Arkansas CARTS FY2021 Report

Basic State Information

Welcome!

We already have some information about your state from our records. If any information is incorrect, please contact the mdct_help@cms.hhs.gov.

1. State or territory name:

Arkansas

2. Program type:

- Both Medicaid Expansion CHIP and Separate CHIP
- Medicaid Expansion CHIP only
- Separate CHIP only

3. CHIP program name(s):

AR Kids First A and AR Kids First B
Who should we contact if we have any questions about your report?

4. Contact name:

James Gallaher

5. Job title:

Business Operations Manager

6. Email:

james.gallaher@dhs.arkansas.gov

7. Full mailing address:

Include city, state, and zip code.

Department of Human Services Division of Medical Services P.O. Box 1437 - SLOT S425 Little Rock, AR 72203-1437

8. Phone number:

501.682.8292
Program Fees and Policy Changes

Part 1: Medicaid Expansion CHIP Enrollment Fees, Premiums, and Delivery Systems

1. Does your program charge an enrollment fee?

- Yes
- No
2. Does your program charge premiums?
   - Yes
   - No

3. Is the maximum premium a family would be charged each year tiered by FPL?
   - Yes
   - No

4. Do premiums differ for different Medicaid Expansion CHIP populations beyond FPL (for example, by eligibility group)? If so, briefly explain the fee structure breakdown.

5. Which delivery system(s) do you use?
   Select all that apply.
   - Managed Care
   - Primary Care Case Management
   - Fee for Service
6. Which delivery system(s) are available to which Medicaid Expansion CHIP populations? Indicate whether eligibility status, income level, age range, or other criteria determine which delivery system a population receives.

Part 2: Separate CHIP Enrollment Fees, Premiums, and Delivery Systems

1. Does your program charge an enrollment fee?
   - Yes
   - No

2. Does your program charge premiums?
   - Yes
   - No

3. Is the maximum premium a family would be charged each year tiered by FPL?
   - Yes
   - No
4. Do your premiums differ for different CHIP populations beyond FPL (for example, by eligibility group)? If so, briefly explain the fee structure breakdown.

5. Which delivery system(s) do you use?

Select all that apply.

☐ Managed Care

☐ Primary Care Case Management

☐ Fee for Service

6. Which delivery system(s) are available to which CHIP populations? Indicate whether eligibility status, income level, age range, or other criteria determine which delivery system a population receives.

Part 3: Medicaid Expansion CHIP Program and Policy Changes

Indicate any changes you've made to your Medicaid Expansion CHIP program policies in the past federal fiscal year. Many changes listed in this section require a State Plan Amendment (SPA), while some don't, such as changing outreach efforts or changing the health plan enrollment process. Please submit a SPA to reflect any changes that do require a SPA.
1.
Have you made any changes to the eligibility determination process?

- Yes
- No
- N/A

2.
Have you made any changes to the eligibility redetermination process?

- Yes
- No
- N/A

3.
Have you made any changes to the eligibility levels or target populations?
For example: increasing income eligibility levels.

- Yes
- No
- N/A
4.
Have you made any changes to the benefits available to enrollees?
For example: adding benefits or removing benefit limits.

☐ Yes
☐ No
☐ N/A

5.
Have you made any changes to the single streamlined application?

☐ Yes
☐ No
☐ N/A
6. Have you made any changes to your outreach efforts?

For example: allotting more or less funding for outreach, or changing your target population.

☐ Yes

☒ No

☐ N/A

7. Have you made any changes to the delivery system(s)?

For example: transitioning from Fee for Service to Managed Care for different Medicaid Expansion CHIP populations.

☐ Yes

☐ No

☐ N/A
8. Have you made any changes to your cost sharing requirements? For example: changing amounts, populations, or the collection process.

○ Yes
○ No
○ N/A

9. Have you made any changes to the substitution of coverage policies?
   For example: removing a waiting period.

○ Yes
○ No
○ N/A

10. Have you made any changes to the enrollment process for health plan selection?

○ Yes
○ No
○ N/A
11.
Have you made any changes to the protections for applicants and enrollees?
For example: changing from the Medicaid Fair Hearing process to the review process used by all health insurance issuers statewide.

- Yes
- No
- N/A

12.
Have you made any changes to premium assistance?
For example: adding premium assistance or changing the population that receives premium assistance.

- Yes
- No
- N/A
13. Have you made any changes to the methods and procedures for preventing, investigating, or referring fraud or abuse cases?

- Yes
- No
- N/A

14. Have you made any changes to eligibility for "lawfully residing" pregnant women?

- Yes
- No
- N/A

15. Have you made any changes to eligibility for "lawfully residing" children?

- Yes
- No
- N/A
16. Have you made changes to any other policy or program areas?

☐ Yes

☐ No

☐ N/A

17. Briefly describe why you made these changes to your Medicaid Expansion CHIP program.


18. Have you already submitted a State Plan Amendment (SPA) to reflect any changes that require a SPA?

☐ Yes

☐ No

☐ N/A

**Part 4: Separate CHIP Program and Policy Changes**

Indicate any changes you've made to your Separate CHIP program and policies in the past federal fiscal year. Many changes listed in this section require a State Plan Amendment (SPA), while some don't, such as changing outreach efforts or changing the health plan enrollment process. Please submit a SPA to reflect any changes that do require a SPA.
1. Have you made any changes to the eligibility determination process?
   - Yes
   - No
   - N/A

2. Have you made any changes to the eligibility redetermination process?
   - Yes
   - No
   - N/A

3. Have you made any changes to the eligibility levels or target populations?
   For example: increasing income eligibility levels.
   - Yes
   - No
   - N/A
4.

Have you made any changes to the benefits available to enrollees?

For example: adding benefits or removing benefit limits.

- [ ] Yes
- [x] No
- [ ] N/A

5.

Have you made any changes to the single streamlined application?

- [ ] Yes
- [x] No
- [ ] N/A
6.
Have you made any changes to your outreach efforts?
For example: allotting more or less funding for outreach, or changing your target population.

○ Yes
○ No
○ N/A

7.
Have you made any changes to the delivery system(s)?
For example: transitioning from Fee for Service to Managed Care for different Separate CHIP populations.

○ Yes
○ No
○ N/A
8. Have you made any changes to your cost sharing requirements? For example: changing amounts, populations, or the collection process.

- Yes
- No
- N/A

9. Have you made any changes to substitution of coverage policies? For example: removing a waiting period.

- Yes
- No
- N/A

10. Have you made any changes to an enrollment freeze and/or enrollment cap?

- Yes
- No
- N/A
11.

Have you made any changes to the enrollment process for health plan selection?

☐ Yes

☐ No

☐ N/A

12.

Have you made any changes to the protections for applicants and enrollees?

For example: changing from the Medicaid Fair Hearing process to the review process used by all health insurance issuers statewide.

☐ Yes

☐ No

☐ N/A
13.

Have you made any changes to premium assistance?

For example: adding premium assistance or changing the population that receives premium assistance.

☐ Yes

☒ No

☐ N/A

14.

Have you made any changes to the methods and procedures for preventing, investigating, or referring fraud or abuse cases?

☐ Yes

☒ No

☐ N/A
15.

Have you made any changes to your conception to birth expansion (as described in the October 2, 2002 final rule)?

For example: expanding eligibility or changing this population's benefit package.

- [ ] Yes
- [x] No
- [ ] N/A

16.

Have you made any changes to your Pregnant Women State Plan expansion?

For example: expanding eligibility or changing this population's benefit package.

- [ ] Yes
- [x] No
- [ ] N/A
17. Have you made any changes to eligibility for "lawfully residing" pregnant women?

- Yes
- No
- N/A

18. Have you made any changes to eligibility for "lawfully residing" children?

- Yes
- No
- N/A

19. Have you made changes to any other policy or program areas?

- Yes
- No
- N/A
20.

Have you already submitted a State Plan Amendment (SPA) to reflect any changes that require a SPA?

☐ Yes

☐ No

21. Briefly describe why you made these changes to your Separate CHIP program.


Enrollment and Uninsured Data

Part 1: Number of Children Enrolled in CHIP

This table is pre-filled with your SEDS data for the two most recent federal fiscal years (FFY). If the information is inaccurate, adjust your data in SEDS (go to line 7: "Unduplicated Number Ever Enrolled" in your fourth quarter SEDS report) and then refresh this page. If you're adjusting data in SEDS, allow one business day for the CARTS data below to update.

<table>
<thead>
<tr>
<th>Program</th>
<th>Number of children enrolled in FFY 2020</th>
<th>Number of children enrolled in FFY 2021</th>
<th>Percent change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medicaid Expansion CHIP</td>
<td>38,117</td>
<td>36,735</td>
<td>-3.626%</td>
</tr>
<tr>
<td>Separate CHIP</td>
<td>22,167</td>
<td>56,221</td>
<td>153.625%</td>
</tr>
</tbody>
</table>
1. If you had more than a 3% percent change from last year, what are some possible reasons why your enrollment numbers changed?

**Part 2: Number of Uninsured Children in Your State**

This table is pre-filled with data on uninsured children (age 18 and under) who are below 200% of the Federal Poverty Level (FPL) based on annual estimates from the American Community Survey. Due to the impacts of the COVID-19 PHE on collection of ACS data, the 2020 children’s uninsurance rates are currently unavailable. Please skip to Question 3.

<table>
<thead>
<tr>
<th>Year</th>
<th>Number of uninsured children</th>
<th>Margin of error</th>
<th>Percent of uninsured children (of total children in your state)</th>
<th>Margin of error</th>
</tr>
</thead>
<tbody>
<tr>
<td>2016</td>
<td>19,000</td>
<td>3,000</td>
<td>2.6%</td>
<td>0.4%</td>
</tr>
<tr>
<td>2017</td>
<td>18,000</td>
<td>3,000</td>
<td>2.5%</td>
<td>0.4%</td>
</tr>
<tr>
<td>2018</td>
<td>16,000</td>
<td>3,000</td>
<td>2.2%</td>
<td>0.4%</td>
</tr>
<tr>
<td>2019</td>
<td>27,000</td>
<td>5,000</td>
<td>3.8%</td>
<td>0.6%</td>
</tr>
<tr>
<td>2020</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
</tbody>
</table>

**Percent change between 2019 and 2020**

Not Available
1. What are some reasons why the number and/or percent of uninsured children has changed?


2. Are there any reasons why the American Community Survey estimates wouldn't be a precise representation of the actual number of uninsured children in your state?

- Yes
- No

3. Do you have any alternate data source(s) or methodology for measuring the number and/or percent of uninsured children in your state?

- Yes
- No

4. Is there anything else you'd like to add about your enrollment and uninsured data?


5.
Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png).

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**Eligibility, Enrollment, and Operations**

**Program Outreach**

1.
Have you changed your outreach methods in the last federal fiscal year?

- [ ] Yes
- [x] No

2.
Are you targeting specific populations in your outreach efforts?

For example: minorities, immigrants, or children living in rural areas.

- [ ] Yes
- [ ] No
3. What methods have been most effective in reaching low-income, uninsured children?

For example: TV, school outreach, or word of mouth.

One of AR’s DMS Contractor uses Beneficiary Community Outreach agents methods of outreach has found to be effective in reaching low-income uninsured children: 1-The Contractor collaborate with schools, local health units, Hometown Health coalitions, Head Start, Community Health Centers, and Women Infants and Children clinics, community-based health fairs, to promote application for Medicaid, ARKids-A & CHIP ARKids-B programs. 2-Developed partnerships with ADH administrators and DCO County Administrators and County Libraries was able to set up resource tables to provide information to families who visited the local offices with questions about enrollment or their individual coverage. The Beneficiary outreach contract agent found benefit in the partnerships with the Agencies w/daily contact with children and families that enabled the Outreach team to effectively identify families who may benefit from programs as the ARKids First (Medicaid ARKids-A & CHIP ARKids-B) programs

4. Is there anything else you’d like to add about your outreach efforts?

The Contractor’s Bilingual Coordinator provides outreach services to those areas of the State with high Hispanic population by working to reach the Hispanic population; identifying and collaborating with health coalitions, schools, and organizations who provide services to the Hispanic population and educating on appropriate utilization of health services provided by Medicaid and ARKids-A and ARKids-B
5.
Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.
Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Browse...

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Eligibility, Enrollment, and Operations

Substitution of Coverage

Substitution of coverage (also known as crowd-out) occurs when someone with private insurance drops their private coverage and substitutes it with publicly funded insurance such as CHIP.

1.
Do you track the number of CHIP enrollees who have access to private insurance?

- [ ] Yes
- [x] No
- [ ] N/A
2.
Do you match prospective CHIP enrollees to a database that details private insurance status?

○ Yes

○ No

○ N/A

5. Is there anything else you'd like to add about substitution of coverage that wasn't already covered? Did you run into any limitations when collecting data?

6.
Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
Eligibility, Enrollment, and Operations

Renewal, Denials, and Retention

Part 1: Eligibility Renewal and Retention

1.

Does your state provide presumptive eligibility, allowing children to access CHIP services pending a final determination of eligibility?

This question should only be answered in respect to Separate CHIP.

- Yes
- No
- N/A

2.

In an effort to retain children in CHIP, do you conduct follow-up communication with families through caseworkers and outreach workers?

- Yes
- No
3. Do you send renewal reminder notices to families?
   - Yes
   - No

4. What else have you done to simplify the eligibility renewal process for families?
   
   Renewals are attempted using electronic information from state and federal sources prior to notifying families of the needed information needed for renewal.

5. Which retention strategies have you found to be most effective?
   
   Not currently tracking.

6. How do you measure the effectiveness of your retention strategies? What data sources and methodology do you use to track retention?

   We can track the retention by requesting system reports.

7. Is there anything else you'd like to add that wasn't already covered?
   
   No
Part 2: CHIP Eligibility Denials (Not Redetermination)

1. How many applicants were denied CHIP coverage in FFY 2021?

Don't include applicants being considered for redetermination - this data will be collected in Part 3.

20199

2. How many applicants were denied CHIP coverage for procedural reasons?

For example: They were denied because of an incomplete application, missing documentation, or a missing enrollment fee.

11680
3.
How many applicants were denied CHIP coverage for eligibility reasons?

For example: They were denied because their income was too high or too low, they were determined eligible for Medicaid instead, or they had other coverage available.

6643

3a.
How many applicants were denied CHIP (Title XXI) coverage and determined eligible for Medicaid (Title XIX) instead?

858

4.
How many applicants were denied CHIP coverage for other reasons?

1876

5. Did you have any limitations in collecting this data?

No
Table: CHIP Eligibility Denials (Not Redetermination)

This table is auto-populated with the data you entered above.

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total denials</td>
<td>20199</td>
<td>100%</td>
</tr>
<tr>
<td>Denied for procedural reasons</td>
<td>11680</td>
<td>57.82%</td>
</tr>
<tr>
<td>Denied for eligibility reasons</td>
<td>6643</td>
<td>32.89%</td>
</tr>
<tr>
<td>Denials for other reasons</td>
<td>1876</td>
<td>9.29%</td>
</tr>
</tbody>
</table>

**Part 3: Redetermination in CHIP**

Redetermination is the process of redetermining whether a child is eligible to renew in CHIP (Title XXI) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).

1. How many children were eligible for redetermination in CHIP in FFY 2021?

   99223
2. Of the eligible children, how many were then screened for redetermination?

59638

3. How many children were retained in CHIP after redetermination?

48592
4.

How many children were disenrolled in CHIP after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

**Computed:** 10670

4a.

**How many children were disenrolled for procedural reasons?**

This could be due to an incomplete application, missing documentation, or a missing enrollment fee.

7153

4b.

**How many children were disenrolled for eligibility reasons?**

This could be due to income that was too high or too low, eligibility in Medicaid (Title XIX) instead, or access to private coverage.

2804
4c.

How many children were disenrolled for other reasons?

713

5. Did you have any limitations in collecting this data?

No

Table: Redetermination in CHIP

These tables are auto-populated with the data you entered above.

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Children screened for redetermination</td>
<td>59638</td>
<td>100%</td>
</tr>
<tr>
<td>Children retained after redetermination</td>
<td>48592</td>
<td>81.48%</td>
</tr>
<tr>
<td>Children disenrolled after redetermination</td>
<td>10670</td>
<td>17.89%</td>
</tr>
</tbody>
</table>
Table: Disenrollment in CHIP after Redetermination

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Children disenrolled after redetermination</td>
<td>10670</td>
<td>100%</td>
</tr>
<tr>
<td>Children disenrolled for procedural reasons</td>
<td>7153</td>
<td>67.04%</td>
</tr>
<tr>
<td>Children disenrolled for eligibility reasons</td>
<td>2804</td>
<td>26.28%</td>
</tr>
<tr>
<td>Children disenrolled for other reasons</td>
<td>713</td>
<td>6.68%</td>
</tr>
</tbody>
</table>

**Part 4: Redetermination in Medicaid**

Redetermination is the process of redetermining whether a child is eligible to renew in Medicaid (Title XIX) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).

1. How many children were eligible for redetermination in Medicaid in FFY 2021?

301876

2. Of the eligible children, how many were then screened for redetermination?

180473
3.

How many children were retained in Medicaid after redetermination?

149285
4.

How many children were disenrolled in Medicaid after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

**Computed:** 35649

4a.

**How many children were disenrolled for procedural reasons?**

This could be due to an incomplete application, missing documentation, or a missing enrollment fee.

24722

4b.

**How many children were disenrolled for eligibility reasons?**

This could be due to an income that was too high and/or eligibility in CHIP instead.

7845
4c.

How many children were disenrolled for other reasons?

3082

5. Did you have any limitations in collecting this data?

No

Table: Redetermination in Medicaid

These tables are auto-populated with the data you entered above.

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Children screened for redetermination</td>
<td>180473</td>
<td>100%</td>
</tr>
<tr>
<td>Children retained after redetermination</td>
<td>149285</td>
<td>82.72%</td>
</tr>
<tr>
<td>Children disenrolled after redetermination</td>
<td>35649</td>
<td>19.75%</td>
</tr>
</tbody>
</table>
Table: Disenrollment in Medicaid after Redetermination

<table>
<thead>
<tr>
<th>Type</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Children disenrolled after redetermination</td>
<td>35649</td>
<td>100%</td>
</tr>
<tr>
<td>Children disenrolled for procedural reasons</td>
<td>24722</td>
<td>69.35%</td>
</tr>
<tr>
<td>Children disenrolled for eligibility reasons</td>
<td>7845</td>
<td>22.01%</td>
</tr>
<tr>
<td>Children disenrolled for other reasons</td>
<td>3082</td>
<td>8.65%</td>
</tr>
</tbody>
</table>

**Part 5: Tracking a CHIP cohort (Title XXI) over 18 months**

Tracking a cohort of children enrolled in CHIP (Title XXI) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years who are newly enrolled in CHIP and/or Medicaid as of January through March 2020 (the second quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period.

You'll identify a new cohort every two years. This last year you reported on the number of children at the start of the cohort (Jan - Mar 2020) and six months later (July - Sept 2020). This year you’ll report on the same cohort at 12 months (Jan - Mar 2021) and 18 months later (July - Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.
Helpful hints on age groups

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2020. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2020 must be born after January 2004. Similarly, children who are newly enrolled in February 2020 must be born after February 2004, and children newly enrolled in March 2020 must be born after March 2004.

1.

How does your state define "newly enrolled" for this cohort?

○ Newly enrolled in CHIP: Children in this cohort weren't enrolled in CHIP (Title XXI) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP in December 2019.

○ Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled in CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.

2.

Do you have data for individual age groups?

If not, you'll report the total number for all age groups (0-16 years) instead.

○ Yes

○ No

You completed this section in your 2020 CARTS Report. Please refer to that report to assist in filling out this section if needed.

3.

How many children were newly enrolled in CHIP between January and March 2020?

<table>
<thead>
<tr>
<th>Ages</th>
<th>0-1</th>
<th>1-5</th>
<th>6-12</th>
<th>13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>243</td>
<td>3419</td>
<td>5307</td>
<td>2185</td>
</tr>
</tbody>
</table>

July - September 2020 (6 months later): included in 2020 report.

4.

How many children were continuously enrolled in CHIP six months later?

Only include children that didn't have a break in coverage during the six-month period.

<table>
<thead>
<tr>
<th>Ages</th>
<th>0-1</th>
<th>1-5</th>
<th>6-12</th>
<th>13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>198</td>
<td>2558</td>
<td>4080</td>
<td>1679</td>
</tr>
</tbody>
</table>
5.

How many children had a break in CHIP coverage but were re-enrolled in CHIP six months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>72</td>
<td>90</td>
<td>51</td>
</tr>
</tbody>
</table>

6.

Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>64</td>
<td>79</td>
<td>45</td>
</tr>
</tbody>
</table>

7.

How many children were no longer enrolled in CHIP six months later?

Possible reasons for no longer being enrolled:
- Transferred to another health insurance program other than CHIP
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>42</td>
<td>789</td>
<td>1137</td>
<td>455</td>
</tr>
</tbody>
</table>
8. 
Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid six months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>15</td>
<td>285</td>
<td>431</td>
<td>179</td>
</tr>
</tbody>
</table>

9. Is there anything else you'd like to add about your data?

No

January - March 2021 (12 months later): to be completed this year.

This year, please report data about your cohort for this section

10. 
How many children were continuously enrolled in CHIP 12 months later?

Only include children that didn't have a break in coverage during the 12-month period.

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>31</td>
<td>9569</td>
<td>33675</td>
<td>196</td>
</tr>
</tbody>
</table>
11.

How many children had a break in CHIP coverage but were re-enrolled in CHIP 12 months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>86</td>
<td>1554</td>
<td>10</td>
</tr>
</tbody>
</table>

12.

Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3</td>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

13.

How many children were no longer enrolled in CHIP 12 months later?

Possible reasons for not being enrolled:
- Transferred to another health insurance program other than CHIP
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>1711</td>
<td>2266</td>
<td>12</td>
</tr>
</tbody>
</table>
14.

Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 12 months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>1276</td>
<td>1359</td>
<td>7</td>
</tr>
</tbody>
</table>

July - September of 2021 (18 months later): to be completed this year

This year, please report data about your cohort for this section.

15.

How many children were continuously enrolled in CHIP 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>7050</td>
<td>26269</td>
<td>153</td>
</tr>
</tbody>
</table>
16. How many children had a break in CHIP coverage but were re-enrolled in CHIP 18 months later?

<table>
<thead>
<tr>
<th>Ages</th>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>48</td>
<td>108</td>
<td>5139</td>
<td>37</td>
</tr>
</tbody>
</table>

17. Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?

<table>
<thead>
<tr>
<th>Ages</th>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>2306</td>
<td>5298</td>
<td>31</td>
<td></td>
</tr>
</tbody>
</table>

18. How many children were no longer enrolled in CHIP 18 months later?

Possible reasons for not being enrolled:
- Transferred to another health insurance program other than CHIP
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

<table>
<thead>
<tr>
<th>Ages</th>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>2306</td>
<td>5298</td>
<td>31</td>
<td></td>
</tr>
</tbody>
</table>
19. Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 18 months later?

<table>
<thead>
<tr>
<th>Ages</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td></td>
</tr>
<tr>
<td>Ages 1-5</td>
<td>1302</td>
</tr>
<tr>
<td>Ages 6-12</td>
<td>3228</td>
</tr>
<tr>
<td>Ages 13-16</td>
<td>19</td>
</tr>
</tbody>
</table>

20. Is there anything else you’d like to add about your data?

No

**Part 6: Tracking a Medicaid (Title XIX) cohort over 18 months**

Tracking a cohort of children enrolled in Medicaid (Title XIX) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years, who are newly enrolled in Medicaid and/or CHIP as of January through March 2020 (the second quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period.

You'll identify a new cohort every two years. This last year you reported the number of children identified at the start of the cohort (Jan-Mar 2020) and six months later (July-Sept 2020). This year you'll report numbers for the same cohort at 12 months (Jan-Mar 2021) and 18 months later (July-Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.
Helpful hints on age groups

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2021. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2021 must be born after January 2004. Similarly, children who are newly enrolled in February 2021 must be born after February 2004, and children newly enrolled in March 2021 must be born after March 2004.

1. How does your state define "newly enrolled" for this cohort?

- Newly enrolled in Medicaid: Children in this cohort weren't enrolled in Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in Medicaid in December 2019.

- Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled in CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.

2. Do you have data for individual age groups?

If not, you'll report the total number for all age groups (0-16 years) instead.

- Yes

- No
### January - March 2020 (start of the cohort): included in 2020 report

You completed this section in your 2020 CARTS Report. Please refer to that report to assist in filling out this section if needed.

3. 

How many children were newly enrolled in Medicaid between January and March 2020?

<table>
<thead>
<tr>
<th>Ages</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>6090</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 1-5</td>
<td>4649</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 6-12</td>
<td>6145</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 13-16</td>
<td>2663</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### July - September 2020 (6 months later): included in 2020 report

You completed this section in your 2020 CARTS report. Please refer to that report to assist in filling out this section if needed.

4. 

How many children were continuously enrolled in Medicaid six months later?

Only include children that didn't have a break in coverage during the six-month period.

<table>
<thead>
<tr>
<th>Ages</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>5785</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 1-5</td>
<td>4147</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 6-12</td>
<td>5225</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 13-16</td>
<td>2268</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
5. How many children had a break in Medicaid coverage but were re-enrolled in Medicaid six months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>19</td>
<td>50</td>
<td>117</td>
<td>58</td>
</tr>
</tbody>
</table>

6. Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>20</td>
<td>79</td>
<td>32</td>
</tr>
</tbody>
</table>

7. How many children were no longer enrolled in Medicaid six months later?

Possible reasons for no longer being enrolled:
- Transferred to another health insurance program other than Medicaid
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>286</td>
<td>452</td>
<td>803</td>
<td>337</td>
</tr>
</tbody>
</table>
8.

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP six months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>272</td>
<td>324</td>
<td>426</td>
<td>183</td>
</tr>
</tbody>
</table>

9. Is there anything else you'd like to add about your data?


January - March 2021 (12 months later): to be completed this year

This year, please report data about your cohort for this section.

10.

How many children were continuously enrolled in Medicaid 12 months later?

Only include children that didn't have a break in coverage during the 12-month period.

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>157</td>
<td>67188</td>
<td>93250</td>
<td>49597</td>
</tr>
</tbody>
</table>
11. How many children had a break in Medicaid coverage but were re-enrolled in Medicaid 12 months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>1247</td>
<td>1415</td>
<td>743</td>
</tr>
</tbody>
</table>

12. Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>8</td>
<td>17</td>
<td>5</td>
</tr>
</tbody>
</table>

13. How many children were no longer enrolled in Medicaid 12 months later?

Possible reasons for not being enrolled:
- Transferred to another health insurance program other than Medicaid
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>13</td>
<td>5226</td>
<td>4342</td>
<td>2075</td>
</tr>
</tbody>
</table>
14.

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP 12 months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>782</td>
<td>977</td>
<td>456</td>
</tr>
</tbody>
</table>

July - September of 2021 (18 months later): to be completed next year

This year, please report data about your cohort for this section.

15.

How many children were continuously enrolled in Medicaid 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>57858</td>
<td>90018</td>
<td>48492</td>
</tr>
</tbody>
</table>
16. How many children had a break in Medicaid coverage but were re-enrolled in Medicaid 18 months later?

<table>
<thead>
<tr>
<th>Ages</th>
<th>0-1</th>
<th>1-5</th>
<th>6-12</th>
<th>13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td></td>
<td></td>
<td>2351</td>
<td>1439</td>
</tr>
<tr>
<td>Ages 1-5</td>
<td></td>
<td></td>
<td>2751</td>
<td></td>
</tr>
<tr>
<td>Ages 6-12</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 13-16</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

17. Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

<table>
<thead>
<tr>
<th>Ages</th>
<th>0-1</th>
<th>1-5</th>
<th>6-12</th>
<th>13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td></td>
<td></td>
<td>82</td>
<td>47</td>
</tr>
<tr>
<td>Ages 1-5</td>
<td></td>
<td></td>
<td>104</td>
<td></td>
</tr>
<tr>
<td>Ages 6-12</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 13-16</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

18. How many children were no longer enrolled in Medicaid 18 months later?

Possible reasons for not being enrolled:
- Transferred to another health insurance program other than Medicaid
- Didn't meet eligibility criteria anymore
- Didn't complete documentation
- Didn't pay a premium or enrollment fee

<table>
<thead>
<tr>
<th>Ages</th>
<th>0-1</th>
<th>1-5</th>
<th>6-12</th>
<th>13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td></td>
<td></td>
<td>5729</td>
<td>3612</td>
</tr>
<tr>
<td>Ages 1-5</td>
<td></td>
<td></td>
<td>7184</td>
<td></td>
</tr>
<tr>
<td>Ages 6-12</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ages 13-16</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
19.

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP 18 months later?

<table>
<thead>
<tr>
<th>Ages 0-1</th>
<th>Ages 1-5</th>
<th>Ages 6-12</th>
<th>Ages 13-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>463</td>
<td>1874</td>
<td>938</td>
</tr>
</tbody>
</table>

20. Is there anything else you'd like to add about your data?

No

Eligibility, Enrollment, and Operations

Cost Sharing (Out-of-Pocket Costs)

States can choose whether or not to require cost sharing in their CHIP program. Cost sharing includes payments such as enrollment fees, premiums, deductibles, coinsurance, and copayments.

1.

Does your state require cost sharing?

- [ ] Yes
- [ ] No
2. Who tracks cost sharing to ensure families don't pay more than the 5% aggregate household income in a year?

○ Families ("the shoebox method")

○ Health plans

○ States

○ Third party administrator

○ Other

3. How are healthcare providers notified that they shouldn't charge families once families have reached the 5% cap?

Once the copay limit is reached then the copay is no longer deducted from the payment to the provider.

4. Approximately how many families exceeded the 5% cap in the last federal fiscal year?

None
5. Have you assessed the effects of charging premiums and enrollment fees on whether eligible families enroll in CHIP?

- [ ] Yes
- [ ] No

6. Have you assessed the effects of charging copayments and other out-of-pocket fees on whether enrolled families use CHIP services?

- [ ] Yes
- [ ] No

8. Is there anything else you’d like to add that wasn't already covered?

No


Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.
Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)
Eligibility, Enrollment, and Operations

Employer Sponsored Insurance and Premium Assistance

States with a premium assistance program can use CHIP funds to purchase coverage through employer sponsored insurance (ESI) on behalf of eligible children and parents.

1. Does your state offer ESI including a premium assistance program under the CHIP State Plan or a Section 1115 Title XXI demonstration?

☐ Yes

☐ No

Eligibility, Enrollment, and Operations

Program Integrity

States with a premium assistance program can use CHIP funds to purchase coverage through employer sponsored insurance (ESI) on behalf of eligible children and parents.
1. Do you have a written plan with safeguards and procedures in place for the prevention of fraud and abuse cases?
   - Yes
   - No

2. Do you have a written plan with safeguards and procedures in place for the investigation of fraud and abuse cases?
   - Yes
   - No

3. Do you have a written plan with safeguards and procedures in place for the referral of fraud and abuse cases?
   - Yes
   - No

4. What safeguards and procedures are in place for the prevention, investigation, and referral of fraud and abuse cases?
5.
Do the Managed Care plans contracted by your Separate CHIP program have written plans with safeguards and procedures in place?

○ Yes

○ No

○ N/A

6.
How many eligibility denials have been appealed in a fair hearing in FFY 2021?

11

7.
How many cases have been found in favor of the beneficiary in FFY 2021?

0
8. How many cases related to provider credentialing were investigated in FFY 2021? 0

9. How many cases related to provider credentialing were referred to appropriate law enforcement officials in FFY 2021? 0

10. How many cases related to provider billing were investigated in FFY 2021? 0

11. How many cases were referred to appropriate law enforcement officials in FFY 2021? 0
12. How many cases related to beneficiary eligibility were investigated in FFY 2021?

0

13. How many cases related to beneficiary eligibility were referred to appropriate law enforcement officials in FFY 2021?

0

14. Does your data for Questions 8-13 include cases for CHIP only or for Medicaid and CHIP combined?

- CHIP only
- Medicaid and CHIP combined

15. Do you rely on contractors for the prevention, investigation, and referral of fraud and abuse cases?

- Yes
- No
16.
Do you contract with Managed Care health plans and/or a third party contractor to provide this oversight?

☐ Yes
☐ No

17. Is there anything else you’d like to add that wasn’t already covered?

No

18.
Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.
Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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**Eligibility, Enrollment, and Operations**

**Dental Benefits**

Tell us about the children receiving dental benefits in your Separate CHIP program. Include children who are receiving full benefits and those who are only receiving supplemental dental benefits. Include the unduplicated number of children enrolled in all types of delivery systems (Managed Care, PCCM, and Fee for Service).
Note on age groups

Children should be in age groups based on their age on September 30th, the end of the federal fiscal year (FFY). For example, if a child turns three years old on September 15th, the child should be included in the "ages 3-5" group. Even if the child received dental services on September 1st while they were still two years old, all dental services should be counted as their age at the end of the FFY.

1.

Do you have data for individual age groups?

If not, you'll report the total number for all age groups (0-18 years) instead.

- Yes
- No

2.

How many children were enrolled in Separate CHIP for at least 90 continuous days during FFY 2021?

- Ages 0-1: 525
- Ages 1-2: 5765
- Ages 3-5: 10910
- Ages 6-9: 13986
- Ages 10-14: 18467
- Ages 15-18: 13776
3. How many children (who were enrolled in Separate CHIP for at least 90 continuous days) received at least one dental care service during FFY 2021?

<table>
<thead>
<tr>
<th>Ages</th>
<th>0-1</th>
<th>1-2</th>
<th>3-5</th>
<th>6-9</th>
<th>10-14</th>
<th>15-18</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
<td>7</td>
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<td>10643</td>
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<tr>
<td>Ages 15-18</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6466</td>
</tr>
</tbody>
</table>

Dental care service codes and definitions

The dental service must be provided by or under the supervision of a dentist as defined by HCPCS codes D0100-D9999 (or equivalent CDT codes D0100-D9999, or equivalent CPT codes) based on an unduplicated paid, unpaid, or denied claim. All data should be based on the definitions in the Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) Report (Form CMS-416).

4. How many children (who were enrolled in Separate CHIP for at least 90 continuous days) received at least one preventative dental care service during FFY 2021?

<table>
<thead>
<tr>
<th>Ages</th>
<th>0-1</th>
<th>1-2</th>
<th>3-5</th>
<th>6-9</th>
<th>10-14</th>
<th>15-18</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
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<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Ages 6-9</td>
<td></td>
<td></td>
<td></td>
<td>7905</td>
<td></td>
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<td></td>
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<td>9920</td>
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<td>Ages 15-18</td>
<td></td>
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<td></td>
<td></td>
<td>5829</td>
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</tbody>
</table>
Dental care service codes and definitions

The dental service must be provided by or under the supervision of a dentist as defined by HCPCS codes D0100 - D9999 (or equivalent CDT codes D0100 - D9999, or equivalent CPT codes) based on an unduplicated paid, unpaid, or denied claim. All data should be based on the definitions in the Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) Report (Form CMS-416).

5.

How many children (who were enrolled in Separate CHIP for at least 90 continuous days) received dental treatment services during FFY 2021?

This includes orthodontics, periodontics, implants, oral and maxillofacial surgery, and other treatments.

<table>
<thead>
<tr>
<th>Ages</th>
<th></th>
<th>Ages</th>
<th></th>
<th>Ages</th>
<th></th>
<th>Ages</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Ages 0-1</td>
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<td>59</td>
<td>Ages 3-5</td>
<td>1287</td>
<td>Ages 6-9</td>
<td>3432</td>
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<tr>
<td>Ages 10-14</td>
<td>4346</td>
<td>Ages 15-18</td>
<td>2813</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Dental treatment service codes and definitions

The dental service must be provided by or under the supervision of a dentist as defined by HCPCS codes D2000-D9999 (or equivalent CDT codes D2000-D9999 or equivalent CPT codes that involve periodontics, maxillofacial prosthetics, implants, oral and maxillofacial surgery, orthodontics, adjunctive general services) based on an unduplicated paid, unpaid, or denied claim. All data should be based on the definitions in the Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) Report (Form CMS-416).
6. How many children in the "ages 6-9" group received a sealant on at least one permanent molar tooth during FFY 2021?

1189

Sealant codes and definitions

The sealant on a permanent molar tooth is provided by a dental professional for whom placing a sealant is within their scope of practice. It's defined by HCPCS code D1351 (or equivalent CDT code D1351) based on an unduplicated paid, unpaid, or denied claim. Permanent molars are teeth numbered 2, 3, 14, 15, 18, 19, 30, and 31, and additionally - for states covering sealants on third molars ("wisdom teeth") - teeth numbered 1, 16, 17, and 32. All data should be based on the definitions in the Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) Report (Form CMS-416).

7. Do you provide supplemental dental coverage?

☐ Yes

☐ No

8. Is there anything else you’d like to add about your dental benefits? If you weren't able to provide data, let us know why.

No
9.

Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png).

Eligibility, Enrollment, and Operations

CAHPS Survey Results

Children's Health Insurance Program Reauthorization Act (CHIPRA) requires that all CHIP programs submit survey results from the Consumer Assessment of Healthcare Providers and Systems (CAHPS). The survey assesses your CHIP program quality and customer satisfaction. For the 2021 CARTS report, we highly encourage states to report all raw CAHPS data to the Agency for Healthcare Research and Quality (AHRQ) CAHPS Database instead of reporting a summary of the data via CARTS. For 2022, the only option for reporting CAHPS results will be through the submission of raw data to ARHQ.

1.

Did you collect the CAHPS survey?

☐ Yes

☐ No

Part 2: You collected the CAHPS survey

Since you collected the CAHPS survey, please complete Part 2.
1. Upload a summary report of your CAHPS survey results.

This is optional if you already submitted CAHPS raw data to the AHRQ CAHPS database. Submit results only for the CHIP population, not for both Medicaid (Title XIX) and CHIP (Title XXI) together. Your data should represent children enrolled in all types of delivery systems (Managed Care, PCCM, and Fee for Service).

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Browse...

2. Which CHIP population did you survey?

- Medicaid Expansion CHIP
- Separate CHIP
- Both Separate CHIP and Medicaid Expansion CHIP
- Other
3. Which version of the CAHPS survey did you use?

- CAHPS 5.0
- CAHPS 5.0H
- Other

4. Which supplemental item sets did you include in your survey?

- None
- Children with Chronic Conditions
- Other

5. Which administrative protocol did you use to administer the survey?

- NCQA HEDIS CAHPS 5.0H
- HRQ CAHPS
- Other
6. Is there anything else you’d like to add about your CAHPS survey results?

---

**Part 3: You didn't collect the CAHPS survey**

**Eligibility, Enrollment, and Operations**

**Health Services Initiative (HSI) Programs**

All states with approved HSI program(s) should complete this section. States can use up to 10% of their fiscal year allotment to develop Health Services Initiatives (HSI) that provide direct services and other public health initiatives for low-income children. [See Section 2105(a)(1)(D)(ii) of the Social Security Act.] States can only develop HSI programs after funding other costs to administer their CHIP State Plan, as defined in regulations at 42 CFR 457.10.

1. Does your state operate Health Service Initiatives using CHIP (Title XXI) funds?

   Even if you're not currently operating the HSI program, if it's in your current approved CHIP State Plan, please answer "yes."

   - [ ] Yes
   - [ ] No

Tell us about your HSI program(s).
1. What is the name of your HSI program?

Health and Well-Being Program for Maltreated Children Health Services Initiative

2. Are you currently operating the HSI program, or plan to in the future?

☐ Yes

☐ No

3. Which populations does the HSI program serve?

Health and Well-Being Program for Maltreated Children Health Services Initiative serves children in all 75 counties in Arkansas following allegations involving families that have at least one child in the home age five or under for any report of Garrett’s Law (i.e., substance exposed infants regardless of investigative finding) and for any report with a true finding and an associated protective services case opened for medical neglect, failure to thrive, Munchausen by proxy, and/or other populations agreed upon between the Contractor and DCFS. However, the number of families services under this contract must not exceed the designated cap of one thousand, three hundred and ninety (1,390) families.

4. How many children do you estimate are being served by the HSI program?

1205
5.

How many children in the HSI program are below your state's FPL threshold?

Computed:

Skip to the next section if you're already reporting HSI metrics and outcomes to CMS, such as in quarterly or monthly reports.
6. How do you measure the HSI program's impact on the health of low-income children in your state? Define a metric to measure the impact.

The focus over this past year for the Health & Well-Being Program for Maltreated Children Health Services Initiative has been continued process evaluation to ensure that implementation was done with fidelity according to the national SafeCare model (the selected evidence-based curriculum for this program), which should translate into the documented outcomes at the family level. Arkansas SafeCare achieved National Accreditation in April 2021. Reports available through the process evaluation include:

- **DCFS to SafeCare Referrals Report** includes families with eligible and ineligible DCFS referrals to SafeCare.

- **Average # Days from DCFS Referral Aggregate Report** includes the average # days from DCFS referral to the first contact and home visit with the family by the Enrollment Coordinator (EC). This report is used to document program deliverables required by DCFS.

- **Average # Days from DCFS Referral Aggregate Report** also includes the average number of days from DCFS referral to the beginning of services with the family (consent forms are signed), the first provider home visit and the first module session is delivered. This report is used to document program deliverables required by DCFS.

- **Served (Consent Signed) Aggregate Report** provides information on the number of families being served (new and continuing), the number of children and adults in the home who are served in SafeCare (also broken down by allegation), and the number of children and adults in the family who are not being served by SafeCare.

- **DCFS Family Progress Report** provides information about the progress of the family within the SafeCare model for the DCFS caseworker. Information includes the home visit date, the session and module that was provided, whether the session/module was completed with the family, rating of increased Provider concern for the family, date of the next planned contact and notes.

- **Family Contacts Report** provides information on the number of home visits aggregated and per family (by location), the number of missed visits (by reason), and all other attempted contacts with the family.

- **Referrals to Outside Service Providers Aggregate Report** provides information on the number of SafeCare referrals to outside service providers (by reason). In addition to program process outcomes, SafeCare providers conduct family assessments as part of program services. These assessments are completed to correspond with each of the SafeCare modules. Each of the assessments is scored as a baseline and end of module test to measure change in the parent's mastery of the curriculum activities. To complete a module, a parent must demonstrate
Mastery (100% correct use of skills) or Success (marked improvement as compared to Baseline Assessment). Each module has specific guidelines for mastery and success. The evaluation team built a report providing the percent of families in SafeCare who achieved mastery per intervention focus (parent-child/infant interaction, health, and safety). This report includes the number of modules for which success and/or mastery was achieved. The evaluation team also built a report for showing not just mastery, but the average percent increase in behavior change for each skill.

7. What outcomes have you found when measuring the impact?

The evaluation team has put together the first report of evaluation results that looked at the program from 2017 (implementation) through 2020. The evaluation found that 53% of participants completed the entire program and 70% of those who started services completed at least one module. The most common reason for incompletion was lost contact/family moved from area. The attrition rate has decreased somewhat now that SafeCare is statewide and the family can pick up services in their new area. The most completed module was the Health Module. Participants increased their health knowledge by an average of 76% over baseline going from a combined average of 55% to 97% on topics such as doctor's appointments, care at home, and emergency. Participants who completed the parent/infant or parent/child interaction module increased their knowledge an average of 65% over baseline going from a combined average of 60% to 100% on daily activity and play activity. Participants reduced the total number of safety hazards in their home by 91% from before the hazard module to after the module.

8. Is there anything else you'd like to add about this HSI program?
9.

Optional: Attach any additional documents.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png).

Do you have another in this list?
Optional

State Plan Goals and Objectives

Part 1: Tell us about your goals and objectives

Tell us about the progress you've made on your performance goals in the past year. The objectives and goals you add to this section should match those reflected in your CHIP State Plan, Section 9. Submit a CHIP State Plan Amendment (SPA) if any of them are different. Objective 1 is required. We've provided examples for other objectives, but you can edit them so they match the objectives in your CHIP State Plan. You can add additional objectives and goals to fit what's in your CHIP State Plan.
1. Briefly describe your goal for this objective.

For example: In an effort to reduce the number of uninsured children, our goal is to enroll 90% of eligible children in the CHIP program.

**Objective 1:** The total number of children enrolled in CHIP will increase each year by one-half percent or be adjusted up or down based on historical data. (https://humanservices.arkansas.gov/wp-content/uploads/CHIPStatePlan.pdf Pages 136 and 137) MCHIP + SCHIP: Low-income children, previously without health insurance coverage, will have health insurance coverage via the Arkansas' CHIP Medicaid expansion and separate child health programs. The total number of children enrolled in Arkansas' CHIP Medicaid expansion and separate child health programs in FFY 2020 will increase by at least one-half percent of the total number of children reported enrolled in Arkansas' CHIP Medicaid expansion and separate child health programs in FFY 2019.

2. What type of goal is it?

- [ ] New goal
- [x] Continuing goal
- [ ] Discontinued goal
Define the numerator you're measuring

3. Which population are you measuring in the numerator?

For example: The number of children enrolled in CHIP in the last federal fiscal year.

MCHIP + SCHIP: FFY 2020 Arkansas' CHIP Medicaid expansion and separate child health programs enrollment - FFY 2019 Arkansas' CHIP Medicaid expansion and separate child health programs enrollment.

4.

Numerator (total number)

824
Define the denominator you're measuring

5. Which population are you measuring in the denominator?
For example: The total number of eligible children in the last federal fiscal year.

MCHIP + SCHIP: FFY 2019 Arkansas' CHIP Medicaid expansion and separate child health programs enrollment.

6.
Denominator (total number)

129274

Computed: 0.64%

7.
What is the date range of your data?

Start
mm/yyyy

10 / 2019

End
mm/yyyy

09 / 2020
8. Which data source did you use?

- Eligibility or enrollment data
- Survey data
- Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?

MCHIP + SCHIP: The number of children eligible for MCHIP + SCHIP decreased by -824 between FFY 2019 and FFY 2020, which is a -0.64% relative change.

10. What are you doing to continually make progress towards your goal?

11. Anything else you'd like to tell us about this goal?

MCHIP + SCHIP: FFY 2019 and FFY 2020 Measurement Period Arkansas' CHIP Medicaid expansion and separate child health programs enrollment is defined by as any member enrolled in the Arkansas' CHIP Medicaid expansion and separate child health programs at any time during the year, respectively. (The measure Numerator is a negative number.)
12. Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Do you have another in this list?

Optional

1. What is the next objective listed in your CHIP State Plan?

You can edit the suggested objective so it matches what's in your CHIP State Plan.

Objective 2: The total number of children receiving preventive dental services, including orthodontia, will increase each year by one-half percent or be adjusted up or down based on historical data. (https://humanservices.arkansas.gov/wp-content/uploads/CHIPStatePlan.pdf Pages 136 and 137).
1. Briefly describe your goal for this objective.

For example: In an effort to increase access to care, our goal is to increase the number of children who have visited a primary care physician by 5%.

MCHIP + SCHIP: Children enrolled in Arkansas' CHIP Medicaid expansion and separate child health programs will have access to health care. The total number of Arkansas' CHIP Medicaid expansion and separate child health programs enrollees receiving preventive dental services, including orthodontia, in FFY 2020 will increase by at least one-half percent of the total number of Arkansas' CHIP Medicaid expansion and separate child health programs enrollees reported receiving dental services, including orthodontia, in FFY 2019.

2. What type of goal is it?

- New goal
- Continuing goal
- Discontinued goal
Define the numerator you're measuring

3. Which population are you measuring in the numerator?

For example: The number of children enrolled in CHIP who visited a primary care physician in the last federal fiscal year.

MCHIP + SCHIP: Number of FFY 2020 Arkansas' CHIP Medicaid expansion and separate child health programs enrollees who received preventive dental services - Number of FFY 2019 Arkansas’ CHIP Medicaid expansion and separate child health programs enrollees who received preventive dental services.

4.

Numerator (total number)

6146
Define the denominator you're measuring

5. Which population are you measuring in the denominator?

For example: The total number of children enrolled in CHIP in the last federal fiscal year.

**MCHIP + SCHIP: Number of FFY 2019 Arkansas' CHIP Medicaid expansion and separate child health programs enrollees who received preventive dental services.**

6.

Denominator (total number)

59331

**Computed: 10.36%**
7. What is the date range of your data?

**Start**
mm/yyyy

10 / 2019

**End**
mm/yyyy

09 / 2020

8. Which data source did you use?

- [ ] Eligibility or enrollment data
- [ ] Survey data
- [ ] Another data source
9. How did your progress towards your goal last year compare to your previous year's progress?

MCHIP + SCHIP: The total number of MCHIP + SCHIP children receiving preventive dental services, including orthodontia, decreased by -6,146 between FFY 2019 and FFY 2020, which is a -10.36% numerator relative change.

10. What are you doing to continually make progress towards your goal?

11. Anything else you'd like to tell us about this goal?

The data source is administrative claims data.

12. Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Do you have another in this list?

Optional
1. What is the next objective listed in your CHIP State Plan?

You can edit the suggested objective to match what's in your CHIP State Plan.

Objective 3: The total number of children under 15 months of life; children ages 3 through 6 years; and adolescents ages 12 through 18 years receiving well-child visits will increase each year by one-half percent or be adjusted up or down based on historical data. (https://humanservices.arkansas.gov/wp-content/uploads/CHIPStatePlan.pdf Pages 136 and 137).
1. Briefly describe your goal for this objective.

For example: In an effort to increase the use of preventative care, our goal is to increase the number of children who receive one or more well child visits by 5%.

MCHIP + SCHIP: Arkansas' CHIP Medicaid expansion and separate child health programs will improve the health status of Arkansas' CHIP Medicaid expansion and separate child health programs enrollees as well as improve overall health care. The total number of Arkansas' CHIP Medicaid expansion and separate child health programs enrollees (under 15 months of life; children ages 3 through 6 years; and adolescents ages 12 through 18 years) receiving well-child visits in FFY 2020 will increase by at least one-half percent of the total number of Arkansas' CHIP Medicaid expansion and separate child health programs enrollees (under 15 months of life; children ages 3 through 6 years; and adolescents ages 12 through 18 years) reported receiving these services in the FFY 2019.

2. What type of goal is it?

- [ ] New goal
- [x] Continuing goal
- [ ] Discontinued goal
Define the numerator you're measuring

3. Which population are you measuring in the numerator?

For example: The number of children who received one or more well child visits in the last federal fiscal year.

MCHIP + SCHIP: Number of FFY 2020 Arkansas' CHIP Medicaid expansion and separate child health programs enrollees (under 15 months of life; children ages 3 through 6 years; and adolescents ages 12 through 18 years) 2 who received well-child visits - Number of FFY 2019 Arkansas' CHIP Medicaid expansion and separate child health programs enrollees (under 15 months of life; children ages 3 through 6 years; and adolescents ages 12 through 18 years) 2 who received well-child visits.

4.

Numerator (total number)

1412
Define the denominator you're measuring

5. Which population are you measuring in the denominator?

For example: The total number of children enrolled in CHIP in the last federal fiscal year.

MCHIP + SCHIP: Number of FFY 2019 Arkansas' CHIP Medicaid expansion and separate child health programs enrollees (under 15 months of life; children ages 3 through 6 years; and adolescents ages 12 through 18 years) 2 who received well-child visits.

6.

Denominator (total number)

16551

**Computed:** 8.53%
7. What is the date range of your data?

**Start**
mm/yyyy

10 / 2019

**End**
mm/yyyy

09 / 2020

8. Which data source did you use?

- Eligibility or enrollment data
- Survey data
- Another data source
9. How did your progress towards your goal last year compare to your previous year's progress?

MCHIP + SCHIP: The total number of MCHIP + SCHIP children under 15 months of life receiving at least one (1 to 6+ visits), ages 3 through 6 years and adolescents ages 12 through 18 years receiving well-child visits increased by 1,412 between FFY 2019 and FFY 2020, which is a 8.53% numerator relative change.

10. What are you doing to continually make progress towards your goal?

11. Anything else you'd like to tell us about this goal?

The data source is administrative claims data. (The measure Numerator is a negative number.)

12.

Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Do you have another in this list?

Optional

Do you have another objective in your State Plan?
Part 2: Additional questions

1. Do you have other strategies for measuring and reporting on your performance goals? What are these strategies, and what information have you found through this research?

2. Do you plan to add new strategies for measuring and reporting on your goals and objectives? What do you plan to do, and when will this data become available?

3. Have you conducted any focused studies on your CHIP population? (For example: studies on adolescents, attention deficit disorder, substance use, special healthcare needs, or other emerging healthcare needs.) What have you discovered through this research?

4. Optional: Attach any additional documents here.

For example: studies, analyses, or any other documents that address your performance goals.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Program Financing

Tell us how much you spent on your CHIP program in FFY 2021, and how much you
anticipate spending in FFY 2022 and 2023.

**Part 1: Benefit Costs**

Please type your answers in only. Do not copy and paste your answers.

1. How much did you spend on Managed Care in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>$58,147,531</td>
<td>$63,031,923</td>
<td>$69,082,988</td>
</tr>
</tbody>
</table>

2. How much did you spend on Fee for Service in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>$68,788,641</td>
<td>$74,566,886</td>
<td>$81,725,308</td>
</tr>
</tbody>
</table>
3. How much did you spend on anything else related to benefit costs in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th></th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Money</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

4. How much did you receive in cost sharing from beneficiaries to offset your costs in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th></th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Money</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>
### Table 1: Benefits Costs

This table is auto-populated with the data you entered above.

<table>
<thead>
<tr>
<th>Type</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
<th>FFY 2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Managed Care</td>
<td>58147531</td>
<td>63031923</td>
<td>69082988</td>
</tr>
<tr>
<td>Fee for Service</td>
<td>68788641</td>
<td>74566886</td>
<td>81725308</td>
</tr>
<tr>
<td>Other benefit costs</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Cost sharing payments from beneficiaries</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Total benefit costs</td>
<td>126936172</td>
<td>137598809</td>
<td>150808296</td>
</tr>
</tbody>
</table>

### Part 2: Administrative Costs

Please type your answers in only. Do not copy and paste your answers.

1. How much did you spend on personnel in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

This includes wages, salaries, and other employee costs.

<table>
<thead>
<tr>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>
2. How much did you spend on general administration in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th>Year</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$1,024,116</td>
<td>$6,879,940</td>
<td>$7,540,415</td>
</tr>
</tbody>
</table>

3. How much did you spend on contractors and brokers, such as enrollment contractors in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th>Year</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

4. How much did you spend on claims processing in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th>Year</th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>
5. How much did you spend on outreach and marketing in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th></th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dollars</td>
<td>$4,494,237</td>
<td>$4,822,316</td>
<td>$5,188,812</td>
</tr>
</tbody>
</table>

6. How much did you spend on your Health Services Initiatives (HSI) if you had any in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th></th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dollars</td>
<td>$4,494,237</td>
<td>$4,822,316</td>
<td>$5,188,812</td>
</tr>
</tbody>
</table>

7. How much did you spend on anything else related to administrative costs in FFY 2021? How much do you anticipate spending in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th></th>
<th>2021</th>
<th>2022</th>
<th>2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dollars</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>
Table 2: Administrative Costs

This table is auto-populated with the data you entered above. Your total administrative costs cannot be more than 10% of your total CHIP program costs (the sum of your benefit and administrative costs). The 10% administrative cap is calculated by dividing the total benefit costs by 9.

<table>
<thead>
<tr>
<th>Type</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
<th>FFY 2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personnel</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>General administration</td>
<td>1024116</td>
<td>6879940</td>
<td>7540415</td>
</tr>
<tr>
<td>Contractors and brokers</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Claims processing</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Outreach and marketing</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Health Services Initiatives (HSI)</td>
<td>4494237</td>
<td>4822316</td>
<td>5188812</td>
</tr>
<tr>
<td>Other administrative costs</td>
<td>Not Answered</td>
<td>Not Answered</td>
<td>Not Answered</td>
</tr>
<tr>
<td>Total administrative costs</td>
<td>5518353</td>
<td>11702256</td>
<td>12729227</td>
</tr>
<tr>
<td>10% administrative cap</td>
<td>Not</td>
<td>15288756.56</td>
<td>16756477.33</td>
</tr>
</tbody>
</table>
CHIP is funded by federal and state budgets. The federal share of funding is calculated by multiplying your state's Federal Medical Assistance Percentage (eFMAP) by your total program costs (the sum of your benefit and administrative costs). The remaining amount of your total program costs is covered by your state share of funding. This table is auto-calculated using the data you entered above. The federal and state shares for FFY 2023 will be calculated once the eFMAP rate for 2023 becomes available. In the meantime, these values will be blank.

<table>
<thead>
<tr>
<th>Type</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
<th>FFY 2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Available</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table 3: Federal and State Shares

<table>
<thead>
<tr>
<th>FMAP Table</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
<th>FFY 2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total program costs</td>
<td>Not Available</td>
<td>149301065</td>
<td>163537523</td>
</tr>
<tr>
<td>eFMAP</td>
<td>79.86</td>
<td>80.13</td>
<td>Not Available</td>
</tr>
<tr>
<td>Federal share</td>
<td>Not Available</td>
<td>119634943.38</td>
<td>Not Available</td>
</tr>
<tr>
<td>State share</td>
<td>Not Available</td>
<td>29666121.62</td>
<td>Not Available</td>
</tr>
</tbody>
</table>
8.
What were your state funding sources in FFY 2021?
Select all that apply.

☑ State appropriations
☐ County/local funds
☐ Employer contributions
☐ Foundation grants
☐ Private donations
☐ Tobacco settlement
☐ Other

9.
Did you experience a shortfall in federal CHIP funds this year?

☐ Yes
☒ No

Part 3: Managed Care Costs
Complete this section only if you have a Managed Care delivery system.
1. How many children were eligible for Managed Care in FFY 2021? How many do you anticipate will be eligible in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th></th>
<th>FFY 2021</th>
<th>FFY 2022</th>
<th>FFY 2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eligible children</td>
<td>642381</td>
<td>642381</td>
<td>642381</td>
</tr>
</tbody>
</table>

2. What was your per member per month (PMPM) cost based on the number of children eligible for Managed Care in FFY 2021? What is your projected PMPM cost for FFY 2022 and 2023?

Round to the nearest whole number.

<table>
<thead>
<tr>
<th></th>
<th>FFY 2021</th>
<th>FFY 2022</th>
<th>FFY 2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>PMPM cost</td>
<td>91</td>
<td>98</td>
<td>108</td>
</tr>
</tbody>
</table>

**Part 4: Fee for Service Costs**

Complete this section only if you have a Fee for Service delivery system.
1. How many children were eligible for Fee for Service in FFY 2021? How many do you anticipate will be eligible in FFY 2022 and 2023?

<table>
<thead>
<tr>
<th>Year</th>
<th>Eligible children</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>65723</td>
</tr>
<tr>
<td>2022</td>
<td>65723</td>
</tr>
<tr>
<td>2023</td>
<td>65723</td>
</tr>
</tbody>
</table>

2. What was your per member per month (PMPM) cost based on the number of children eligible for Fee For Service in FFY 2021? What is your projected PMPM cost for FFY 2022 and 2023?

The per member per month cost will be the average cost per month to provide services to these enrollees. Round to the nearest whole number.

<table>
<thead>
<tr>
<th>Year</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>$104</td>
</tr>
<tr>
<td>2022</td>
<td>$113</td>
</tr>
<tr>
<td>2023</td>
<td>$124</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Type</th>
<th>FFY 2021</th>
<th>FFY 2022</th>
<th>FFY 2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eligible children</td>
<td>65723</td>
<td>65723</td>
<td>65723</td>
</tr>
<tr>
<td>PMPM cost</td>
<td>104</td>
<td>113</td>
<td>124</td>
</tr>
</tbody>
</table>
1. Is there anything else you'd like to add about your program finances that wasn't already covered?

2. Optional: Attach any additional documents here.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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Challenges and Accomplishments

1. How has your state's political and fiscal environment affected your ability to provide healthcare to low-income children and families?

The Governor's Office, State government & the business community continue to be very supportive of the programs designed to cover the State's uninsured population. This is evident in the continued support for the ARKids-First (Medicaid ARKids-A & CHIP ARKids-B) programs.

2. What's the greatest challenge your CHIP program has faced in FFY 2021?

3. What are some of the greatest accomplishments your CHIP program has experienced in FFY 2021?
4. What changes have you made to your CHIP program in FFY 2021 or plan to make in FFY 2022? Why have you decided to make these changes?

5. Is there anything else you'd like to add about your state's challenges and accomplishments?


Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here. Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)