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# **Table of Contents**

State/Territory Name: New York

State Plan Amendment (SPA) #: NY-24-0037-CHIP

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) State Plan Pages

### DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services 7500 Security Boulevard, Mail Stop S2-01-16 Baltimore, MD 21244-1850



### **Children and Adults Health Programs Group**

June 10, 2024

Gabrielle Armenia Director, Division of Coverage and Enrollment Office of Health Insurance Programs State of New York Department of Health Corning Tower, Empire State Plaza Albany, NY 12237-0004

### Director Armenia:

Your title XXI Children's Health Insurance Program (CHIP) State Plan Amendments (SPA) number NY-24-0037-CHIP, submitted on March 26, 2024, has been approved. Through this SPA, New York adds residential treatment services to the state's behavioral health benefits. This SPA has an effective date of April 1, 2023.

CMS will continue to work with the state on NY-21-0030 to determine the state's compliance with section 5022 of the Substance Use Disorder Prevention that Promotes Opioid Recovery and Treatment for Patients and Communities (SUPPORT) Act.

Your Project Officer is Shakia Singleton. She is available to answer your questions concerning this amendment and other CHIP-related matters. Ms. Singleton's contact information is as follows:

Centers for Medicare & Medicaid Services Center for Medicaid and CHIP Services 7500 Security Boulevard, Mail Stop: S2-01-16

Baltimore, MD 21244-1850 Telephone: (410) 786-3413

E-mail: Shakia.Singleton@cms.hhs.gov

If you have additional questions, please contact Meg Barry, Director, Division of State Coverage Programs, at (410) 786-1536. We look forward to continuing to work with you and your staff.

Sincerely, /Signed by Sarah deLone/

Sarah deLone Director

# TEMPLATE FOR CHILD HEALTH PLAN UNDER TITLE XXI OF THE SOCIAL SECURITY ACT CHILDREN'S HEALTH INSURANCE PROGRAM

(Required under 4901 of the Balanced Budget Act of 1997 (New section 2101(b)))

State/Territory: New York		(Name of State/Territory)
As a condition for receipt of Federa	al funds under Title XXI of the S	Social Security Act, (42 CFR,
457 40(b))/s/ Gabrielle Armenia	March 26, 2024	(Signature of

submits the following Child Health Plan for the Children's Health Insurance Program and hereby agrees to administer the program in accordance with the provisions of the approved Child Health Plan, the requirements of Title XXI and XIX of the Act (as appropriate) and all applicable Federal regulations and other official issuances of the Department.

The following State officials are responsible for program administration and financial oversight (42 CFR 457.40(c)):

Name: Position/Title: Gabrielle Armenia CHIP Director

Governor, or designee, of State/Territory, Date Signed)

Director, Division of Eligibility and Marketplace Integration

Office of Health Insurance Programs

**Disclosure Statement** This information is being collected pursuant to 42 U.S.C. 1397aa, which requires states to submit a State Child Health Plan in order to receive federal funding. This mandatory information collection will be used to demonstrate compliance with all requirements of title XXI of the Act and implementing regulations at 42 CFR part 457. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid Office of Management and Budget (OMB) control number. The valid OMB control number for this information collection is 0938-1148 (CMS-10398 #34). Public burden for all of the collection of information requirements under this control number is estimated to average 80 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CMS, 7500 Security Boulevard, Attn: Paperwork Reduction Act Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

**Introduction:** Section 4901 of the Balanced Budget Act of 1997 (BBA), public law 1005-33 amended the Social Security Act (the Act) by adding a new title XXI, the Children's Health Insurance Program (CHIP). In February 2009, the Children's Health Insurance Program Reauthorization Act (CHIPRA) renewed the program. The Patient Protection and Affordable Care Act, as amended by the Health Care and Education Reconciliation Act of 2010, further modified the program. The HEALTHY KIDS Act and The Bipartisan Budget Act of 2018 together resulted in an extension of funding for CHIP through federal fiscal year 2027.

This template outlines the information that must be included in the state plans and the State plan amendments (SPAs). It reflects the regulatory requirements at 42 CFR Part 457 as well as the previously approved SPA templates that accompanied guidance issued to States through State Health Official (SHO) letters. Where applicable, we indicate the SHO number and the date it was issued for your reference. The CHIP SPA template includes the following changes:

- Combined the instruction document with the CHIP SPA template to have a single document. Any modifications to previous instructions are for clarification only and do not reflect new policy guidance.
- Incorporated the previously issued guidance and templates (see the Key following the template for information on the newly added templates), including:
  - Prenatal care and associated health care services (SHO #02-004, issued November 12, 2002)
  - Coverage of pregnant women (CHIPRA #2, SHO # 09-006, issued May 11, 2009)
  - Tribal consultation requirements (ARRA #2, CHIPRA #3, issued May 28, 2009)
  - Dental and supplemental dental benefits (CHIPRA # 7, SHO # #09-012, issued October 7, 2009)
  - Premium assistance (CHIPRA # 13, SHO # 10-002, issued February 2, 2010)
  - Express lane eligibility (CHIPRA # 14, SHO # 10-003, issued February 4, 2010)
  - Lawfully Residing requirements (CHIPRA # 17, SHO # 10-006, issued July 1, 2010)
- Moved sections 2.2 and 2.3 into section 5 to eliminate redundancies between sections 2 and 5.
- Removed crowd-out language that had been added by the August 17 letter that later was repealed.
- Added new provisions related to delivery methods, including managed care, to section 3 (81 FR 27498, issued May 6, 2016)
- Added new assurances related to the coverage of vaccines (Sections 2103(c)(1)(D) and (c)(12));
   (Section 11405(b)(1) of the Inflation Reduction Act (IRA)); (SHO # 23-003, issued June 27, 2023)

States are not required to resubmit existing State plans using this current updated template. However, States must use this updated template when submitting a new State Plan Amendment.

<u>Federal Requirements for Submission and Review of a Proposed SPA.</u> (42 CFR Part 457 Subpart A) In order to be eligible for payment under this statute, each State must submit a Title XXI plan for approval by the Secretary that details how the State intends to use the funds and fulfill other requirements under the law and regulations at 42 CFR Part 457. A SPA is approved in 90 days unless the Secretary notifies the State in writing that the plan is disapproved or that specified additional

information is needed. Unlike Medicaid SPAs, there is only one 90-day review period, or clock for CHIP SPAs, that may be stopped by a request for additional information and restarted after a complete response is received. More information on the SPA review process is found at 42 CFR 457 Subpart A.

When submitting a State plan amendment, states should redline the changes that are being made to the existing State plan and provide a "clean" copy including changes that are being made to the existing state plan.

The template includes the following sections:

- 1. **General Description and Purpose of the Children's Health Insurance Plans and the Requirements** This section should describe how the State has designed their program. It also is the place in the template that a State updates to insert a short description and the proposed effective date of the SPA, and the proposed implementation date(s) if different from the effective date. (Section 2101); (42 CFR, 457.70)
- 2. General Background and Description of State Approach to Child Health Coverage and Coordination- This section should provide general information related to the special characteristics of each state's program. The information should include the extent and manner to which children in the State currently have creditable health coverage, current State efforts to provide or obtain creditable health coverage for uninsured children and how the plan is designed to be coordinated with current health insurance, public health efforts, or other enrollment initiatives. This information provides a health insurance baseline in terms of the status of the children in a given State and the State programs currently in place. (Section 2103); (42 CFR 457.410(A))
- 3. **Methods of Delivery and Utilization Controls** This section requires the State to specify its proposed method of delivery. If the State proposes to use managed care, the State must describe and attest to certain requirements of a managed care delivery system, including contracting standards; enrollee enrollment processes; enrollee notification and grievance processes; and plans for enrolling providers, among others. (Section 2103); (42 CFR Part 457. Subpart L)
- 4. Eligibility Standards and Methodology The plan must include a description of the standards used to determine the eligibility of targeted low-income children for child health assistance under the plan. This section includes a list of potential eligibility standards the State can check off and provide a short description of how those standards will be applied. All eligibility standards must be consistent with the provisions of Title XXI and may not discriminate on the basis of diagnosis. In addition, if the standards vary within the state, the State should describe how they will be applied and under what circumstances they will be applied. In addition, this section provides information on income eligibility for Medicaid expansion programs (which are exempt from Section 4 of the State plan template) if applicable. (Section 2102(b)); (42 CFR 457.305 and 457.320)
- 5. **Outreach-** This section is designed for the State to fully explain its outreach activities. Outreach is defined in law as outreach to families of children likely to be eligible for child health assistance under the plan or under other public or private health coverage programs. The purpose is to inform these families of the availability of, and to assist them in enrolling their children in, such a program. (Section 2102(c)(1)); (42 CFR 457.90)

- 6. Coverage Requirements for Children's Health Insurance- Regarding the required scope of health insurance coverage in a State plan, the child health assistance provided must consist of any of the four types of coverage outlined in Section 2103(a) (specifically, benchmark coverage; benchmark-equivalent coverage; existing comprehensive state-based coverage; and/or Secretary- approved coverage). In this section States identify the scope of coverage and benefits offered under the plan including the categories under which that coverage is offered. The amount, scope, and duration of each offered service should be fully explained, as well as any corresponding limitations or exclusions. (Section 2103); (42 CFR 457.410(A))
- 7. Quality and Appropriateness of Care- This section includes a description of the methods (including monitoring) to be used to assure the quality and appropriateness of care and to assure access to covered services. A variety of methods are available for State's use in monitoring and evaluating the quality and appropriateness of care in its child health assistance program. The section lists some of the methods which states may consider using. In addition to methods, there are a variety of tools available for State adaptation and use with this program. The section lists some of these tools. States also have the option to choose who will conduct these activities. As an alternative to using staff of the State agency administering the program, states have the option to contract out with other organizations for this quality-of-care function. (Section 2107); (42 CFR 457.495)
- 8. **Cost Sharing and Payment-** This section addresses the requirement of a State child health plan to include a description of its proposed cost sharing for enrollees. Cost sharing is the amount (if any) of premiums, deductibles, coinsurance and other cost sharing imposed. The cost-sharing requirements provide protection for lower income children, ban cost sharing for preventive services, address the limitations on premiums and cost-sharing and address the treatment of pre- existing medical conditions. (Section 2103(e)); (42 CFR 457, Subpart E)
- 9. **Strategic Objectives and Performance Goals and Plan Administration** The section addresses the strategic objectives, the performance goals, and the performance measures the State has established for providing child health assistance to targeted low-income children under the plan for maximizing health benefits coverage for other low-income children and children generally in the state. (Section 2107); (42 CFR 457.710)
- 10. **Annual Reports and Evaluations** Section 2108(a) requires the State to assess the operation of the Children's Health Insurance Program plan and submit to the Secretary an annual report which includes the progress made in reducing the number of uninsured low-income children. The report is due by January 1, following the end of the Federal fiscal year and should cover that Federal Fiscal Year. In this section, states are asked to assure that they will comply with these requirements, indicated by checking the box. (Section 2108); (42 CFR 457.750)
- 11. **Program Integrity** In this section, the State assures that services are provided in an effective and efficient manner through free and open competition or through basing rates on other public and private rates that are actuarially sound. (Sections 2101(a) and 2107(e); (42 CFR 457, subpart I)
- 12. **Applicant and Enrollee Protections** This section addresses the review process for eligibility and enrollment matters, health services matters (i.e., grievances), and for states that use premium assistance a description of how it will assure that applicants and enrollees are given the opportunity at initial enrollment and at each redetermination of eligibility to obtain health

benefits coverage other than through that group health plan. (Section 2101(a)); (42 CFR 457.1120)

**Program Options.** As mentioned above, the law allows States to expand coverage for children through a separate child health insurance program, through a Medicaid expansion program, or through a combination of these programs. These options are described further below:

- Option to Create a Separate Program- States may elect to establish a separate child health program that are in compliance with title XXI and applicable rules. These states must establish enrollment systems that are coordinated with Medicaid and other sources of health coverage for children and also must screen children during the application process to determine if they are eligible for Medicaid and, if they are, enroll these children promptly in Medicaid.
- Option to Expand Medicaid- States may elect to expand coverage through Medicaid. This option for states would be available for children who do not qualify for Medicaid under State rules in effect as of March 31, 1997. Under this option, current Medicaid rules would apply.

### **Medicaid Expansion- CHIP SPA Requirements**

In order to expedite the SPA process, states choosing to expand coverage only through an expansion of Medicaid eligibility would be required to complete sections:

- 1 (General Description)
- 2 (General Background)

They will also be required to complete the appropriate program sections, including:

- 4 (Eligibility Standards and Methodology)
- 5 (Outreach)
- 9 (Strategic Objectives and Performance Goals and Plan Administration including the budget)
- 10 (Annual Reports and Evaluations).

### **Medicaid Expansion- Medicaid SPA Requirements**

States expanding through Medicaid-only will also be required to submit a Medicaid State plan amendment to modify their Title XIX State plans. These states may complete the first check-off and indicate that the description of the requirements for these sections are incorporated by reference through their State Medicaid plans for sections:

- 3 (Methods of Delivery and Utilization Controls)
- 4 (Eligibility Standards and Methodology)
- 6 (Coverage Requirements for Children's Health Insurance)
- 7 (Quality and Appropriateness of Care)
- 8 (Cost Sharing and Payment)
- 11 (Program Integrity)
- 12 (Applicant and Enrollee Protections)

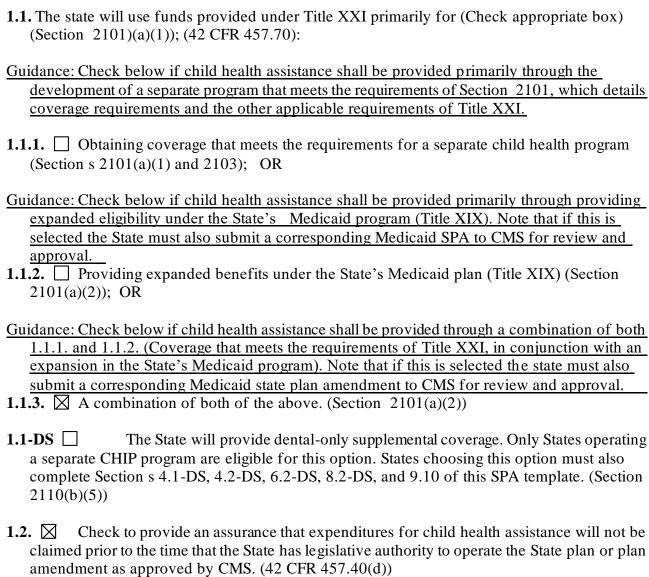
• Combination of Options- CHIP allows states to elect to use a combination of the Medicaid program and a separate child health program to increase health coverage for children. For example, a State may cover optional targeted-low-income children in families with incomes of up to 133 percent of poverty through Medicaid and a targeted group of children above that level through a separate child health program. For the children the State chooses to cover under an expansion of Medicaid, the description provided under "Option to Expand Medicaid" would apply. Similarly, for children the State chooses to cover under a separate program, the provisions outlined above in "Option to Create a Separate Program" would apply. States wishing to use a combination of approaches will be required to complete the Title XXI State plan and the necessary State plan amendment under Title XIX.

Where the state's assurance is requested in this document for compliance with a particular requirement of 42 CFR 457 et seq., the state shall place a check mark to affirm that it will be in compliance no later than the applicable compliance date.

Proposed State plan amendments should be submitted electronically and one signed hard copy to the Centers for Medicare & Medicaid Services at the following address:

Name of Project Officer Centers for Medicare & Medicaid Services 7500 Security Blvd Baltimore, Maryland 21244 Attn: Children and Adults Health Programs Group Center for Medicaid and CHIP Services Mail Stop - S2-01-16

### Section 1. General Description and Purpose of the Children's Health Insurance Plans and the Requirements



- Check to provide an assurance that expenditures for child health assistance will not be claimed prior to the time that the State has legislative authority to operate the State plan or plan
- Check to provide an assurance that the State complies with all applicable civil rights requirements, including title VI of the Civil Rights Act of 1964, title II of the Americans with Disabilities Act of 1990, Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, 45 CFR part 80, part 84, and part 91, and 28 CFR part 35. (42CFR 457.130)

The effective date as specified below is defined as the date on which the Guidance: State begins to incur costs to implement its State plan or amendment. (42 CFR 457.65) The implementation date is defined as the date the State begins to provide services; or, the date on which the State puts into practice the new policy described in the State plan or amendment. For example, in a State that has increased eligibility, this is the date on which the State begins to provide coverage to enrollees (and not the date the State begins outreach or accepting applications).

1.4. Provide the effective (date costs begin to be incurred) and implementation (date services begin to be provided) dates for this SPA (42 CFR 457.65). A SPA may only have one effective date, but provisions within the SPA may have different implementation dates that must be after the effective date.

<u>Original</u>

Plan:

**Original Submission** 

Submission date: November 15, 1997 Effective date: April 15, 2003 Implementation date: April 15, 2003

SPA #1

Submission date: March 26, 1998 Denial: April 1, 1998

Reconsideration: May 26, 1998(Withdrawn)

SPA #2

Submission date: March 30, 1999 Effective date: January 1, 1999 Implementation date January 1, 1999

SPA #3

Submission date: March 21, 2001 Effective date: April 1, 2000 Implementation date: April 1, 2000

SPA #4

Submission date: March 27, 2002 Effective date: April 1, 2001 Implementation date: April 1, 2001

SPA #5 (compliance)

Submission date: March 31, 2003

SPA #6 (renewal process)

Submission date: March 22, 2004 Effective date: April 1, 2003 Implementation date: April 1, 2003

**SPA #7** 

Submission date: March 17, 2005

Effective date: April 1, 2004 (Updates to State

Plan) April 1, 2005 (Phase-out of

Medicaid

**Expansion Program**)

Implementation date: April 1, 2004 (Updates to State

Plan)

April 1, 2005 (Phase-out of Medicaid

Expansion Program)

SPA #8

Submission date: March 28, 2006 Effective date: April 1, 2005 Implementation date: August 1, 2005

SPA #9

Submission date: March 28, 2007 Effective date: April 1, 2006 Implementation date: April 1, 2006

SPA # 10

Submission date: April 3, 2007 Effective date: April 1, 2007 Implementation date: April 1, 2007

-general information

Implementation date (Proposed): September 1, 2007 Implementation date (Actual): September 1, 2008

-expansion, substitution strategies

Denied: September 7, 2007
Petition for Reconsideration: October 31, 2007
Stayed March 17, 2009

SPA # 11

Submission date: May 14, 2007 Effective date: September 1, 2007 Implementation date: September 1, 2007

SPA # 12

Submission date: March 18, 2009 Effective date: September 1, 2008 Implementation date: September 1, 2008

SPA # 13

Submission date: June 30, 2009 Effective date: April 1, 2009 Implementation date: April 1, 2009

SPA # 14

Submission date: July 6, 2009 Effective date: July 1, 2009 Implementation date: July 1, 2009 SPA # 15

Submission date: March 29, 2010 Effective date: April 1, 2009 Implementation date: April 1, 2009

SPA #16

Submission date: March 21, 2011 Effective date: April 1, 2010 Implementation date: April 1, 2010

SPA #17

Submission date: May 20, 2011 Effective date (Enrollment Center): June 13,

2011 Effective date (Medical Homes

Initiative): October 1, 2011 Implementation date: June 13, 2011

SPA #18

Submission date: September 20, 2011 Effective date: August 25, 2011 Implementation date: August 25, 2011

SPA #19

Submission date: March 22, 2012 Effective date (Medicaid Expansion): November 11, 2011 Implementation date: November 11,

2011

SPA # 20

Submission date: March 31, 2014 Effective date (autism benefit): April 1, 2013 Effective date (other ACA changes) January 1,

2014

Implementation date: April 1, 2013 and January 1, 2014

SPA #21

Submission date: March 31, 2015 Effective date: April 1, 2014 Implementation date: April 1, 2014

SPA #NY-16-0022- C-A

Submission date: March 28,

2016 Effective date: (HSI for Poison Control Centers and Sickle Cell

Screening): April 1, 2015 Effective date (Ostomy Supplies): May 1, 2015

Implementation date: April 1, 2015 and May 1, 2015

SPA #NY-17-0023 - C - A

Submission date: March 31,

2017 Effective date (HSI Opioid Drug

Addiction and Opioid Overdose Prevention Program for Schools,

Hunger Prevention Nutrition April 1,

2016 Assistance Program (HPNAP) Effective date (Coverage for

Newborns): January 1, 2017

Implementation date: April 1, 2016 and January 1, 2017

SPA #NY - 19-0024

Submission date: March 27,

2019 Effective date (Transition of Children to NY State of Health): Effective Date (Allowing Children to Recertify on the Last Day of the Month of their

Enrollment Period):

Implementation Date: April 1, 2018

SPA # NY -19-0025

Submission date: March 28,

2019 Removal of the 90 day

Waiting Period.

Effective Date: April 1, 2018 Implementation Date: April 1, 2018

SPA #NY- 20-0026- Pending Approval

Submission Date: March 18,

2020 Effective Date Mental Health

Parity Compliance: April 1, 2019 Implementation Date: April 1, 2019

SPA #NY- 20-0027 – Pending Approval

Submission Date: March 31,

2020 Effective Date: Compliance with

Managed Care Regulations April 1, 2019 Implementation Date: April 1, 2019

SPA #NY- 20-0028

Submission Date: March 31,

2020 Effective Date: Disaster Relief

Provisions March 1, 2020

Implementation Date: March 1, 2020

SPA #NY- 20-0029

Submission Date: June 25,

2020 Effective Date: (HSI Early

Intervention Program)

Provisions April 1, 2020 Implementation Date: April 1, 2020

SPA #NY- 21-0030 – Pending Approval

Submission Date: March 31,

2021 Effective Date: Support Act

Provisions April 1, 2020 Implementation Date: April 1, 2020

SPA #NY-21-0031-CHIP

Submission Date: March 31,

2022 Effective Date: Ends Manual Process to Remove Children from the Child Health Plus Waiting

period and replaces

CS 20 attachment: July 15, 2021 Implementation Date: July 15, 2021

SPA #NY- 21-0032-CHIP

Submission Date: March 31, 2022

Effective Date: Compliance with the American Rescue Plan Act

of 2021: March 11, 2021 Implementation Date: March 11, 2021

SPA #NY-22-0033-CHIP

Submission Date: September 15, 2022

Effective Date: Elimination of the \$9 Family Premium

Contribution: October 1, 2022 Implementation Date: October 1, 2022

SPA #NY-23-0034-CHIP

Submission Date: March 7, 2023

Effective Date: From conception to the end of pregnancy (FCEP)

Coverage: April 1, 2022

SPA #NY-23-0034A-CHIP

Submission Date: March 7, 2023

Effective Date From conception

to the end of pregnancy (FCEP)

Option (MMDL CS9): April 1, 2022 Implementation Date: April 1, 2022

SPA #NY-23-0035-CHIP - Pending Approval

Submission Date: March 21, 2023

Effective Date: Expansion of Child Health Plus Covered

Health Services in

Accordance with Public Health

Law §2510(7): January 1, 2023 Implementation Date: January 1, 2023

SPA #NY-24-0036-CHIP

Submission Date: February 23, 2023

Effective Date: 12-Month Postpartum Continuous Eligibility in

CHIP March 1, 2023 Implementation Date: March 1, 2023

SPA #NY-24-0037-CHIP

Submission Date: March 26, 2024

Effective Date: Benefit

Expansion:

Residential Rehabilitation

Services for Youth: April 1, 2023 Implementation Date: April 1, 2023

**1.4- TC Tribal Consultation** (Section 2107(e)(1)(C)) Describe the consultation process that occurred specifically for the development and submission of this State Plan Amendment, when it occurred and who was involved.

Consistent with New York's approved tribal consultation policy, a letter was mailed to all federally recognized tribes in New York State on March 5, 2024, notifying them of the proposed State Plan Amendment. A link was provided in the letter for purposes of allowing the tribes to view the proposed State Plan Amendment. The tribes were given two weeks to provide comments/feedback on the proposed State Plan Amendment. No feedback was received within the prescribed time frame.

### Section 6. Coverage Requirements for Children's Health Insurance

**6.3- BH Covered Benefits** Please check off the behavioral health services that are provided to the state's CHIP populations, and provide a description of the amount, duration, and scope of each benefit. For each benefit, please also indicate whether the benefit is available for mental health and/or substance use disorders. If there are differences in benefits based on the population or type of condition being treated, please specify those differences.

If EPSDT is provided, as described at Section 6.2.22 and 6.2.22.1, the state should only check off the applicable benefits. It does not have to provide additional information regarding the amount, duration, and scope of each covered behavioral health benefit.

6.3.4- BH ☐ Inpatient services, including services furnished in a state-operated mental hospital and including residential or other 24-hour therapeutically planned structural services (Sections 2110(a)(10) and 2110(a)(18))

Provided for: Mental Health Substance Use Disorder

Guidance: If applicable, please clarify any differences within the residential treatment benefit (e.g. intensity of services, provider types, or settings in which the residential treatment services are provided).

6.3.4.1- BH ☑ Residential Treatment

Provided for: ☑ Mental Health ☑ Substance Use Disorder

Scope of Coverage: Services to be provided in a facility issued a Part 817 operating certificate from the Office of Addiction Services and Supports (OASAS), pursuant to Article 32 of the Mental Hygiene Law.

Level of Coverage: Includes all services provided by the Residential Rehabilitation Services for Youth (RRSY) as described in OASAS Part 817. These services include, but are not limited to, clinical services, recovery support services, educational and vocational assessments and services, Medication for Addiction Treatment (MAT) and food and nutrition services. Clinical services include individual, group, and family counseling, assessment and referral services for patients and significant others (e.g., parent/guardian(s), sibling(s), partner(s), etc.), medical and psychiatric consultation, and HIV and AIDS, hepatitis C, tuberculosis, and other communicable diseases education, risk assessment, supportive counseling and referral.

<u>Services must be clinically indicated and specified in the individualized treatment/recovery plan and/or progress notes.</u>

### Section 9. Strategic Objectives and Performance Goals and Plan Administration

**9.10.** Provide a 1-year projected budget. A suggested financial form for the budget is below. The budget must describe: (Section 2107(d)) (42CFR 457.140)

- Planned use of funds, including:
  - Projected amount to be spent on health services;
  - Projected amount to be spent on administrative costs, such as outreach, child health initiatives, and evaluation; and
  - Assumptions on which the budget is based, including cost per child and expected enrollment.
  - Projected expenditures for the separate child health plan, including but not limited to expenditures for targeted low income children, the optional coverage of the unborn, lawfully residing eligibles, dental services, etc.
  - All cost sharing, benefit, payment, eligibility need to be reflected in the budget.
- Projected sources of non-Federal plan expenditures, including any requirements for costsharing by enrollees.
- Include a separate budget line to indicate the cost of providing coverage to pregnant women.
- States must include a separate budget line item to indicate the cost of providing coverage to premium assistance children.
- Include a separate budget line to indicate the cost of providing dental-only supplemental coverage.
- Include a separate budget line to indicate the cost of implementing Express Lane Eligibility.
- Provide a 1-year projected budget for all targeted low-income children covered under the state plan using the attached form. Additionally, provide the following:

### CHILD HEALTH PLUS BUDGET SUMMARY

	Actual	Projected	Projected
	2022-23	2023-24	2024-25
Benefit Costs			
Insurance Payments	\$1,218,498,165	\$1,412,760,281	\$1,524,902,505
Managed Care Payments	\$961,971,735	\$1,005,260,463	\$1,050,497,184
Fee for Service			
Total Benefit Costs	\$2,180,469,900	\$2,418,020,744	\$2,575,399,689
(Offsetting beneficiary cost sharing payments)	(\$43,654,000)	(\$43,654,000)	(\$43,654,000)
Net Benefit Costs	\$2,136,815,900	\$2,374,366,744	\$2,531,745,689
RRSY Benefit Cost (Effective 4/1/23)	\$116,304	\$237,894	\$248,707
Net Benefit Costs Plus Benefit Expansion Cost	\$2,136,932,204	\$2,374,604,638	\$2,531,994,396
	2022-23	2023-24	2024-25
Administration Costs	Actual	Projected	Projected
Personnel	\$2,830,547	\$2,915,463	\$3,002,927
General Administration	\$21,481,520	\$49,848,617	\$29,207,238
Contractors/Brokers (e.g., enrollment contractors)			
Claims Processing			
Outreach/Marketing costs	\$983,178	\$1,012,673	\$1,043,053
Other (e.g., indirect costs)	\$365,249	\$376,206	\$387,493
Health Services Initiatives	\$140,154,001	\$209,692,000	\$247,692,000
Total Administration Costs	\$165,814,495	\$263,844,960	\$281,332,711
10% Administrative Cap	\$237,436,912	\$263,844,960	\$281,332,711
Federal Title XXI Share	\$1,626,027,330	\$1,721,918,169	\$1,828,662,620
State Share	\$748,341,786	\$916,531,429	\$984,664,488

# Appendix I New York State Child Health Plus Benefits Package

# Child Health Plus Benefits Package

## No Pre-Existing Condition Limitations Permitted No Co-payments or Deductibles

Effective April 1, 2023

General Coverage	Scope of Coverage	Level of Coverage
Pediatric	Well child care visits in accordance with visitation	Includes all services related to visits. Includes immunizations which must be
Health	schedule established by American Academy of	provided within 90 days from publication in the Morbidity and Mortality Weekly
Promotion		Report, well childcare, health education, tuberculin testing (mantoux), hearing testing.
Visits	Immunization Practices recommended immunization	dental and developmental screening, clinical laboratory and radiological tests, eye
	schedule.	screening, lead screening, and reproductive health services, with direct access to such reproductive health services.
Inpatient Hospital or	As a registered bed patient for treatment of an illness,	No benefits will be provided for any out-of-hospital days, or if inpatient care was not
Medical or Surgical	injury or condition which cannot be treated on an	necessary; no benefits are provided after discharge; benefits are paid in full for
Care	outpatient basis. The hospital must be a short-term,	accommodations in a semi-private room. A private room will be covered if medically
	acute care facility and New York State licensed.	warranted. Includes 365 days per year coverage for inpatient hospital services and
		services provided by physicians and other professional personnel for covered inpatient
		services: bed and board, including special diet and nutritional therapy: general, special
		and critical care nursing services, supplies and equipment related to surgical
		operations, recovery facilities, anesthesia, and facilities for intensive or special care;
		oxygen and other inhalation therapeutic services and supplies; drugs and medications
		that are not experimental; sera, biologicals, vaccines, intravenous preparations,
		dressings, casts, and materials for diagnostic studies; blood products, except when
		participation in a volunteer blood replacement program is available to the insured or
		covered person, and services and equipment related to their administration; facilities,
		services, supplies and equipment related to diagnostic studies and the monitoring of
		physiologic functions, including but not limited to laboratory, pathology,
		cardiographic, endoscopic, radiologic and electro-encephalographic studies and
		examinations; facilities, services, supplies and equipment related to radiation and
		nuclear therapy; facilities, services, supplies and equipment related to emergency
		medical care; chemotherapy; any additional medical, surgical, or related services,
		supplies and equipment that are customarily furnished by the hospital.

General Coverage	Scope of Coverage	Level of Coverage
Maternity Care	Inpatient hospital coverage for at least 48 hours after childbirth for any delivery other than a C-Section and in at least 96 hours following a C-section. Also, coverage of parent education, assistance and training in breast and bottle feeding and any necessary maternal and newborn clinical assessments. The mother shall have the option to be discharged earlier than the 48/96 hours, provided that at least one home care visit is covered post-discharge.  Prenatal, labor and delivery is covered.	No limitations; (however subsidized children requiring maternity care services will be referred to Medicaid).
Inpatient Mental Health and Alcohol and Substance Use Services	Services to be provided in a facility operated by OMH under sec. 7.17 of the Mental Hygiene Law, or a facility issued an operating certificate pursuant to Article 23 or Article 31 of the Mental Hygiene Law or a general hospital as defined in Article 28 of the Public Health Law.	No limitations for inpatient mental health services, inpatient detoxification and inpatient rehabilitation.
	Services to be provided in a facility issued a Part 817	Includes all services provided by the RRSY, as described in OASAS Part 817. These
for Youth (RRSY)	operating certificate from the Office of Addiction Services and Supports (OASAS), pursuant to Article 32 of the Mental Hygiene Law.	services include, but are not limited to, clinical services, recovery support services, educational and vocational assessments and services. Medication for Addiction Treatment (MAT) and food and nutrition services. Clinical services include individual, group, and family counseling, assessment and referral services for patients and significant others (e.g., parent/guardian(s), sibling(s), partner(s), etc.), medical and psychiatric consultation, and HIV and AIDS, hepatitis C, tuberculosis, and other communicable diseases education, risk assessment, supportive counseling and referral.  Services must be clinically indicated and specified in the individualized treatment/recovery plan and/or progress notes.  Services shall be reimbursed in accordance with government rate-setting methodology.
Inpatient Rehabilitation	Hospital	Services supplies and equipment related to physical medicine and occupational thera py and short-term rehabilitation.
Professional Services for Diagnosis and Treatment of Illness and Injury	Provides services on ambulatory basis by a covered provider for Medically Necessary diagnosis and treatment of sickness and injury and other conditions. Includes all services related to visits. Professional services are provided on outpatient basis and inpatient basis.	No limitations. Includes wound dressing and casts to immobilize fractures for the immediate treatment of the medical condition. Injections and medications provided at the time of the office visit or therapy will be covered. Includes audiometric testing where deemed Medically Necessary.

General Coverage	Scope of Coverage	Level of Coverage
Hospice Services and Expenses	Coordinated hospice program of home and inpatient services which provide non-curative medical and support services for persons certified by a physician to be terminally ill with a life expectancy of six months or less.	Hospice Services include palliative and supportive care provided to a patient to meet the special needs arising out of physical, psychological, spiritual, social and economic stress which are experienced during the final stages of illness and during dying and berea vement. Hospice organizations must be certified under Article 40 of the NYS Public Health Law. All services must be provided by qualified employees and volunteers of the hospice or by qualified staff through contractual arrangements to the extent permitted by federal and state requirements. All services must be provided according to a written plan of care which reflects the changing needs of the patient/family. Family members are eligible for up to five visits for berea vement counseling.
Outpatient Surgery	Procedure performed within the provider's office will be covered as well as "ambulatory surgery procedures" which may be performed in a hospital-based ambulatory surgery service or a freestanding ambulatory surgery center.	The utilization review process must ensure that the ambulatory surgery is appropriately provided.
Diagnostic and	Prescribed ambulatory clinical laboratory tests and	No limitations.
Laboratory Tests	diagnostic x-rays.	In shird as he switch he do and a seessaries environ and environ examilies and seessaries and
Durable Medical Equipment (DME), Prosthetic Appliances and Orthotic Devices	Durable Medical Equipment means devices and equipment ordered by a practitioner for the treatment of a specific medical condition which:  Can withstand repeated use for a protracted period of time; Are primarily and customarily used for medica purposes; Are generally not useful in the absence of illness or injury; and Are usually not fitted, designed or fashioned for a particular person's use.  DME intended for use by one person may be custommade or customized.	DME coverage includes equipment servicing (la bor and parts). Examples include, but are not limited to:  Fitted/Customized leg brace Not fitted/Customized cane Prosthetic arm Wheelchair Footplate Crutches
	Prosthetic Appliances are those appliances and devices ordered by a qualified practitioner which replace any missing part of the body.  Orthotic Devises are those devices which are used to support a weak or deformed body member or to restrict or eliminate motion in a diseased or injured part of the body.	

General Coverage	Scope of Coverage	Level of Coverage
	Insulin, blood glucose monitors, blood glucose monitors for visually impaired, data management systems, test strips for monitors and visual reading, urine test strips, insulin, injection aids, cartridges for visually impaired, syringes, insulin pumps and appurtenances thereto, insulin infusion devices, oral agents.	As prescribed by a physician or other licensed health care provider legally a uthorized to prescribe under title eight of the education law.
Ostomy Equipment and Supplies	Ostomy equipment and supplies used to contain diverted urine or fecal contents outside the body from a surgically created opening (stoma).	As prescribed by a health care provider legally authorized to prescribe under title eight of the education law.
Therapeutic Services	Ambulatory radiation therapy, chemotherapy, injections and medications provided at time of therapy (i.e. chemotherapy) will also be covered.	No limitations. These therapies must be Medically Necessary and under the supervision or referral of a licensed physician. Short term physical and occupational therapies will be covered when ordered by a physician. Physical and occupational therapies for a child diagnosed with an autism spectrum disorder are also covered when such treatment is deemed habilitative or nonrestorative. No procedure or services considered experimental will be reimbursed.
	Hemodialysis	Determination of the need for services and whether home-based or facility-based treatment is appropriate.
		Coverage for blood clotting factor, supplies and other services needed for home infusion of blood clotting factor for the treatment of a blood clotting protein deficiency. Infusion may be performed in an outpatient setting or in the home by a home by a Home health Care agency, a properly trained parent or legal guardian of a child, or a properly trained child that is physically and developmentally capable of self-administering such products.

General Coverage	Scope of Coverage	Level of Coverage
Speech and Hearing Services Including Hearing Aids	corrective action and speech therapy performed by an	One hearing examination per calendar year is covered. If an auditory deficiency requires additional hearing exams and follow-up exams, these exams will be covered. Hearing aids, including batteries and repairs, are covered. If Medically Necessary, more than one hearing aid will be covered.
		Covered speech therapy services are those required for a condition amenable to significant clinical improvement within a two-month period, beginning with the first day of therapy. Covered speech therapy services for a child diagnosed with an autism spectrum disorder shall also be provided if deemed habilitative or nonrestorative.
Pre-Surgical Testing	All tests (laboratory, x-ray, etc.) necessary prior to inpatient or outpatient surgery.	Benefits are a vailable if a physician orders the tests: proper diagnosis and treatment require the tests; and the surgery takes place within seven days after the testing. If surgery is canceled because of pre-surgical test findings or as a result of a Second Opinion on Surgery, the cost of the tests will be covered.
Second Surgical Opinion	Provided by a qualified physician.	No limitations.
Second Medical Opinion	affiliated with a specialty care center.	A second medical opinion is a vailable in the event of a positive or negative diagnosis of cancer, a recurrence of cancer, or a recommendation of a course of treatment of cancer.
Outpatient Visits for Mental Health and for the Diagnosis and Treatment of Alcoholism and Substance Use	Services must be provided by certified and/or licensed professionals.	No limitations. Visits may include family therapy for alcohol, drug and/or mental health as long as such therapy is directly related to the enrolled child's alcohol, drug and/or mental health treatment.
Home Health Care Services	under the care of a physician but only if Hospitalization or confinement in a skilled nursing facility would otherwise have been required if home care was not provided and the plan covering the home health service is established and provided in writing by such physician.	Home care shall be provided by a certified home health agency possessing a valid certificate of approval issued pursuant to Article 36 of the Public Health Law. Home care shall consist of one or more of the following: part-time or intermittent home health aide services which consist primarily of caring for the patient, physical, occupational, or speech therapy if provided by the home health agency and medical supplies, drugs and medications prescribed by a physician, and laboratory services by or on behalf of a certified home health agency to the extent such items would have been covered or provided under the contract if the covered person had been hospitalized or confined in a skilled nursing facility. The contract must provide 40 such visits in any calendar year, if such visits are Medically Necessary.
Diabetic Education and Home Visits	Diabetes self-management education (including diet); reeducation or refresher. Home visits for diabetic monitoring and/or education.	Limited to visits Medically Necessary where a physician diagnoses a significant change in the patient's symptoms or conditions which necessitate changes in a patient's self-management or where reeducation is necessary. May be provided by a physician or other licensed health care provider legally authorized to prescribe under title eight of the education law, or their staff, as part of an office visit for diabetes diagnosis or treatment, or by a certified diabetes nurse educator, certified diagnosis nutritionist, certified dietician or registered dietician upon the referral of a physician or other licensed health care provider legally authorized to prescribe under title eight of the education law and may be limited to group settings wherever practicable.

Prescription and Non-	Prescription and non-prescription medications must	Prescriptions must be Medically Necessary. May be limited to generic medications
Prescription Drugs	be authorized by a professional licensed to write	where medically acceptable. Includes family planning or contraceptive medications or
	prescriptions.	devices. All medications used for preventive and therapeutic purposes will be covered.
		Vitamins are not covered except when necessary to treat a diagnosed illness or
		condition. Coverage includes enteral formulas for home use for which a physician or
		other provider authorized to prescribe has issued a written order. Enteral formulas for
		the treatment of specific diseases shall be distinguished from nutritional supplements
		taken electively. Coverage for certain inherited diseases of amino acid and organic
		acid metabolism shall include modified solid food products that are low-protein, or
		which contain modified protein.
<b>Emergency Medical</b>	For services to treat an emergency condition in	No limitations.
Services	hospital facilities. For the purpose of this provision,	
	"emergency condition" means a medical or behavioral	
	condition, the onset of which is sudden, that manifests	
	itself by symptoms of sufficient severity, including	
	severe pain, that a prudent layperson, possessing an	
	average knowledge of medicine and health, could	
	reasonably expect the absence of immediate medical	
	attention to result in:	
	Placing the health of the person afflicted	
	with such condition in serious jeopardy, or	
	in the case of a behavioral condition placing	
	the health of such person or others in	
	serious jeopardy;	
	Serious impairment to such person's bodily	
	functions;	
	Serious dysfunction of any bodily organ or	
	part of such person; or	
	Serious disfigurement of such person.	

General Coverage	Scope of Coverage	Level of Coverage
Ambulance Services	Pre-hospital emergency medical services, including promptevaluation and treatment of an emergency	Services must be provided by an ambulance service issued a certificate to operate pursuant to Section 3005 of the Public Health Law.
	condition and/or non-airborne transportation to a hospital.	Evaluation and treatment services must be for an emergency condition defined as a medical or behavioral condition, the onset of which is sudden, that manifests itself by symptoms of sufficient severity, including severe pain, that a prudent layperson, possessing an average knowledge of medicine and health, could reasonably expect the absence of immediate medical attention to result in:  Placing the health of the person afflicted with such condition in serious jeopardy, or in the case of a behavioral condition placing the health of such person or others in serious jeopardy;  Serious impairment to such person's bodily functions;  Serious dysfunction of any bodily organ or part of such person; or  Serious disfigurement of such person.  Coverage for non-airborne emergency transportation is based on whether a prudent
		layperson, possessing an average knowledge of medicine and health, could reasonable expect the absence of such transportation to result in:  Placing the health of the person a fflicted with such condition in serious jeopardy:  Serious impairment to such person's bodily functions;  Serious dysfunction of any bodily organ or part of such person; or  Serious disfigurement of such person.
Emergency, Preventive and Routine Vision Care	Vision examinations performed by a physician, or optometrist for the purpose of determining the need for corrective lenses, and if needed, to provide a prescription.	The vision examination may include, but is not limited to:  Case history Internal and External examination of the eye Opthalmoscopic exam Determination of refractive status Binocular balance Tonometry tests for glaucoma Gross visual fields and color vision testing Summary findings and recommendations for corrective lenses
	Prescribed Lenses	At a minimum, quality standard prescription lenses provided by a physician, optometrist or optician are to be covered once in any twelve-month period, unless required more frequently with appropriate documentation. The lenses may be glass or plastic lenses.
	Frames	At a minimum, standard frames adequate to hold lenses will be covered once in any twelve-month period, unless required more frequently with appropriate documentation.  If medically warranted, more than one pair of glasses will be covered.
	Contact Lenses	Covered when Medically Necessary.

Emergency, Preventive and Routine Dental Care	Emergency Dental Care	Includes emergency treatment required to a lleviate pain and suffering caused by dental disease or trauma.
	Preventive Dental Care	Includes procedures which help prevent oral disease from occurring, including but not limited to:  Prophylaxis: scaling and polishing the teeth at 6-month intervals.  Topical fluoride application at 6 month intervals where local water supply is not fluoridated.  Sealants on unrestored permanent molar teeth.  Space Maintenance: unilateral or bilateral space maintainers will be covered for placement in a restored deciduous and/or mixed detention to maintain space for normally developing permanent teeth.
	Routine Dental Care	<ul> <li>Dental examinations, visits and consultations covered once within 6-month consecutive period (when primary teeth erupt)</li> <li>X-ray, full mouth x-rays at 36-month intervals, if necessary, bitewing x-rays at 6-12 month intervals, or panomamic x-rays at 36 month intervals if necessary; and other x-rays as required (once primary teeth erupt)</li> <li>All necessary procedures for simple extractions and other routine dental surgery not requiring Hospitalization including preoperative care and postoperative care</li> <li>In office conscious sedation</li> <li>Amalgam, composite restorations and stainless steel crowns</li> <li>Other restorative materials appropriate for children</li> </ul>
	Endodontics	Includes all necessary procedures for treatment of diseased pulp chamber and pulp canals, where Hospitalization is not required.
	Prosthodontics	Removable: Complete or partial dentures including six months follow-up care.  Additional services include insertion of identification slips, repairs, relines and rebases and treatment of cleft palate.  Fixed: Fixed bridges are not covered unless  1) Required for replacement of a single upper anterior (central/lateral incisor or cuspid) in a patient with an otherwise full complement of natural, functional and/or restored teeth;  2) Required for cleft-palate treatment or stabilization;  Required, as demonstrated by medical documentation, due to the presence of any neurologic or physiologic condition that would preclude the placement of a removable prosthesis.

Orthodontics for severe physically handicapping malocclusions	Prior approval for orthodontia coverage is required. Includes procedures which help to restore oral structures to health and function and to treat serious medical conditions such as cleft palate and cleft lip; maxillary/mandibular micrognathia (underdeveloped upper or lower jaw); extreme mandibular prognathism; severe asymmetry (craniofacial abnormalities); ankylosis of the temporomandibular joint; and other significant skeletal dysplasia.  Orthodontic coverage is not covered if the child does not meet the criteria described above.
	Procedures include but are not limited to:  Rapid Palatal Expansion (RPE)  Placement of component parts (e.g. brackets, bands)  Interceptive orthodontic treatment  Comprehensive orthodontic treatment (during which orthodontic appliances have been placed for active treatment and periodically adjusted)  Removable appliance therapy  Orthodontic retention (removal of appliances, construction and placement of retainers)
NOTE: Refer to the <u>New York State Medicaid Den</u>	tal Policy and Procedure Code manual for a more detailed description of services.

General Coverage	Scope of Coverage	Level of Coverage
Diagnosis and Treatment of an Autism Spectrum Disorder	Coverage for the Screening, Diagnosis and Treatment of Autism Spectrum Disorders	Includes the following care and assistive communicative devices prescribed or ordered for an individual diagnosed with autism spectrum disorder by a licensed physician or a licensed psychologist:  Behavioral Health treatment; Psychiatric care; Psychological care; Medical care provided by a licensed health care provider; Therapeutic care, including therapeutic care which is deemed habilitative or nonrestorative; and Pharmacy care. Applied behavioral analysis shall be covered. Assistive communication devices shall be covered when ordered or prescribed by a licensed physician or a licensed psychologist for members who are unable to communicate through normal means such as speech or in writing. Assistive communication devices such as communication boards and speechgenerating devices may be rented or purchased, subject to prior approval. Coverage must include dedicated communication devices, which are devices that generally are not useful to a person in the absence of a communication impairment. Items such as laptops, desktops, or tablet computers are not covered items but software and/or applications that enable a laptop, desktop, or tablet computer to function as a speech-generating device is a covered item.

### **Child Health Plus Benefits Package Exclusions**

### The following services will NOT be covered:

- Experimental medical or surgical procedures.
- Experimental drugs.
- Drugs which can be bought without prescription, except as defined.
- Prescription Drugs used for purposes of treating erectile dysfunction.
- Prescription Drugs and biologicals and the administration of these drugs and biologicals that are furnished for the purpose of causing or assisting in causing the death, suicide, euthanasia or mercy killing of a person.
- Private duty nursing.
- Home Health Care, except as defined.
- Care in connection with the detection and correction by manual or mechanical means of structural imbalance, distortion or subluxation in the human body for the purpose of removing nerve interference and the effects thereof, where such interference is the result of or related to distortion, misa lignment or subluxation of or in the vertebral column.
- Services in a skilled nursing facility.
- Cosmetic, plastic, or reconstructive surgery, except as defined.
- In vitro fertilization, artificial insemination or other means of conception and infertility services.
- Services covered by another payment source.
- Transportation, except as defined.
- Personal or comfort items.
- Services which are not Medically Necessary.