?

---

**Eligibility, Enrollment, and Operations**

**Cost Sharing (Out-of-Pocket Costs)**

States can choose whether or not to require cost sharing in their CHIP program. Cost sharing includes payments such as enrollment fees, premiums, deductibles, coinsurance, and copayments.

**Eligibility, Enrollment, and Operations**

**Employer Sponsored Insurance and Premium Assistance**

States with a premium assistance program can use CHIP funds to purchase coverage through employer sponsored insurance (ESI) on behalf of eligible children and parents.
1.

Does your state offer ESI including a premium assistance program under the CHIP State Plan or a Section 1115 Title XXI demonstration?

- Yes
- No

1.

Under which authority and statutes does your state offer premium assistance?

Check all that apply.

- Purchase of Family Coverage under CHIP State Plan [2105(c)(3)]
- Additional Premium Assistance Option under CHIP State Plan [2105(c)(10)]
- Section 1115 Demonstration (Title XXI)

2.

Does your premium assistance program include coverage for adults?

- Yes
- No
3. What benefit package is offered as part of your premium assistance program, including any applicable minimum coverage requirements?

This only applies to states operating an 1115 demo.

Full Medicaid Benefits. Any medically necessary service covered by Medicaid but not covered by ESI (e.g. certain services for Children with Special Healthcare Needs) would be provided as Medicaid fee-for-service.

4. Does your premium assistance program provide wrap-around coverage for gaps in coverage?

This only applies to states operating an 1115 demo.

- Yes
- No
- N/A

5. Does your premium assistance program meet the same cost sharing requirements as that of the CHIP program?

This only applies to states operating an 1115 demo.

- Yes
- No
- N/A
6.

Are there protections on cost sharing for children (such as the 5% out-of-pocket maximum) in your premium assistance program?

This only applies to states operating an 1115 demo.

- Yes
- No
- N/A

7.

How many children were enrolled in the premium assistance program on average each month in FFY 2020?

914
8.
What's the average monthly contribution the state pays towards coverage of a child?

$13,628

9.
What's the average monthly contribution the employer pays towards coverage of a child?

$0

10.
What's the average monthly contribution the employee pays towards coverage of a child?

Table: Coverage breakdown

<table>
<thead>
<tr>
<th></th>
<th>State</th>
<th>Employer</th>
<th>Employee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Child</td>
<td>13628</td>
<td>Not Answered</td>
<td>0</td>
</tr>
</tbody>
</table>
11.
What's the range in the average monthly contribution paid by the state on behalf of a child?

**Average Monthly Contribution**

<table>
<thead>
<tr>
<th>Starts at</th>
<th>Ends at</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0</td>
<td>$130</td>
</tr>
</tbody>
</table>

12.
What's the range in the average monthly contribution paid by the state on behalf of a parent?

**Average Monthly Contribution**

<table>
<thead>
<tr>
<th>Starts at</th>
<th>Ends at</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0</td>
<td>$130</td>
</tr>
</tbody>
</table>

13.
What's the range in income levels for children who receive premium assistance (if it's different from the range covering the general CHIP population)?

**Federal Poverty Levels**

<table>
<thead>
<tr>
<th>Starts at</th>
<th>Ends at</th>
</tr>
</thead>
<tbody>
<tr>
<td>138</td>
<td>250</td>
</tr>
</tbody>
</table>
14. What strategies have been most effective in reducing the administrative barriers in order to provide premium assistance?

The main strategy in 2020 was to modify the enrollment process for employers, to encourage greater participation, which is beginning to yield an increase in employer enrollment in the program. With more employers enrolled, the State is able to outreach and enroll more individuals.

15. What challenges did you experience with your premium assistance program in FFY 2020?

With the public health emergency, member enrollment numbers were stalled due to increased unemployment, reducing the number of members who have access to ESI. Additionally, sanctions for non-participation had to be suspended.

16. What accomplishments did you experience with your premium assistance program in FFY 2020?

With the system modifications implemented in 2020, EOHHS is starting to realize an increased participation, even though the PHE is still ongoing.
17. Is there anything else you’d like to add that wasn't already covered?

All premiums are tested for cost effectiveness of the coverage compared to cost of Medicaid Managed Care that takes into consideration employee costs that the state could incur and the actuarial value of the coverage. Overall, the average Cost per CHIP child with premium assistance is $136 PMPM, including $86 in premium assistance and $50 in charges for other Medicaid covered benefits, including dental, transportation, and copayments for covered services. However, for 30% of CHIP children with premium assistance, the State pays nothing toward their ESI. For these "$0-Premium" children, the State pays an average of $40 per month in other Medicaid covered benefits, including dental, transportation, and copayments for covered services. Question 9: EOHHS is unable to provide the average monthly contribution the employer pays towards coverage of a child because ESI rates are provided to the State as family rates only and cannot be broken out.

18.

Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Eligibility, Enrollment, and Operations

Program Integrity
Dental Benefits

CAHPS Survey Results

Children’s Health Insurance Program Reauthorization Act (CHIPRA) requires that all CHIP programs submit survey results from the Consumer Assessment of Healthcare Providers and Systems (CAHPS). The survey assesses your CHIP program quality and customer satisfaction.

1.

Did you collect the CAHPS survey?

- Yes
- No

Part 2: You collected the CAHPS survey

Since you collected the CAHPS survey, please complete Part 2.
1.

Upload a summary report of your CAHPS survey results.

This is optional if you already submitted CAHPS raw data to the AHRQ CAHPS database. Submit results only for the CHIP population, not for both Medicaid (Title XIX) and CHIP (Title XXI) together. Your data should represent children enrolled in all types of delivery systems (Managed Care, PCCM, and Fee for Service).

**Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.** Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

2.

Which CHIP population did you survey?

- [ ] Medicaid Expansion CHIP
- [ ] Separate CHIP
- [x] Both Separate CHIP and Medicaid Expansion CHIP
- [ ] Other
3. Which version of the CAHPS survey did you use?
   - CAHPS 5.0
   - CAHPS 5.0H
   - Other

4. Which supplemental item sets did you include in your survey?
   Select all that apply.
   - None
   - Children with Chronic Conditions
   - Other

5. Which administrative protocol did you use to administer the survey?
   Select all that apply.
   - NCQA HEDIS CAHPS 5.0H
   - HRQ CAHPS
   - Other
6. Is there anything else you’d like to add about your CAHPS survey results?

Part 3: You didn't collect the CAHPS survey

Eligibility, Enrollment, and Operations

Health Services Initiative (HSI) Programs

All states with approved HSI program(s) should complete this section. States can use up to 10% of their fiscal year allotment to develop Health Services Initiatives (HSI) that provide direct services and other public health initiatives for low-income children. [See Section 2105(a)(1)(D)(ii) of the Social Security Act.] States can only develop HSI programs after funding other costs to administer their CHIP State Plan, as defined in regulations at 42 CFR 457.10.

1. Does your state operate Health Service Initiatives using CHIP (Title XXI) funds?

Even if you're not currently operating the HSI program, if it's in your current approved CHIP State Plan, please answer "yes."

- Yes
- No
State Plan Goals and Objectives

Part 1: Tell us about your goals and objectives

Tell us about the progress you've made on your performance goals in the past year. The objectives and goals you add to this section should match those reflected in your CHIP State Plan, Section 9. Submit a CHIP State Plan Amendment (SPA) if any of them are different.

Objective 1 is required. We've provided examples for other objectives, but you can edit them so they match the objectives in your CHIP State Plan. You can add additional objectives and goals to fit what's in your CHIP State Plan.
1. Briefly describe your goal for this objective.

For example: In an effort to reduce the number of uninsured children, our goal is to enroll 90% of eligible children in the CHIP program.

Reduce the number of uninsured children by .5%. Data source is: ACS survey.

2. What type of goal is it?

- New goal
- Continuing goal
- Discontinued goal
Define the numerator you're measuring

3. Which population are you measuring in the numerator?

For example: The number of children enrolled in CHIP in the last federal fiscal year.

Numerator: Children under age 19 with no insurance in the survey.

4.

Numerator (total number)

Define the denominator you're measuring

5. Which population are you measuring in the denominator?

For example: The total number of eligible children in the last federal fiscal year.

Children under age 19 in the survey.

6.

Denominator (total number)

Computed:
7. What is the date range of your data?

**Start**

mm/yyyy

☐ / ☐

**End**

mm/yyyy

☐ / ☐

8. Which data source did you use?

☐ Eligibility or enrollment data

☐ Survey data

☐ Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?
10. What are you doing to continually make progress towards your goal?

Rhode Island has implemented strategies that allows families and children to access eligibility and enrollment seamlessly and in a variety of settings. In addition, Rhode Island has worked to ensure that its systems operate seamlessly to ensure swift enrollment. Newborns born to mothers enrolled are automatically deemed eligible and enrolled. Rhode Island's managed care entities are providers work together to ensure that families and children are aware of qualifying criteria and are assisted with enrolling.

11. Anything else you'd like to tell us about this goal?

12. Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Do you have another in this list? Optional
1. What is the next objective listed in your CHIP State Plan?

You can edit the suggested objective so it matches what's in your CHIP State Plan.

Increase access to care
1. Briefly describe your goal for this objective.

For example: In an effort to increase access to care, our goal is to increase the number of children who have visited a primary care physician by 5%.

Increase access to care for children and adolescents in primary care. Meet the 75th Quality Compass percentile for all age groups for HEDIS Child and Adolescent Access to Primary Care Practitioners (CAP)

2. What type of goal is it?

- [ ] New goal
- [x] Continuing goal
- [ ] Discontinued goal
Define the numerator you're measuring

3. Which population are you measuring in the numerator?
   For example: The number of children enrolled in CHIP who visited a primary care physician in the last federal fiscal year.
   
   The number of children enrolled in CHIP who visited a primary care physician in the last federal fiscal year.

4.

Numerator (total number)

80205
Define the denominator you're measuring

5. Which population are you measuring in the denominator?

For example: The total number of children enrolled in CHIP in the last federal fiscal year.

The total number of children enrolled in CHIP in the last federal fiscal year.
Denominator includes CHIP and Medicaid (Title XIX).

6.

Denominator (total number)

86451

Computed: 92.78%
7. What is the date range of your data?

Start
mm/yyyy

01 / 2019

End
mm/yyyy

12 / 2019

8. Which data source did you use?

- Eligibility or enrollment data
- Survey data
- Another data source

9. How did your progress towards your goal last year compare to your previous year’s progress?

Yes, all rates increased for each subgroup for the measure.
10. What are you doing to continually make progress towards your goal?

EOHHS reviews performance for age groups 12-24 months and 24 months-6 years on a quarterly basis. The managed care plans are conducting the following activities to improve on this measure: 1) sending monthly Birthday Card mailers to all members emphasizing importance of primary care visits and screenings based on age/gender, 2) providing live outreach to members who have not had a pcp visit, 3) monthly mailing to members with an upcoming birthday to emphasize well visits, 4) provider outreach to discuss members who do not have a visit, outreach to providers with lower performance on these measures to address barriers, member incentives for attending well visits, 5) addressing enrollment issues related to babies enrolled with temporary SSN which can adversely impact denominator.

11. Anything else you'd like to tell us about this goal?

None

12.

Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Do you have another in this list?

Optional
1. What is the next objective listed in your CHIP State Plan?

You can edit the suggested objective to match what's in your CHIP State Plan.

Increase the use of preventative care
1. Briefly describe your goal for this objective.

For example: In an effort to increase the use of preventative care, our goal is to increase the number of children who receive one or more well child visits by 5%.

Meet the 75th Quality Compass percentile for HEDIS Childhood Immunization Status (CIS-Combo 10)

2.

What type of goal is it?

- New goal
- Continuing goal
- Discontinued goal
Define the numerator you're measuring

3. Which population are you measuring in the numerator?

For example: The number of children who received one or more well child visits in the last federal fiscal year.

Children 2 years old who had four diphtheria, tetanus and acellular pertussis (DTaP); three polio (IPV); one measles, mumps and rubella (MMR); three haemophilus influenza type B (HiB); three hepatitis B (Hep B), one chicken pox (VZV); four pneumococcal conjugate (PCV); one hepatitis A (Hep A); two or three rotavirus (RV); and two influenza (flu) vaccines by their second birthday.

4.

Numerator (total number)

2112
Define the denominator you're measuring

5. Which population are you measuring in the denominator?
For example: The total number of children enrolled in CHIP in the last federal fiscal year.

Denominator includes CHIP and Medicaid (Title XIX).

6.
Denominator (total number)

3527

Computed: 59.88%

7.
What is the date range of your data?

Start
mm/yyyy

01 / 2019

End
mm/yyyy

12 / 2019
8. Which data source did you use?

- Eligibility or enrollment data
- Survey data
- Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?

Yes, there was an increase in the total rate by 3.58%. The goal was surpassed by meeting the 90th Quality Compass percentile ranking.

10. What are you doing to continually make progress towards your goal?

RI continues to promote collaboration between providers, payors, and members in an effort to increase access to care and ultimately preventive care. We believe that this effort along with a focus on risk identification, targeted care coordination, and oversight has contributed to RI's success on this goal.

11. Anything else you'd like to tell us about this goal?

None
12.

Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.
Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Browse...

Do you have another in this list?

Optional

1. What is the next objective listed in your CHIP State Plan?

Maintain immunization status for adolescents.
1. Briefly describe your goal for this objective.

Meet the 75th Quality Compass percentile for HEDIS Adolescent Immunizations (IMA Combo 1)

2. What type of goal is it?

- New goal
- Continuing goal (selected)
- Discontinued goal

3. Which population are you measuring in the numerator?

The number of children who received one or more well child visits in the last federal fiscal year.

4. Numerator (total number)

715
Define the denominator you're measuring

5. Which population are you measuring in the denominator?

Denominator includes CHIP and Medicaid (Title XIX).

6. Denominator (total number)

822

Computed: 86.98%

7. What is the date range of your data?

Start
mm/yyyy

01 / 2019

End
mm/yyyy

12 / 2019
8. Which data source did you use?

- Eligibility or enrollment data
- Survey data
- Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?

Yes, the goal was met exceeding the 75th Quality Compass percentile ranking.

10. What are you doing to continually make progress towards your goal?

RI's approach to collaboration between members, their providers, and payors has been key to ensuring that families and children are engaged in care. There has been a targeted effort across all of our programs to include a focus on adolescence. This is evident in RI's demonstrations, our updated CHIP SPA, and our MCO contracts. We will continue to keep this a focus.

11. Anything else you'd like to tell us about this goal?

None
12.

Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Do you have another in this list?

Optional

Do you have another objective in your State Plan?

Optional

Part 2: Additional questions

1. Do you have other strategies for measuring and reporting on your performance goals? What are these strategies, and what information have you found through this research?

2. Do you plan to add new strategies for measuring and reporting on your goals and objectives? What do you plan to do, and when will this data become available?
3. Have you conducted any focused studies on your CHIP population? (For example: studies on adolescents, attention deficit disorder, substance use, special healthcare needs, or other emerging healthcare needs.) What have you discovered through this research?

4.

Optional: Attach any additional documents here.

For example: studies, analyses, or any other documents that address your performance goals.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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**Program Financing**

Tell us how much you spent on your CHIP program in FFY 2020, and how much you anticipate spending in FFY 2021 and 2022.

**Part 1: Benefit Costs**

Please type your answers in only. Do not copy and paste your answers.
1. How much did you spend on Managed Care in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$ 83,929,749</td>
<td>$ 86,000,000</td>
<td>$ 87,000,000</td>
</tr>
</tbody>
</table>

2. How much did you spend on Fee for Service in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$ 19,500,000</td>
<td>$ 20,500,000</td>
<td>$ 21,500,000</td>
</tr>
</tbody>
</table>

3. How much did you spend on anything else related to benefit costs in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$ 5,076,133</td>
<td>$ 5,226,815</td>
<td>$ 5,327,350</td>
</tr>
</tbody>
</table>
4.

How much did you receive in cost sharing from beneficiaries to offset your costs in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th>Type</th>
<th>FFY 2020</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>Managed Care</td>
<td>83929749</td>
<td>86000000</td>
<td>87000000</td>
</tr>
<tr>
<td>Fee for Service</td>
<td>19500000</td>
<td>20500000</td>
<td>21500000</td>
</tr>
<tr>
<td>Other benefit costs</td>
<td>5076133</td>
<td>5226815</td>
<td>5327350</td>
</tr>
<tr>
<td>Cost sharing payments from beneficiaries</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Total benefit costs</td>
<td>108505882</td>
<td>111726815</td>
<td>113827350</td>
</tr>
</tbody>
</table>

**Part 2: Administrative Costs**

Please type your answers in only. Do not copy and paste your answers.
1. How much did you spend on personnel in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

This includes wages, salaries, and other employee costs.

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2020</td>
<td></td>
</tr>
<tr>
<td>2021</td>
<td></td>
</tr>
<tr>
<td>2022</td>
<td></td>
</tr>
</tbody>
</table>

2. How much did you spend on general administration in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2020</td>
<td>$5,076,133</td>
</tr>
<tr>
<td>2021</td>
<td>$522,681</td>
</tr>
<tr>
<td>2022</td>
<td>$5,327,350</td>
</tr>
</tbody>
</table>

3. How much did you spend on contractors and brokers, such as enrollment contractors in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2020</td>
<td></td>
</tr>
<tr>
<td>2021</td>
<td></td>
</tr>
<tr>
<td>2022</td>
<td></td>
</tr>
</tbody>
</table>
4. How much did you spend on claims processing in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

5. How much did you spend on outreach and marketing in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

6. How much did you spend on your Health Services Initiatives (HSI) if you had any in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>
7.

How much did you spend on anything else related to administrative costs in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th>Year</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>
Table 2: Administrative Costs

This table is auto-populated with the data you entered above. Your total administrative costs cannot be more than 10% of your total CHIP program costs (the sum of your benefit and administrative costs). The 10% administrative cap is calculated by dividing the total benefit costs by 9.

<table>
<thead>
<tr>
<th>Type</th>
<th>FFY 2020</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personnel</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>General administration</td>
<td>5076133</td>
<td>522681</td>
<td>5327350</td>
</tr>
<tr>
<td>Contractors and brokers</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Claims processing</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Outreach and marketing</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Health Services Initiatives (HSI)</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Other administrative costs</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Total administrative costs</td>
<td>5076133</td>
<td>522681</td>
<td>5327350</td>
</tr>
<tr>
<td>10% administrative cap</td>
<td>12056209.11</td>
<td>12414090.56</td>
<td>12647483.33</td>
</tr>
</tbody>
</table>

Your total administrative costs cannot be more than 10% of your total CHIP program costs (the sum of your benefit and administrative costs). The 10% administrative cap is calculated by dividing the total benefit costs by 9.
Table 3: Federal and State Shares

CHIP is funded by federal and state budgets. The federal share of funding is calculated by multiplying your state's Federal Medical Assistance Percentage (eFMAP) by your total program costs (the sum of your benefit and administrative costs). The remaining amount of your total program costs is covered by your state share of funding.

This table is auto-calculated using the data you entered above. The federal and state shares for FFY 2022 will be calculated once the eFMAP rate for 2022 becomes available. In the meantime, these values will be blank.

<table>
<thead>
<tr>
<th>Type</th>
<th>FFY 2020</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total program costs</td>
<td>113582015</td>
<td>112249496</td>
<td>119154700</td>
</tr>
<tr>
<td>eFMAP</td>
<td>Not Available</td>
<td>Not Available</td>
<td>Not Available</td>
</tr>
<tr>
<td>Federal share</td>
<td>Not Available</td>
<td>Not Available</td>
<td>Not Available</td>
</tr>
<tr>
<td>State share</td>
<td>Not Available</td>
<td>Not Available</td>
<td>Not Available</td>
</tr>
</tbody>
</table>
8.
What were your state funding sources in FFY 2020?
Select all that apply.

- [✓] State appropriations
- [ ] County/local funds
- [✓] Employer contributions
- [ ] Foundation grants
- [ ] Private donations
- [ ] Tobacco settlement
- [ ] Other

9.
Did you experience a shortfall in federal CHIP funds this year?

- [ ] Yes
- [ ] No

Part 3: Managed Care Costs
Complete this section only if you have a Managed Care delivery system.
1. How many children were eligible for Managed Care in FFY 2020? How many do you anticipate will be eligible in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th></th>
<th>FFY 2020</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eligible children</td>
<td>29050</td>
<td>31500</td>
<td>33000</td>
</tr>
</tbody>
</table>

2. What was your per member per month (PMPM) cost based on the number of children eligible for Managed Care in FFY 2020? What is your projected PMPM cost for FFY 2021 and 2022?

Round to the nearest whole number.

<table>
<thead>
<tr>
<th></th>
<th>FFY 2020</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>PMPM cost</td>
<td>$230</td>
<td>$255</td>
<td>$265</td>
</tr>
</tbody>
</table>

### Part 4: Fee for Service Costs

Complete this section only if you have a Fee for Service delivery system.
1. How many children were eligible for Fee for Service in FFY 2020? How many do you anticipate will be eligible in FFY 2021 and 2022?

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eligible children</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>PMPM cost</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
</tbody>
</table>

2. What was your per member per month (PMPM) cost based on the number of children eligible for Fee For Service in FFY 2020? What is your projected PMPM cost for FFY 2021 and 2022?

The per member per month cost will be the average cost per month to provide services to these enrollees. Round to the nearest whole number.

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>PMPM cost</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Type</th>
<th>FFY 2020</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eligible children</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>PMPM cost</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
</tbody>
</table>
1. Is there anything else you’d like to add about your program finances that wasn’t already covered?

2. Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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Challenges and Accomplishments

1. How has your state’s political and fiscal environment affected your ability to provide healthcare to low-income children and families?

There were no significant fiscal or political impacts to the CHIP program during the reporting period.

2. What's the greatest challenge your CHIP program has faced in FFY 2020?

The most significant challenge facing the program was the response to the COVID-19 pandemic. EOHHS directed MCOs to coordinate with pediatricians to ensure children had access to all services, in particular childhood immunizations, well-visits and lead screenings.
3. What are some of the greatest accomplishments your CHIP program has experienced in FFY 2020?

Rhode Island is continuing to enhance and push forward the Accountable Entities (AE) program. The AE program is aimed at transitioning the Medicaid program toward value-based purchasing. Accountable Entities are moving towards better coordinated care for Medicaid and CHIP beneficiaries, integrating the behavioral, physical, and social needs of the populations, with the goal of improved outcomes population wide. EOHHS has introduce a performance management strategy with MCOs, called active contract management (ACM) to provide timely reporting to key strategies within EOHHS. Childhood immunizations due to COVID-19 was part of the ACM performance review strategy for MCOs.

4. What changes have you made to your CHIP program in FFY 2020 or plan to make in FFY 2021? Why have you decided to make these changes?

There are no planned changes to the CHIP program for the upcoming fiscal year.

5. Is there anything else you'd like to add about your state's challenges and accomplishments?


Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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