# North Dakota CARTS FY2021 Report

### **Basic State Information**

### Welcome!

We already have some information about your state from our records. If any information is incorrect, please contact the <a href="mailto:mdct\_help@cms.hhs.gov">mdct\_help@cms.hhs.gov</a>.

1. State or territory name:	
North Dakota	
2.	
Program type:	
Both Medicaid Expansion CHIP and Separate CHIP	
Medicaid Expansion CHIP only	
Separate CHIP only	
3. CHIP program name(s):	
Medicaid	

Who should we contact if we have any questions about your report?
4. Contact name:
Jodi Hulm
5. Job title:
Director
6. Email:
jmhulm@nd.gov
7. Full mailing address:
Include city, state, and zip code.
600 East Boulevard Ave, Dept. 325 Bismarck, ND 58505-0250
8. Phone number:
7013282323

#### PRA Disclosure Statement.

This information is being collected to assist the Centers for Medicare & Medicaid Services (CMS) in partnership with States with the ongoing management of Medicaid and CHIP programs and policies. This mandatory information collection (42 U.S.C. 1397hh) will be used to help each state meet the statutory requirements at section 2108(a) of the Social Security Act to assess the operation of the State child health plan in each Federal fiscal year and to report the results of the assessment including the progress made in reducing the number of uncovered, low-income children. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148 (CMS-10398 #1). The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 Security Boulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

## **Program Fees and Policy Changes**

# Part 1: Medicaid Expansion CHIP Enrollment Fees, Premiums, and Delivery Systems

1.	
Does	s your program charge an enrollment fee?
$\bigcirc$	Yes
	No

2.	
Does	your program charge premiums?
$\bigcirc$	Yes
•	No
3.	
Is the	maximum premium a family would be charged each year tiered by FPL?
$\bigcirc$	Yes
•	No
4. Do premiums differ for different Medicaid Expansion CHIP populations beyond FPL (for example, by eligibility group)? If so, briefly explain the fee structure breakdown.	
No I	Premiums
5.	
Which	h delivery system(s) do you use?
Selec	t all that apply.
	Managed Care
<b>✓</b>	Primary Care Case Management
<b>/</b>	Fee for Service

6. Which delivery system(s) are available to which Medicaid Expansion CHIP populations? Indicate whether eligibility status, income level, age range, or other criteria determine which delivery system a population receives.

All members are enrolled in PCCM unless they are part of an exemption to PCCM.

# Part 2: Separate CHIP Enrollment Fees, Premiums, and Delivery Systems

# Part 3: Medicaid Expansion CHIP Program and Policy Changes

Indicate any changes you've made to your Medicaid Expansion CHIP program policies in the past federal fiscal year. Many changes listed in this section require a State Plan Amendment (SPA), while some don't, such as changing outreach efforts or changing the health plan enrollment process. Please submit a SPA to reflect any changes that do require a SPA.

1.	
Have	you made any changes to the eligibility determination process?
	Yes
•	No
$\bigcirc$	N/A

2.	
Have	you made any changes to the eligibility redetermination process?
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
3.	
Have	you made any changes to the eligibility levels or target populations?
For e	xample: increasing income eligibility levels.
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
4.	
Have	you made any changes to the benefits available to enrollees?
For e	xample: adding benefits or removing benefit limits.
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A

5.	
Have	you made any changes to the single streamlined application?
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
6.	
Have you made any changes to your outreach efforts?	
For example: allotting more or less funding for outreach, or changing your target population.	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A

7.	
Have you made any changes to the delivery system(s)?	
For example: transitioning from Fee for Service to Managed Care for different Medicaid Expansion CHIP populations.	
O Yes	
<ul><li>No</li></ul>	
O N/A	
8.	
Have you made any changes to your cost sharing requirements?	
For example: changing amounts, populations, or the collection process.	
O Yes	
<ul><li>No</li></ul>	
O N/A	

9.	
Have you made any changes to the substitution of coverage policies?	
For example: removing a waiting period.	
○ Yes	
<ul><li>No</li></ul>	
O N/A	
10.	
Have you made any changes to the enrollment process for health plan selection?	
○ Yes	
O No	
• N/A	

Have you made any changes to the protections for applicants and enrollees?	
For example: changing from the Medicaid Fair Hearing process to the review process used by all health insurance issuers statewide.	
O Yes	
No	
O N/A	
12.	
Have you made any changes to premium assistance?	
For example: adding premium assistance or changing the population that receives premium assistance.	
O Yes	
O No	
• N/A	

13.	
Have you made any changes to the methods and procedures for preventing, investigating, or referring fraud or abuse cases?	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
14.	
Have you made any changes to eligibility for "lawfully residing" pregnant women?	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
15.	
Have you made any changes to eligibility for "lawfully residing" children?	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A

16.	
Have you made changes to any other policy or program areas?	
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
17. Briefly describe why you made these changes to your Medicaid Expansion CHIP program.	
18.	
Have you already submitted a State Plan Amendment (SPA) to reflect any changes that require a SPA?	
$\bigcirc$	Yes
$\bigcirc$	No

**Part 4: Separate CHIP Program and Policy Changes** 

#### **Enrollment and Uninsured Data**

#### Part 1: Number of Children Enrolled in CHIP

This table is pre-filled with your SEDS data for the two most recent federal fiscal years (FFY). If the information is inaccurate, adjust your data in SEDS (go to line 7: "Unduplicated Number Ever Enrolled" in your fourth quarter SEDS report) and then refresh this page. If you're adjusting data in SEDS, allow one business day for the CARTS data below to update.

Program	Number of children enrolled in FFY 2020	Number of children enrolled in FFY 2021	Percent change	
Medicaid Expansion CHIP	0	0	0%	
Separate CHIP	0	0	0%	

1. If you had more than a 3% percent change from last year, what are some possible reasons why your enrollment numbers changed?

### Part 2: Number of Uninsured Children in Your State

This table is pre-filled with data on uninsured children (age 18 and under) who are below 200% of the Federal Poverty Level (FPL) based on annual estimates from the American Community Survey. Due to the impacts of the COVID-19 PHE on collection of ACS data, the 2020 children's uninsurance rates are currently unavailable. Please skip to Question 3.

Year	Number of uninsured children	Margin of error	Percent of uninsured children (of total children in your state)	Margin of error
2016	6,000	2,000	3.2%	1%
2017	6,000	2,000	3.5%	0.9%
2018	5,000	1,000	2.7%	0.7%
2019	5,000	2,000	2.9%	1.1%
2020	Not Answered	Not Answered	Not Answered	Not Answered

Percent change between 2019 and 2020	
Not Available	

1. What are some reasons why the number and/or percent of uninsured children has changed?

2.
Are there any reasons why the American Community Survey estimates wouldn't be a precise representation of the actual number of uninsured children in your state?
○ Yes
<ul><li>No</li></ul>
3.
Do you have any alternate data source(s) or methodology for measuring the number and/or percent of uninsured children in your state?
O Yes
<ul><li>No</li></ul>
4. Is there anything else you'd like to add about your enrollment and uninsured data?
No
5.
Optional: Attach any additional documents here.
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.
Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
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## **Eligibility, Enrollment, and Operations**

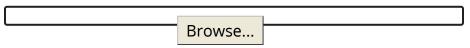
# **Program Outreach**

1.					
Have you changed your outreach methods in the last federal fiscal year?					
O Yes					
<ul><li>No</li></ul>					
2.					
Are you targeting specific populations in your outreach efforts?					
For example: minorities, immigrants, or children living in rural areas.					
O Yes					
<ul><li>No</li></ul>					
3. What methods have been most effective in reaching low-income, uninsured children?					
For example: TV, school outreach, or word of mouth.					
Word of mouth					
4. Is there anything else you'd like to add about your outreach efforts?					
no					

Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)



## **Eligibility, Enrollment, and Operations**

## **Substitution of Coverage**

Substitution of coverage (also known as crowd-out) occurs when someone with private insurance drops their private coverage and substitutes it with publicly funded insurance such as CHIP.

1.

Do you track the number of CHIP enrollees who have access to private insurance?

- O Yes
- No
- O N/A

2.	
Do yo	ou match prospective CHIP enrollees to a database that details private insurance s?
•	Yes
$\bigcirc$	No
$\bigcirc$	N/A
.22	%
	here anything else you'd like to add about substitution of coverage that wasn't dy covered? Did you run into any limitations when collecting data?
no	
6.	
Optio	nal: Attach any additional documents here.
files.	Choose Files and make your selection(s) then click Upload to attach your Click View Uploaded to see a list of all files attached here.  must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse

## **Eligibility, Enrollment, and Operations**

# Renewal, Denials, and Retention

## Part 1: Eligibility Renewal and Retention

· ui	t i. Englishity Kenewarana Ketention
1.	
	your state provide presumptive eligibility, allowing children to access CHIP ces pending a final determination of eligibility?
This	question should only be answered in respect to Separate CHIP.
$\bigcirc$	Yes
•	No
$\bigcirc$	N/A
2.	
	effort to retain children in CHIP, do you conduct follow-up communication with ies through caseworkers and outreach workers?
$\bigcirc$	Yes
•	No

3.
Do you send renewal reminder notices to families?
<ul><li>Yes</li></ul>
O No
4. What else have you done to simplify the eligibility renewal process for families?
Pre-populate the renewals
5. Which retention strategies have you found to be most effective?
6. How do you measure the effectiveness of your retention strategies? What data sources and methodology do you use to track retention?
7. Is there anything else you'd like to add that wasn't already covered?
Part 2: CHIP Eligibility Denials (Not Redetermination)
1.
How many applicants were denied CHIP coverage in FFY 2021?
Don't include applicants being considered for redetermination - this data will be collected in Part 3.
5483

How many applicants were denied CHIP coverage for procedural reasons?

For example: They were denied because of an incomplete application, missing documentation, or a missing enrollment fee.

2138

3.

How many applicants were denied CHIP coverage for eligibility reasons?

For example: They were denied because their income was too high or too low, they were determined eligible for Medicaid instead, or they had other coverage available.

2897

3a.

How many applicants were denied CHIP (Title XXI) coverage and determined eligible for Medicaid (Title XIX) instead?

4.

How many applicants were denied CHIP coverage for other reasons?

5	Did v	vou ha	ve anv	limitation	ns in	collecting	g this	data?
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Table: CHIP Eligibility Denials (Not Redetermination)

This table is auto-populated with the data you entered above.

Туре	Number	Percent
Total denials	5483	100%
Denied for procedural reasons	2138	38.99%
Denied for eligibility reasons	2897	52.84%
Denials for other reasons	448	8.17%

### **Part 3: Redetermination in CHIP**

Redetermination is the process of redetermining whether a child is eligible to renew in CHIP (Title XXI) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).

1.

How many children were eligible for redetermination in CHIP in FFY 2021?

2.	
Of the eligible children, how many were then screened for redet	ermination?
4487	

How many children were retained in CHIP after redetermination?

How many children were disenrolled in CHIP after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

869

Computed: 954

4a.

How many children were disenrolled for procedural reasons?

This could be due to an incomplete application, missing documentation, or a missing enrollment fee.

63

4b.

How many children were disenrolled for eligibility reasons?

This could be due to income that was too high or too low, eligibility in Medicaid (Title XIX) instead, or access to private coverage.

4c.

How many children were disenrolled for other reasons?

418

5. Did you have any limitations in collecting this data?

Table: Redetermination in CHIP

These tables are auto-populated with the data you entered above.

Туре	Number	Percent
Children screened for redetermination	4487	100%
Children retained after redetermination	3762	83.84%
Children disenrolled after redetermination	869	19.37%

Table: Disenrollment in CHIP after Redetermination

Туре	Number	Percent
Children disenrolled after redetermination	869	100%
Children disenrolled for procedural reasons	63	7.25%
Children disenrolled for eligibility reasons	473	54.43%
Children disenrolled for other reasons	418	48.1%

### Part 4: Redetermination in Medicaid

Redetermination is the process of redetermining whether a child is eligible to renew in Medicaid (Title XIX) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).

1.

How many children were eligible for redetermination in Medicaid in FFY 2021?

43770

2.

Of the eligible children, how many were then screened for redetermination?

How many children were retained in Medicaid after redetermination?

How many children were disenrolled in Medicaid after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

2807

Computed: 3550

4a.

How many children were disenrolled for procedural reasons?

This could be due to an incomplete application, missing documentation, or a missing enrollment fee.

545

4b.

How many children were disenrolled for eligibility reasons?

This could be due to an income that was too high and/or eligibility in CHIP instead.

4c.

How many children were disenrolled for other reasons?

542

5. Did you have any limitations in collecting this data?

Table: Redetermination in Medicaid

These tables are auto-populated with the data you entered above.

Туре	Number	Percent
Children screened for redetermination	40204	100%
Children retained after redetermination	40589	100.96%
Children disenrolled after redetermination	2807	6.98%

Table: Disenrollment in Medicaid after Redetermination

Туре	Number	Percent
Children disenrolled after redetermination	2807	100%
Children disenrolled for procedural reasons	545	19.42%
Children disenrolled for eligibility reasons	2463	87.74%
Children disenrolled for other reasons	542	19.31%

# Part 5: Tracking a CHIP cohort (Title XXI) over 18 months

Tracking a cohort of children enrolled in CHIP (Title XXI) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years who are newly enrolled in CHIP and/or Medicaid as of January through March 2020 (the second quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period.

You'll identify a new cohort every two years. This last year you reported on the number of children at the start of the cohort (Jan - Mar 2020) and six months later (July - Sept 2020). This year you'll report on the same cohort at 12 months (Jan - Mar 2021) and 18 months later (July - Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.

#### Helpful hints on age groups

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2020. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2020 must be born after January 2004. Similarly, children who are newly enrolled in February 2020 must be born after February 2004, and children newly enrolled in March 2020 must be born after March 2004.

1.

Yes

No

How does your state define "newly enrolled" for this cohort?

Newly enrolled in CHIP: Children in this cohort weren't enrolled in CHIP (Title (XXI)) during the previous month. For example: Newly enrolled children in January 202 weren't enrolled in CHIP in December 2019.
Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled n CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.
2.
Do you have data for individual age groups?

If not, you'll report the total number for all age groups (0-16 years) instead.

January - March 2020 (start of the cohort): included in 2020 report.

You completed this section in your 2020 CARTS Report. Please refer to that report to assist in filling out this section if needed.

3.

How many children were newly enrolled in CHIP between January and March 2020?

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

12 74 237 0

July - September 2020 (6 months later): included in 2020 report.

4.

How many children were continuously enrolled in CHIP six months later?

Only include children that didn't have a break in coverage during the six-month period.

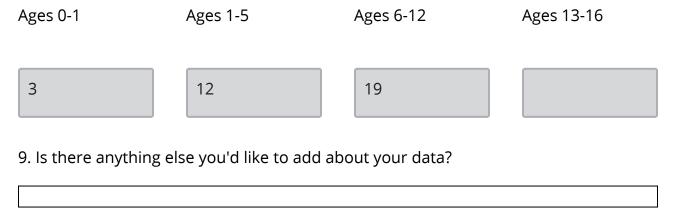
 Ages 0-1
 Ages 1-5
 Ages 6-12
 Ages 13-16

 9
 62
 213

How many children had a break in CHIP coverage but were re-enrolled in CHIP six months later?						
Ages 1-5	Ages 6-12	Ages 13-16				
	1					
		question), how				
Ages 1-5	Ages 6-12	Ages 13-16				
	1					
ere no longer enrolled	in CHIP six months late	er?				
Possible reasons for no longer being enrolled:b" Transferred to another health insurance program other than CHIPb" Didn't meet eligibility criteria anymoreb" Didn't complete documentationb" Didn't pay a premium or enrollment fee						
Ages 1-5	Ages 6-12	Ages 13-16				
	23					
	Ages 1-5  ad a break in CHIP cover Medicaid during the key of Medicaid during the key of the following the followi	Ages 1-5  Ages 6-12  1  ad a break in CHIP coverage (in the previous of Medicaid during the break?  Ages 1-5  Ages 6-12  1  1  are no longer enrolled in CHIP six months late of longer being enrolled:b" Transferred to another than CHIPb" Didn't meet eligibility criteriationb" Didn't pay a premium or enrollment feet Ages 1-5  Ages 6-12				



Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid six months later?



January - March 2021 (12 months later): to be completed this year.

This year, please report data about your cohort for this section

10.

How many children were continuously enrolled in CHIP 12 months later?

Only include children that didn't have a break in coverage during the 12-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
8	51	189	

11.				
months later?	ad a break in CHIP cove	erage but were re-enro	lled in CHIP 12	
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16	
	2	1		
12.				
Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?				
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16	
	2	1		
13.				
How many children were no longer enrolled in CHIP 12 months later?				
Possible reasons for not being enrolled:b" Transferred to another health insurance program other than CHIPb" Didn't meet eligibility criteria anymoreb" Didn't complete documentationb" Didn't pay a premium or enrollment fee				
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16	



Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 12 months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
3	15	31	

July - September of 2021 (18 months later): to be completed this year

This year, please report data about your cohort for this section.

15.

How many children were continuously enrolled in CHIP 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
5	29	105	



How many children had a break in CHIP coverage but were re-enrolled in CHIP 18 months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
1	3	20	

#### 17.

Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
1	3	20	

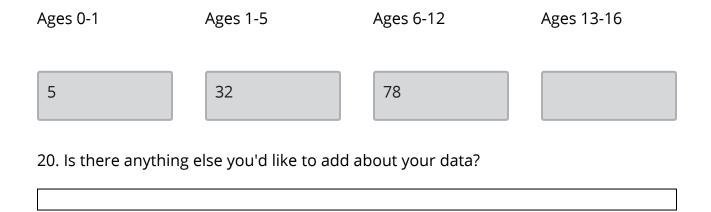
18.

How many children were no longer enrolled in CHIP 18 months later?

Possible reasons for not being enrolled:b" Transferred to another health insurance program other than CHIPb" Didn't meet eligibility criteria anymoreb" Didn't complete documentationb" Didn't pay a premium or enrollment fee

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
6	42	112	

Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 18 months later?



# Part 6: Tracking a Medicaid (Title XIX) cohort over 18 months

Tracking a cohort of children enrolled in Medicaid (Title XIX) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years, who are newly enrolled in Medicaid and/or CHIP as of January through March 2020 (the second quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period.

You'll identify a new cohort every two years. This last year you reported the number of children identified at the start of the cohort (Jan-Mar 2020) and six months later (July-Sept 2020). This year you'll report numbers for the same cohort at 12 months (Jan-Mar 2021) and 18 months later (July-Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.

#### Helpful hints on age groups

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2021. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2021 must be born after January 2004. Similarly, children who are newly enrolled in February 2021 must be born after February 2004, and children newly enrolled in March 2021 must be born after March 2004.

1.

How does your state define "newly enrolled" for this cohort?

Newly enrolled in Medicaid: Children in this cohort weren't enrolled in Medica (Title XIX) during the previous month. For example: Newly enrolled children in Januar 2020 weren't enrolled in Medicaid in December 2019.	
Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled in CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.	
2.	

Do you have data for individual age groups?

If not, you'll report the total number for all age groups (0-16 years) instead.

• Yes

No

### January - March 2020 (start of the cohort): included in 2020 report

You completed this section in your 2020 CARTS Report. Please refer to that report to assist in filling out this section if needed.

3.

How many children were newly enrolled in Medicaid between January and March 2020?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
199	662	533	230

### July - September 2020 (6 months later): included in 2020 report

You completed this section in your 2020 CARTS report. Please refer to that report to assist in filling out this section if needed.

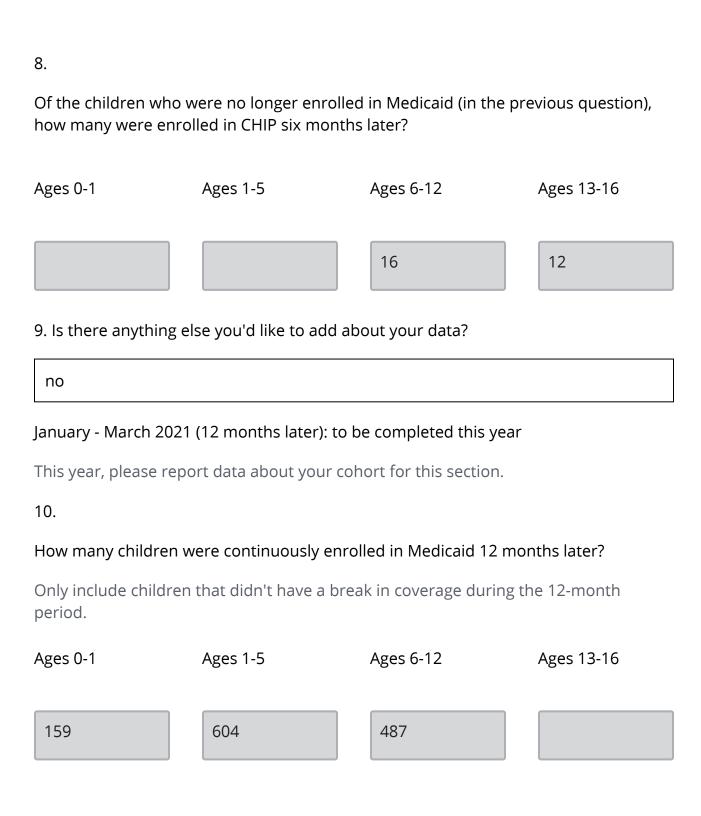
4.

How many children were continuously enrolled in Medicaid six months later?

Only include children that didn't have a break in coverage during the six-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
169	610	469	195

5.			
How many children ha Medicaid six months l		coverage but were re-e	enrolled in
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
1		3	1
6.			
	ad a break in Medicaid n CHIP during the break	coverage (in the previo	ous question), how
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
7.			
How many children w	ere no longer enrolled	in Medicaid six months	s later?
insurance program ot	her than Medicaidb" D	d:b" Transferred to and idn't meet eligibility crimal or enrollm	teria anymoreb"
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
29	52	61	34





How many children had a break in Medicaid coverage but were re-enrolled in Medicaid 12 months later?

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

4 8

#### 12.

Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

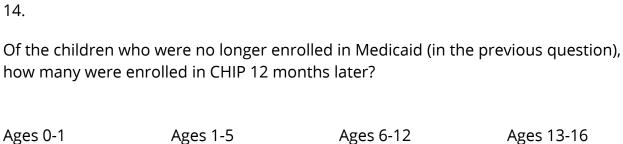
13.

How many children were no longer enrolled in Medicaid 12 months later?

Possible reasons for not being enrolled:b" Transferred to another health insurance program other than Medicaidb" Didn't meet eligibility criteria anymoreb" Didn't complete documentationb" Didn't pay a premium or enrollment fee

Ages 0-1 Ages 1-5 Ages 6-12 Ages 13-16

43 163 153





July - September of 2021 (18 months later): to be completed next year

This year, please report data about your cohort for this section.

15.

How many children were continuously enrolled in Medicaid 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
135	476	378	



How many children had a break in Medicaid coverage but were re-enrolled in Medicaid 18 months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
9	17	31	

### 17.

Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
2	8	26	

18.

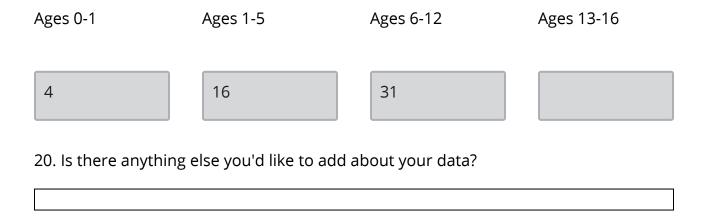
How many children were no longer enrolled in Medicaid 18 months later?

Possible reasons for not being enrolled:b" Transferred to another health insurance program other than Medicaidb" Didn't meet eligibility criteria anymoreb" Didn't complete documentationb" Didn't pay a premium or enrollment fee

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
62	277	239	

19.

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP 18 months later?



# Eligibility, Enrollment, and Operations

## **Cost Sharing (Out-of-Pocket Costs)**

States can choose whether or not to require cost sharing in their CHIP program. Cost sharing includes payments such as enrollment fees, premiums, deductibles, coinsurance, and copayments.

### **Eligibility, Enrollment, and Operations**

# **Employer Sponsored Insurance and Premium Assistance**

States with a premium assistance program can use CHIP funds to purchase coverage through employer sponsored insurance (ESI) on behalf of eligible children and parents.

Does your state offer ESI including a premium assistance program under the CHIP State Plan or a Section 1115 Title XXI demonstration?

O Yes

No

# Eligibility, Enrollment, and Operations Program Integrity

Eligibility, Enrollment, and Operations

Dental Benefits

# Eligibility, Enrollment, and Operations CAHPS Survey Results

Children's Health Insurance Program Reauthorization Act (CHIPRA) requires that all CHIP programs submit survey results from the Consumer Assessment of Healthcare Providers and Systems (CAHPS). The survey assesses your CHIP program quality and customer satisfaction. For the 2021 CARTS report, we highly encourage states to report all raw CAHPS data to the Agency for Healthcare Research and Quality (AHRQ) CAHPS Database instead of reporting a summary of the data via CARTS. For 2022, the only option for reporting CAHPS results will be through the submission of raw data to

ARHC	).
1.	
Did y	ou collect the CAHPS survey?
$\bigcirc$	Yes
•	No

# Part 2: You collected the CAHPS survey Part 3: You didn't collect the CAHPS survey

Since you didn't collect the CAHPS survey, please complete Part 3.

1.			
Why d	Why didn't you collect the CAHPS survey?		
Check all that apply.			
	Entire population wasn't included in the survey		
	Part of the population wasn't included in the survey		
<b>✓</b>	Data wasn't available due to budget constraints		
	Data wasn't available due to staff constraints		
	Data wasn't consistent or accurate		
	Data source wasn't easily accessible		
	Data source wasn't easily accessible: requires medical records		
currer	Data source wasn't easily accessible: requires data linkage that doesn't ntly exist		
	Data wasn't collected by a provider		
	Sample size was too small (fewer than 30)		
	Other		
2. Explain in more detail why you weren't able to collect the CAHPS survey.			
Need an appropriation from the Legislature to conduct this survey.			

### **Eligibility, Enrollment, and Operations**

### **Health Services Initiative (HSI) Programs**

All states with approved HSI program(s) should complete this section. States can use up to 10% of their fiscal year allotment to develop Health Services Initiatives (HSI) that provide direct services and other public health initiatives for low-income children. [See Section 2105(a)(1)(D)(ii) of the Social Security Act.] States can only develop HSI programs after funding other costs to administer their CHIP State Plan, as defined in regulations at 42 CFR 457.10.

1.

Does your state operate Health Service Initiatives using CHIP (Title XXI) funds?

Even if you're not currently operating the HSI program, if it's in your current approved CHIP State Plan, please answer "yes."

'es

$\overline{}$	
( _ )	No
$\bullet$	INO

### **State Plan Goals and Objectives**

### Part 1: Tell us about your goals and objectives

Tell us about the progress you've made on your performance goals in the past year. The objectives and goals you add to this section should match those reflected in your CHIP State Plan, Section 9. Submit a CHIP State Plan Amendment (SPA) if any of them are different. Objective 1 is required. We've provided examples for other objectives, but you can edit them so they match the objectives in your CHIP State Plan. You can add additional objectives and goals to fit what's in your CHIP State Plan.

1. Briefly describe your goal for this objective.

For example: In an effort to reduce the number of uninsured children, our goal is to enroll 90% of eligible children in the CHIP program.

Reduce the number of North Dakota children from birth to 19 years of age who are uninsured.

2.

What type of goal is it?

- O New goal
- Continuing goal
- O Discontinued goal

Define the numerator you're measuring

3. Which population are you measuring in the numerator?

For example: The number of children enrolled in CHIP in the last federal fiscal year.

The number of children from that previous year that were uninsured.

4.

Numerator (total number)

5000

Define the denominator you're measuring

5. Which population are you measuring in the denominator?

For example: The total number of eligible children in the last federal fiscal year.

The number of children from the current year. No number was listed in the section this year.

6.

Denominator (total number)

Comp	outed:
7.	
What	is the date range of your data?
Star mm/y	
01	/ 2021
End	
12	/ 2021
8.	
Which	n data source did you use?
$\bigcirc$	Eligibility or enrollment data
•	Survey data
$\bigcirc$	Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?
10. What are you doing to continually make progress towards your goal?
11. Anything else you'd like to tell us about this goal?
12.
Do you have any supporting documentation?
Optional
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
Browse
Do you have another in this list?  Optional

## 1. What is the next objective listed in your CHIP State Plan?

You can edit the suggested objective so it matches what's in your CHIP State Plan.

Increase Access to Care

1. Briefly describe your goal for this objective.
For example: In an effort to increase access to care, our goal is to increase the number of children who have visited a primary care physician by 5%.
2.
What type of goal is it?
O New goal
<ul> <li>Continuing goal</li> </ul>
Discontinued goal
Define the numerator you're measuring
3. Which population are you measuring in the numerator?
For example: The number of children enrolled in CHIP who visited a primary care physician in the last federal fiscal year.
4.
Numerator (total number)
0

Define the denominator you're measuring
5. Which population are you measuring in the denominator?
For example: The total number of children enrolled in CHIP in the last federal fiscal year.
6.
Denominator (total number)
0
Computed:
7.
What is the date range of your data?
Start mm/yyyy
01 / 2021
End mm/yyyy
12 / 2021

8.	
Which	n data source did you use?
$\bigcirc$	Eligibility or enrollment data
$\bigcirc$	Survey data
$\bigcirc$	Another data source
9. How did your progress towards your goal last year compare to your previous year's progress?	
10. W	hat are you doing to continually make progress towards your goal?
11. Aı	nything else you'd like to tell us about this goal?

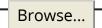
12.

Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)



## Do you have another in this list?

Optional

1. What is the next objective listed in your CHIP State Plan?

You can edit the suggested objective to match what's in your CHIP State Plan.

1. Briefly describe your goal for this objective.	
For example: In an effort to increase the use of preventative care, our goal is to increase the number of children who receive one or more well child visits by 5%.	
2.	
What type of goal is it?	
O New goal	
○ Continuing goal	
O Discontinued goal	
Define the numerator you're measuring	
3. Which population are you measuring in the numerator?	
For example: The number of children who received one or more well child visits in the last federal fiscal year.	
4.	
Numerator (total number)	

Define the denominator you're measuring
5. Which population are you measuring in the denominator?
For example: The total number of children enrolled in CHIP in the last federal fiscal year.
6.
Denominator (total number)
Computed:
7.
What is the date range of your data?
Start mm/yyyy
01 / 2021
End mm/yyyy
12 / 2021

8.	
Which	n data source did you use?
$\bigcirc$	Eligibility or enrollment data
$\bigcirc$	Survey data
$\bigcirc$	Another data source
9. How did your progress towards your goal last year compare to your previous year's progress?	
10. W	hat are you doing to continually make progress towards your goal?
11. Aı	nything else you'd like to tell us about this goal?

12.	
Do you have any supporting documentation?	
Optional	
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)	
Browse	
1. Briefly describe your goal for this objective.	
For example: In an effort to increase the use of preventative care, our goal is to increase the number of children who receive one or more well child visits by 5%.	
2.	
What type of goal is it?	
O New goal	
Continuing goal	
O Discontinued goal	

Define the numerator you're measuring
3. Which population are you measuring in the numerator?
For example: The number of children who received one or more well child visits in the last federal fiscal year.
4.
Numerator (total number)
0
Define the denominator you're measuring
5. Which population are you measuring in the denominator?
For example: The total number of children enrolled in CHIP in the last federal fiscal year.
6.
Denominator (total number)
0
Computed:

7.
What is the date range of your data?
Start mm/yyyy
01 / 2021
End mm/yyyy
12 / 2021
8.
Which data source did you use?
C Eligibility or enrollment data
O Survey data
<ul> <li>Another data source</li> </ul>
9. How did your progress towards your goal last year compare to your previous year's progress?

10. What are you doing to continually make progress towards your goal?
11. Anything else you'd like to tell us about this goal?
12.
Do you have any supporting documentation?
Optional
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse
your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse  Do you have another in this list?
your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse

1. Briefly describe your goal for this objective.
2.
What type of goal is it?
O New goal
Continuing goal
O Discontinued goal
Define the numerator you're measuring
3. Which population are you measuring in the numerator?
4.
Numerator (total number)

Define the denominator you're measuring
5. Which population are you measuring in the denominator?
6.
Denominator (total number)
Computed:
<b>7</b> .
What is the date range of your data?
Start mm/yyyy
01 / 2021
End mm/yyyy
12 / 2021

8.	
Which	n data source did you use?
$\bigcirc$	Eligibility or enrollment data
$\bigcirc$	Survey data
$\bigcirc$	Another data source
	w did your progress towards your goal last year compare to your previous s progress?
10. W	hat are you doing to continually make progress towards your goal?
11. Aı	nything else you'd like to tell us about this goal?

12.
Do you have any supporting documentation?
Optional
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
Browse
Do you have another in this list?  Optional
1. What is the next objective listed in your CHIP State Plan?

1. Briefly describe your goal for this objective.
2.
What type of goal is it?
O New goal
Continuing goal
O Discontinued goal
Define the numerator you're measuring
3. Which population are you measuring in the numerator?
4.
Numerator (total number)

Define the denominator you're measuring
5. Which population are you measuring in the denominator?
6.
Denominator (total number)
Computed:
<b>7</b> .
What is the date range of your data?
Start mm/yyyy
01 / 2021
End mm/yyyy
12 / 2021

8.		
Which	n data source did you use?	
$\bigcirc$	Eligibility or enrollment data	
$\bigcirc$	Survey data	
$\bigcirc$	Another data source	
9. How did your progress towards your goal last year compare to your previous year's progress?		
10. What are you doing to continually make progress towards your goal?		
11. Anything else you'd like to tell us about this goal?		

12.
Do you have any supporting documentation?
Optional
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
Browse
Do you have another in this list?  Optional
1. What is the next objective listed in your CHIP State Plan?

1. Briefly describe your goal for this objective.		
2.		
What type of goal is it?		
O New goal		
Continuing goal		
O Discontinued goal		
Define the numerator you're measuring		
3. Which population are you measuring in the numerator?		
4.		
Numerator (total number)		

Define the denominator you're measuring
5. Which population are you measuring in the denominator?
For example: The total number of eligible children in the last federal fiscal year.
6.
Denominator (total number)
Computed:
7.
What is the date range of your data?
Start mm/yyyy
01 / 2021
End mm/yyyy
12 / 2021

8.		
Which	n data source did you use?	
$\bigcirc$	Eligibility or enrollment data	
$\bigcirc$	Survey data	
$\bigcirc$	Another data source	
9. How did your progress towards your goal last year compare to your previous year's progress?		
10. What are you doing to continually make progress towards your goal?		
11. Anything else you'd like to tell us about this goal?		

	12.
	Do you have any supporting documentation?
	Optional
	Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
	Browse
	Do you have another in this list?  Optional  o you have another objective in your State Plan?  ptional
P	art 2: Additional questions
gc	Do you have other strategies for measuring and reporting on your performance bals? What are these strategies, and what information have you found through this search?
	Do you plan to add new strategies for measuring and reporting on your goals and pjectives? What do you plan to do, and when will this data become available?

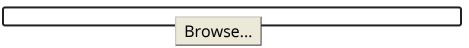
3. Have you conducted any focused studies on your CHIP population? (For example:
studies on adolescents, attention deficit disorder, substance use, special healthcare
needs, or other emerging healthcare needs.) What have you discovered through this
research?

Optional: Attach any additional documents here.

For example: studies, analyses, or any other documents that address your performance goals.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)



## **Program Financing**

Tell us how much you spent on your CHIP program in FFY 2021, and how much you anticipate spending in FFY 2022 and 2023.

### Part 1: Benefit Costs

Please type your answers in only. Do not copy and paste your answers.



How much did you spend on Managed Care in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023 \$ 0 \$ 0

2.

How much did you spend on Fee for Service in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023 \$ 28,272,189 \$ 25,238,618 \$ 25,743,390

3.

How much did you spend on anything else related to benefit costs in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023 **\$** 0 **\$** 0

How much did you receive in cost sharing from beneficiaries to offset your costs in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023 \$ 0 \$ 0

Table 1: Benefits Costs

This table is auto-populated with the data you entered above.

Туре	FFY 2021	FFY 2022	FFY 2023
Managed Care	0	0	0
Fee for Service	28272189	25238618	25743390
Other benefit costs	0	0	0
Cost sharing payments from beneficiaries	0	0	0
Total benefit costs	28272189	25238618	25743390

## **Part 2: Administrative Costs**

Please type your answers in only. Do not copy and paste your answers.

How much did you spend on personnel in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

This includes wages, salaries, and other employee costs.

2021 2022 2023 \$ 43,991 \$ 44,321 \$ 45,650

2.

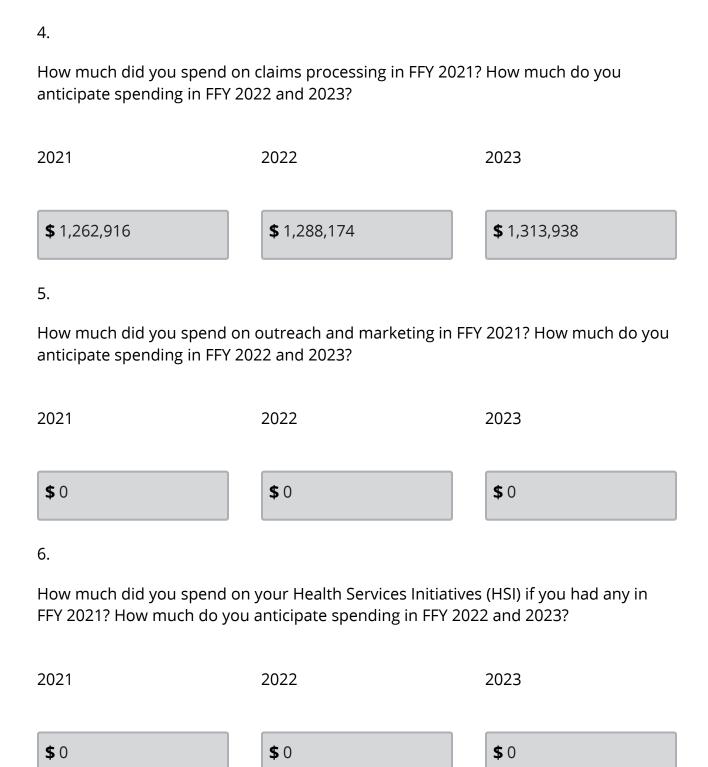
How much did you spend on general administration in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023 \$ 2,027,869 \$ 2,038,008 \$ 2,078,769

3.

How much did you spend on contractors and brokers, such as enrollment contractors in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

**\$**0 **\$**0 **\$**0 **\$**0



How much did you spend on anything else related to administrative costs in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

2021 2022 2023 \$ 0 \$ 0

#### Table 2: Administrative Costs

This table is auto-populated with the data you entered above. Your total administrative costs cannot be more than 10% of your total CHIP program costs (the sum of your benefit and administrative costs). The 10% administrative cap is calculated by dividing the total benefit costs by 9.

Туре	FFY 2021	FFY 2022	FFY 2023
Personnel	43991	44321	45650
General administration	2027869	2038008	2078769
Contractors and brokers	0	0	0
Claims processing	1262916	1288174	1313938
Outreach and marketing	0	0	0
Health Services Initiatives (HSI)	0	0	0
Other administrative costs	0	0	0
Total administrative costs	3334776	3370503	3438357
10% administrative cap	3141354.33	2804290.89	2860376.67

#### Table 3: Federal and State Shares

CHIP is funded by federal and state budgets. The federal share of funding is calculated by multiplying your state's Federal Medical Assistance Percentage (eFMAP) by your total program costs (the sum of your benefit and administrative costs). The remaining amount of your total program costs is covered by your state share of funding. This table is auto-calculated using the data you entered above. The federal and state shares for FFY 2023 will be calculated once the eFMAP rate for 2023 becomes available. In the meantime, these values will be blank.

FMAP Table	FFY 2021	FFY 2022	FFY 2023
Total program costs	31606965	28609121	29181747
eFMAP	66.68	67.51	Not Available
Federal share	21075524.26	19314017.59	Not Available
State share	10531440.74	9295103.41	Not Available

8.			
What	What were your state funding sources in FFY 2021?		
Select	Select all that apply.		
<b>✓</b>	State appropriations		
	County/local funds		
	Employer contributions		
	Foundation grants		
	Private donations		
	Tobacco settlement		
	Other		
9.			
Did you experience a shortfall in federal CHIP funds this year?			
$\bigcirc$	Yes		
•	No		

# **Part 3: Managed Care Costs**

Complete this section only if you have a Managed Care delivery system.

How many children were eligible for Managed Care in FFY 2021? How many do you anticipate will be eligible in FFY 2022 and 2023?

2021	2022	2023
0	0	0

2.

What was your per member per month (PMPM) cost based on the number of children eligible for Managed Care in FFY 2021? What is your projected PMPM cost for FFY 2022 and 2023?

Round to the nearest whole number.

2021 2022 2023 \$ 0 \$ 0

Туре	FFY 2021	FFY 2022	FFY 2023
Eligible children	0	0	0
PMPM cost	0	0	0

## **Part 4: Fee for Service Costs**

Complete this section only if you have a Fee for Service delivery system.

How many children were eligible for Fee for Service in FFY 2021? How many do you anticipate will be eligible in FFY 2022 and 2023?

2021 2022 2023

2306 2385

2.

What was your per member per month (PMPM) cost based on the number of children eligible for Fee For Service in FFY 2021? What is your projected PMPM cost for FFY 2022 and 2023?

The per member per month cost will be the average cost per month to provide services to these enrollees. Round to the nearest whole number.

2021 2022 2023

**\$** 954 **\$** 839

Туре	FFY 2021	FFY 2022	FFY 2023
Eligible children	2306	2350	2385
PMPM cost	954	839	830

2. Optional: Attach any additional documents here.  Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse  Challenges and Accomplishments  1. How has your state's political and fiscal environment affected your ability to provide healthcare to low-income children and families?  COVID -19 had large impacts on our program and number of enrollees.  2. What's the greatest challenge your CHIP program has faced in FFY 2021?  COVID-19 and getting back to normal.	1. Is there anything else you'd like to add about already covered?	your program finances that wasn't
Optional: Attach any additional documents here.  Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse  Challenges and Accomplishments  1. How has your state's political and fiscal environment affected your ability to provide healthcare to low-income children and families?  COVID -19 had large impacts on our program and number of enrollees.  2. What's the greatest challenge your CHIP program has faced in FFY 2021?		
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse  Challenges and Accomplishments  1. How has your state's political and fiscal environment affected your ability to provide healthcare to low-income children and families?  COVID -19 had large impacts on our program and number of enrollees.  2. What's the greatest challenge your CHIP program has faced in FFY 2021?	2.	
files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)  Browse  Challenges and Accomplishments  1. How has your state's political and fiscal environment affected your ability to provide healthcare to low-income children and families?  COVID -19 had large impacts on our program and number of enrollees.  2. What's the greatest challenge your CHIP program has faced in FFY 2021?	Optional: Attach any additional documents here	e.
1. How has your state's political and fiscal environment affected your ability to provide healthcare to low-income children and families?  COVID -19 had large impacts on our program and number of enrollees.  2. What's the greatest challenge your CHIP program has faced in FFY 2021?	files. Click View Uploaded to see a list of all files must be in one of these formats: PDF, Wor	files attached here.
2. What's the greatest challenge your CHIP program has faced in FFY 2021?	1. How has your state's political and fiscal envir	onment affected your ability to
	COVID -19 had large impacts on our program	and number of enrollees.
COVID-19 and getting back to normal.	2. What's the greatest challenge your CHIP prog	gram has faced in FFY 2021?
	COVID-19 and getting back to normal.	
3. What are some of the greatest accomplishments your CHIP program has experienced in FFY 2021?	3. What are some of the greatest accomplishme	
	experienced in FFY 2021?	ents your CHIP program has

4. What changes have you made to your CHIP program in FFY 2021 or plan to make in FFY 2022? Why have you decided to make these changes?
5. Is there anything else you'd like to add about your state's challenges and accomplishments?
6.
Optional: Attach any additional documents here.
Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.  Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
Browse