# Alaska CARTS FY2020 Report

### **Basic State Information**

### Welcome!

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We already have some information about your state from our records. If any information is incorrect, please contact the <u>CARTS Help Desk</u>.

1. State or territory name:

Alaska			
2.			
Program type:			
Both Medicaid Expansion CHIP and Separate CHIP			

- Medicaid Expansion CHIP only
- O Separate CHIP only
- 3. CHIP program name(s):

Denali KidCare
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Who should we contact if we have any questions about your report?

4. Contact name:

Kate Hudson

5. Job title:

CHIP Administrator

6. Email:

kate.hudson@alaska.gov

#### 7. Full mailing address:

Include city, state, and zip code.

240 Main Street, Suite 202 Juneau, AK 99801

#### 8. Phone number:

907-465-5820

#### PRA Disclosure Statement.

This information is being collected to assist the Centers for Medicare & Medicaid Services (CMS) in partnership with States with the ongoing management of Medicaid and CHIP programs and policies. This mandatory information collection (42 U.S.C. 1397hh) will be used to help each state meet the statutory requirements at section 2108(a) of the Social Security Act to assess the operation of the State child health plan in each Federal fiscal year and to report the results of the assessment including the progress made in reducing the number of uncovered, low-income children. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148 (CMS-10398 #1). The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 Security Boulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

### **Program Fees and Policy Changes**

### Part 1: Medicaid Expansion CHIP Enrollment Fees, Premiums, and Delivery Systems

1.

Does your program charge an enrollment fee?

O Yes

No

Does your program charge premiums?

YesNo

3.

Is the maximum premium a family would be charged each year tiered by FPL?

O Yes

No

4. Do premiums differ for different Medicaid Expansion CHIP populations beyond FPL (for example, by eligibility group)? If so, briefly explain the fee structure breakdown.

5.

Which delivery system(s) do you use?

Select all that apply.

Managed Care

Primary Care Case Management

Fee for Service

6. Which delivery system(s) are available to which Medicaid Expansion CHIP populations? Indicate whether eligibility status, income level, age range, or other criteria determine which delivery system a population receives.

### Part 2: Separate CHIP Enrollment Fees, Premiums, and Delivery Systems

### Part 3: Medicaid Expansion CHIP Program and Policy Changes

Indicate any changes you've made to your Medicaid Expansion CHIP program policies in the past federal fiscal year. Many changes listed in this section require a State Plan Amendment (SPA), while some don't, such as changing outreach efforts or changing the health plan enrollment process. Please submit a SPA to reflect any changes that do require a SPA.

1.

Have you made any changes to the eligibility determination process?

O Yes

No

O N/A

Have you made any changes to the eligibility redetermination process?

- O Yes
- No
- O N/A
- 3.

#### Have you made any changes to the eligibility levels or target populations?

For example: increasing income eligibility levels.

- O Yes
- No
- O N/A

#### 4.

#### Have you made any changes to the benefits available to enrollees?

For example: adding benefits or removing benefit limits.

- O Yes
- No
- O N/A

Have you made any changes to the single streamlined application?

YesNoN/A

6.

#### Have you made any changes to your outreach efforts?

For example: allotting more or less funding for outreach, or changing your target population.

YesNo

N/A

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#### Have you made any changes to the delivery system(s)?

For example: transitioning from Fee for Service to Managed Care for different Medicaid Expansion CHIP populations.

Yes
No
N/A
8.

#### Have you made any changes to your cost sharing requirements?

For example: changing amounts, populations, or the collection process.

YesNoN/A

#### Have you made any changes to the substitution of coverage policies?

For example: removing a waiting period.

$\bigcirc$	Yes
•	No
$\bigcirc$	N/A

10.

Have you made any changes to the enrollment process for health plan selection?

- O Yes
- No
- O N/A

#### Have you made any changes to the protections for applicants and enrollees?

For example: changing from the Medicaid Fair Hearing process to the review process used by all health insurance issuers statewide.

Yes	
No	
N/A	
	No

12.

#### Have you made any changes to premium assistance?

For example: adding premium assistance or changing the population that receives premium assistance.

$\bigcirc$	Yes
•	No
$\bigcirc$	N/A

Have you made any changes to the methods and procedures for preventing, investigating, or referring fraud or abuse cases?

$\bigcirc$	Yes			
$\bigcirc$	No			
$\bigcirc$	N/A			
14.				
Have you made any changes to eligibility for "lawfully residing" pregnant women?				
$\bigcirc$	Yes			
$\bigcirc$	No			
$\bigcirc$	N/A			
15.				

Have you made any changes to eligibility for "lawfully residing" children?

- O Yes
- No
- О N/А

Have you made changes to any other policy or program areas?

$\bigcirc$	Yes
•	No

O N/A

### Part 4: Separate CHIP Program and Policy Changes

### **Enrollment and Uninsured Data**

### Part 1: Number of Children Enrolled in CHIP

This table is pre-filled with your SEDS data for the two most recent federal fiscal years (FFY). If the information is inaccurate, adjust your data in SEDS (go to line 7: "Unduplicated Number Ever Enrolled" in your fourth quarter SEDS report) and then refresh this page. If you're adjusting data in SEDS, allow one business day for the CARTS data below to update.

Program	Number of children enrolled in FFY 2019	Number of children enrolled in FFY 2020	Percent change
Medicaid Expansion CHIP	22,580	21,029	-6.869%
Separate CHIP	0	0	0%

1. If you had more than a 3% percent change from last year, what are some possible reasons why your enrollment numbers changed?

The enrollment number for FFY2020 is preliminary only. It will be updated by 1/31/ 2020 to reflect three months of retroactivity. Our CHIP enrollment numbers may have seen a bigger decline in part due to the covid public health emergency, school closures etc.

### Part 2: Number of Uninsured Children in Your State

This table is pre-filled with data on uninsured children (age 18 and under) who are below 200% of the Federal Poverty Level (FPL) based on annual estimates from the American Community Survey.

Year	Number of uninsured children	Margin of error	Percent of uninsured children (of total children in your state)	Margin of error
2015	8,000	1,000	3.9%	0.7%
2016	7,000	1,000	3.5%	0.7%
2017	7,000	2,000	3.5%	0.9%
2018	6,000	2,000	3.4%	0.8%
2019	4,000	1,000	2.1%	0.5%

#### Percent change between 2018 and 2019

Not Available

1. What are some reasons why the number and/or percent of uninsured children has changed?

The decline in the number of uninsured children in Alaska may reflect partnerships with tribal health organizations. The estimated ACS figures are not considered true estimations of the number of uninsured children in Alaska for the reasons outlined below. Thus the percentage decline may be exaggerated.

Are there any reasons why the American Community Survey estimates wouldn't be a precise representation of the actual number of uninsured children in your state?

lacksquare	Yes

O No

3.

Do you have any alternate data source(s) or methodology for measuring the number and/or percent of uninsured children in your state?

O Yes

No

4. Is there anything else you'd like to add about your enrollment and uninsured data?

American Indian/Alaska Native Children are considered uninsured.

5.

Optional: Attach any additional documents here.

# Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Browse...

### Eligibility, Enrollment, and Operations

### **Program Outreach**

1.

Have you changed your outreach methods in the last federal fiscal year?

$\bigcirc$	Yes

- No
- 2.

#### Are you targeting specific populations in your outreach efforts?

For example: minorities, immigrants, or children living in rural areas.

- O Yes
- No

# 3. What methods have been most effective in reaching low-income, uninsured children?

For example: TV, school outreach, or word of mouth.

The Department of Health and Social Services continues to partner with Tribal Health organizations and Tribes to assist with effective administration of the Medicaid program through outreach and enrollment and other Medicaid administrative claiming activities. Word of mouth continues to be the most effective outreach strategy 4. Is there anything else you'd like to add about your outreach efforts?

The Department of Health and Social Services is looking to develop new partnerships with non-profit organizations in the State such as Help Me Grow and the Alaska Children's Trust to pursue enrollment efforts.

5.

Optional: Attach any additional documents here.

# Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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### Eligibility, Enrollment, and Operations

### Substitution of Coverage

Substitution of coverage (also known as crowd-out) occurs when someone with private insurance drops their private coverage and substitutes it with publicly funded insurance such as CHIP.

1.

Do you track the number of CHIP enrollees who have access to private insurance?

- O Yes
- No
- О N/А

Do you match prospective CHIP enrollees to a database that details private insurance status?

0		%
$\bigcirc$	N/A	
$\bigcirc$	No	
$\bigcirc$	Yes	

5. Is there anything else you'd like to add about substitution of coverage that wasn't already covered? Did you run into any limitations when collecting data?

The Department pays a contractor to find out if an individual has other coverage that we do not already know about.

6.

Optional: Attach any additional documents here.

# Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Browse...

### Eligibility, Enrollment, and Operations

### Renewal, Denials, and Retention

### Part 1: Eligibility Renewal and Retention

1.

Does your state provide presumptive eligibility, allowing children to access CHIP services pending a final determination of eligibility?

This question should only be answered in respect to Separate CHIP.

$\bigcirc$	Yes	
lacksquare	No	
$\bigcirc$	N/A	

#### 2.

In an effort to retain children in CHIP, do you conduct follow-up communication with families through caseworkers and outreach workers?

O Yes

No

Do you send renewal reminder notices to families?

Yes

O No

4. What else have you done to simplify the eligibility renewal process for families?

If the Division of Public Assistance does not receive the form back from the household, we will attempt to determine continued eligibility using electronic data. ARIES continues to issue Medicaid benefits monthly until an eligibility decision is made.

#### 5. Which retention strategies have you found to be most effective?

N/A
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6. How do you measure the effectiveness of your retention strategies? What data sources and methodology do you use to track retention?

N/A

7. Is there anything else you'd like to add that wasn't already covered?

The Department continues to partner with government agencies and local governments such as Tribal Health organizations and Tribes to assist with the effective administration of the Medicaid program through outreach and enrollment and other Medicaid administrative claiming activities.

### Part 2: CHIP Eligibility Denials (Not Redetermination)

#### 1.

#### How many applicants were denied CHIP coverage in FFY 2020?

Don't include applicants being considered for redetermination - this data will be collected in Part 3.

0

#### 2.

#### How many applicants were denied CHIP coverage for procedural reasons?

For example: They were denied because of an incomplete application, missing documentation, or a missing enrollment fee.

#### How many applicants were denied CHIP coverage for eligibility reasons?

For example: They were denied because their income was too high or too low, they were determined eligible for Medicaid instead, or they had other coverage available.

0	
	3a. How many applicants were denied CHIP (Title XXI) coverage and determined eligible for Medicaid (Title XIX) instead?
	0

#### 4.

How many applicants were denied CHIP coverage for other reasons?

0

#### 5. Did you have any limitations in collecting this data?

We are unable to collect this data.

#### Table: CHIP Eligibility Denials (Not Redetermination)

Туре	Number	Percent
Total denials	0	Not Answered
Denied for procedural reasons	0	Not Answered
Denied for eligibility reasons	0	Not Answered
Denials for other reasons	0	Not Answered

This table is auto-populated with the data you entered above.

### Part 3: Redetermination in CHIP

Redetermination is the process of redetermining whether a child is eligible to renew in CHIP (Title XXI) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).

1.

How many children were eligible for redetermination in CHIP in FFY 2020?

Of the eligible children, how many were then screened for redetermination?

13081

3.

How many children were retained in CHIP after redetermination?

#### How many children were disenrolled in CHIP after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

6404
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#### **Computed:** 6404

4a.

#### How many children were disenrolled for procedural reasons?

This could be due to an incomplete application, missing documentation, or a missing enrollment fee.

669

4b.

#### How many children were disenrolled for eligibility reasons?

This could be due to income that was too high or too low, eligibility in Medicaid (Title XIX) instead, or access to private coverage.

4c. How many children were disenrolled for other reasons?

16

5. Did you have any limitations in collecting this data?

The Division of Public Assistance has made great progress processing both backlog and auto-rolled recipients. This will have had an impact on our numbers. For question 3, we report the total number of individuals who were on a case where a review was completed and who had continuous benefits from their first benefit month in FFY 2020 until the end of FFY 2020. For question 4, we report the total number of individuals who were on a case where a review was completed and who did NOT have continuous benefits from their first benefit months until the end of FFY 2020.

#### Table: Redetermination in CHIP

These tables are auto-populated with the data you entered above.

Туре	Number	Percent
Children screened for redetermination	13081	100%
Children retained after redetermination	6677	51.04%
Children disenrolled after redetermination	6404	48.96%

Table: Disenrollment in CHIP after Redetermination

Туре	Number	Percent
Children disenrolled after redetermination	6404	100%
Children disenrolled for procedural reasons	669	10.45%
Children disenrolled for eligibility reasons	5719	89.3%
Children disenrolled for other reasons	16	0.25%

### Part 4: Redetermination in Medicaid

Redetermination is the process of redetermining whether a child is eligible to renew in Medicaid (Title XIX) every 12 months. This section doesn't apply to any mid-year changes in circumstances that may affect eligibility (for example: income, relocation, or aging out of the program).

1.

How many children were eligible for redetermination in Medicaid in FFY 2020?

62809

#### 2.

Of the eligible children, how many were then screened for redetermination?

How many children were retained in Medicaid after redetermination?

39847

3.

#### How many children were disenrolled in Medicaid after the redetermination process?

This number should be equal to the total of 4a, 4b, and 4c below.

4870

#### **Computed:** 4870

4a.

#### How many children were disenrolled for procedural reasons?

This could be due to an incomplete application, missing documentation, or a missing enrollment fee.

356

4b.

#### How many children were disenrolled for eligibility reasons?

This could be due to an income that was too high and/or eligibility in CHIP instead.

4c.

How many children were disenrolled for other reasons?

2139

5. Did you have any limitations in collecting this data?

For question 3, the Division of Public Assistance reported the total number of individuals who were on a case where a review was completed and who had continuous benefits from their first benefit month in FFY 2020 until the end of FFY 2020. For question 4, Public Assistance reported the total number of individuals who were on a case where a review was completed and who did not have continuous benefits from their first benefit month until the end of FFY 2020.

#### Table: Redetermination in Medicaid

These tables are auto-populated with the data you entered above.

Туре	Number	Percent
Children screened for redetermination	44717	100%
Children retained after redetermination	39847	89.11%
Children disenrolled after redetermination	4870	10.89%

Table: Disenrollment in Medicaid after Redetermination

Туре	Number	Percent
Children disenrolled after redetermination	4870	100%
Children disenrolled for procedural reasons	356	7.31%
Children disenrolled for eligibility reasons	2375	48.77%
Children disenrolled for other reasons	2139	43.92%

# Part 5: Tracking a CHIP cohort (Title XXI) over 18 months

Tracking a cohort of children enrolled in CHIP (Title XXI) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years who are newly enrolled in CHIP and/or Medicaid as of January through March 2020 (the second quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period.

You'll identify a new cohort every two years. This year you'll report on the number of children at the start of the cohort (Jan - Mar 2020) and six months later (July - Sept 2020). Next year you'll report numbers for the same cohort at 12 months (Jan - Mar 2021) and 18 months later (July - Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.

#### Helpful hints on age groups

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2020. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2020 must be born after January 2004. Similarly, children who are newly enrolled in February 2020 must be born after February 2004, and children newly enrolled in March 2020 must be born after March 2004.

1.

How does your state define "newly enrolled" for this cohort?

Newly enrolled in CHIP: Children in this cohort weren't enrolled in CHIP (Title XXI) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP in December 2019.

• Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled in CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.

2.

#### Do you have data for individual age groups?

If not, you'll report the total number for all age groups (0-16 years) instead.

Yes

O No

#### January - March 2020 (start of the cohort)

3.

How many children were newly enrolled in CHIP between January and March 2020?

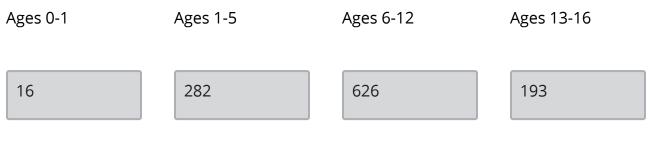
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
29	500	966	314

July - September 2020 (6 months later)

4.

#### How many children were continuously enrolled in CHIP six months later?

Only include children that didn't have a break in coverage during the six-month period.

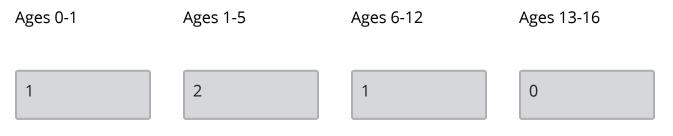


5.

How many children had a break in CHIP coverage but were re-enrolled in CHIP six months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
2	36	22	4

Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?



7.

#### How many children were no longer enrolled in CHIP six months later?

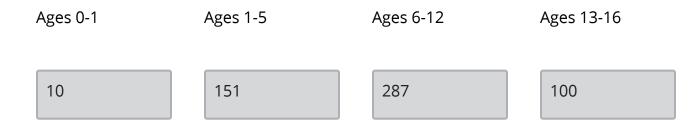
Possible reasons for no longer being enrolled:

- b" Transferred to another health insurance program other than CHIP
- b" Didn't meet eligibility criteria anymore
- b" Didn't complete documentation
- b" Didn't pay a premium or enrollment fee

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
11	182	329	117

8.

Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid six months later?



9. Is there anything else you'd like to add about your data?

#### January - March 2021 (12 months later)

Next year you'll report this data. Leave it blank in the meantime.

10.

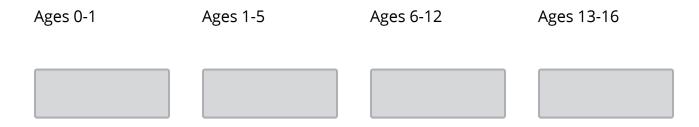
#### How many children were continuously enrolled in CHIP 12 months later?

Only include children that didn't have a break in coverage during the 12-month period.

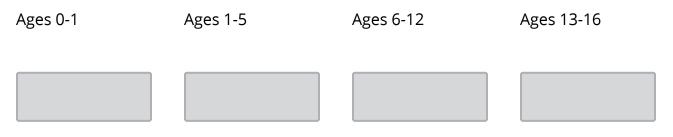
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16

11.

How many children had a break in CHIP coverage but were re-enrolled in CHIP 12 months later?



Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?



13.

#### How many children were no longer enrolled in CHIP 12 months later?

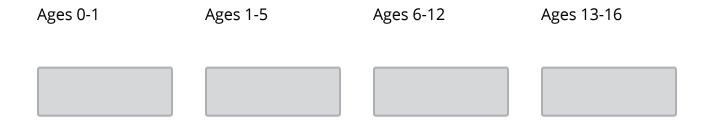
Possible reasons for not being enrolled:

- b" Transferred to another health insurance program other than CHIP
- b" Didn't meet eligibility criteria anymore
- b" Didn't complete documentation
- b" Didn't pay a premium or enrollment fee

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16

14.

Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 12 months later?



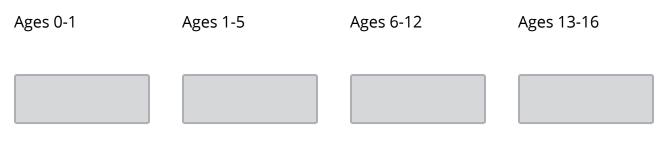
### July - September of 2021 (18 months later)

Next year you'll report this data. Leave it blank in the meantime.

15.

### How many children were continuously enrolled in CHIP 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.



16.

How many children had a break in CHIP coverage but were re-enrolled in CHIP 18 months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16

Of the children who had a break in CHIP coverage (in the previous question), how many were enrolled in Medicaid during the break?



18.

#### How many children were no longer enrolled in CHIP 18 months later?

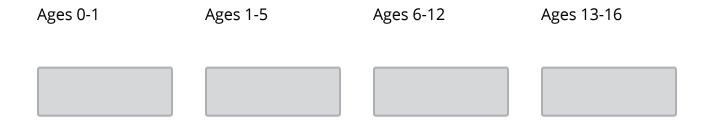
Possible reasons for not being enrolled:

- b" Transferred to another health insurance program other than CHIP
- b" Didn't meet eligibility criteria anymore
- b" Didn't complete documentation
- b" Didn't pay a premium or enrollment fee

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16

19.

Of the children who were no longer enrolled in CHIP (in the previous question), how many were enrolled in Medicaid 18 months later?



# Part 6: Tracking a Medicaid (Title XIX) cohort over 18 months

Tracking a cohort of children enrolled in Medicaid (Title XIX) will indicate how long a specific group of children stays enrolled over an 18-month period. This information is required by Section 402(a) of CHIPRA.

To track your cohort, identify a group of children ages 0 to 16 years, who are newly enrolled in Medicaid and/or CHIP as of January through March 2020 (the second quarter of FFY 2020). Children in this cohort must be 16 years and 0 months or younger when they enroll to ensure they don't age out of the program by the end of the 18-month tracking period.

You'll identify a new cohort every two years. This year you'll report the number of children identified at the start of the cohort (Jan-Mar 2020) and six months later (July-Sept 2020). Next year you'll report numbers for the same cohort at 12 months (Jan-Mar 2021) and 18 months later (July-Sept 2021). If data is unknown or unavailable, leave it blank - don't enter a zero unless the data is known to be zero.

### Helpful hints on age groups

Children should be in age groups based on their age at the start of the cohort, when they're identified as newly enrolled in January, February, or March of 2020. For example, if a child is four years old when they're newly enrolled, they should continue to be reported in the "ages 1-5" group at 6 months, 12 months, and 18 months later.

The oldest children in the cohort must be no older than 16 years (and 0 months) to ensure they don't age out of the program at the end of the 18-month tracking period. That means children in the "ages 13-16" group who are newly enrolled in January 2020 must be born after January 2004. Similarly, children who are newly enrolled in February 2020 must be born after February 2004, and children newly enrolled in March 2020 must be born after March 2004.

How does your state define "newly enrolled" for this cohort?

• Newly enrolled in Medicaid: Children in this cohort weren't enrolled in Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in Medicaid in December 2019.

Newly enrolled in CHIP and Medicaid: Children in this cohort weren't enrolled in CHIP (Title XXI) or Medicaid (Title XIX) during the previous month. For example: Newly enrolled children in January 2020 weren't enrolled in CHIP or Medicaid in December 2019.

2.

#### Do you have data for individual age groups?

If not, you'll report the total number for all age groups (0-16 years) instead.

Yes

O No

January - March 2020 (start of the cohort)

3.

How many children were newly enrolled in Medicaid between January and March 2020?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
192	2074	1250	494

#### July - September 2020 (6 months later)

4.

### How many children were continuously enrolled in Medicaid six months later?

Only include children that didn't have a break in coverage during the six-month period.



### 5.

How many children had a break in Medicaid coverage but were re-enrolled in Medicaid six months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
77	277	19	9

#### 6.

Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
1	5	7	3

How many children were no longer enrolled in Medicaid six months later?

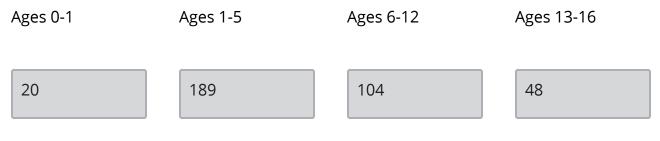
Possible reasons for no longer being enrolled:

b" Transferred to another health insurance program other than Medicaid

b" Didn't meet eligibility criteria anymore

b" Didn't complete documentation

b" Didn't pay a premium or enrollment fee



#### 8.

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP six months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
4	45	55	7

#### 9. Is there anything else you'd like to add about your data?

### January - March 2021 (12 months later)

Next year you'll report this data. Leave it blank in the meantime.

### How many children were continuously enrolled in Medicaid 12 months later?

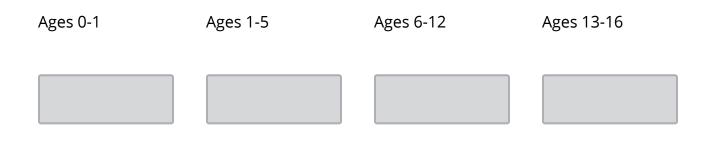
Only include children that didn't have a break in coverage during the 12-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
11.			
How many children ha Medicaid 12 months la	ad a break in Medicaid ater?	coverage but were re-e	enrolled in
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16



### 12.

Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?



How many children were no longer enrolled in Medicaid 12 months later?

Possible reasons for not being enrolled:

b" Transferred to another health insurance program other than Medicaid

b" Didn't meet eligibility criteria anymore

b" Didn't complete documentation

b" Didn't pay a premium or enrollment fee



#### 14.

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP 12 months later?



July - September of 2021 (18 months later)

Next year you'll report this data. Leave it blank in the meantime.

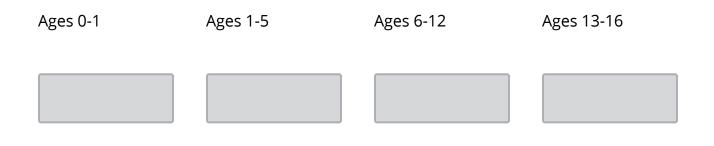
### How many children were continuously enrolled in Medicaid 18 months later?

Only include children that didn't have a break in coverage during the 18-month period.

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16
16.			
How many children ha Medicaid 18 months la	ad a break in Medicaid ater?	coverage but were re-e	enrolled in
Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16

#### 17.

Of the children who had a break in Medicaid coverage (in the previous question), how many were enrolled in CHIP during the break?



How many children were no longer enrolled in Medicaid 18 months later?

Possible reasons for not being enrolled:

b" Transferred to another health insurance program other than Medicaid

b" Didn't meet eligibility criteria anymore

b" Didn't complete documentation

b" Didn't pay a premium or enrollment fee



#### 19.

Of the children who were no longer enrolled in Medicaid (in the previous question), how many were enrolled in CHIP 18 months later?

Ages 0-1	Ages 1-5	Ages 6-12	Ages 13-16

20. Is there anything else you'd like to add about your data?

### Eligibility, Enrollment, and Operations

### Cost Sharing (Out-of-Pocket Costs)

States can choose whether or not to require cost sharing in their CHIP program. Cost sharing includes payments such as enrollment fees, premiums, deductibles,

coinsurance, and copayments.

### Eligibility, Enrollment, and Operations

### Employer Sponsored Insurance and Premium Assistance

States with a premium assistance program can use CHIP funds to purchase coverage through employer sponsored insurance (ESI) on behalf of eligible children and parents.

1.

Does your state offer ESI including a premium assistance program under the CHIP State Plan or a Section 1115 Title XXI demonstration?

O Yes

No

### **Eligibility, Enrollment, and Operations**

### **Program Integrity**

### Eligibility, Enrollment, and Operations

### **Dental Benefits**

### Eligibility, Enrollment, and Operations

### **CAHPS Survey Results**

Children's Health Insurance Program Reauthorization Act (CHIPRA) requires that all CHIP programs submit survey results from the Consumer Assessment of Healthcare Providers and Systems (CAHPS). The survey assesses your CHIP program quality and customer satisfaction.

1.

Did you collect the CAHPS survey?

Yes

O No

### Part 2: You collected the CAHPS survey

Since you collected the CAHPS survey, please complete Part 2.

1.

Upload a summary report of your CAHPS survey results.

This is optional if you already submitted CAHPS raw data to the AHRQ CAHPS database. Submit results only for the CHIP population, not for both Medicaid (Title XIX) and CHIP (Title XXI) together. Your data should represent children enrolled in all types of delivery systems (Managed Care, PCCM, and Fee for Service).

## Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

Browse...

Which CHIP population did you survey?

- Medicaid Expansion CHIP
- O Separate CHIP
- O Both Separate CHIP and Medicaid Expansion CHIP
- O Other
- 3.

Which version of the CAHPS survey did you use?

- CAHPS 5.0
- CAHPS 5.0H
- Other

Which supplemental item sets did you include in your survey?

Select all that apply.

None

Children with Chronic Conditions

1	Other
	Other

4a. Which supplemental item sets did you include?

The CCC screener is incorporated, the PCMH set and other CMS/AHRQ approved questions with input from NCQA related to care coordination and shared decision making and CAHMI Screener to identify children with chronic conditions

Which administrative protocol did you use to administer the survey?

Select all that apply.

	NCQA HEDIS CAHPS 5.0H
--	-----------------------

HRQ CAHPS

🖌 Other

5a. Which administrative protocol did you use?

The CAHPS PCMH C&G protocol with the added CAHMI screener questions for C&YSHCNs was approved by CMS, AHRQ and NCQA.

6. Is there anything else you'd like to add about your CAHPS survey results?

### Part 3: You didn't collect the CAHPS survey

### Eligibility, Enrollment, and Operations

### Health Services Initiative (HSI) Programs

All states with approved HSI program(s) should complete this section. States can use up to 10% of their fiscal year allotment to develop Health Services Initiatives (HSI) that provide direct services and other public health initiatives for lowincome children. [See Section 2105(a)(1)(D)(ii) of the Social Security Act.] States can only develop HSI programs after funding other costs to administer their CHIP State Plan, as defined in regulations at 42 CFR 457.10.

1.

### Does your state operate Health Service Initiatives using CHIP (Title XXI) funds?

Even if you're not currently operating the HSI program, if it's in your current approved CHIP State Plan, please answer "yes."

O Yes

No

### **State Plan Goals and Objectives**

### Part 1: Tell us about your goals and objectives

Tell us about the progress you've made on your performance goals in the past year. The objectives and goals you add to this section should match those reflected in your CHIP State Plan, Section 9. Submit a CHIP State Plan Amendment (SPA) if any of them are different.

Objective 1 is required. We've provided examples for other objectives, but you can edit them so they match the objectives in your CHIP State Plan. You can add additional objectives and goals to fit what's in your CHIP State Plan.

### 1. Briefly describe your goal for this objective.

For example: In an effort to reduce the number of uninsured children, our goal is to enroll 90% of eligible children in the CHIP program.

Our goal is to increase the number of children enrolled in Medicaid/CHIP per year

2.

What type of goal is it?

- O New goal
- Continuing goal
- O Discontinued goal

#### Define the numerator you're measuring

### 3. Which population are you measuring in the numerator?

For example: The number of children enrolled in CHIP in the last federal fiscal year.

The point in time total number of children enrolled in M-CHIP in the final month of the last federal fiscal year (FFY 2019)

4.

Numerator (total number)

105609

Define the denominator you're measuring

5. Which population are you measuring in the denominator?

For example: The total number of eligible children in the last federal fiscal year.

The point in time total number of children enrolled in M-CHIP in the final month of the prior federal fiscal year (FFY2018)

6.

Denominator (total number)

101084

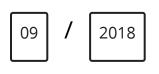
**Computed:** 104.48%

7.

What is the date range of your data?

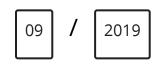
### Start

mm/yyyy



### End

mm/yyyy



Which data source did you use?

• Eligibility or enrollment data

O Survey data

• Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?

Between 2018 and 2019 our enrollment numbers increased by 4.5% (based on the numbers enrolled at the end of the last quarter of the fiscal year) Between 2017 and 2018 our enrollment numbers increased by 3.5% (based on the numbers enrolled at the end of the last quarter of the fiscal year)

10. What are you doing to continually make progress towards your goal?

We continue to look at ways to reach out to our low income population to ensure eligible children are enrolled.

11. Anything else you'd like to tell us about this goal?

Do you have any supporting documentation?

Optional

### Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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### Do you have another in this list?

Optional

#### 1. What is the next objective listed in your CHIP State Plan?

You can edit the suggested objective so it matches what's in your CHIP State Plan.

#### Increase access to care

#### 1. Briefly describe your goal for this objective.

For example: In an effort to increase access to care, our goal is to increase the number of children who have visited a primary care physician by 5%.

2.

What type of goal is it?

$\bigcirc$	New goal
------------	----------

- O Continuing goal
- O Discontinued goal

#### Define the numerator you're measuring

#### 3. Which population are you measuring in the numerator?

For example: The number of children enrolled in CHIP who visited a primary care physician in the last federal fiscal year.

4.

Numerator (total number)

### Define the denominator you're measuring

### 5. Which population are you measuring in the denominator?

For example: The total number of children enrolled in CHIP in the last federal fiscal year.

6.

Denominator (total number)

### Computed:

#### 7.

What is the date range of your data?

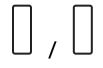
### Start

mm/yyyy



### End

mm/yyyy



Which data source did you use?

C Eligibility or enrollment data

O Survey data

O Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?

10. What are you doing to continually make progress towards your goal?

11. Anything else you'd like to tell us about this goal?

Do you have any supporting documentation?

Optional

### Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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### Do you have another in this list?

Optional

#### 1. What is the next objective listed in your CHIP State Plan?

You can edit the suggested objective to match what's in your CHIP State Plan.

Increase the use of preventative care

#### 1. Briefly describe your goal for this objective.

For example: In an effort to increase the use of preventative care, our goal is to increase the number of children who receive one or more well child visits by 5%.

2.

What type of goal is it?

- O New goal
- O Continuing goal
- O Discontinued goal

#### Define the numerator you're measuring

#### 3. Which population are you measuring in the numerator?

For example: The number of children who received one or more well child visits in the last federal fiscal year.

4.

Numerator (total number)

### Define the denominator you're measuring

### 5. Which population are you measuring in the denominator?

For example: The total number of children enrolled in CHIP in the last federal fiscal year.

6.

Denominator (total number)

### Computed:

#### 7.

What is the date range of your data?

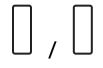
### Start

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### End

mm/yyyy



Which data source did you use?

C Eligibility or enrollment data

O Survey data

O Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?

10. What are you doing to continually make progress towards your goal?

11. Anything else you'd like to tell us about this goal?

Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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 _	_	_		

### Do you have another in this list?

Optional

1. What is the next objective listed in your CHIP State Plan?

1. Briefly describe your goal for this objective.

2.				
What type of goal is it?				
$\bigcirc$	New goal			
$\bigcirc$	Continuing goal			
$\bigcirc$	Discontinued goal			
Define the numerator you're measuring				
3. WI	3. Which population are you measuring in the numerator?			

4.

Г

Numerator (total number)

Define the denominator you're measuring

5. Which population are you measuring in the denominator?

6.

Denominator (total number)

Computed:

7.

What is the date range of your data?

### Start

mm/yyyy

End mm/yyyy

Which data source did you use?

C Eligibility or enrollment data

O Survey data

O Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?

10. What are you doing to continually make progress towards your goal?

11. Anything else you'd like to tell us about this goal?

Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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 _	_	_		

### Do you have another in this list?

Optional

1. What is the next objective listed in your CHIP State Plan?

1. Briefly describe your goal for this objective.

2.				
What type of goal is it?				
$\bigcirc$	New goal			
$\bigcirc$	Continuing goal			
$\bigcirc$	Discontinued goal			
Define the numerator you're measuring				
3. WI	3. Which population are you measuring in the numerator?			

4.

Г

Numerator (total number)

Define the denominator you're measuring

5. Which population are you measuring in the denominator?

6.

Denominator (total number)

Computed:

7.

What is the date range of your data?

### Start

mm/yyyy

End mm/yyyy

Which data source did you use?

C Eligibility or enrollment data

O Survey data

O Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?

10. What are you doing to continually make progress towards your goal?

11. Anything else you'd like to tell us about this goal?

Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

	D				
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_	_	_	_		

### Do you have another in this list?

Optional

1. What is the next objective listed in your CHIP State Plan?

1. Briefly describe your goal for this objective.

2.			
Wha	t type of goal is it?		
$\bigcirc$	New goal		
$\bigcirc$	Continuing goal		
$\bigcirc$	Discontinued goal		
Defir	ne the numerator you're measuring		
3. Which population are you measuring in the numerator?			

4.

Г

Numerator (total number)

#### Define the denominator you're measuring

#### 5. Which population are you measuring in the denominator?

For example: The total number of eligible children in the last federal fiscal year.

6.

Denominator (total number)

#### Computed:

7.

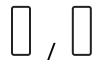
What is the date range of your data?

# Start

mm/yyyy



End mm/yyyy



Which data source did you use?

C Eligibility or enrollment data

O Survey data

O Another data source

9. How did your progress towards your goal last year compare to your previous year's progress?

10. What are you doing to continually make progress towards your goal?

11. Anything else you'd like to tell us about this goal?

1	2	
1	۷.	

Do you have any supporting documentation?

Optional

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)



### Do you have another in this list?

Optional

### **Do you have another objective in your State Plan?** Optional

# Part 2: Additional questions

1. Do you have other strategies for measuring and reporting on your performance goals? What are these strategies, and what information have you found through this research?

2. Do you plan to add new strategies for measuring and reporting on your goals and objectives? What do you plan to do, and when will this data become available?

We are working on revising and updating our goals and objectives. This should be available in the early part of 2021.

3. Have you conducted any focused studies on your CHIP population? (For example: studies on adolescents, attention deficit disorder, substance use, special healthcare needs, or other emerging healthcare needs.) What have you discovered through this research?

Νο

4.

Optional: Attach any additional documents here.

For example: studies, analyses, or any other documents that address your performance goals.

Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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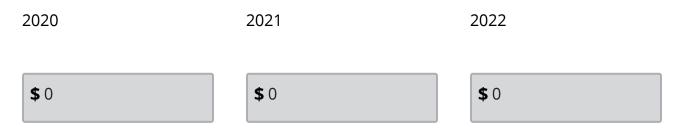
# **Program Financing**

Tell us how much you spent on your CHIP program in FFY 2020, and how much you anticipate spending in FFY 2021 and 2022.

### Part 1: Benefit Costs

Please type your answers in only. Do not copy and paste your answers.

How much did you spend on Managed Care in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?



2.

How much did you spend on Fee for Service in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

2020	2021	2022
<b>\$</b> 0	<b>\$</b> 0	<b>\$</b> 0

3.

How much did you spend on anything else related to benefit costs in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

2020	2021	2022
<b>\$</b> 27,674,004	<b>\$</b> 48,880,000	<b>\$</b> 53,768,000

How much did you receive in cost sharing from beneficiaries to offset your costs in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

2020	2021	2022
<b>\$</b> 0	<b>\$</b> 0	<b>\$</b> 0

#### Table 1: Benefits Costs

This table is auto-populated with the data you entered above.

Туре	FFY 2020	FFY 2021	FFY 2022
Managed Care	0	0	0
Fee for Service	27674004	48880000	53768000
Other benefit costs	0	0	0
Cost sharing payments from beneficiaries	0	0	0
Total benefit costs	27674004	48880000	53768000

### Part 2: Administrative Costs

Please type your answers in only. Do not copy and paste your answers.

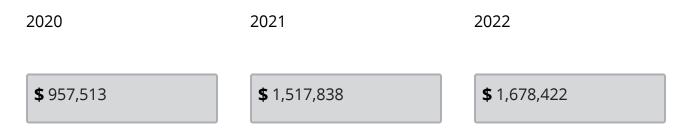
How much did you spend on personnel in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

This includes wages, salaries, and other employee costs.

2020	2021	2022
<b>\$</b> 2,109,869	<b>\$</b> 3,362,162	<b>\$</b> 3,698,378

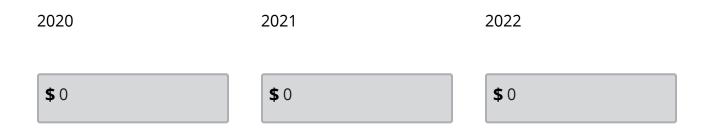
#### 2.

How much did you spend on general administration in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

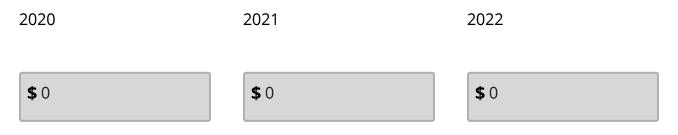


#### 3.

How much did you spend on contractors and brokers, such as enrollment contractors in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?



How much did you spend on claims processing in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?



5.

How much did you spend on outreach and marketing in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

2020	2021	2022
<b>\$</b> 0	<b>\$</b> 0	<b>\$</b> 0

6.

How much did you spend on your Health Services Initiatives (HSI) if you had any in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

2020	2021	2022
<b>\$</b> 0	<b>\$</b> 0	<b>\$</b> 0

How much did you spend on anything else related to administrative costs in FFY 2020? How much do you anticipate spending in FFY 2021 and 2022?

2020	2021	2022
<b>\$</b> 0	<b>\$</b> 0	<b>\$</b> 0

#### Table 2: Administrative Costs

This table is auto-populated with the data you entered above.

Your total administrative costs cannot be more than 10% of your total CHIP program costs (the sum of your benefit and administrative costs). The 10% administrative cap is calculated by dividing the total benefit costs by 9.

Туре	FFY 2020	FFY 2021	FFY 2022
Personnel	2109869	3362162	3698378
General administration	957513	1517838	1678422
Contractors and brokers	0	0	0
Claims processing	0	0	0
Outreach and marketing	0	0	0
Health Services Initiatives (HSI)	0	0	0
Other administrative costs	0	0	0
Total administrative costs	3067382	4880000	5376800
10% administrative cap	3074889.33	5431111.11	5974222.22

#### Table 3: Federal and State Shares

CHIP is funded by federal and state budgets. The federal share of funding is calculated by multiplying your state's Federal Medical Assistance Percentage (eFMAP) by your total program costs (the sum of your benefit and administrative costs). The remaining amount of your total program costs is covered by your state share of funding.

This table is auto-calculated using the data you entered above. The federal and state shares for FFY 2022 will be calculated once the eFMAP rate for 2022 becomes available. In the meantime, these values will be blank.

Туре	FFY 2020	FFY 2021	FFY 2022
Total program costs	30741386	53760000	59144800
eFMAP	76.5	65	65
Federal share	23517160.29	34944000	38444120
State share	7224225.71	18816000	20700680

What were your state funding sources in FFY 2020?

Select all that apply.

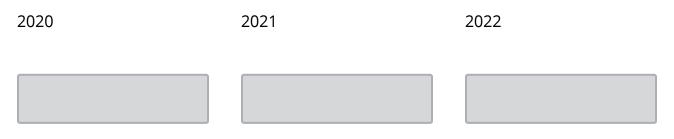
$\checkmark$	State appropriations
	County/local funds
	Employer contributions
	Foundation grants
	Private donations
	Tobacco settlement
	Other
9.	
Did y	ou experience a shortfall in federal CHIP funds this year?
$\bigcirc$	Yes

No

### Part 3: Managed Care Costs

Complete this section only if you have a Managed Care delivery system.

How many children were eligible for Managed Care in FFY 2020? How many do you anticipate will be eligible in FFY 2021 and 2022?



2.

What was your per member per month (PMPM) cost based on the number of children eligible for Managed Care in FFY 2020? What is your projected PMPM cost for FFY 2021 and 2022?

Round to the nearest whole number.

2020	2021		2022
\$	\$		\$
Туре	FFY 2020	FFY 2021	FFY 2022
Eligible children	Not Answered	Not Answered	Not Answered
PMPM cost	Not Answered	Not Answered	Not Answered

### Part 4: Fee for Service Costs

Complete this section only if you have a Fee for Service delivery system.

How many children were eligible for Fee for Service in FFY 2020? How many do you anticipate will be eligible in FFY 2021 and 2022?

2020	2021	2022
20955	23889	27233

#### 2.

What was your per member per month (PMPM) cost based on the number of children eligible for Fee For Service in FFY 2020? What is your projected PMPM cost for FFY 2021 and 2022?

The per member per month cost will be the average cost per month to provide services to these enrollees. Round to the nearest whole number.

2020	2021		2022	
\$	\$		\$	
Туре	FFY 2020	FFY 2021	FFY 2022	
Eligible children	20955	23889	27233	
PMPM cost	Not Answered	Not Answered	Not Answered	

1. Is there anything else you'd like to add about your program finances that wasn't already covered?

Re Table 3: the reported expenditures for FFY2020 were incurred with the eFMAP of 76.5% as reported in the US federal register. This includes the 11.5% associated with Section 3004 of the Healthy Kids Act which amended Section 2105(b) of the Social Security Act specifying that the enhanced FMAP would be calculated by adding 11.5% to the State's FMAP provided under section 1905(b) of the SSA for the period 10/1/19 to 9/30/20. Also, due to covid, we received an enhanced FMAP of 4.34% effective 1/1/20. This enhancement will continue to the last day of the quarter when the national emergency is ended. As far as enrollment projections go, we have based our projections on the average % increase. However, as the public health emergency ends, and schools reopen, we anticipate seeing greater enrollment numbers and higher expenditures going forward. Thus our projections may end up being understated.

2.

Optional: Attach any additional documents here.

# Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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# **Challenges and Accomplishments**

1. How has your state's political and fiscal environment affected your ability to provide healthcare to low-income children and families?

The political environment remained stable during the reporting period, and Alaska remains committed to ensuring health coverage for its low income children families. Due to Covid-19 Alaska declared a public health emergency on March 11, 2020 and the State went into a lockdown resulting in an increase in unemployment. Thanks to additional federal funding through the CARES Act, we have been able to make targeted efforts to support families e.g. support for community behavioral health efforts and at-risk youth.

#### 2. What's the greatest challenge your CHIP program has faced in FFY 2020?

The greatest challenge to Alaska's CHIP program has been the Covid-19 public health emergency. This unprecedented pandemic impacted all aspects of life, from school closures, unemployment, strain on the health service etc. Alaska moved quickly to apply for and receive an emergency 1135 waiver and Appendix K waiver from CMS. These allowed for (among other things): modifying telehealth capacity to allow services regardless of the origination of the service, temporary modifications for health care professional licensing , allowing Medicaid-providers greater flexibility in provision of care and extending pre-existing authorizations. However, Alaska has experienced a downtick in the number of well child visits and immunizations since the public health emergency was declared on March 11, 2020.

3. What are some of the greatest accomplishments your CHIP program has experienced in FFY 2020?

We filled the vacant position of CHIP Administrator and established new teams and partnerships that will help support the program.

4. What changes have you made to your CHIP program in FFY 2020 or plan to make in FFY 2021? Why have you decided to make these changes?

We did not make any changes to the CHIP program in FFY2020 nor do we specifically plan to do so in FFY 2021. We are undertaking a review of our overall strategic objectives and goals We will continue to monitor children's coverage and access in Medicaid/CHIP for quality of care and patient experience using some of the CMS child core set of quality measures.

5. Is there anything else you'd like to add about your state's challenges and accomplishments?

6.

Optional: Attach any additional documents here.

# Click Choose Files and make your selection(s) then click Upload to attach your files. Click View Uploaded to see a list of all files attached here.

Files must be in one of these formats: PDF, Word, Excel, or a valid image (jpg or png)

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